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2	Characteristics of Large-Scale Atmospheric Fields
3	during Heavy Rainfall Events in Western Japan:
4	Comparison with an Extreme Event in Early July 2018
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### Abstract

To explore large-scale atmospheric factors causing heavy rainfall events that 29 30 occurred widely in western Japan, a composite analysis of atmospheric fields during the past heavy rainfall events in the region is performed using the Japanese 55-year 31 Reanalysis. During heavy rainfall events, atmospheric fields are characterized by an 32 upper-tropospheric trough over the Korean Peninsula (KP), an upper-tropospheric ridge 33 to the east of Japan, a surface high-pressure system to the southeast of Japan, and 34 southwesterly moisture flux. The composite analysis indicates that a clear wave train due 35 to quasi-stationary Rossby wave-packet propagation (RWPP) along the polar front jet 36 (PFJ) over Siberia tends to occur just before extreme events. Further analysis 37 considering various time-scale variabilities in the atmosphere reveals that surface 38 high-pressure anomalies to the southeast of Japan are dominated by variability with a 39 25–90-dav period, whereas variability with an 8–25-day dominates 40 period lower-pressure anomalies over the East China Sea (ECS) in relation to the development 41 of the upper-tropospheric trough around the KP. 42 We also investigate atmospheric fields during an extreme heavy rainfall event that 43

44 occurred in early July 2018 (HR18). Atmospheric features during HR18 are generally 45 similar to those of the other heavy rainfall events. However, a remarkable RWPP 46 occurred along the sub-tropical jet (STJ) in late June 2018 and intensified a surface 47 high-pressure system to the southeast of Japan. In addition, a low-pressure system with

48	an 8–25-day period to the south of Japan developed in association with wave breaking
49	induced by the remarkable RWPP along the STJ and propagated northwestward toward
50	the ECS and then to Japan. The simultaneous development of high- and low-pressure
51	systems contributed to the extreme southerly moisture flux into western Japan. HR18 is
52	also characterized by a sharp upper-tropospheric trough over the KP that is dominated
53	by high-frequency variability with a period <8 days.
54	Keywords heavy rainfall; Rossby wave packet propagation; wave breaking; water vapor

**flux** 

# 57 **1. Introduction**

Heavy rainfall events often occur during the rainy summer monsoon season in Japan, 58 known as the Baiu. Such events occasionally cause flooding and have serious 59 socio-economic impacts. For example, in early July 2018 an extreme heavy rainfall event, 60 we refer to this event as HR18 (Heavy Rainfall event in 2018), occurred and seriously 61 impacted western Japan and the adjacent Tokai region, located to the east of western 62 Japan (Tsuguti et al. 2018; Shimpo et al. 2019). Takemura et al. (2019) revealed that both a 63 shallow southerly airstream caused by the surface North Pacific Subtropical High and a 64 deeper southwesterly airstream due to enhanced convection over the East China Sea 65 (ECS) contributed to the extensive rainfall. Yokoyama et al. (2020) performed a detailed 66 analysis of the atmospheric fields around Japan and identified the importance of an 67 upper-tropospheric trough which stayed to the rear of the extensive rainfall area, and also 68 discussed the cause of this extreme event in terms of both dynamical and diabatic effects. 69 Akiyama (1975) identified the important contribution of moisture flux from the subtropical 70 Pacific to extreme heavy rainfalls. Several case studies have focused on heavy rainfall 71

events in Japan (e.g., Ninomiya 1978; Akiyama 1984, 1989; Kato and Goda 2001; Shibagaki and Ninomiya 2005). Ninomiya and Akiyama (1992) argued the importance of the interaction between the multi-scale (e.g., planetary, synoptic, and mesoscale) phenomena in the occurrence of heavy rainfall. Ninomiya (2001) and Ninomiya and Shibagaki (2007) performed *Q*-vector analyses of observational data and found a role for

the upper-tropospheric trough in the intense rainfall of July 1991.

