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# Maintenance Mechanism of Rossby Wave Breaking and Pacific-Japan Pattern in Boreal Summer

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## Abstract

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To reveal a maintenance mechanism for Rossby wave breaking (RWB) east of Japan and Pacific-Japan (PJ) pattern, which are triggered due to quasi-stationary Rossby wave propagation along the Asian jet, the past 44 RWB cases east of Japan is analyzed using a reanalysis dataset. A comparison between the composites of 7 persistent and 7 non-persistent cases, which are classified based on duration of the RWB and the PJ pattern, indicates that the persistent case shows the stronger and longer-lived quasi-stationary Rossby wave propagation along the Asian jet. The subsequent stronger RWB in the persistent case causes the consequential formation of the more enhanced PJ pattern, through the stronger high potential vorticity intrusion toward the subtropical western North Pacific. The persistent case further shows a persistent northward tilting vertical structure of the anomalous anticyclone east of Japan, accompanied by the enhanced anomalous warm air advection in the lower to middle troposphere north of the anomalously extended North Pacific Subtropical High associated with the PJ pattern. The **Q**-vector diagnosis and partial correlation analysis indicate that the anomalous warm air advection in the middle troposphere is closely associated with dynamically induced anomalous ascent from Japan to the east by an adiabatic process. Enhanced anomalous moisture flux convergence from Japan to the east, which is due to moisture inflow along the fringe of North Pacific Subtropical High from the subtropical western

52 North Pacific, also causes the anomalous ascent over the region by a diabatic process. A  
53 simple correlation analysis indicates nearly equivalent associations of the adiabatic and  
54 diabatic factors with the anomalous ascent. The anomalous ascent contributes to the  
55 enhanced and persistent RWB, through negative vorticity tendency due to vortex  
56 squashing in the upper troposphere, which further contributes to the enhanced and  
57 persistent PJ pattern in the persistent case.

58

59 **Keywords** North Pacific high; warm air; moist air; ascending current; wave breaking

60

## 61 **1. Introduction**

62 Increased socio-economic damages resulting from the unprecedented heat waves over  
63 Japan during boreal summer are attributable not only to a transient anomalous extension of  
64 the North Pacific Subtropical High (NPSH) (e.g., Lu and Dong 2001; Enomoto et al. 2003;  
65 Wakabayashi and Kawamura 2004; Liu et al. 2019) but also to its persistence (Shimpo et al.  
66 2019). The persistent anomalous extension of the NPSH toward Japan thus is expected to  
67 contribute to significant anomalous hot summer climate over the region. In some cases, the  
68 extended NPSH causes persistent anomalous moisture inflows from the south along the  
69 southwestern to northern fringe of the enhanced anomalous anticyclonic flow (e.g.,  
70 Ninomiya and Kobayashi 1999; Rodwell and Hoskins 2001; Lu 2002), contributing torrential  
71 rainfall events. The central position and persistence of the NPSH is one of the essential  
72 factors regulating the summer climate over Japan and its operational seasonal forecasting.

73 It has been well known that enhanced and suppressed convection over the tropical  
74 western North Pacific (WNP) east of the Philippines are closely associated with anomalous  
75 anticyclonic and cyclonic circulation over Japan in the lower troposphere, contributing to  
76 anomalous hot and cool summer conditions, respectively (e.g., Lu and Dong 2001; Nitta  
77 1987; Wakabayashi and Kawamura 2004). The teleconnection pattern, which shows the  
78 relationship between the convective activity near the Philippines and the lower-tropospheric  
79 anomalous circulation over Japan, is referred to as the Pacific-Japan (PJ) pattern (Nitta  
80 1987). The enhanced extension of the NPSH to mainland Japan is thus associated with the

81 enhanced convection to the south through a formation of the PJ pattern (Kawamura et al.  
82 1998, 2001; Wakabayashi and Kawamura 2004). Kosaka and Nakamura (2006) further  
83 elucidated northward tilting vertical structure of the PJ pattern in the troposphere. The  
84 northward tilted anomalous vorticity is consistent with zonal thermal contrast between  
85 heated Eurasian continent and relatively cool sea surface over the North Pacific in summer  
86 (Kosaka and Nakamura 2006; Xu et al. 2019).

87 The amplified ridge near Japan in the upper troposphere also can excite the anomalous  
88 anticyclone in the lower troposphere, corresponding to a formation mechanism of the Bonin  
89 high with the equivalent barotropic structure (Enomoto et al. 2003), which is resulting from a  
90 quasi-stationary Rossby wave propagation along the Asian jet referred to as the Silk-Road  
91 pattern (Lu et al. 2002; Enomoto et al. 2003). It is well known that the propagating wave  
92 energy frequently induces Rossby wave breaking (RWB) near the Asian jet exit region near  
93 Japan (Postel and Hitchman 1999, 2001; Abatzoglou and Magnusdottir 2006; Hitchman  
94 and Huesmann 2007; Homeyer and Bowman 2013). Recently, Takemura and Mukougawa  
95 (2020) (hereafter referred to as TM20) showed from a result of lag composite analysis that  
96 the RWB east of Japan, which is resulting from the wave propagation along the Asian jet,  
97 can excite the PJ pattern through a southwestward intrusion of high potential vorticity (PV)  
98 air mass toward the subtropical WNP, and consequently contribute to the anomalously  
99 extended NPSH to mainland Japan.

100 An influence of the anomalously extended NPSH associated with the PJ pattern on the

101 anomalous anticyclone in the upper troposphere, if it exists, will be one of the remarkable  
102 processes indicating a wave source of the RWB from the lower troposphere. Pfahl et al.  
103 (2015) showed that enhancement and persistence of atmospheric blocking ridge is  
104 attributable not only to northward intrusion of low PV air mass associated with the RWB but  
105 also the upper-level low PV tendency associated with anomalous ascent from the lower  
106 troposphere and the consequent anomalous latent heating. Grams and Archambault (2016)  
107 examined an influence of recurving tropical cyclone on extratropical circulation, also  
108 indicating an importance of the anomalous ascent to an enhancement of the blocking ridge  
109 and its further downstream impacts. The contribution of the upward influence of cyclonic  
110 disturbance to the enhanced upper-level blocking ridge can be explained by negative  
111 vorticity tendency associated with the vortex squashing effect resulting from the anomalous  
112 ascent, as indicated by Wiel et al. (2015). Although these results suggest that the  
113 anomalous moisture inflow along the southwestern to northern fringe of the NPSH may  
114 contribute to the anomalous anticyclone in the upper troposphere through the anomalous  
115 ascent north of the NPSH, the associated process has not been examined as yet. The  
116 northward tilting vertical structure indicated by Kosaka and Nakamura (2006) is expected to  
117 be favorable for the aforementioned process through the north-south shift of the anomalous  
118 anticyclones between the upper and lower troposphere.

119 The persistent RWB accompanied by the anomalous anticyclone in the upper  
120 troposphere, which is sustained by the anomalous ascent north of the NPSH, is expected to

121 recursively contribute to the persistent PJ pattern, indicating an existence of so-called  
122 positive feedback mechanism between the RWB and PJ pattern resulting from the process  
123 indicated by TM20. This study examines the maintenance mechanism of the RWB east of  
124 Japan and the anomalously extended NPSH associated with the PJ pattern, analyzing the  
125 past 44 RWB cases extracted in TM20. This line of investigation is important to elucidate  
126 the essential process causing the persistent anomalous summer climate and the  
127 consequent socio-economic impacts.

128 The structure of the present paper is organized as follows. Section 2 describes the  
129 dataset and analytical methods. In Section 3, results of the lag composite analysis for the  
130 cases classified by the duration of the RWB and the PJ pattern are provided to show a  
131 difference in the atmospheric characteristics between persistent and non-persistent cases.  
132 In Section 4, using a quasi-geostrophic diagnosis and a partial correlation analysis, we  
133 assess contribution of anomalous thermal advection along the western to northern fringe of  
134 NPSH in the middle troposphere to the extended anomalous anticyclone east of Japan in  
135 the upper troposphere. In Section 5, from a moisture flux diagnosis and a trajectory  
136 analysis, we further assess contribution of anomalous moisture convergence in the lower to  
137 middle troposphere to the extended anomalous anticyclone east of Japan. In Section 6, an  
138 influence of the anomalous ascent from Japan to the east on the enhanced and persistent  
139 anomalous anticyclone in the upper troposphere will be summarized, using a simple  
140 vorticity budget analysis, according to the results described in Sections 4 and 5. Section 7



141 provides the major findings of the study.

142

## 143 **2. Data and Methods**

144 The data used in this study are those from 6-hourly and daily mean datasets of the  
145 Japanese 55-year reanalysis (JRA-55) for June–September (JJAS) during the 61-year  
146 period from 1958 to 2018, with a horizontal resolution of  $1.25^\circ$  and 37 pressure levels  
147 (Kobayashi et al. 2015). We also used the daily mean dataset of COBE-SST (Ishii et al.  
148 2005) for June–August during the 61-year period, with a resolution of  $1^\circ$ , to analyze sea  
149 surface temperature (SST). Here, the anomaly is defined as a departure from the  
150 climatology, which is obtained as the 60-day low-pass filtered 30-year daily averages from  
151 1981 to 2010 using a Lanczos filter (Duchon 1979). To extract low-frequency components  
152 including the quasi-stationary Rossby wave, a 5-day-running mean is applied to the daily  
153 anomaly data. We next applied a horizontal smoothing filter to relative vorticity fields using  
154 a triangular truncation retaining  $N = 24$  wavenumbers (T24) to exclude the disturbances at  
155 a scale smaller than synoptic eddies. Spatial partial derivative is calculated using the  
156 spherical coordinates.

157 The propagation of quasi-stationary Rossby wave packets is analyzed using the wave  
158 activity flux (WAF) defined by Takaya and Nakamura (2001). The horizontal WAF is defined  
159 as follows:

$$160 \quad \mathbf{W} = \frac{\cos \phi}{2|\bar{\mathbf{U}}|} \begin{pmatrix} \frac{\bar{u}}{r^2 \cos^2 \phi} \left[ \left( \frac{\partial \psi'}{\partial \lambda} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \lambda^2} \right] + \frac{\bar{v}}{r^2 \cos \phi} \left[ \frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \phi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \phi} \right] \\ \frac{\bar{u}}{r^2 \cos \phi} \left[ \frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \phi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \phi} \right] + \frac{\bar{v}}{r^2} \left[ \left( \frac{\partial \psi'}{\partial \phi} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \phi^2} \right] \end{pmatrix}, \quad (1)$$

161 where  $r$  is the radius of the earth,  $u$  is the zonal wind,  $v$  is the meridional wind,  $\bar{\mathbf{U}} = (\bar{u}, \bar{v})$   
 162 is the climatological horizontal wind vector, and  $\psi$  is the geostrophic stream function at a  
 163 reference latitude of  $\phi_0 = 40^\circ\text{N}$ . The overbars (primes) denote the basic states  
 164 (perturbations), defined as the climatology (anomaly). The  $\lambda$  and  $\phi$  denote the longitude  
 165 and latitude, respectively. To assess the Rossby waveguide associated with the Asian jet,  
 166 the meridional gradient of the climatological absolute vorticity, which is referred to as  
 167 effective  $\beta$  (Hoskins and Ambrizzi 1993), is calculated from the climatological zonal wind.

168 The effective  $\beta$  ( $\beta^*$ ) is defined as follows:

$$169 \quad \beta^* \equiv \beta - \frac{1}{r^2 \cos \phi} \frac{\partial}{\partial \phi} \left( \cos \phi \frac{\partial \bar{u}}{\partial \phi} \right), \quad (2)$$

170 where  $\beta$  is the meridional gradient of the planetary vorticity. A large positive  $\beta^*$  indicates the  
 171 strong Rossby waveguide.

172 The RWB cases analyzed to composite in this study are the same as those extracted in  
 173 TM20. They extracted 44 RWB cases over the region between  $[25\text{--}45^\circ\text{N}, 130^\circ\text{E}\text{--}180^\circ]$ ,  
 174 which is hereafter referred to as “target area”, for the period of July–August from 1958 to  
 175 2018, using a dynamical blocking index (Pelly and Hoskins 2003). The blocking index is  
 176 based on the meridional distribution of potential temperature on the dynamical tropopause  
 177 defined by 2 potential vorticity units (PVUs). A central date of the RWB case, when the  
 178 blocking index attains its maximum, is defined as “day 0” in the lag composite analysis. A

179 central position of the RWB case is further defined as a position where the index attains its  
180 maximum over the target area on day 0. As with TM20, the entire field was horizontally  
181 shifted before the composite analysis to sharpen the composited signatures in such a  
182 manner that the central positions of the 44 RWB cases at day 0 coincide with the reference  
183 point, which was defined as the averaged position of all the cases on day 0 (purple circle in  
184 Fig. 1).

185 To represent the strength of the quasi-stationary Rossby wave propagation along the  
186 Asian jet, the RWB east of Japan, and the PJ pattern, three types of indices defined by  
187 TM20 are used. The first index is the Silk Road (SR) index, defined as the 200-hPa eddy  
188 (i.e., zonal wave numbers  $k \geq 3$ ) kinetic energy averaged longitudinally between 60°E and  
189 120°E and latitudinally between  $-5^\circ$  and  $+5^\circ$  from the 200-hPa climatological zonal wind  
190 (green shading in Fig. 1a) maxima at each longitude (black rectangle in Fig. 1a), following  
191 the procedure of Enomoto (2004). The large SR index value corresponds to the increased  
192 north–south meandering of the Asian jet, indicating enhanced propagation of the  
193 quasi-stationary Rossby waves. The second is the wave breaking (WB) index, defined as  
194 the difference in the areal averages of 350-K PV between [15–30°N, 150–170°E] (red  
195 dashed rectangle in Fig. 1a) and [30–45°N, 150–170°E] (red solid rectangle in Fig. 1a). A  
196 positive WB index value indicates RWB occurrence with reversal of the meridional gradient  
197 of the PV east of Japan, and the large index corresponds to the enhanced RWB. The third  
198 is the PJ index, defined as the difference in the areal averages of 850-hPa anomalous

199 relative vorticity between [20–30°N, 120–150°E] (blue solid rectangle in Fig. 1a; labeled  
200 PJ1) and [30–40°N, 140–180°E] (blue dashed rectangle in Fig. 1a; labeled PJ2), which  
201 consists of the PJ1 and PJ2 indices. This study diagnoses only the PJ2 index, and the large  
202 negative PJ2 index corresponds to an enhanced lower-tropospheric anomalous anticyclone  
203 east of Japan, indicating the anomalous northwestward extension of the NPSH toward  
204 mainland Japan.

205

### 206 **3. Lag composite analysis of persistent and non-persistent cases**

207 This section describes results of the lag composite analysis for the cases classified by  
208 the duration of the RWB and PJ pattern. Figure 2 shows histogram of the period on which  
209 both the WB and PJ indices are positive consecutively, which is referred to as simply  
210 “duration” hereafter. The duration shown in the histogram is from 4 to 17 days in the 44  
211 RWB cases, with the average of 8.8 day (green dashed line in Fig. 2) and the standard  
212 deviation of 3.3 day (gray shading in Fig. 2). Here the 7 longest and 7 shortest cases, with  
213 the duration greater than 11 days and shorter than 6 days, are defined as “persistent case”  
214 and “non-persistent case”, respectively.

215 Figures 3 and 4 show the composite of the upper- and lower-tropospheric anomalous  
216 relative vorticity and 350-K PV for the persistent and non-persistent cases on day -7, -2, 0,  
217 +2, and +4, respectively. In the persistent case (Fig. 3), an anomalous anticyclone east of  
218 Japan is clearly amplified, accompanied by the strong RWB (Figs. 3a, d, g). The enhanced

219 quasi-stationary Rossby wave propagation along the Asian jet is persistent from day -7 to  
220 day 0, contributing to the amplified RWB. The upper-level southwestward intrusion of high  
221 PV air mass toward the subtropical WNP is also clearly seen associated with the strong  
222 anticyclonic RWB (Figs. 3b, e, h). An intensified 500-hPa anomalous negative vertical  
223 p-velocity over the subtropical WNP east of the Philippines indicates enhanced convective  
224 activities, as described in section 5 using a composite of convective precipitation,  
225 immediately ahead of the southwestward intruding high PV (Figs. 3e, h, k). The enhanced  
226 convection appears to contribute to formation of the lower-tropospheric anomalous cyclonic  
227 circulation over the subtropical WNP resulting from the Matsuno-Gill-type response (Gill  
228 1980; Figs. 3i, l). Furthermore, it is suspected that the anomalous cyclonic circulation partly  
229 contributes to an enhancement of the northeastern lower-tropospheric anomalous  
230 anticyclonic circulation due to northeastward quasi-stationary Rossby wave propagation  
231 (Red vectors in Figs. 3i, l, o; e.g., Kawamura and Ogasawara 2006), indicating a formation  
232 of the PJ pattern, consistent with the result of TM20 (see Fig. 3 in their paper). The  
233 lower-tropospheric anomalous anticyclonic circulation east of Japan associated with the  
234 dipole anomalies corresponds to the enhanced extension of the NPSH toward mainland  
235 Japan. The anomalously extended NPSH is also seen before day 0 (Figs. 3c, f), mainly  
236 because of a downward influence of the amplified anomalous anticyclone in the upper  
237 troposphere with the equivalent barotropic structure, corresponding to the formation  
238 mechanism of the Bonin high (Enomoto et al. 2003, Enomoto 2004). A vertical structure of

239 the anomalous anticyclone shows slight northward tilt with height, accompanied by the  
240 meridional shift of its centers between the upper and lower troposphere (e.g., Figs. 3g, i), as  
241 shown in next section. Although the amplitude of the anomalies gradually declines after day  
242 0, the structure of anomalous circulation persists until day +4 (Figs. 3j, m, l, o). An  
243 anomalous ascent at 500 hPa is also seen from Japan to the east (Figs. 3h, k), where is  
244 just below the western side of anomalous anticyclone in the upper troposphere (Figs. 3g, j),  
245 suggesting contribution of the vortex squashing effect resulting from the anomalous ascent  
246 as indicated by Wiel et al. (2015). The anomalous ascent is also presumed to be partly  
247 associated with an anomalous secondary circulation due to the zonal PV gradient between  
248 the anomalous anticyclone and an upstream trough west of Japan in the upper  
249 troposphere.

250 In the non-persistent case (Fig. 4), in contrast, the enhanced anomalous anticyclone east  
251 of Japan in the upper troposphere is weaker than that in the persistent case (Figs. 4a, d, g),  
252 partly because of the weaker and shorter-lived quasi-stationary Rossby wave propagation  
253 along the Asian jet before day 0. The RWB accompanied by the anomalous anticyclone  
254 east of Japan shows a rapid attenuation after day 0 (Figs. 4j, m). The upper-level  
255 southwestward intrusion of high PV air mass, the consequent anomalous ascent over the  
256 subtropical WNP east of the Philippines, and the subsequent formation of PJ pattern are  
257 also weaker and exhibit scattered structures (Figs. 4h, k, i, l), compared to the persistent  
258 case, associated with the weaker RWB. The southwest–northeast–oriented dipole

259 anomalies in the lower troposphere, which is clearly seen in the persistent case (Figs. 3i, l),  
260 are not seen after day 0 (Figs. 4i, l), indicating rapid attenuations of the PJ pattern and the  
261 associated anomalous NPSH. The anomalous ascent in the middle troposphere along the  
262 southwestern to northern fringe of the anomalous NPSH is also not seen in the  
263 non-persistent case (Figs. 4h, k). The composite analysis for the persistent and  
264 non-persistent cases indicates that the duration is closely related to the amplified  
265 anomalous circulation in the upper troposphere and the anomalously extended NPSH,  
266 associated with the enhanced RWB and PJ pattern, respectively.

267 Figure 5a shows scatter diagram between maximum of WB indices and minimum of PJ2  
268 indices, which indicates the maximum strength of RWB and extended NPSH, for the 44  
269 RWB cases. Here, the maximum and minimum of these indices are assessed during the  
270 period from day -15 to +15. A significant relationship between the strength of RWB and the  
271 extended NPSH toward mainland Japan is seen, with a high correlation coefficient (-0.58)  
272 at a confidence level of 99%, consistent with the result of TM20. The duration of the 44  
273 RWB cases shows that the persistent (non-persistent) case is closely related to the  
274 stronger (weaker) RWB with a correlation coefficient of +0.63 (upper panel in Fig. 5b), and  
275 to the stronger (weaker) extension of NPSH toward mainland Japan with a correlation  
276 coefficient of -0.54 (lower panel in Fig. 5b), at a confidence level of 99%. The relationship  
277 indicates that the duration is associated with the amplified anomalous anticyclone east of  
278 Japan in the troposphere. The SR indices averaged from day -6 to day -2 (Fig. 5c), when

279 the enhanced quasi-stationary Rossby wave propagation attains its maximum before day 0,  
 280 also show a relationship to the strength of RWB and extended NPSH, with correlation  
 281 coefficients of +0.30 and -0.29 at a confidence level of 95%, respectively. The relationship  
 282 with the SR indices (Fig. 5c) indicates that the stronger (weaker) RWB in the persistent  
 283 (non-persistent) case is partly associated with the longer-lived and stronger (shorter-lived  
 284 and weaker) propagation of quasi-stationary Rossby waves as shown in the composites for  
 285 the persistent and non-persistent cases (Figs. 3, 4).

286

#### 287 **4. Mid-tropospheric Warm air advection related to the duration**

288 This section shows an adiabatic contribution of anomalous thermal advection along the  
 289 western to northern fringe of NPSH in the middle troposphere to persistent extension of the  
 290 upper-tropospheric anomalous anticyclone east of Japan.

291 The duration is associated not only with the amplified anomalous anticyclone but also its  
 292 vertical structure. Figure 6 shows latitude-height cross section of anomalous relative  
 293 vorticity averaged between 140°E and 180° and anomalous horizontal thermal advection  
 294 averaged between 130°E and 160°E on day 0 in the composite for the 44 RWB cases, the  
 295 persistent and non-persistent cases. The anomalous horizontal thermal advection is  
 296 expressed as follows:

$$297 \quad \left[ \frac{\partial T'}{\partial t} \right]_{adv} \cong -\mathbf{v}' \cdot \nabla \bar{T} - \bar{\mathbf{v}} \cdot \nabla T', \quad (3)$$

298 where  $T$  is the temperature,  $\mathbf{v}$  is the horizontal wind vector, respectively. The overbars and



299 primes are defined as in Eq. (1). The first and second terms of the right-hand side (RHS) in  
300 Eq. (3) indicate the contributions of anomalous horizontal wind and temperature gradient to  
301 the temperature tendency, respectively. The nearly-equal relationship in Eq. (3) is because  
302 of an approximation with linear terms in the RHS of the equation, which shows cross  
303 interactions between the anomaly and the climatology, and without the self-interactions  
304 between the anomalies. Figure 6a indicates a northward tilting vertical structure of the  
305 significant anomalous anticyclone, accompanied by the upper-tropospheric amplified  
306 anticyclone at 40°N associated with the RWB and the anomalous NPSH centered near  
307 35°N in the lower troposphere (green dashed line in Fig. 6). This vertical structure is  
308 consistent with the result of Kosaka and Nakamura (2006), which indicated the northward  
309 tilting vertical structure of the PJ pattern associated with the climatological zonal thermal  
310 contrast in summer. Figure 6a further shows that anomalous positive thermal advection  
311 north of 35°N is seen immediately below the anomalous anticyclone in the upper  
312 troposphere, indicating anomalous warm air advection from south along the western to  
313 northern fringe of the anomalously extended NPSH. The persistent and non-persistent  
314 cases shown in Figs. 6b and 6c indicate the stronger and weaker anomalous anticyclone  
315 and warm air advection, compared to the composite (Fig. 6a), respectively.

316 The 500-hPa anomalous thermal advection averaged over [35–50°N, 130–160°E] (red  
317 rectangle labeled “A” in Fig. 1b, hereafter referred to as “region A”) on day 0 for the 44 RWB  
318 cases also shows that the stronger (weaker) anomalous warm air advection is related to the

319 stronger (weaker) RWB with a high correlation coefficient (+0.62; upper panel in Fig. 5d),  
320 and to the stronger (weaker) extension of NPSH toward mainland Japan with a high  
321 correlation coefficient (-0.54; lower panel in Fig. 5d), at a confidence level of 99%. The  
322 anomalous warm air advection in the middle troposphere further shows significant  
323 relationship to the duration and the SR indices averaged from day -6 to day -2, with  
324 correlation coefficients of +0.34 and +0.28 at a confidence level greater than 90%,  
325 respectively (Table. 1). These results indicate that the anomalous warm air advection is  
326 closely associated with the enhanced and persistent anomalous anticyclone, and partly  
327 with the wave propagation along the Asian jet.

328 To examine the relationship between the duration and time variations of the northward  
329 tilting vertical structure of anomalous anticyclone, latitude-time cross sections of the  
330 anomalous relative vorticity in the upper and lower troposphere averaged between 140°E  
331 and 180° and 500-hPa anomalous thermal advection averaged between 130°E and 160°E  
332 from day -10 to +10 are shown in Fig. 7. The composite for the 44 RWB cases shown in Fig.  
333 7a indicates slow southward shift of the significant anomalous anticyclone in the upper  
334 troposphere from north to south of 40°N, and the anomalous anticyclone is immediately  
335 above the anomalous warm air advection in the middle troposphere. The composite in the  
336 lower troposphere shown in Fig. 7b further indicates that the significant anomalous NPSH  
337 remains nearly stationary at 35°N until day +4 and then shifts southward. The anomalous  
338 warm air advection in the middle troposphere is seen north of the anomalous NPSH near

339 35°N. The persistent case in the upper troposphere shown in Fig. 7c indicates the  
340 enhanced and nearly stationary anomalous anticyclone at 40°N, where the anomalous  
341 warm air advection is clearly enhanced, compared to the composite (Fig. 7a). The  
342 anomalous NPSH in the persistent case shown in Fig. 7d is also amplified compared to the  
343 composite of all cases, contributing to the enhanced warm air advection in the middle  
344 troposphere to its north. The non-persistent case in the upper troposphere shown in Fig. 7e,  
345 in contrast, indicates the weaker, shorter-lived, and rapidly southward-moving anomalous  
346 anticyclone, accompanied by the much weaker anomalous warm air advection in the  
347 middle troposphere before day 0, compared to the composite (Fig. 7a). The anomalous  
348 NPSH in the non-persistent case shown in Fig. 7f is also weaker than that of the composite,  
349 and has the shorter-term duration until day +3. The northward moving anomalies in the  
350 lower troposphere are also seen south of 40°N in the non-persistent case (Fig. 7f), which is  
351 similar to the boreal summer intra-seasonal oscillation (BSISO; Kikuchi et al. 2012; Lee et  
352 al. 2013). However, there is no features of the related northward propagation of anomalous  
353 ascent in tropics as shown in the middle panels of Fig. 4, suggesting that their relevance to  
354 the BSISO is unclear.

355 Figure 8 further shows timeseries of vertical phase differences in the anomalous  
356 anticyclone between the lower and upper troposphere during the period from day -3 to day  
357 +3, when central latitude of the anomalous NPSH can be identified from the zonal averages  
358 of 850-hPa anomalous relative vorticity between 140°E and 180° in the non-persistent case.

359 Here, the vertical phase difference is defined as the difference in latitude between the  
360 minima of the anomalous relative vorticities averaged between 140°E and 180° in the  
361 latitudinal range from 20°N to 50°N at 200 hPa and 850 hPa. Positive and negative values  
362 of the vertical phase differences correspond to the northward and southward tilting vertical  
363 structure of the anomalous anticyclone. The vertical phase difference for the composite of  
364 all cases (black circles and bars in Fig. 8) indicates northward tilting vertical structure from  
365 day -3 to +3, which becomes obscure with the time evolution, corresponding to the slow  
366 southward shift of the anomalous anticyclone in the upper troposphere (Fig. 7a). In the  
367 persistent case, the vertical phase difference remains positive with the latitudinal difference  
368 of about +5°, indicating the persistence of northward tilting vertical structure even after day  
369 0 (red circles and bars in Fig. 8). In the non-persistent case, in contrast, the vertical phase  
370 difference rapidly changes from positive to negative after day +1 (blue circles and bars in  
371 Fig. 8), indicating a transition from northward to southward tilting of the vertical structure,  
372 which is consistent with the rapid southward-moving anomalous anticyclone in the upper  
373 troposphere (Fig. 7e). The vertical phase difference of the anomalous anticyclone for the 44  
374 RWB cases on day +2 also shows that the persistent (attenuated) northward tilting vertical  
375 structure is related to the stronger (weaker) RWB with a correlation coefficient of +0.28  
376 (upper panel in Fig. 5e) at a confidence level of 90%, and to the stronger (weaker)  
377 extension of NPSH toward mainland Japan with a correlation coefficient of -0.40 (lower  
378 panel in Fig. 5e) at a confidence level of 99%. The vertical phase difference further shows

379 significant relationship to the duration and the anomalous thermal advection in the middle  
380 troposphere, with correlation coefficients of +0.33 and +0.44 at a confidence level of greater  
381 than 95%, respectively (Table. 1). These results indicate that the duration is closely related  
382 to the lower- and upper-level amplified anomalous anticyclones, the associated anomalous  
383 warm air advection from south, and the persistent northward tilting vertical structure of  
384 anomalous anticyclone.

385 To assess the influence of the anomalous warm air advection along the fringe of the  
386 anomalously extended NPSH on the vertical motion, which can contribute to the anomalous  
387 circulation in the upper troposphere, the  $\mathbf{Q}$ -vector diagnosis (e.g., Hoskins et al. 1978,  
388 Holton 1992) is conducted for the composite circulation. The  $\mathbf{Q}$ -vector, defined in Eq. (4b) of  
389 TM20, which was incorporated into the conventional diagnostic equation for the vertical  
390 motion (i.e., the  $\omega$  equation; Eq. 4a of TM20), assuming that the vertical motion is balanced  
391 with the vertical derivatives of vorticity advection and thermal advection. Convergence and  
392 divergence of the  $\mathbf{Q}$ -vectors correspond to dynamically induced ascent and descent,  
393 respectively. Figure 9a shows vertically integrated (from 850 hPa to 200 hPa) anomalous  
394  $\mathbf{Q}$ -vectors and their divergence on day 0, which is adapted from Fig. 11 of TM20. The  
395 anomalous convergence of the  $\mathbf{Q}$ -vector is clearly seen from Japan to the east, indicating  
396 dynamically induced anomalous ascent over the region. Figure 9b shows 500-hPa  
397 anomalous thermal advection defined by Eq. (3). The anomalous warm air advection is  
398 seen immediately west to north of the anomalous anticyclonic circulation (vectors in Fig. 9b)

399 associated with the anomalously extended NPSH. The result of thermal budget analysis  
 400 indicates the relative importance of the anomalous warm air advection along the fringe of  
 401 NPSH to the anomalous ascent over the region, compared to the absolute vorticity  
 402 advection in the upper troposphere associated with the upstream trough west of Japan  
 403 (Figs. 3g, h).