Yoshida and Ito (2012) examined, using a case study, the indirect effect of tropical 78 79 cyclones on heavy rainfall during the Baiu season in Kyushu, and discussed a contribution by a large moisture flux oriented toward the south of Kyushu. Hirota et al. (2016) examined 80 factors causing an extreme rainfall event that occurred in Hiroshima, Japan on 9 August 81 2014, and found considerable filamentary transport of water vapor from the Indochina 82 Peninsula to the Japanese islands. They also pointed out the importance of a cut off low 83 detached from the subtropical jet (STJ) over the central Pacific. Kamae et al. (2017) 84 evaluated the contribution of atmospheric rivers (low-level moisture flows) to the 85 hydrological cycle over East Asia, and identified a relationship between heavy rainfall in the 86 warm season and the El Niño of the preceding winter. 87

Furthermore, Kosaka et al. (2011) showed the statistical relationship between a 30-day 88 Meiyu-Baiu precipitation in early summer and the El Niño-Southern Oscillation in 89 preceding boreal winter, Pacific-Japan teleconnection (Nitta 1987), Silk Road pattern along 90 the Asian jet (Enomoto et al. 2003), a wave train pattern along the polar front jet (PFJ). 91 92 They also discussed the role of the Pacific-Japan teleconnection pattern as a medium between the El Niño-Southern Oscillation and Meiyu-Baiu precipitation. Hirota and 93 Takahashi (2012) argued the importance of both southward upper-tropospheric and 94 northward lower-tropospheric Rossby wave propagations in the formation of a tri-polar 95 anomaly pattern with centers located around the Philippines, China/Japan, and East 96

97 Siberia which dominantly appears in climate variations of the East Asian summer monsoon 98 and is closely related to the inter-annual variations of the Baiu. However, the relationships 99 between heavy rainfall events over western Japan in the warm season and large-scale 100 variability, such as quasi-stationary Rossby wave packet propagation (RWPP), over 101 Eurasia have not been clarified.

102 This study examines statistical large-scale atmospheric characteristics during the past heavy rainfall events, which have occurred widely in western Japan since 1979, using the 103 Japanese 55-year Reanalysis including near-real-time data (JRA-55; Kobayashi et al. 104 2015). We also compare these characteristics during previous heavy rainfall events with 105 106 those during HR18. This type of investigation can help clarify the large-scale atmospheric factors that cause heavy rainfall events in western Japan. A comprehensive understanding 107 of these factors will be useful for early warning systems and for the mitigation of adverse 108 socio-economic effects, as these events continue to occur frequently in western Japan. 109

The reminder of this paper is organized as follows. Section 2 describes the data and analysis methods. Results of the composite analysis of historical events and a comparison with HR18 are provided in section 3. Section 4 discusses a possible mechanism that explains the atmospheric characteristics during HR18. Finally, a summary and conclusions are given in section 5.

115

#### 116 **2. Data and methods**

117 2.1 Data

In this study, we use *in-situ* observational precipitation derived from the Japan 118 119 Meteorological Agency (JMA) Automated Meteorological Data Acquisition System (AMeDAS) to extract past heavy rainfall events that occurred widely in western Japan. To 120 analyze the atmospheric fields, we use surface, isobaric, total-column, and isentropic 121 analysis fields from JRA-55 products with a horizontal resolution of 1.25° in both latitude 122 and longitude. National Oceanic and Atmospheric Administration (NOAA) Interpolated 123 Outgoing Longwave Radiation (OLR) data (Liebmann and Smith 1996) are also used. We 124 utilize the daily-climatology defined as averages for the period 1981-2010, which is 125126 according to a definition by Japan Meteorological Agency, and filtered by 60-day low-pass filter (LPF) based on Duchon (1979). The details of this filter are described in Section 2.2. 127 We define anomalies as deviations from the climatology. 128

129

#### 130 2.2 Methods

To extract past heavy rainfall events from the historical data, we average AMeDAS daily precipitation over western Japan, using data from the 296 AMeDAS stations that are continuously available for the 40 years from 1979 to 2018, and area-averaged daily-total precipitation are accumulated over a 3-day period centered around each day during the warm season (from May to September) for the study period. We then identify heavy rainfall events as 3-day precipitation that exceeds the 95<sup>th</sup> percentile (Fig. 1). Note that if two

extracted dates are <8 days apart, we consider them to be the same event, with the date of 137the event corresponding to the peak 3-day precipitation during the event. We exclude 138139 events when a typhoon center exists within 500 km from the measurement stations used in the analysis (gray bars in Fig. 1, 35 events) to avoid confusion between the direct effects of 140 typhoons related to landfall and other atmospheric processes (Kamahori 2012). The total 141 number of heavy rainfall events identified in this study is 42. We exclude HR18 from the 142composite analysis (red bar in Fig. 1). For the composite analysis, we extract 30 events 143 from the heavy rainfall events described above and classify them into three groups by total 144precipitation: the highest 10 (TP10), middle 10 (MD10), and lowest 10 (LW10) events, 145146 which are represented by orange bars, yellow bars, and green bars in Fig. 1, respectively. Statistical significance is calculated using Student's t-test. The 90% and 95% confidence 147levels are used to indicate statistical significance. 148

In this study, we diagnose the quasi-stationary RWPP using the wave activity flux (WAF)
given by Takaya and Nakamura (2001). They derived an approximate conservation relation
of the wave-activity pseudo-momentum for quasi-geostrophic eddies on a zonally varying
basic flow by averaging neither in time nor in space. The horizontal component of WAF is
defined as follows:

154 
$$\mathbf{W} = \frac{p \cos \phi}{2|\mathbf{U}|} \begin{pmatrix} \frac{U}{a^2 \cos^2 \phi} \left[ \left( \frac{\partial \psi'}{\partial \lambda} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \lambda^2} \right] + \frac{V}{a^2 \cos \phi} \left[ \frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \phi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \phi} \right] \\ \frac{U}{a^2 \cos \phi} \left[ \frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \phi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \phi} \right] + \frac{V}{a^2} \left[ \left( \frac{\partial \psi'}{\partial \phi} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \phi^2} \right] \end{pmatrix} + \mathbf{C}_u M, \quad (1)$$

where  $\mathbf{U}=(U, V)$  is a steady zonally varying basic flow defined as the climatological horizontal winds, p is pressure normalized by 1000 hPa, and  $\phi$  and  $\lambda$  are latitude and longitude, respectively. A prime denotes the anomalies. The stream-function and radius of the Earth are noted by  $\psi$  and a, respectively. Since the rightmost term " $C_u M$ " represents effect of the phase propagation and this study are focusing on the quasi-stationary Rossby wave, we consider that this term can be ignored. The WAFs are derived from 3-day mean fields.

To assess the contributions of atmospheric variability over various timescales to the occurrence of rainfall events, we apply a Lanczos filter (Duchon 1979) to the JRA-55 products. This digital filtering involves transforming an input data sequence  $x_t$ , where *t* is time, into an output data sequence  $y_t$  using the linear relationship

$$y_t = a_0 + \sum_{n=1}^{\infty} w_k x_{t-k},$$
 (2)

in which  $w_k$  are suitably chosen weights. For example, weights for a high-pass filter (HPF) are calculated as follows:

169 
$$w_k = \frac{\sin(2\pi f_c k)}{\pi k} \frac{\sin(\pi k/n)}{\pi k/n}, k = -n, \cdots, 0, \cdots, n,$$
 (3)

where *fc* and 2*n*+1 are the cutoff frequency and sample size for filtering, respectively.
Weights for a LPF can be obtained by subtracting those for a HPF from one. We can obtain
weights for a band-pass filter (BPF) using weights for two LPFs with different cutoff
frequencies. In this study, an 8-day HPF, an 8–25-day BPF, a 25–90-day BPF, a 90-day LPF,
and a 25-day LPF are utilized. Synoptic-scale eddies have 8-day or shorter periods, and

the 25-90-day period corresponds to intra-seasonal variability such as the Madden-Julian
Oscillation in the previous studies (e.g., Kikuchi et al. 2012). In addition, 8-25-day BPF
extracts intermediate variability between Synoptic-scale eddies and intra-seasonal
variability.