404 To qualitatively show a relative importance of the mid-tropospheric thermal advection and  
 405 the upper-tropospheric absolute vorticity advection to the anomalous ascent from Japan to  
 406 the east, a partial correlation analysis of the 44 RWB cases was performed, using adiabatic  
 407 component of vertical p-velocity ( $\omega_{\text{adiab}}$ ) calculated from the  $\mathbf{Q}$ -vector divergence. Here, the  
 408 anomalous absolute vorticity advection by a horizontal wind is defined as Eq. (5) of TM20.  
 409 From the  $\omega$  equation described in Eq. (4a) of TM20, the  $\omega_{\text{adiab}}$  is expressed as follows:

$$410 \quad \left( \nabla^2 + \frac{f_0^2}{\sigma} \frac{\partial^2}{\partial p^2} \right) \omega_{\text{adiab}} \cong - \left[ \frac{2}{\sigma} \nabla \cdot \mathbf{Q} \right], \quad (4)$$

411 where  $f_0$  is the reference Coriolis parameter at a reference latitude of  $\phi_0$ , and  $\mathbf{Q}$  is the  
 412  $\mathbf{Q}$ -vector, respectively.  $\sigma \equiv RT_0 p^{-1} d\theta_0/dp$  is the static stability, with the gas constant  $R$   
 413 and the basic-state potential temperature  $\theta_0$ , derived from the area-averaged temperature  
 414  $T_0$  north of 20°N, as with TM20. The anomalous  $\omega_{\text{adiab}}$  ( $\omega'_{\text{adiab}}$ ) is calculated, applying a  
 415 relaxation method to solve the Poisson's equation in Eq. (4), with meridional boundary  
 416 conditions at 5°N and the North Pole and vertical ones at pressure levels of bottom (1000  
 417 hPa) and top (1 hPa) given by  $\omega'_{\text{adiab}} = 0$ . Figures 10a and 10b show anomalous vertical  
 418 p-velocity ( $\omega'$ ) and  $\omega'_{\text{adiab}}$  at 500 hPa on day 0, respectively. The  $\omega'_{\text{adiab}}$  shown in Fig. 10b

419 generally explains the total component of anomalous ascent from Japan to the latitudinal  
420 band of 45°N east of Japan (Fig. 10a).

421 Figures 11a and 11b show the relationships of areal-averaged 500-hPa anomalous  
422 thermal advection and 200-hPa anomalous absolute vorticity advection to the  $\omega'_{\text{adiab}}$  over  
423 region A, without the variability associated with each other, respectively. Figure 11a shows  
424 the significant relationship between the anomalous warm air advection and anomalous  
425 ascent in the middle troposphere from Japan to the east, with a high partial correlation  
426 coefficient ( $-0.58$ ) at a confidence level of 99%. The duration of the 44 RWB cases, which  
427 is represented in color in Fig. 11a, also shows its relationships to the anomalous warm air  
428 advection and ascent, with correlation coefficients of  $+0.35$  and  $+0.34$  at a confidence level  
429 of 95%, respectively. Although a relationship of the anomalous ascent with the anomalous  
430 absolute vorticity advection is also seen (Fig. 11b) and is consistent with the anomalous  
431 secondary circulation between the anomalous anticyclone and the upstream trough west of  
432 Japan in the upper troposphere as described in Section 3, the magnitude of the correlation  
433 coefficient is smaller than that with the anomalous thermal advection in the middle  
434 troposphere (Fig. 11a). The duration represented in color in Fig. 11b indicates that the  
435 duration has insignificant relationship (less than a confidence level of 90%) with the  
436 anomalous vorticity advection in the upper troposphere and the anomalous ascent in the  
437 middle troposphere, with low correlation coefficients of  $-0.11$  and  $+0.12$ , respectively. The  
438 contribution rates of the thermal advection in the middle troposphere and the vorticity

439 advection in the upper troposphere to the anomalous ascent, which were estimated from  
440 the magnitude of the standardized partial regression coefficients, are estimated as 55%  
441 and 28%, respectively. These results indicate that the anomalous warm air advection in the  
442 middle troposphere along the western to northern fringe of the anomalously extended  
443 NPSH is primarily important for the anomalous ascent by an adiabatic process and the  
444 duration. Furthermore, a multiple correlation coefficient, which is an index of how well the  
445 anomalous ascent can be explained by a linear combination of the mid-tropospheric  
446 anomalous thermal advection and the upper-tropospheric anomalous vorticity advection  
447 (e.g., Harris 1975; Mardia et al. 1979), shows a high value of +0.72 (Figs. 11a, b), indicating  
448 that the two factors can explain about half of total variations in the anomalous ascent.

449

## 450 **5. Lower- to mid-tropospheric moisture inflow related to the duration**

451 The anomalous southerly wind in the lower to middle troposphere along the  
452 southwestern to northern fringe of anomalously extended NPSH could be expected to  
453 cause not only the anomalous warm air advection but also the anomalous moisture inflow  
454 from the subtropical WNP (e.g., Sampe and Xie 2010), contributing to the zonally elongated  
455 anomalous ascent like the frontal zone north of the anomalous NPSH (Akiyama 1973;  
456 Kodama 1992). Hence, this section focuses on a diabatic contribution of the anomalous  
457 moisture convergence in the lower to middle troposphere to the extended anomalous  
458 anticyclone east of Japan.



459 Figure 12a shows the composite of vertically integrated (from 1000 hPa to 500 hPa)  
460 moisture flux (vectors) and anomalous specific humidity (shadings) on day 0. The moisture  
461 inflow is clearly seen from the subtropical WNP east of the Philippines to the sea east of  
462 Japan along the southwestern to northern fringe of the NPSH, accompanied by the  
463 anomalously moist air mass in the lower to middle troposphere. The negative  $\omega'$  at 500 hPa,  
464 which is represented in purple contours in Fig. 12a, indicates zonally elongated anomalous  
465 ascent from Japan to the east, corresponding to the anomalous moisture flux convergence  
466 over the region in the lower to middle troposphere. The elongated anomalous ascent is  
467 more enhanced in the persistent case (Fig. 3), compared to the non-persistent case, as  
468 described in Section 3. Furthermore, the composite of anomalous SST averaged from day  
469 -15 to day -6, when the SST is not affected by the atmospheric circulation associated with  
470 the anomalous NPSH, shown in Fig. 12b indicates anomalous warm SST condition from  
471 the sea around Japan to its east, where the anomalously moist air and the related  
472 anomalous ascent is seen afterward (Fig. 12a). The relationship between the precedent  
473 anomalous SST and the anomalous ascent will be shown below.

474 To examine the relationship of the anomalous moisture convergence in the lower to  
475 middle troposphere and the anomalous warm SST to the anomalous ascent from Japan to  
476 the east, a correlation analysis with diabatic component of 500-hPa anomalous vertical  
477 p-velocity ( $\omega'_{\text{diab}}$ ) is performed. Here,  $\omega'_{\text{diab}}$  is defined as residual difference between the  $\omega'$   
478 and  $\omega'_{\text{adiab}}$ , and is expressed as follows:

479  $\omega'_{\text{diab}} \equiv \omega' - \omega'_{\text{adiab}}.$  (5)

480 The  $\omega'_{\text{diab}}$  at 500 hPa shown in Fig. 10c generally explains the total component of  
481 anomalous vertical motion (Fig. 10a), particularly in low latitudes. Figures 13a and 13c  
482 show the relationship of vertically integrated (from 1000 hPa to 500 hPa) moisture flux  
483 divergence on day 0 averaged over region A and anomalous SST averaged from day -15 to  
484 day -6 over [35–45°N, 130–160°E] (blue dashed rectangle labeled “B” in Fig. 1b, hereafter  
485 referred to as “region B”) to the  $\omega'_{\text{diab}}$  averaged over region A on day 0 for the 44 RWB  
486 cases, respectively. Figure 13a shows a significant relationship between the moisture flux  
487 convergence in the lower to middle troposphere and the  $\omega'_{\text{diab}}$  from Japan to the east, with a  
488 high correlation coefficient (+0.65) at a confidence level of 99%. The duration, which is  
489 represented in color in Fig. 13a and shown in Fig. 13b, also shows its relationship to the  
490 moisture flux convergence, with a correlation coefficient (-0.45) at a confidence level of  
491 99%. Figures 13c and 13d, in contrast, shows an insignificant relationship (less than a  
492 confidence level of 90%) of the anomalous SST and the duration to the  $\omega'_{\text{diab}}$ , with low  
493 correlation coefficients of -0.10 and +0.06, respectively. These results of the correlation  
494 analysis indicate that the moisture flux convergence from Japan to the east in the lower to  
495 middle troposphere, which is resulting from the moisture inflow along the southwestern to  
496 northern fringe of the anomalously extended NPSH rather than from surface evaporation  
497 from high SST, is primarily associated with the anomalous ascent over the region by the  
498 diabatic process.

499 The vertically integrated moisture flux on day 0 shown in Fig. 12a suggests that the  
500 northward moisture inflow toward east of Japan primarily originates in the subtropical WNP  
501 east of the Philippines, where the anomalous ascent is significantly seen (purple contours  
502 in Fig. 12a). Convective precipitation on day 0 shown in Fig. 12d indicates a close  
503 relationship between the anomalous ascent and the anomalous precipitation, indicating the  
504 enhanced convection over the region where the anomalous ascent is seen. It also supports  
505 the result shown in Fig. 10c indicating the essential contribution of the diabatic component  
506 ( $\omega'_{\text{diab}}$ ). The enhanced convection over the subtropical WNP corresponds to the  
507 dynamically induced ascent, which is resulting from the RWB east of Japan and the  
508 consequent southwestward intrusion of the upper-level high PV air mass, as indicated by  
509 TM20. The western part of the dynamically induced ascent near 20°N, 140°E particularly  
510 has a close relationship with the  $\omega'_{\text{diab}}$  (Fig. 10c). Longitude-height cross section of the  
511 composite anomalous specific humidity averaged between 20°N and 25°N on day 0 shown  
512 in Fig. 12c indicates that the anomalous ascent over the subtropical WNP is associated  
513 with significantly moist conditions in the lower to middle troposphere.

514 To assess an impact of the lower-level moist air mass over the subtropical WNP on the  
515 anomalous ascent from Japan to the east along the fringe of the anomalously extended  
516 NPSH, a forward trajectory analysis is performed using the 6-hourly JRA-55, following to  
517 the procedure of Horinouchi (2014). In the trajectory analysis, passive tracers are  
518 horizontally advected using the second-order Heun scheme by 850-hPa horizontal winds

519 for the composite, persistent and non-persistent cases. Here, the horizontal winds are  
520 bi-linearly interpolated in space and linearly interpolated in time from 6-hour into 15 minutes  
521 interval. Although the trajectory analysis at a pressure level can induce certain errors due to  
522 the ignored vertical transport of the tracers, the relative efficiency of the horizontal transport  
523 in a few days can be crudely compared between the three cases. The vertical displacement  
524 along the fringe of the extended NPSH, which is estimated from the vertical p-velocity at  
525 850 hPa, actually indicates that the passive tracers for the composite remain between 850  
526 hPa and 700 hPa in the 5-day analysis period described below (not shown). This  
527 verification result supports the validity of the trajectory analysis at a specific pressure level.  
528 The grid points over the region between [15–30°N, 130–160°E], where the composite of  
529 500-hPa negative  $\omega'$  is significant at a confidence level of 95% on initial date of day -2, is  
530 defined as the initial positions of the passive tracers, which are advected from day -2 until  
531 day +2. The trajectories initialized from day -1 and day 0 show similar results with that from  
532 day -2 (not shown). Figure 14 shows the result of trajectory analysis initialized at 00 UTC on  
533 day -2. The persistent case shown in Fig. 14a exhibits the predominant northward  
534 trajectories extending from east of the Philippines to the sea east of Japan (red lines), along  
535 the southwestern to northern fringe of the extended NPSH (contours). The non-persistent  
536 case shown in Fig. 14b, in contrast, shows the trajectories staying south of mainland Japan  
537 (blue lines) associated with the weaker extension of NPSH (contours), compared to the  
538 persistent case. The difference in the trajectories for the persistent and non-persistent

539 cases is also clearly seen by comparing with the trajectory in the composite of 44 RWB  
540 cases (Fig. 14c). Figure 14d shows positions of the advected tracers at 18 UTC on day +2  
541 for the persistent (red circles), non-persistent (blue crosses) cases, and the composite  
542 (green triangles). A larger number of the tracers in the persistent case is seen east of Japan,  
543 compared to the composite and the non-persistent case exhibiting the tracers from Japan  
544 to its south. The results of the trajectory analysis indicate that the enhanced anomalous  
545 ascent from Japan to the east is associated with the lower-level moist air mass over the  
546 subtropical WNP east of the Philippines, and vice versa.

547 Figure 13e shows the relationship between vertically integrated (from 850 hPa to 500  
548 hPa) anomalous specific humidity averaged over [20–25°N, 140–150°E] (black dashed  
549 rectangle labeled “C” in Fig. 1b, hereafter referred to as “region C”) on day -2 and the  $\omega'_{\text{diab}}$   
550 over region A on day 0 for the 44 RWB cases. The scatter diagram shows the significant  
551 relationship between the anomalously moist air mass east of the Philippines in the lower to  
552 middle troposphere and the anomalous ascent from Japan to the east, with a high  
553 correlation coefficient (-0.58) at a confidence level of 99%. The duration, which is  
554 represented in color in Fig. 13e and shown in Fig. 13f, also shows its relationship to the  
555 anomalously wet conditions east of the Philippines, with a correlation coefficient of +0.26 at  
556 a confidence level of 90%, supporting the results of trajectory analysis.

557

## 558 **6. Anomalous ascent and the duration**

559 This section describes the influence of the anomalous ascent from Japan to the east due  
560 to the adiabatic and diabatic processes on the enhanced and persistent anomalous  
561 anticyclone in the upper troposphere. The results described in Sections 4 and 5 indicate  
562 that both the mid-tropospheric anomalous warm air advection and the lower- to  
563 mid-tropospheric moisture flux convergence from Japan to the east associated with the  
564 anomalously extended NPSH contribute to the anomalous ascent over the region, through  
565 the adiabatic and diabatic processes, respectively. A close relationship between the  
566 anomalous thermal advection and moisture inflow along the fringe of NPSH suggests a  
567 coexistence of the anomalous ascents due to the adiabatic and those due to the diabatic  
568 processes, particularly from Japan to the east in summer.

569 The scatter diagram of the  $\omega'_{\text{adiab}}$  and  $\omega'_{\text{diab}}$  at 500 hPa over region A on day 0 for the 44  
570 RWB cases shown in Fig. 15 indicates their relationship, with a correlation coefficient of  
571 +0.40 at a confidence level of 99%. The duration, which is represented in color in Fig. 15,  
572 further shows its relationship to the  $\omega'_{\text{adiab}}$  and  $\omega'_{\text{diab}}$ , with the same correlation coefficients  
573 (-0.32) at a confidence level of 95%. Although the correlation coefficients can't fully explain  
574 their relative relationship with the duration because of dependence between the  $\omega'_{\text{adiab}}$  and  
575  $\omega'_{\text{diab}}$ , these results suggest the nearly equivalent associations of the anomalous ascent by  
576 the adiabatic and diabatic processes with the duration.