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### 180 **3. Results**

# 181 3.1 Composite analysis of 3-day mean fields and comparison with HR18

Table 1 lists the dates and 3-day precipitation averaged over western Japan for the top 18221 heavy rainfall events. The dates in Table 1 represent the central dates for the 3-day 183 184 accumulated precipitation. It is noteworthy that the precipitation during HR18 exceeds 250 mm, and represents the largest value among the events. Most events affected by the 185 landfall or passage of a typhoon occurred in September (Table 1). Most other events, in 186 contrast, occurred in June or July, during the Baiu. The highest-precipitation non-typhoon 187events, which rank from 3<sup>rd</sup> (205.9 mm) to 21<sup>st</sup> (139.2 mm) of the total events by 188 precipitation, are used for the composite analysis as "TP10". As shown in Fig. 1, 3-day 189 190 precipitation for events MD10 and LW10 are 100 to 125 mm and 75 to 100 mm, respectively. The results of the composite analysis for these events are also assessed in this study. 191

Figure 2 shows composite atmospheric fields around Japan for TP10. In the upper troposphere, positive vorticity anomalies over the Korean Peninsula (KP) and a wide area of negative vorticity anomalies centered over Japan are statistically significant (Fig. 2a),

and significant westerly wind anomalies are distributed along the large gradient of the 195 vorticity anomalies (Fig. 2b). At 500-hPa geopotential height (Fig. 2d), significant negative 196 197 height anomalies over the KP and positive height anomalies to the east of Japan are also evident. These features represent the development of the upper-tropospheric trough over 198the KP and the upper tropospheric ridge to the east of Japan, contributing to a dynamically 199induced mid-level ascent ahead of the trough from China to Japan, where upwelling 200 anomalies are statistically significant at 500 hPa (Fig. 2c). Note that these upwelling 201 anomalies also include diabatic forcing by active convection (Fig. 2h). In the lower 202 troposphere, horizontal distribution of significant positive vorticity anomalies is generally 203 204 consistent with that of significant mid-level upwelling anomalies from China to Japan (Fig. 2e). Sea level pressure (SLP; Fig. 2f) exhibits statistically significant high-pressure 205 anomalies to the southeast of Japan, which indicate the development and persistence of a 206 high-pressure system in the area. Low-pressure anomalies to the west of Kyushu are also 207 statistically significant. Vertically integrated moisture flux (Fig. 2g) indicates an anomalous 208 southwesterly moisture inflow toward Japan along the western-to-northern fringe of the 209 210 high-pressure anomalies (Fig. 2f). Statistically significant moisture flux convergence (contours in Fig. 2g) is analyzed immediately over the region of the upwelling anomalies at 211 500 hPa (Fig. 2c). These features in the composite maps indicate that the surface 212 high-pressure system to the southeast of Japan plays an important role in moisture 213 transport during heavy rainfall events, consistent with the results of Akiyama (1975). 214

As described above, TP10 is characterized by an upper-tropospheric deepened trough over the KP, an upper-tropospheric ridge to the east of Japan, a surface high-pressure system to the southeast of Japan, and southwesterly moisture flux in the lower troposphere. These features are also present but weaker for MD10 and LW10 (not shown).

Figures 3 and 4 show the upper-tropospheric RWPPs preceding heavy rainfall events for 219 TP10, and for MD10 and LW10, respectively. Comparing the three groups, TP10 220 experiences persistent wave train along the PFJ (Figs. 3a-d). The existence of the wave 221 packet propagation along the PFJ in summertime is consistent with related previous studies 222(e.g., Iwao and Takahashi 2008; Nakamura and Fukamachi 2004; Ogasawara and 223224 Kawamura 2008). The wave packets in TP10 propagate from northern Europe to eastern Siberia along the PFJ (Figs. 3a-c), and contribute to the enhancement of the ridge to the 225 east of Japan (Fig. 3d). In contrast, the wave packets propagating along the STJ over 226central Asia (Fig. 3b) contribute to the enhancement of the trough over the KP and, in turn, 227the ridge to the east of Japan (Fig. 3d), although this wave train is not as clear as that along 228 the PFJ. During MD10 (Figs. 4a-d), the wave packets emanating from western Europe 229 propagate along the STJ and strengthen the trough over the KP and the ridge to the east of 230 Japan (Fig. 4d). Although RWPPs are clearly seen over Eurasia before LW10 (Figs. 4e-g), 231 their contribution to the enhancement of the anomalous circulation around Japan is unclear 232 during LW10 (Fig. 4h). These results indicate that RWPPs over Eurasia, particularly along 233 the PFJ, play an important role in extreme heavy rainfall events such as TP10. 234