577 To show an influence of the anomalous ascent from Japan to the east on the anomalous  
578 anticyclone in the upper troposphere associated with the RWB and its relationship to the

579 duration, a simple vorticity budget analysis is conducted. The anomalous absolute vorticity  
580 tendency associated with the vortex stretching is expressed as follows:

$$581 \quad \left[ \frac{\partial \xi'}{\partial t} \right]_{div} \cong -\zeta' \nabla \cdot \bar{\mathbf{v}} - (\bar{\zeta} + f) \nabla \cdot \mathbf{v}', \quad (6)$$

582 where  $\xi$  is the absolute vorticity,  $\mathbf{v}$  is the horizontal wind vector, and  $\zeta$  is the relative  
583 vorticity, respectively. The overbars and primes are defined as in Eq. (1). The first and  
584 second terms of RHS in Eq. (6) indicate the contributions of climatological and anomalous  
585 horizontal wind to the vorticity tendency, respectively. As with Eq. (3), Eq. (6) describes the  
586 nearly-equal relationship with an approximation by the linear terms in the RHS. The  
587 anomalous absolute vorticity tendency at 200 hPa associated with the vortex stretching  
588 shown in Fig. 9c clearly shows its negative tendency from Japan to the east, indicating the  
589 influence of the anomalous ascent on the anomalous anticyclone in the upper troposphere.  
590 To compare the vorticity tendency between the persistent and non-persistent cases,  
591 latitude-time cross sections of the anomalous relative vorticity in the upper and lower  
592 troposphere averaged between 140°E and 180° and the 200-hPa anomalous absolute  
593 vorticity tendency associated with the vortex stretching averaged between 130°E and  
594 160°E during the period from day -10 to +10 are shown in Fig. 16. The composite in the  
595 upper troposphere shown in Fig. 16a indicates the anomalous negative vorticity tendency  
596 near 40°N, contributing to the enhanced and persistent anomalous anticyclone in the upper  
597 troposphere through the vortex squashing effect resulting from the anomalous ascent,  
598 consistent with the results of previous studies (e.g., Pfaul et al. 2015; Grams and

599 Archambault 2016; Wiel et al. 2015). The composite in the lower troposphere shown in Fig.  
600 16b indicates that the negative vorticity tendency is seen immediately north of the  
601 anomalously extended NPSH, consistent with the anomalous warm air advection in the  
602 middle troposphere shown in Fig. 7b. The negative vorticity tendency near 40°N is clearly  
603 seen particularly after day 0, indicating a combined effect of the anomalous warm air  
604 advection in the middle troposphere and the anomalous moisture flux convergence in the  
605 lower to middle troposphere after the occurrence of RWB and PJ pattern. The persistent  
606 case shown in Figs. 16c and 16d exhibits the enhanced anomalous negative vorticity  
607 tendency at 40°N particularly after day 0, compared to the composite (Figs. 16a, b),  
608 indicating its stronger influence on the enhancement and persistence of the anomalous  
609 anticyclone in the upper troposphere. The non-persistent case shown in Figs. 16e and 16f,  
610 in contrast, indicates the quite weaker anomalous negative vorticity tendency after day 0,  
611 compared to the composite. The non-persistent case further shows anomalous positive  
612 vorticity tendency at 40°N from day -4 to day 0, contributing to the weak and transient  
613 anomalous anticyclone in the upper troposphere (Fig. 16e). Figure 5f shows the  
614 relationship of the maximum of WB indices and the minimum of PJ2 indices to the 200-hPa  
615 anomalous absolute vorticity tendency associated with the vortex stretching averaged over  
616 region B on day 0 for the 44 RWB cases. It also shows that the anomalous vortex  
617 squashing in the upper troposphere resulting from the anomalous ascent is closely  
618 associated with the strong RWB east of Japan (upper panel in Fig. 5f) and the extension of



619 NPSH toward mainland Japan (lower panel in Fig. 5f), with high correlation coefficients of  
620 -0.45 and +0.50 at a confidence level of 99%, respectively. The anomalous vortex  
621 squashing further shows significant relationship to the duration, the anomalous thermal  
622 advection in the middle troposphere, and the persistent vertical phase difference of the  
623 anomalous anticyclone, with correlation coefficients of -0.28, -0.53, and -0.45 at a  
624 confidence level of greater than 90%, respectively (Table. 1).

625 These results described in this section indicate that the anomalous ascent from Japan to  
626 the east, which is resulting from the mid-tropospheric anomalous warm air advection and  
627 the lower- to mid-tropospheric anomalous moisture inflow along the fringe of the  
628 anomalously extended NPSH, contributes to the enhancement and persistence of  
629 anomalous anticyclone in the upper troposphere and hence the associated duration of  
630 RWB.

631

## 632 **7. Conclusion and discussion**

633 To reveal the maintenance mechanism for the RWB accompanied by the anomalous  
634 anticyclone east of Japan in the upper troposphere and the PJ pattern, which are triggered  
635 by the mechanism indicated in TM20, we analyzed the past 44 RWB cases east of Japan  
636 extracted in TM20. Here, the trigger mechanism described in TM20 is summarized  
637 schematically in Fig. 17a for the comprehensive understanding of the maintenance  
638 mechanism shown in this study. The persistent and non-persistent cases are defined as the

639 cases which the RWB and PJ pattern were simultaneously seen during the period longer  
640 than 11 days and shorter than 6 days, respectively, using the WB and PJ indices.  
641 Compared to the non-persistent case, the persistent case indicated the stronger and  
642 longer-lived quasi-stationary Rossby wave propagation along the Asian jet, corresponding  
643 to the stronger RWB and the consequent formation of the more enhanced PJ pattern  
644 through the high PV intrusion toward the subtropical WNP. The persistent case further  
645 indicated the persistent northward tilting vertical structure of the anomalous anticyclone  
646 east of Japan, accompanied by the enhanced anomalous warm air advection in the lower to  
647 middle troposphere immediately north of the anomalously extended NPSH. The  $\mathbf{Q}$ -vector  
648 diagnosis and the partial correlation analysis indicated that the anomalous warm air  
649 advection in the middle troposphere was closely associated with the dynamically induced  
650 anomalous ascent from Japan to the east by an adiabatic process, and anomalous positive  
651 absolute vorticity advection in the upper troposphere plays a smaller role. The results of  
652 forward trajectory analysis and correlation analysis indicate that the enhanced moisture flux  
653 convergence from Japan to the east, which was associated with the moisture inflow from  
654 the subtropical WNP along the southwestern to northern fringe of the anomalously  
655 extended NPSH, also contributes to the anomalous ascent over the region by a diabatic  
656 process. The zonally elongated anomalous ascent from Japan to the east, which is similar  
657 to the frontal zone north of the NPSH, has a same feature indicated by Sampe and Xie  
658 (2010), which showed an essential role of the warm advection in the middle troposphere on

659 a formation of the Baiu frontal zone. The warm and moist air inflow and the consequent  
660 front-like zone of ascent from Japan to the east are consistent with the increased tendency  
661 of line-shaped rainbands over northern Japan during boreal summer in La Niña years partly  
662 because of the anomalously northward shifted Baiu front (Yamada et al. 2012). This feature  
663 further corresponds to the favorable condition of the RWB cases east of Japan in La  
664 Niña-like anomalous SST over the equatorial central to eastern Pacific, as indicated by  
665 TM20. A simple correlation analysis suggested nearly equivalent associations of the  
666 adiabatic and diabatic factors of anomalous ascent with the duration. The persistent case  
667 further indicated strong negative vorticity tendency resulting from the anomalous ascent  
668 from Japan to the east, contributing to the enhancement and persistence of the anomalous  
669 anticyclone in the upper troposphere associated with the RWB. The results of this study  
670 propose a conceptual model for the maintenance mechanism of the RWB east of Japan  
671 and the PJ pattern, which is summarized schematically in Fig. 17b.

672 The processes that the RWB, which is triggered by the mechanism of TM20 (Fig. 17a)  
673 and is sustained by the anomalous ascent north of the anomalous NPSH, can recursively  
674 affect the enhancement and persistence of PJ pattern through the high PV intrusion toward  
675 the subtropical WNP. If this process exists, it will be one of the interesting mechanisms to  
676 be further examined in terms of the so-called positive feedback mechanism between the  
677 RWB and PJ pattern. The anomalous ascent by the diabatic process was closely  
678 associated with the moisture inflow from the subtropical WNP, where the dynamically

679 induced ascent and the consequential moist conditions resulting from the RWB were seen.  
680 The maintenance mechanism shown in this study indicates an essential role of the  
681 interaction between extratropical and tropical variabilities and that between the upper and  
682 lower tropospheric anomalous circulation on the duration of the RWB and the PJ pattern,  
683 and hence on the persistent abnormal weather conditions over the region in boreal  
684 summer.

685

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690

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**List of Tables**

799 Table. 1. Summary of correlation coefficients between the duration (unit: day), SR index  
 800 (unit:  $\text{m}^2 \text{s}^{-2}$ ) averaged from day -6 to day -2, 500-hPa anomalous horizontal thermal  
 801 advection (unit:  $10^{-6} \text{K s}^{-1}$ ) averaged over region A (Fig. 1b) on day 0, vertical phase  
 802 differences (unit: degree) in the anomalous anticyclone over  $140^\circ\text{E}$ – $180^\circ$  between 850  
 803 hPa and 200 hPa on day +2, and 200-hPa anomalous absolute vorticity tendency  
 804 associated with the vortex stretching (unit:  $10^{-11} \text{s}^{-2}$ ) averaged over region B on day 0.

805 Bold face indicates the correlation coefficient exceeding a confidence level of 90%.

	SR index	500-hPa anomalous thermal advection	Vertical phase difference	200-hPa anomalous vorticity tendency
Duration	+0.19	<b>+0.34*</b>	<b>+0.33*</b>	<b>-0.28</b>
SR index		<b>+0.28</b>	+0.23	-0.16
500-hPa anomalous thermal advection			<b>+0.44**</b>	<b>-0.53**</b>
Vertical phase difference				<b>-0.45**</b>

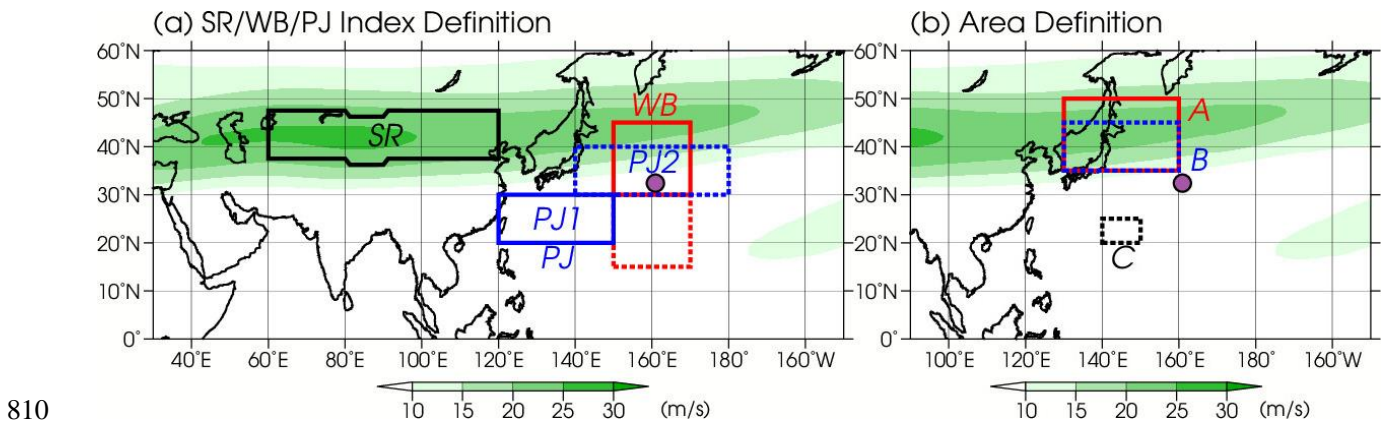
806 \* Confidence level exceeding 95%.

807 \*\* Confidence level exceeding 99%.

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## List of Figures



810

811 Fig. 1. (a) Defined region for SR, WB, and PJ indices, and (b) the region labeled A, B, and

812 C used to calculate areal averages. The southern and northern parts of the region to

813 calculate the PJ index are labeled PJ1 and PJ2, respectively. Green shading indicates

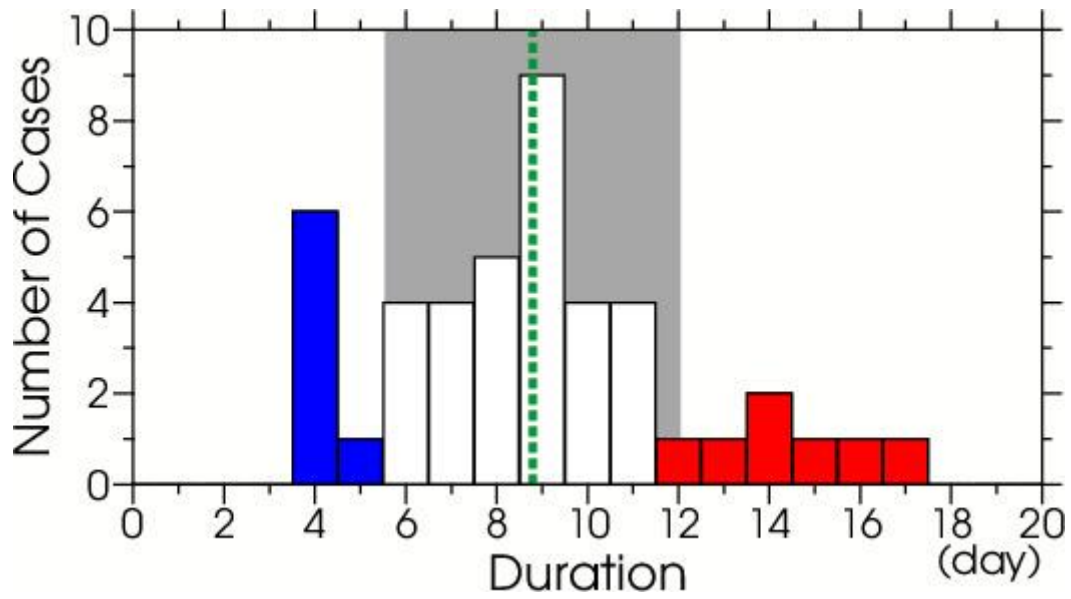
814 the 200-hPa climatological zonal wind (unit:  $\text{m s}^{-1}$ ). Purple circles in (a) and (b) indicate

815 the averaged position of the 44 RWB cases. See text for the definition of the SR, WB and

816 PJ indices. (a) is based on Takemura and Mukougawa (2020).

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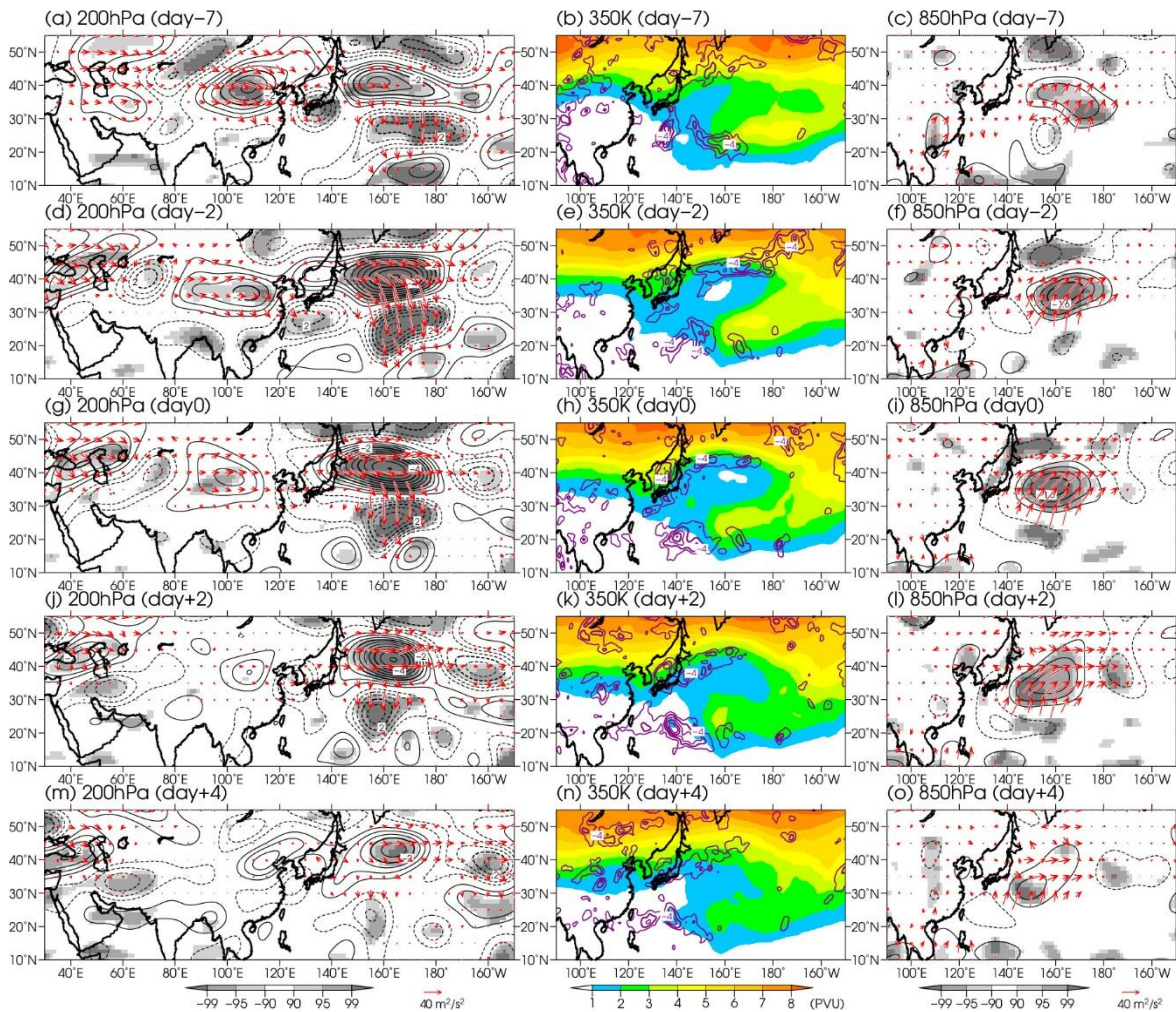
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819

820 Fig. 2. Histogram of duration (unit: day) for RWB and PJ pattern in the 44 RWB cases. Red  
821 and blue bars indicate 7 persistent cases and 7 non-persistent cases with the duration  
822 greater than 11 days and shorter than 6 days, respectively. Green dashed line and gray  
823 shading indicate average and standard deviation of the duration for the 44 RWB cases,  
824 respectively.

825



826

827 Fig. 3. Composite of 5-day averaged (left) 200-hPa anomalous relative vorticity (contour

828 interval:  $0.5 \times 10^{-5} \text{ s}^{-1}$ ), (middle) 350-K potential vorticity (shading; unit: PVU), 500-hPa

829 anomalous negative vertical p-velocity (purple contour; interval:  $2 \times 10^{-2} \text{ Pa s}^{-1}$ ), and

830 (right) 850-hPa anomalous relative vorticity (contour interval:  $0.4 \times 10^{-5} \text{ s}^{-1}$ ) for the

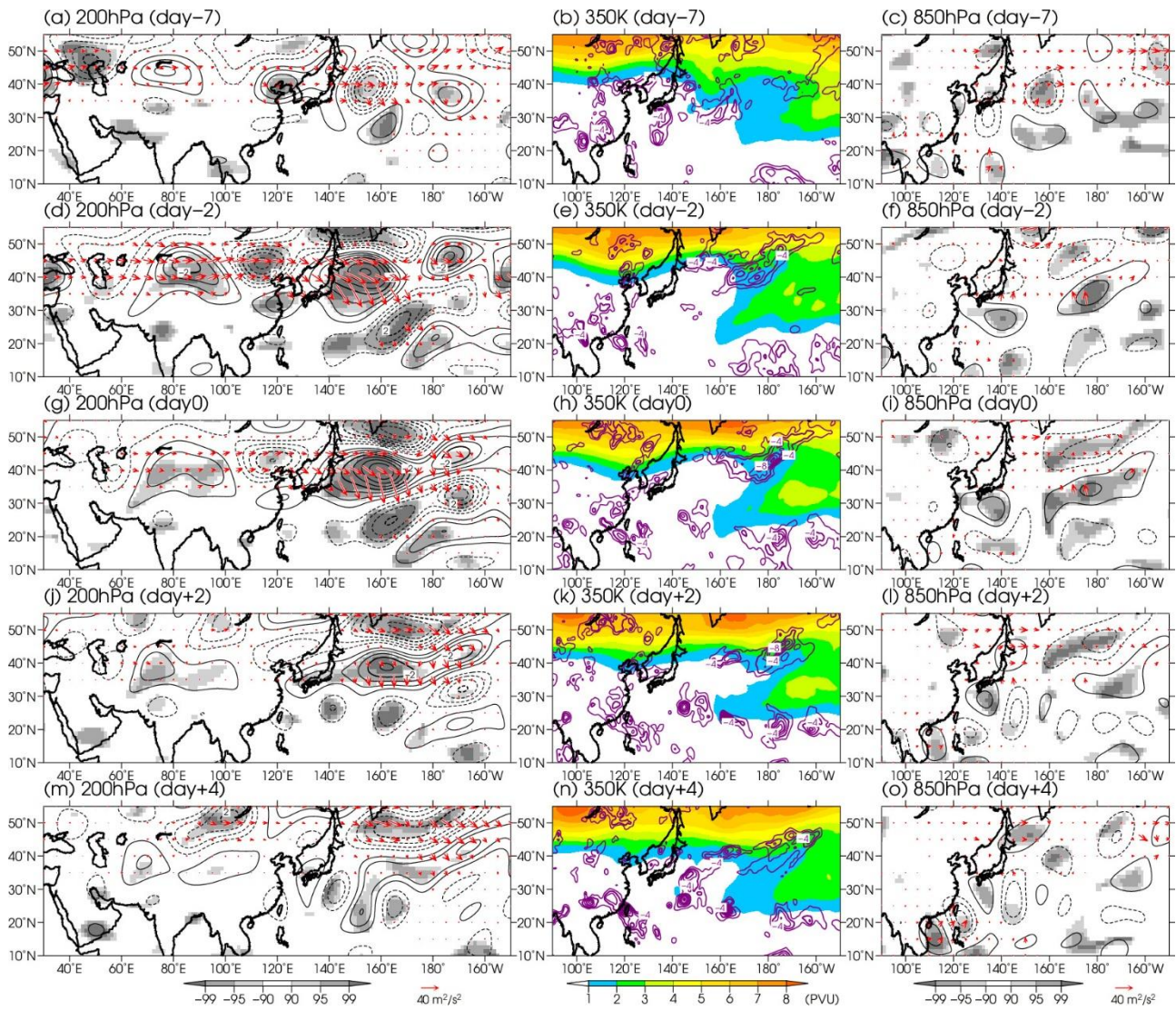
831 persistent case. Solid and dashed contours on the left and right panels denote negative

832 and positive vorticity anomalies, respectively. Red vectors indicate the WAF (unit:  $\text{m}^2 \text{ s}^{-2}$ ).

833 Gray shading on the left and right panels indicates significance levels of the anomalous

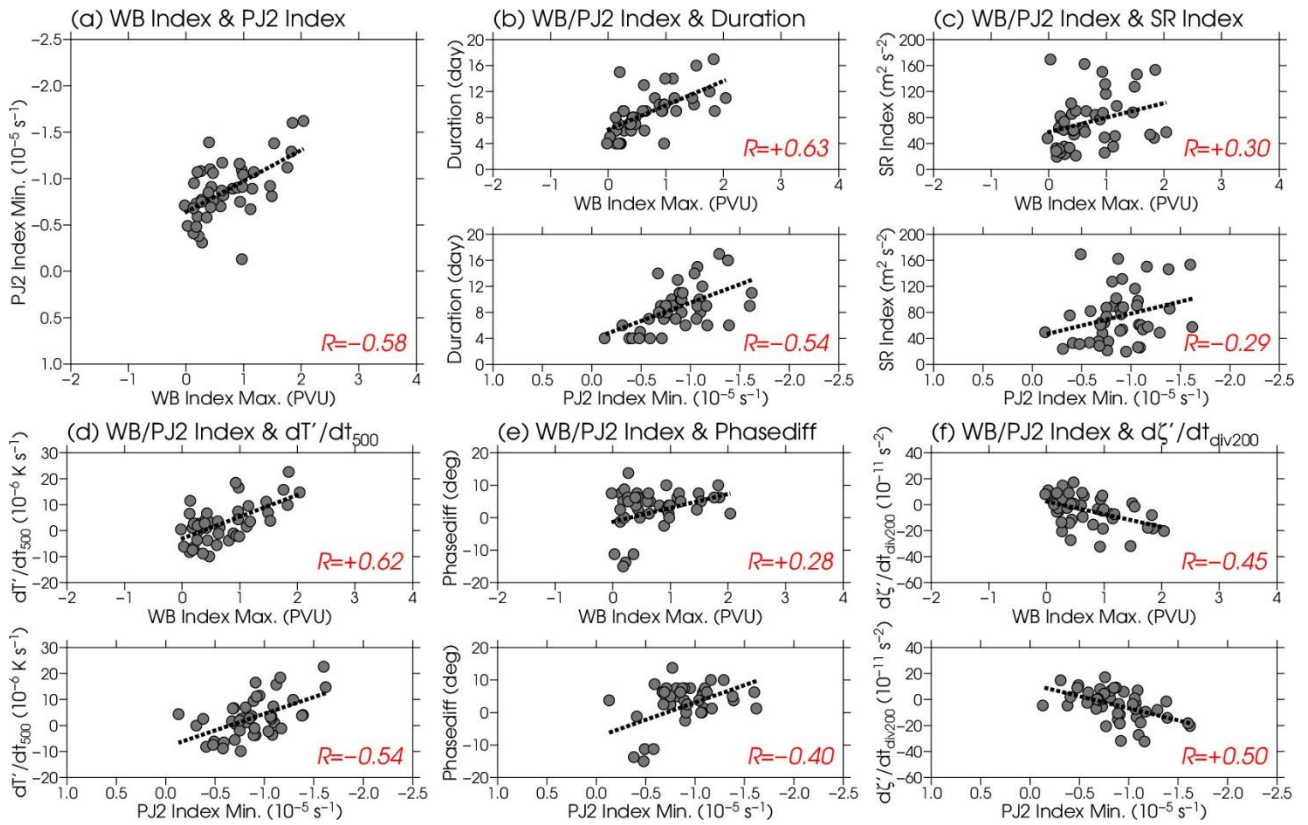
834 relative vorticity. (a, b, c) day -7, (d, e, f) day -2, (g, h, i) day 0, (j, k, l) day +2, and (m, n,

835 o) day +4.



836

837 Fig. 4. Same as Fig. 3, but for the non-persistent case.



838

839

Fig. 5. Scatter diagram of 5-day averaged (a) maximum of WB index (X-axis; unit: PVU)

840

and minimum of PJ2 index (Y-axis; unit:  $10^{-5} \text{ s}^{-1}$ ), and their relationships to (b) the

841

duration (unit: day), (c) SR index (unit:  $\text{m}^2 \text{ s}^{-2}$ ) averaged from day -6 to day -2, (d)

842

500-hPa anomalous horizontal thermal advection (unit:  $10^{-6} \text{ K s}^{-1}$ ) averaged over region A

843

(Fig. 1b) on day 0, (e) vertical phase differences (unit: degree) in the anomalous

844

anticyclone over  $140^{\circ}\text{E}-180^{\circ}$  between 850 hPa and 200 hPa on day +2, and (f) 200-hPa

845

anomalous absolute vorticity tendency associated with the vortex stretching (unit:  $10^{-11}$

846

$\text{s}^{-2}$ ) averaged over region B (Fig. 1b) on day 0 for the 44 RWB cases. The maximum of

847

WB index and the minimum of PJ2 index are assessed during the period from day -15 to

848

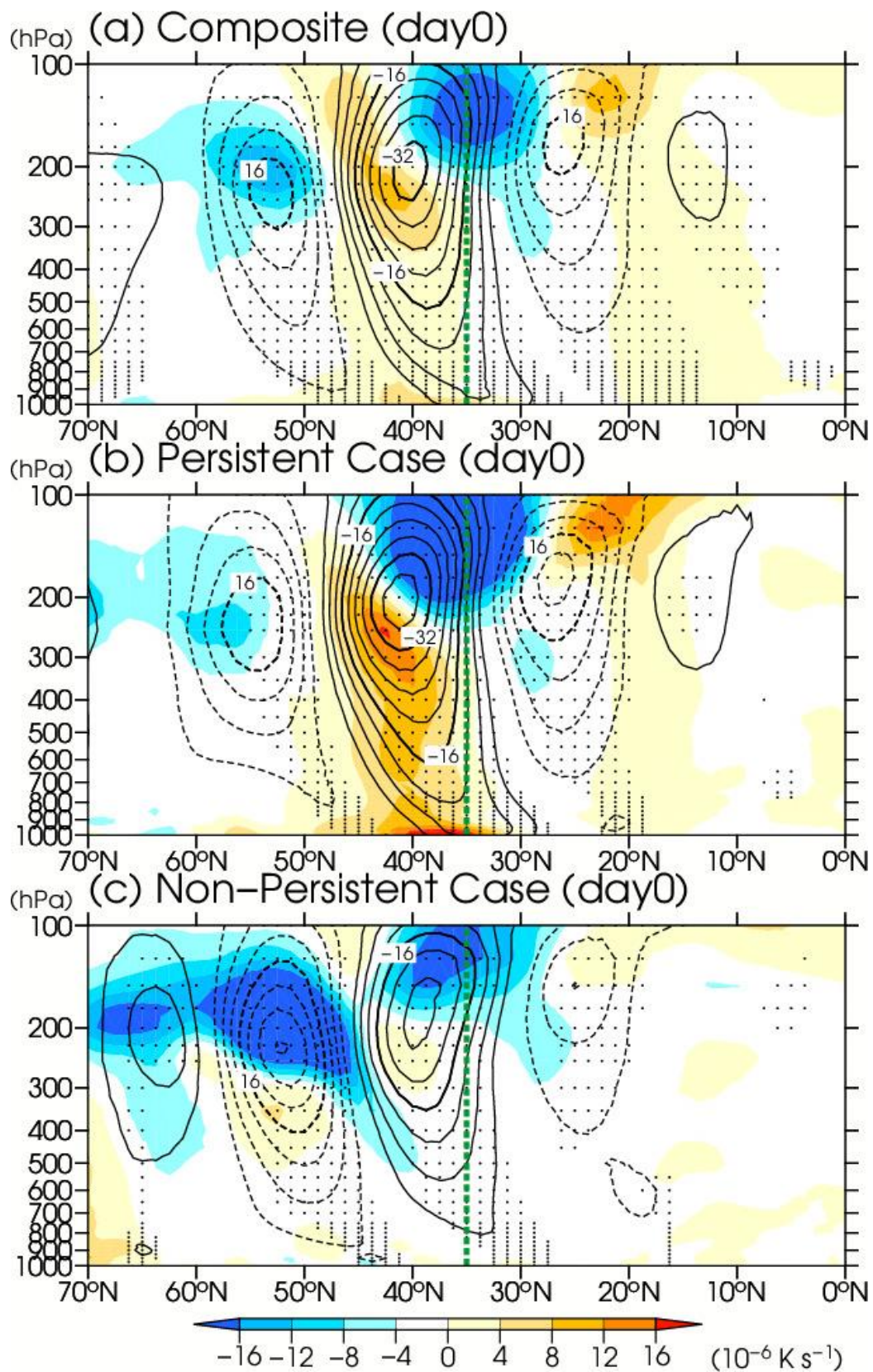
+15. In (b)–(f), X-axes on the upper and lower panels show the maximum of WB index

849

and the minimum of PJ2 index, respectively. Dashed lines denote regression lines of Y-

850 on X-components, with a confidence level of the correlation coefficients between the two  
851 components greater than 90%.  $R$  shown at the lower right of each panel is the  
852 corresponding correlation coefficient between X- and Y-components.