Next, anomalous atmospheric fields for HR18 (Fig. 5) are compared with those of TP10 235(Fig. 2). In the upper troposphere (Fig. 5a), the trough over the KP is sharper compared 236with that of TP10 (Fig. 2a). Dynamical forcing by the trough over the KP plays an important 237role in inducing strong upwelling over western Japan, as indicated by Yokoyama et al. 238(2020) and Takemura et al. (2019), although the trough is not obvious in the 500-hPa height 239anomaly field (Fig. 5d). This is partly associated with significantly warm conditions at 240 mid-latitudes, as suggested by Kobayashi and Ishikawa (2019) and Takemura et al. (2019). 241 In the mid-troposphere, strong upwelling anomalies are concentrated over western Japan 242(Fig. 5c), and lower-tropospheric positive vorticity anomalies are distributed from the ECS 243 244 to western Japan (Fig. 5e). This differs from the conditions of TP10 events, particularly over the ECS (Fig. 2e). The SLP shown in Fig. 5f indicates that high-pressure anomalies to the 245 southeast of Japan are comparable to those of TP10 (Fig. 2f), and low-pressure anomalies 246 over the ECS are stronger than those of TP10. Consequently, southerly moisture flux is 247concentrated in the region 130°-135°E to the south of western Japan (Fig. 5g). These 248 features indicate that both the high-pressure system to the southeast of Japan and the 249 low-pressure system over the ECS contribute to the enhanced southerly moisture flow 250toward western Japan, as indicated by Takemura et al. (2019). Although the high-pressure 251system to the southeast of Japan is not extremely strong (Fig. 6a) and the low-pressure 252 system over the ECS is not significant compared with other historical events (not shown), 253their simultaneous occurrence contributes to the intensification of the southerly flow toward 254

western Japan in the lower troposphere. The regionally averaged meridional wind at 925 255hPa during HR18 (Fig. 6b) represents the third-strongest southerly flow toward western 256Japan among the analyzed events, and is associated with an enhanced pressure gradient 257in the region. In addition, specific humidity in the region shows positive anomaly, although it 258is not extreme value at all (Fig. 6c). The lower-tropospheric southerly moisture flux in the 259region is the strongest among the analyzed events (Fig. 6d), and the consequent 260 convergence of vertically integrated moisture flux over western Japan is greatest among 261 the events (Fig. 6e). These enhanced moisture flux and its convergence, which are 262strongest compared with TP10, are consistent with the anomalous meridional wind and 263 264 specific humidity in the lower troposphere. For all the analyzed events, the moisture flux convergence due to wind anomalies at 925 hPa are more correlated with precipitation over 265western Japan than are wind anomalies at higher levels (Fig. S1). Therefore, the 266 simultaneous occurrence of the high-pressure system to the southeast of Japan and the 267low-pressure system over the ECS is one of the most important characteristics of HR18. In 268 addition, it should be noted that the moisture flux convergence due to specific humidity 269270 anomaly at 700 hPa for HR18 is extremely large compared to those for the other rainfall events (Fig. S1c). This is consistent with the result of Yokoyama et al. (2020), who 271conducted a detailed analysis of the atmospheric field around Japan. They showed that the 272 moistening in the mid-troposphere was caused by the dynamical forced ascent associated 273 with the upper-tropospheric trough which lingered in the region from the KP to the Sea of 274

Japan. They also pointed out the importance of the moistening in the mid-troposphere in further development of deep cumulus convection and its organization.

Figure 7 shows the upper-tropospheric RWPP from Eurasia to Japan during HR18. In 277late June (Figs. 7a and 7b), a remarkable RWPP-the strongest among the analyzed 278events (Fig. S2)-occurs along the STJ and strengthens the upper-tropospheric ridge to 279the east of Japan. This enhanced ridge causes the subsequent formation of a surface high 280 pressure system to the southeast of Japan (Fig. 5f), corresponding to a formation 281 mechanism of the Bonin high with the equivalent barotropic structure (Enomoto et al. 2003). 282The ridge to the east of Japan once weakens at the beginning of July (Fig. 7c), but 283strengthens again because of the subsequent RWPP along the PFJ accompanied by an 284 amplified wave train (Fig. 7d). The features of the RWPP along the PFJ during HR18 are 285generally consistent with those of TP10, although the phases of their wave trains differ. We 286 will discuss another role of the strong RWPP along the STJ just before HR18 in section 4. 287

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# 289 **3.2** Analysis of atmospheric variability at various time scales

In this section, we analyze detailed circulation features, using several time filters.

Figures 8 a-d (left panels) show composite maps of time-filtered SLP anomalies for TP10. High-pressure anomalies to the southeast of Japan are evident and statistically significant in this region with all-time filters except the 8-day HPF. With the 25–90-day BPF, which corresponds to the intra-seasonal time scale, these anomalies are particularly evident (Fig.

8c). It is noteworthy that the 25-90-day BPF anomalies of MD10 and LW10 are weaker 295 than those of TP10 (see also Fig. S3). During HR18 (Figs. 8e-h), fluctuations with 25-296297 90-day periods are strong, and are associated with the development of the high-pressure system to the southeast of Japan (Fig. 8g). In the upper troposphere, intra-seasonal 298 time-scale variability is evident for TP10 and HR18, and is accompanied by a wave train 299from northern Eurasia to Japan (Fig. 9), which contributes to the enhancement of the 300 anomalous anticyclone to the east of Japan. These results indicate the importance of 301 RWPPs along the PFJ to anomalous circulation around Japan, including surface 302 high-pressure systems to the southeast of Japan. Convective activity around the 303 304 Philippines is also expected to contribute to the development of surface high-pressure systems to the southeast of Japan (Nitta 1987; Kosaka and Nakmura 2010). The composite 305 map of OLR anomalies filtered using the 25-90-day BPF reveals enhanced convective 306 activity around the Philippines, which is associated with a northward migration of the Boreal 307 summer inter-seasonal oscillation (BSISO; Fig. 9g; see also Fig. S4). Such convective 308 activity is not evident using the 8-day HPF, the 8-25-day BPF, or the 90-day LPF (Figs. 9e, f, 309 310 and h). However, during HR18 (Fig. 9o), enhanced convective activity associated with the BSISO is located to the south of the Philippines, which is far from the surface high-pressure 311 system to the southeast of Japan. It is therefore likely that the direct contribution of 312 convective activity around the Philippines to the development of the surface high-pressure 313 system to the southeast of Japan during HR18 is smaller than for TP10. 314

Next, we focus on surface low-pressure anomalies from the ECS to Japan filtered using 315 the 8-25-day BPF (Fig. 8f). In the composite analysis for TP10, they are statistically 316 significant (Fig. 8c) which are related to the development of the upper-tropospheric trough 317around the KP (Fig. 9b). The development of the upper-tropospheric trough is also seen 318 during HR18 (Fig. 9j), however, it is centered over the Sea of Japan and shifts 319 north-eastward compared to that in TP10. On the other hand, surface low-pressure 320 anomalies over the ECS during HR18 are clearer than those of TP10 (compare Figs. 8f and 321 8c). This feature can not be explained by the development of the upper-tropospheric trough 322 only. Takemura et al. (2019) pointed out the importance of lower-tropospheric cyclonic 323 324 circulation anomalies over the ECS to the southerly moisture flux toward western Japan using a potential vorticity (PV) budget analysis of HR18, and argued that diabatic heating 325 associated with active convection over the ECS acts to maintain lower-tropospheric 326 cyclonic circulation anomalies. In this study, we investigate the time-evolution of surface 327 low-pressure anomalies over the ECS from late June to early July 2018 (Fig. 10). On 26 328 June 2018 (Fig. 10a), negative OLR anomalies at 20°N, 140°E are observed and are 329 330 associated with enhanced convection in this region and weak low-pressure anomalies in the western part of the region. Both the active convection and the low-pressure anomalies 331 intensify and propagate northwestward toward the ECS until the beginning of July 2018 332 (Figs. 10b-d), before moving into Japan in early July (Figs. 10e and 10f). Although the 333 low-pressure anomalies partly correspond to the track of typhoon Prapiroon, which rapidly 334