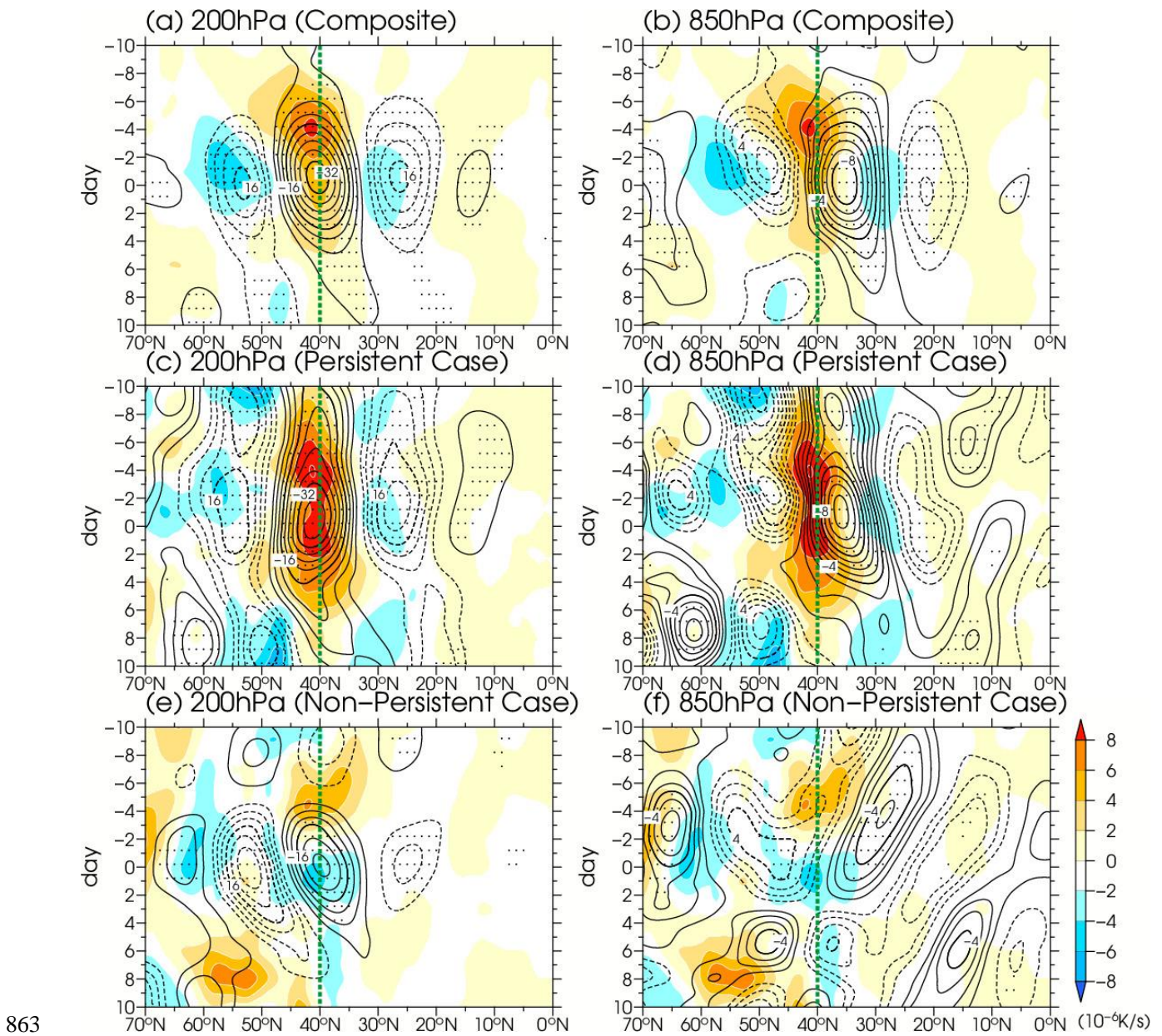


853

854 Fig. 6. Latitude-height cross sections of 5-day averaged anomalous relative vorticity

855 (contour interval:  $4 \times 10^{-6} \text{ s}^{-1}$ ) averaged between  $140^{\circ}\text{E}$  and  $180^{\circ}$  and anomalous

856 horizontal thermal advection (shading; unit:  $10^{-6} \text{ K s}^{-1}$ ) averaged between  $130^{\circ}\text{E}$  and  
857  $160^{\circ}\text{E}$  in (a) the composite for the 44 RWB cases, (b) the persistent case, and (c) the  
858 non-persistent case. Solid and dashed contours denote negative and positive vorticity  
859 anomalies, respectively. Dots indicate statistical significance at a 95% confidence level of  
860 the composite anomalous relative vorticity. Green dashed lines denote a latitude line of  
861  $35^{\circ}\text{N}$ , where is near the center of anomalous anticyclone at 850 hPa.  
862



863

864 Fig. 7. Latitude-time cross section of 5-day averaged anomalous relative vorticity (contour;

865 unit:  $10^{-6} \text{ s}^{-1}$ ) averaged between  $140^{\circ}\text{E}$  and  $180^{\circ}$  and 500-hPa anomalous horizontal

866 thermal advection (shading; unit:  $10^{-6} \text{ K s}^{-1}$ ) averaged between  $130^{\circ}\text{E}$  and  $160^{\circ}\text{E}$  from

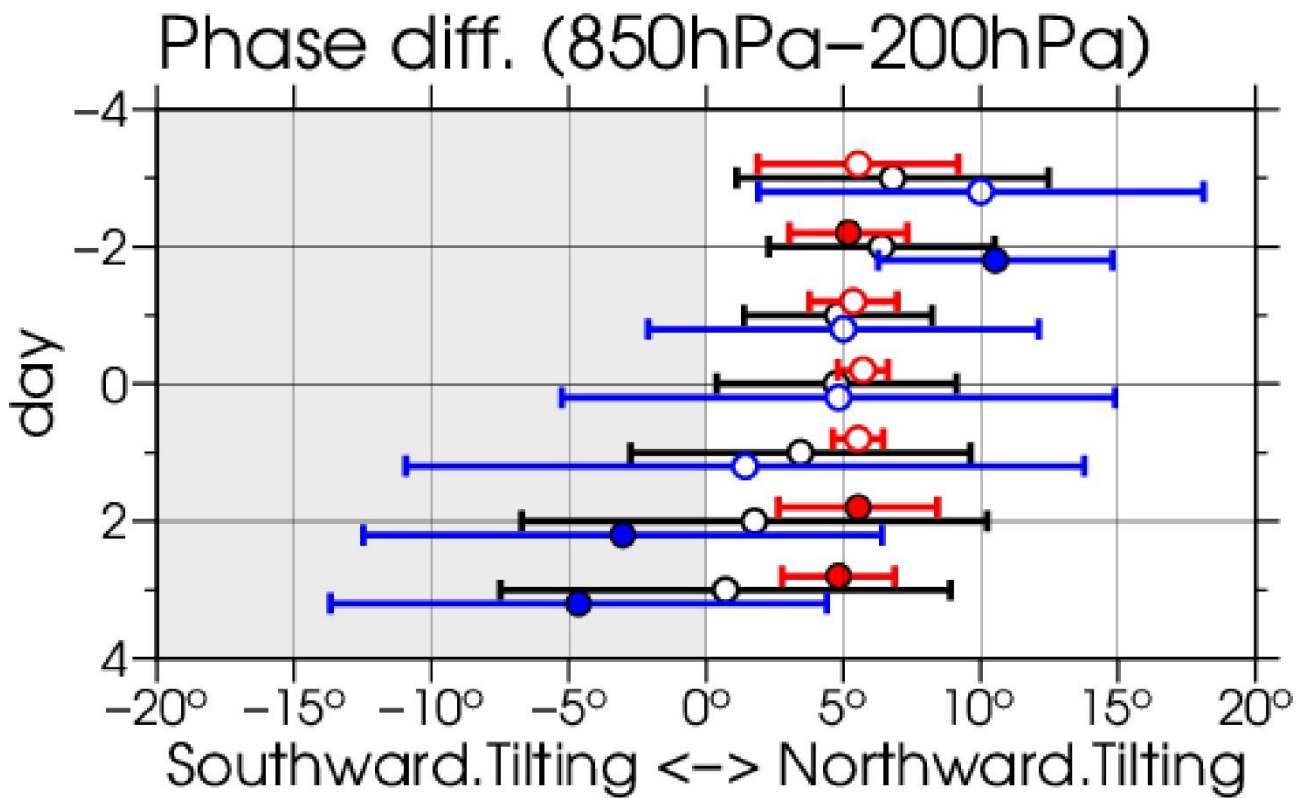
867 day -10 to day +10 in (a, b) the composite for the 44 RWB cases, (c, d) the persistent

868 case, and (e, f) the non-persistent case. Left and right panel shows the anomalous

869 relative vorticities at 200 hPa and 850 hPa with the contour intervals of  $4 \times 10^{-6} \text{ s}^{-1}$  and  $1$

870  $\times 10^{-6} \text{ s}^{-1}$ , respectively. Solid and dashed contours denote negative and positive vorticity

871 anomalies, respectively. Dots indicate statistical significance at a 95% confidence level of  
872 the composite anomalous relative vorticity. Green dashed lines denote a latitude line of  
873 40°N, where is a central position of the anomalous anticyclone in the upper troposphere  
874 on day 0.  
875



876

877 Fig. 8. Timeseries of vertical phase differences (VPDs; unit: degree) in the anomalous

878 anticyclone over 140°E–180° between 850 hPa and 200 hPa from day -3 to day +3. See

879 text for the detailed definition of the VPD. Black-, red-, and blue-colored circles and bars

880 denote the VPDs and its standard deviations for the composite of the 44 RWB cases, the

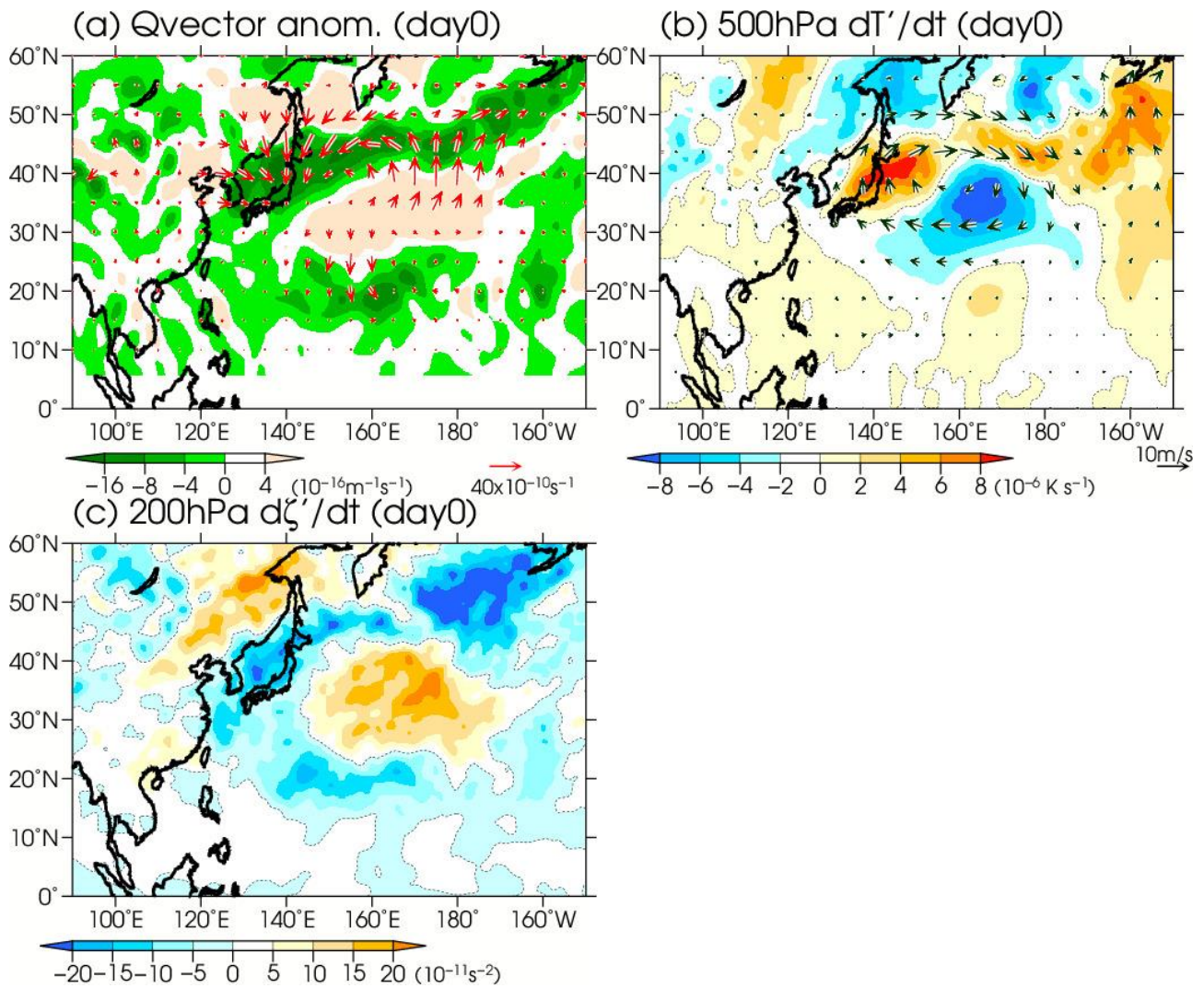
881 persistent case, and the non-persistent case, respectively. The positive and negative

882 VPDs indicate the northward and southward tilting vertical structure of the anomalous

883 anticyclone, respectively. Red- and blue-colored closed circles indicate that the

884 difference in the VPDs between the persistent and non-persistent cases is significant at a

885 confidence level of 95%.



886

887 Fig. 9. Composite of (a) vertically integrated anomalous  $\mathbf{Q}$ -vectors (vectors; unit:  $\text{s}^{-1}$ ) and

888 their divergence (shading; unit:  $\text{m}^{-1} \text{ s}^{-1}$ ) over a region north of  $5^\circ\text{N}$  derived from 5-day

889 averages, (b) 5-day averaged 500-hPa anomalous horizontal thermal advection

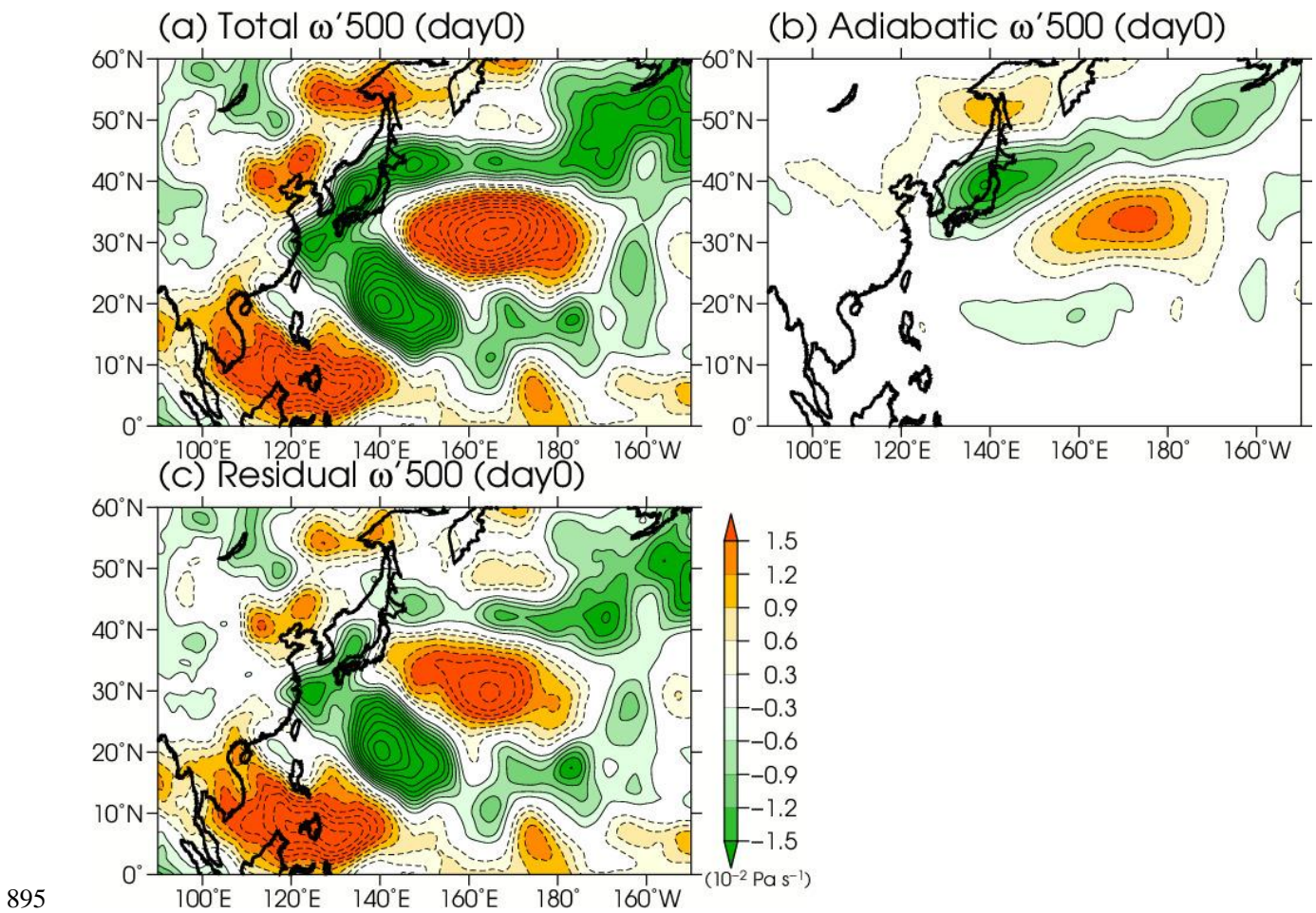
890 (shading; unit:  $10^{-6} \text{ K s}^{-1}$ ) and anomalous horizontal wind (vectors; unit:  $\text{m s}^{-1}$ ), and (c)

891 5-day averaged 200-hPa anomalous absolute vorticity tendency associated with the

892 vortex stretching (unit:  $10^{-11} \text{ s}^{-2}$ ) on day 0. Green shading in (a) indicates the convergence

893 of the  $\mathbf{Q}$ -vector. The vertical integration in (a) is taken from 850 hPa to 200 hPa. (a) is

894 adapted from Takemura and Mukougawa (2020).