moved northward to the north of western Japan on 4 July (not shown), the enhanced 335 convection and low-pressure anomalies persisted over the ECS in early July. Enomoto 336 (2019) showed, using a forecast experiment, the role of Prapiroon in the intensification of 337 the Baiu frontal zone during HR18. We argue that the persistence of the low-pressure 338 system over the ECS also played an important role in maintaining the lower-tropospheric 339 southerly moisture flux during HR18. These results indicate that the development of active 340 convection at 20°N, 140°E in late June 2018 is closely related to both the persistence of the 341 low-pressure system over the ECS and the formation of typhoon Parpiroon. A possible 342 mechanism for the development of this active convection is discussed in section 4. 343

Next, we focus on the upper-tropospheric trough over the KP during HR18, which is 344 much sharper than that of TP10. Composite maps of the time-filtered 360-K PV anomalies 345 (left panels of Fig. 11) indicate that the contribution of lower-frequency variability to the 346 development of the trough over the KP is larger than that of higher-frequency variability. 347The amplitude of the positive PV anomalies filtered using the 25-day LPF (~1.25 PVU) is 348 ~1.5 times larger than those filtered using the 8-25-day BPF. However, positive PV 349 anomalies filtered using the 25-day LPF is not evident over the KP during HR18 (right 350 panels of Fig. 11), which indicates the importance of variabilities with periods shorter than 351 25 days. In particular, during HR18, positive PV anomalies filtered using the 8-day HPF are 352 much larger than those of TP10 (compare Figs. 11a and 11d). Comparing the maximum 353 positive PV anomalies over the KP during HR18 with those of the other heavy rainfall 354

events over western Japan that exceed the 95<sup>th</sup> percentile (Fig. 12), it is clear that PV anomalies filtered using the 8-day HPF over the KP during HR18 are particularly high. This result indicates that the predominance of higher frequency variability caused the development of the sharp upper-tropospheric trough over the KP associated with the concentration of strong mid-tropospheric upwelling anomalies over western Japan during HR18. This is discussed further in section 4.

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#### 362 **4. Discussion**

## 363 4.1 Additional effects of the strong RWPP along the STJ during June 2018

We have shown that the remarkable RWPP observed in late June 2018 strengthened 364 the upper-tropospheric ridge to the east of Japan and consequently intensified the surface 365high-pressure system to the southeast of Japan. We discuss additional effects of this strong 366 RWPP in this section. The strong RWPP along the STJ caused a wave breaking around the 367 Date Line and the consequential evident penetration of positive PV anomalies toward the 368 sub-tropical region to the south of Japan (Fig. 13a). These positive PV anomalies were 369 370 accompanied by negative potential temperature anomalies at the dynamical tropopause (Fig. 13b). Vertical and longitudinal distribution of the square of Brunt-Vaisälä frequency 371 anomaly shown in Figs. 13c and 13d indicate that the upper-tropospheric cold temperature 372 leads to thermodynamically unstable atmospheric conditions and activates convection 373 around 20°N, 140°E (Fig. 13e), which propagated from the east. Although we calculate the 374

divergence of 500-hPa Q-vectors over this region to examine if dynamically induced ascent 375 due to positive PV intrusion exists, a clear convergence of the Q-vectors is not found over 376 377 the activated convection (not shown). These results indicate that thermodynamic instability made the primary contribution to the further development of convective activity around 378 20°N, 140°E during HR18. As discussed in section 3.2, active convection propagated 379 northwestward toward the ECS during HR18, and was accompanied by a surface 380 low-pressure system that remained over the ECS and played an important role in the 381 persistent southwesterly moisture flux in the lower troposphere. The remarkable RWPP 382along the STJ in late June is thus one of the essential factors for the occurrence of HR18. 383