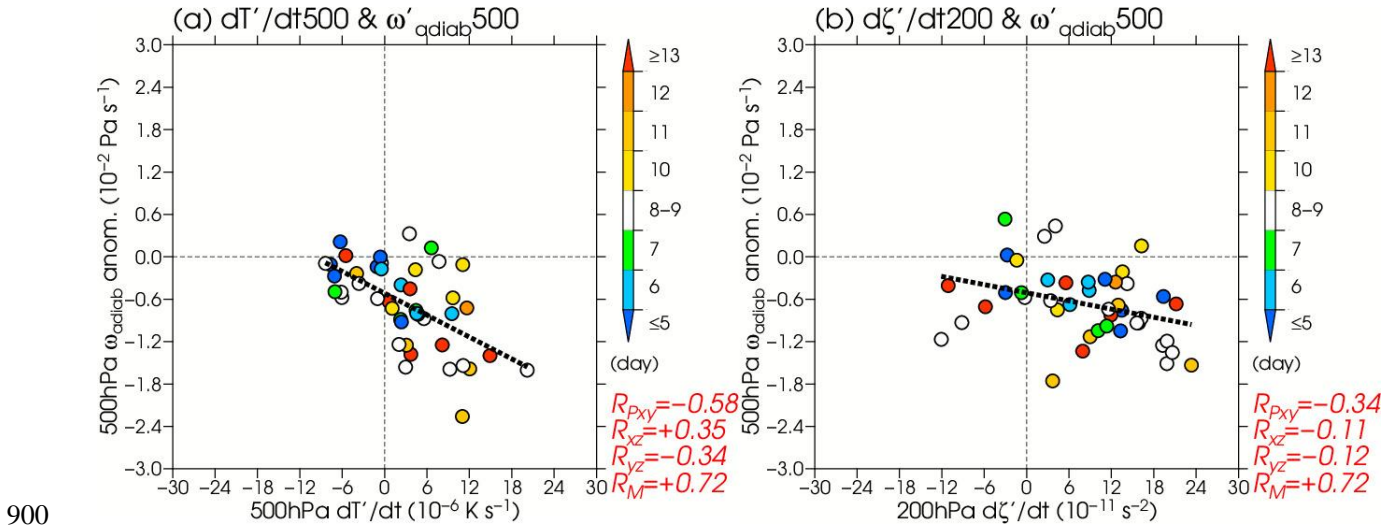
895

896 Fig. 10. Composite of 5-day averaged 500-hPa anomalous (a) total, (b) adiabatic ( $\omega'_{\text{adiab}}$ )

897 and (c) the residual (i.e., diabatic;  $\omega'_{\text{diab}}$ ) components of vertical p-velocity (unit:  $10^{-2} \text{ Pa}$

898  $\text{s}^{-1}$ ) on day 0.

899



900

901 Fig. 11. Scatter diagram of 5-day averaged (a) 500-hPa anomalous thermal advection

902 (X-axis; RHS in Eq. 3; unit:  $10^{-6} \text{ K s}^{-1}$ ), (b) 200-hPa anomalous vorticity advection

903 (X-axis; RHS in Eq. 5 of TM20; unit:  $10^{-11} \text{ s}^{-2}$ ) and adiabatic component of 500-hPa

904 anomalous vertical p-velocity ( $\omega'_{\text{adiab}}$ ; Y-axis; unit:  $10^{-2} \text{ Pa s}^{-1}$ ) averaged over region A

905 (Fig. 1b) on day 0 for the 44 RWB cases. In (a) and (b), the variability explained by the

906 200-hPa anomalous vorticity advection and the 500-hPa anomalous thermal advection

907 is removed, respectively, using the partial regression. Dashed lines denote regression

908 lines of Y- on X-components, with a confidence level of the correlation coefficients

909 between the two components greater than 90%. Colors represent the duration (unit:

910 day), which is referred to as Z-component. The corresponding partial correlation

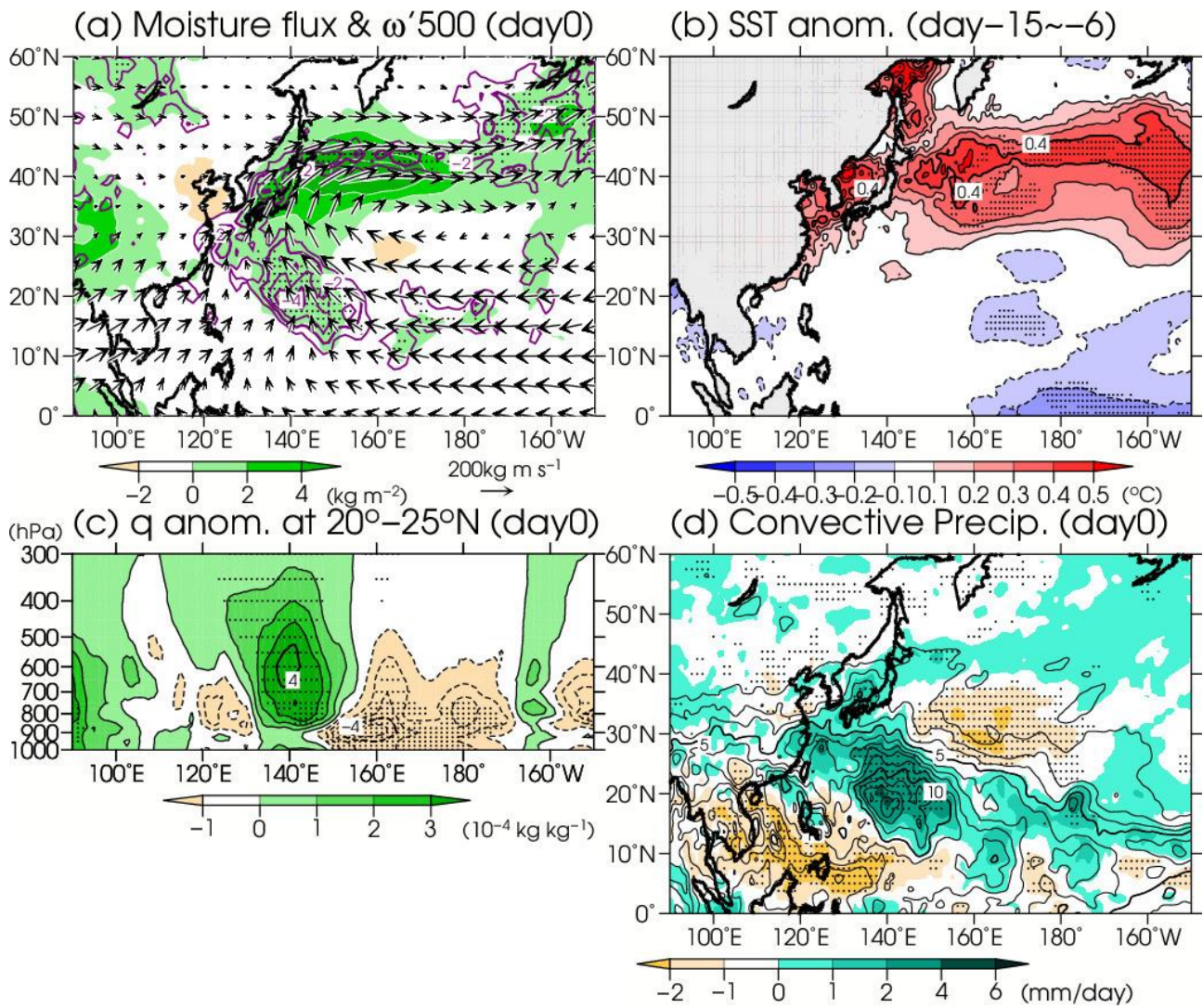
911 coefficient between X- and Y-components ( $R_{Pxy}$ ), correlation coefficients between X-

912 and Z-components ( $R_{xz}$ ), Y- and Z-components ( $R_{yz}$ ), and multiple correlation coefficient

913 ( $R_M$ ) are shown at the lower right of each panel. Sign of  $R_{yz}$  in text is reversed to

914 represent the relationship to the anomalous ascent (i.e., negative  $\omega'_{\text{adiab}}$ ).





915

916 Fig. 12. Composite of 5-day averaged (a) vertically integrated moisture flux (vectors; unit:

917  $\text{kg m s}^{-1}$ ), anomalous specific humidity (shading; unit:  $\text{kg m}^{-2}$ ), anomalous 500-hPa

918 negative vertical p-velocity (purple contour; interval:  $1 \times 10^{-2} \text{ Pa s}^{-1}$ ) on day 0, (b)

919 anomalous SST (unit:  $^{\circ}\text{C}$ ) averaged from day -15 to day -6, (c) longitude-height cross

920 section of anomalous specific humidity (unit:  $10^{-4} \text{ kg kg}^{-1}$ ) averaged between 20°N and

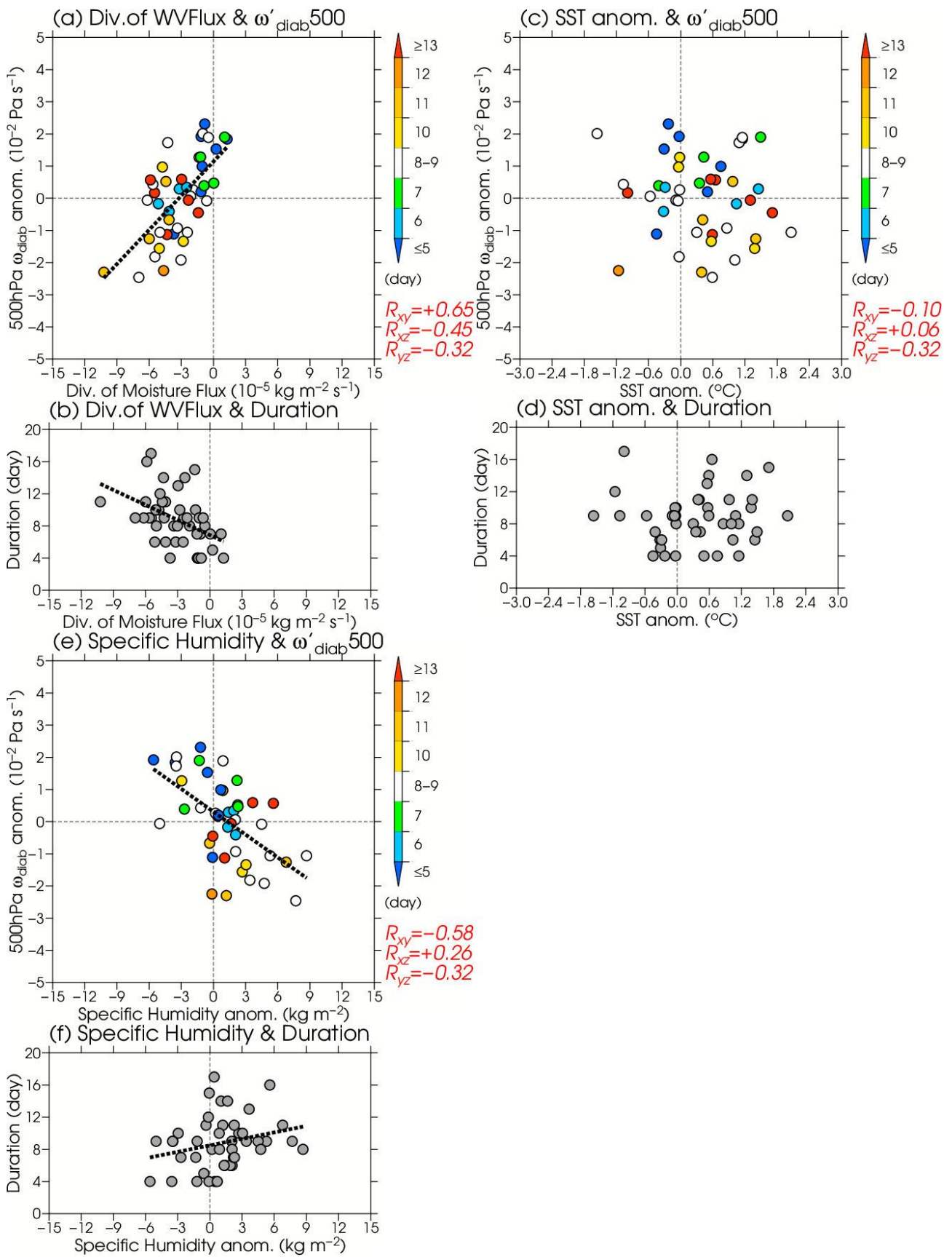
921 25°N on day 0, and (d) convective precipitation (contour; unit:  $\text{mm day}^{-1}$ ) and the

922 anomalies (shading) on day 0. The vertical integration in (a) is taken from 1000 hPa to

923 500 hPa. In (d), the contour is shown over the region where the precipitation exceeds 2

924 mm day<sup>-1</sup> at the interval of 1 mm day<sup>-1</sup>. Dots indicate statistical significance at a 95%  
925 confidence level of the anomalous (a) negative vertical p-velocity, (b) SST, (c) specific  
926 humidity, and (d) convective precipitation.

927



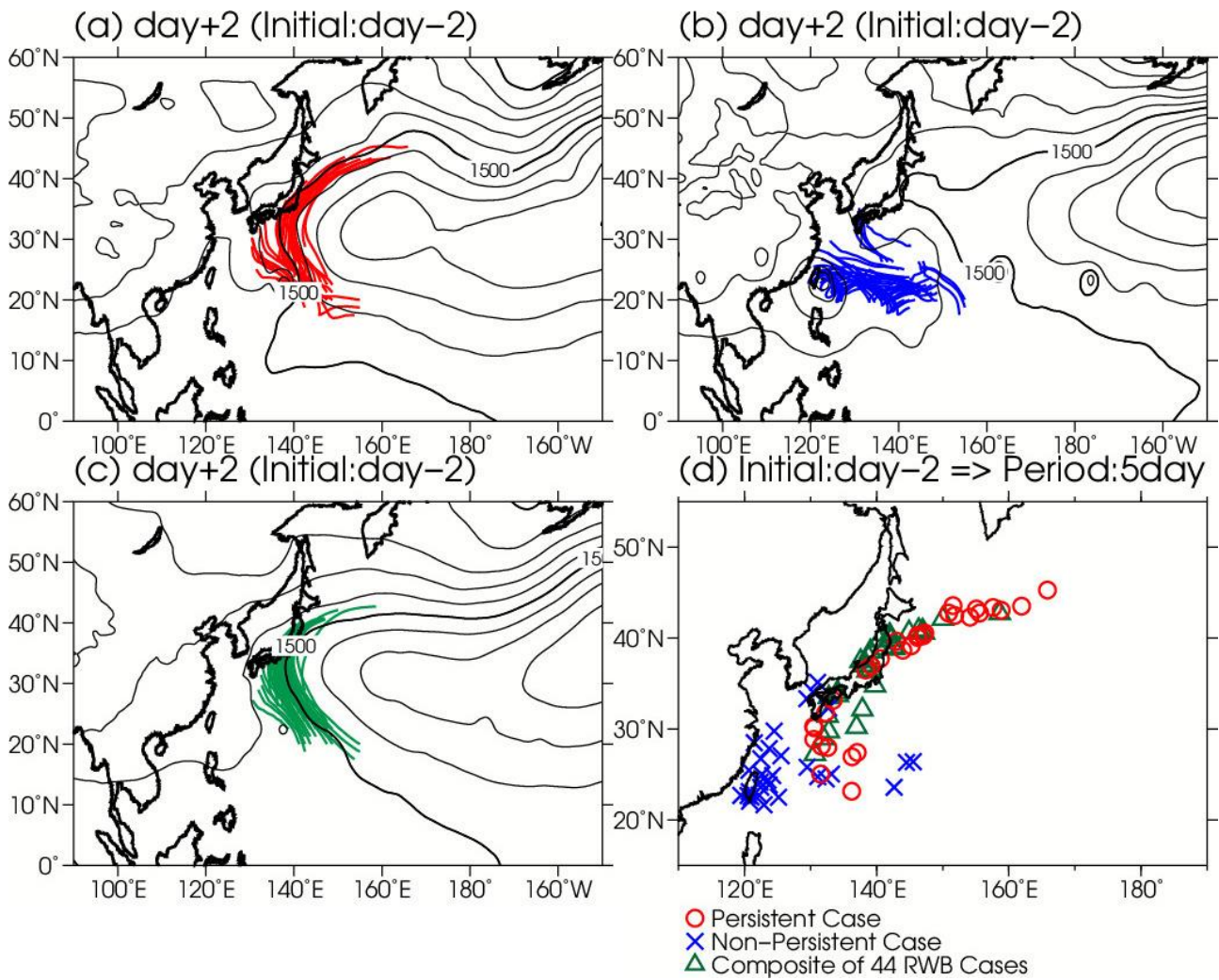
928

929 Fig. 13. Scatter diagram of 5-day averaged (a) vertically integrated (from 1000 hPa to 500

930 hPa) moisture flux divergence (X-axis; unit:  $10^{-5} \text{ kg m}^{-2} \text{ s}^{-1}$ ) on day 0 averaged over

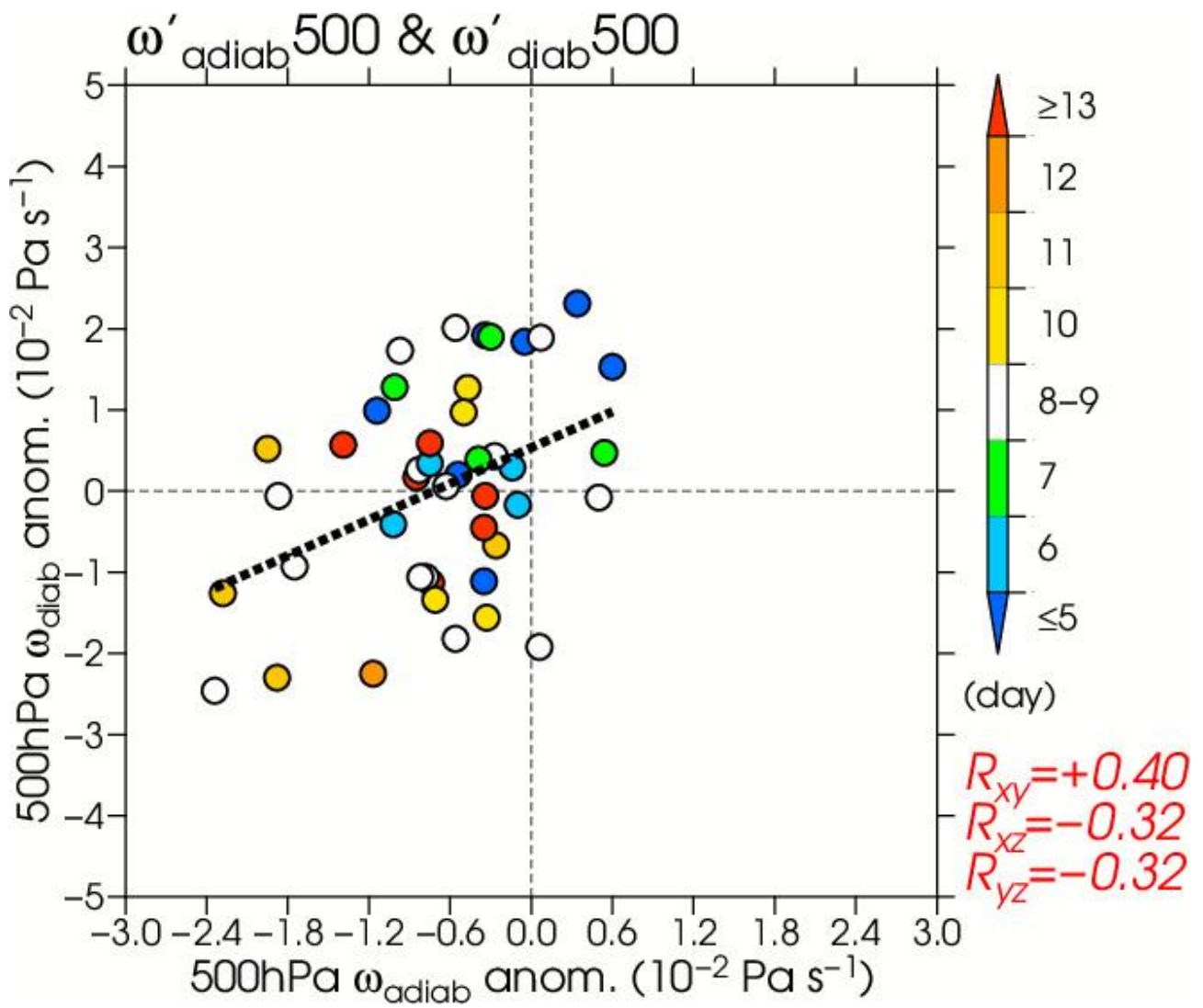
931 region A (Fig. 1b), (c) anomalous SST (X-axis; unit: °C) averaged from day -15 to day -6  
932 over region B (Fig. 1b), (e) vertically integrated (from 850 hPa to 500 hPa) anomalous  
933 specific humidity (X-axis; unit: kg m<sup>-2</sup>) on day -2 averaged over region C (Fig. 1b), and  
934 diabatic component of 500-hPa anomalous vertical p-velocity ( $\omega'_{\text{diab}}$ ; Y-axis; unit: 10<sup>-2</sup>  
935 Pa s<sup>-1</sup>) on day 0 averaged over region A for the 44 RWB cases. (b), (d), and (f) are  
936 same as (a), (c), and (e), but the Y-axis denotes the duration. Dashed lines denote  
937 regression lines of Y- on X-components, with a confidence level of the correlation  
938 coefficients between the two components greater than 90%. Colors in (a), (c), and (e)  
939 represent the duration, which is referred to as Z-component. The corresponding  
940 correlation coefficients between X- and Y-components ( $R_{xy}$ ), X- and Z-components ( $R_{xz}$ ),  
941 Y- and Z-components ( $R_{yz}$ ) are shown at the lower right of (a), (c), and (e).

942



943

944 Fig. 14. Forward trajectories initialized from 00 UTC on day -2 until 18 UTC on day +2, for  
 945 (a) the persistent case (red lines), (b) the non-persistent case (blue lines), and (c) the  
 946 composite of the 44 RWB cases (green lines). The passive tracers originate from the  
 947 subtropical WNP east of the Philippines, where the composite of 500-hPa anomalous  
 948 vertical p-velocity is significant at a confidence level of 95% on day -2. Contours in (a, b,  
 949 c) denote 850-hPa height (unit: m) in each case with the intervals of 20 m. Red circles,  
 950 blue crosses, and green triangles in (d) indicate positions of the advected tracers at 18  
 951 UTC on day +2 for the persistent and non-persistent cases, and the composite,  
 952 respectively.

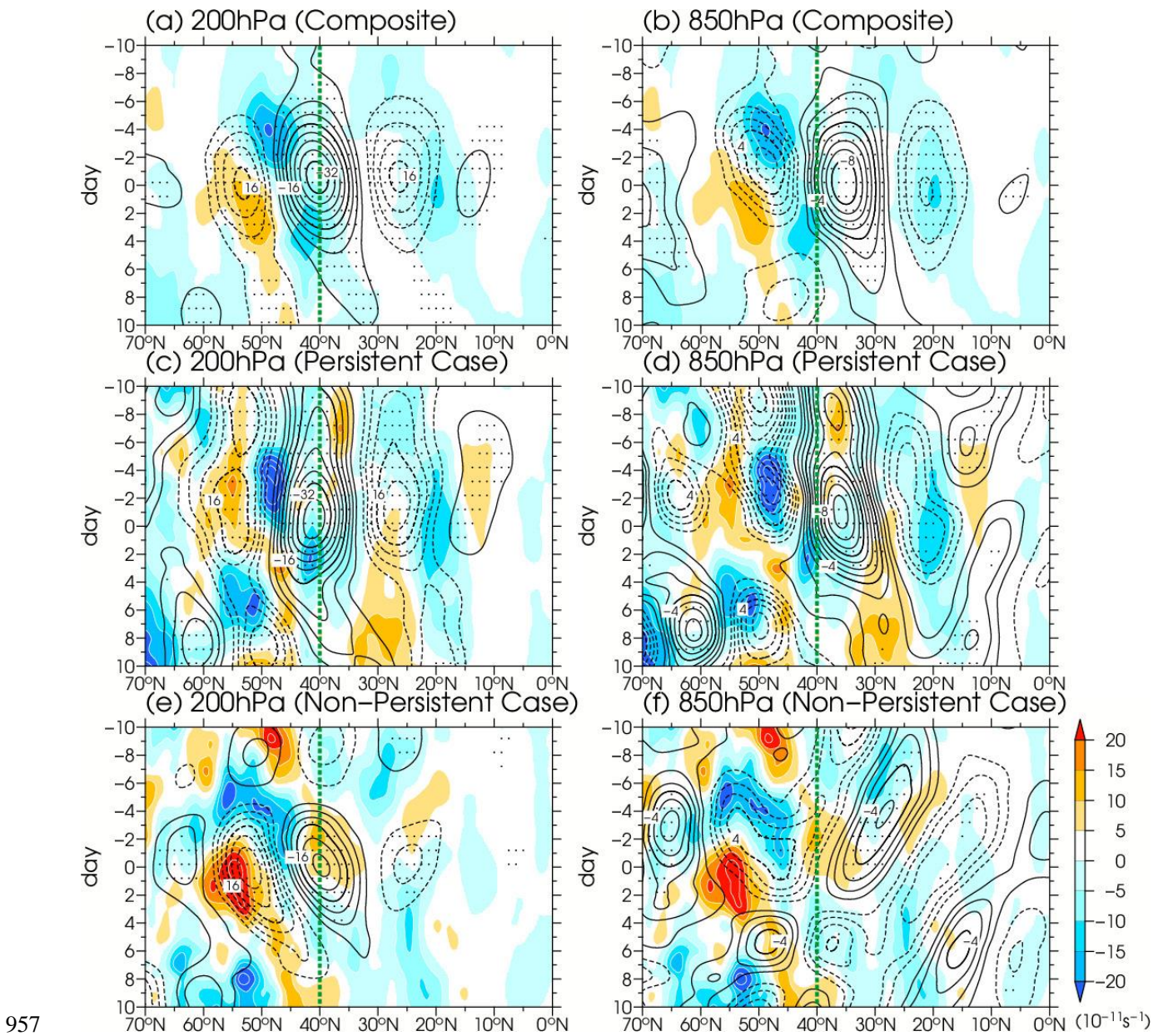


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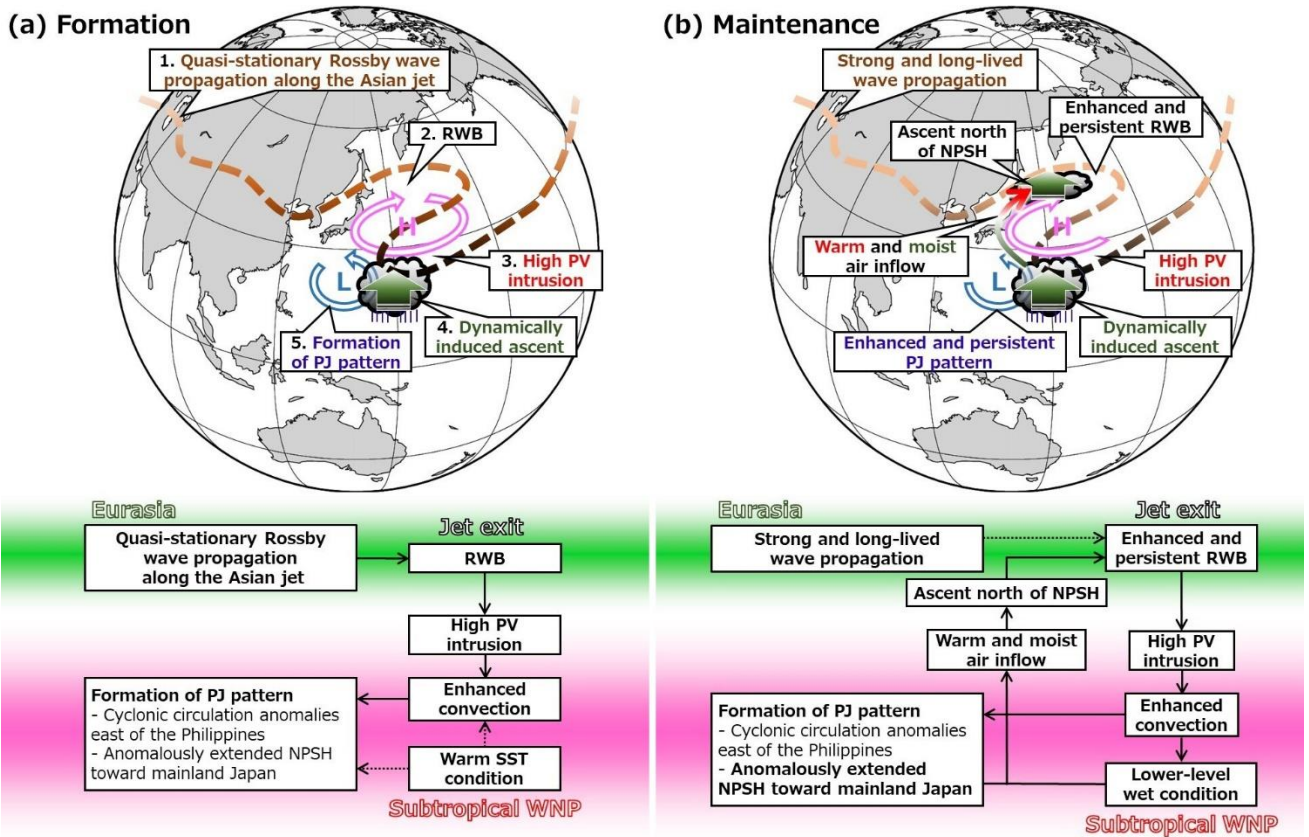
954 Fig. 15. Same as Fig. 13, but for 500-hPa anomalous vertical p-velocity by the adiabatic

955 component ( $\omega'_{\text{adiab}}$ ; X-axis; unit:  $10^{-2} \text{ Pa s}^{-1}$ ) and that by the diabatic component ( $\omega'_{\text{diab}}$ ;

956 Y-axis) averaged over region A on day 0.



957  
 958 Fig. 16. Same as Fig. 7, but shadings indicate 200-hPa anomalous absolute vorticity  
 959 tendency associated with vortex stretching (unit:  $10^{-11} \text{ s}^{-2}$ ) averaged between 130°E  
 960 and 160°E.



961

962 Fig. 17. A schematic diagram describing (a) the linking mechanism of the quasi-stationary

963 Rossby wave propagation along the Asian jet and the PJ pattern through the RWB east

964 of Japan shown in Takemura and Mukougawa (2020), and (b) the maintenance

965 mechanism of the once triggered RWB and PJ pattern shown in this study. (a) and (b) is

966 according to the results of lag composite analysis for the 44 RWB cases (Takemura and

967 Mukougawa 2020) and that for the persistent case (Fig. 3), respectively. Brown-colored

968 dashed lines denote the subtropical jet stream including the Asian jet. “L” and “H”

969 indicate centers of the cyclonic and anticyclonic circulation anomalies in the lower

970 troposphere associated with the PJ pattern, respectively. Green–red-colored curved

971 arrow in (b) indicates the anomalous warm and moist air inflow along the fringe of

972 anomalously extended NPSH (“H”). Green-colored bold straight arrows indicate



973 anomalous ascent resulting from (a, b) the high PV intrusion toward the subtropical  
974 WNP, and (b) the anomalous warm and moist air inflow.  
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