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4.2 Possible mechanism for the formation of a sharp upper-tropospheric trough over the KP
In section 3.2, we found that PV anomalies filtered using a 8-day HPF over the KP during
HR18, which are much larger than those of TP10, contributed to the development of a
sharp upper-tropospheric trough over the KP. In this section, we describe the development
of this upper-tropospheric trough over the KP and discuss a possible mechanism for the
higher frequency variability in this region.

Figure 14 shows the time-evolution of 360-K PV anomalies around Japan from 12 UTC 5 to 06 UTC 7 July 2018. At 12 UTC 5 July (Fig. 14a), southward penetration of positive PV anomalies toward KP is found in association with weak RWPP along the STJ (see also Fig. 7d). The longitudinal horizontal scale of the positive PV anomalies gradually decreases

over KP from 12 UTC 5 July to 06 UTC 7 July (Figs. 7b-d). In other words, positive PV 395 anomalies are stagnant over the KP, on the other hand, low PV anomalies over northern 396 China gradually move eastward. Regarding the 90-day LPF 200-hPa zonal wind field as a 397 basic flow (Fig. 15a), the STJ is located over northern China and is accompanied by 398 regional maximum zonal winds >30 m s<sup>-1</sup> from 80°E to 100°E and slower winds (<20 m s<sup>-1</sup>) 399 in the region 100°E to 120°E. The zonal winds are even weaker over the KP. Thus, the 400region from northern China to the KP can be considered as one of the exit regions of the 401 STJ, where Rossby waves tend to be stagnant and amplified (Shutts 1983; Nakamura and 402 Huang 2017). Therefore, we compare the longitudinal gradient of 90-day LPF 200-hPa 403 404 zonal winds in the region among the heavy rainfall events over western Japan (Fig. 15b). We find that the deceleration of zonal wind during HR18 is larger around 115°E than it is 405during other events. These results indicate that the basic flow in the STJ exit region during 406 HR18 leads to the stagnation and amplification of Rossby waves. As discussed in section 4073.1, during summer 2018, the seasonal-mean upper-tropospheric geopotential height 408 anomalies are positive in the mid-latitudes of the Northern Hemisphere, particularly over 409 northern China in association with the several extreme heat events (Kobayashi and 410 Ishikawa 2019). Such seasonal-scaled positive upper-tropospheric geopotential height 411 anomalies over northern China can contribute to the enhanced diffluence and deceleration 412 of the basic flow near the STJ exit region. This indicates that there exists the possibility of 413 relationships between the seasonally scaled anomalous circulation over north China and 414

415 HR18. This issue should be further investigated.

416

### 417 **5.** Summary and conclusions

To examine statistical large-scale atmospheric characteristics during the past heavy 418 rainfall events that occurred widely in western Japan since 1979, we conducted a 419 composite analysis of atmospheric fields. The results show that during these heavy rainfall 420 events, the atmospheric fields are characterized by the upper-tropospheric trough over the 421 KP, the upper-tropospheric ridge to the east of Japan, the surface high-pressure system to 422 the southeast of Japan, and lower-tropospheric southwesterly moisture flux. Results of the 423 424 composite analysis also indicate that clear RWPP along the PFJ over Siberia tends to occur just before the stronger heavy rainfall events, such as those of TP10, and contributes to the 425 enhanced upper-tropospheric trough and ridge around Japan. 426

Further analysis considering various time-scale variabilities reveals that surface high-pressure anomalies to the southeast of Japan are dominated by variability with a 25– 90-day period, which are generally enhanced by the RWPP along the PFJ. These are also likely enhanced by convective activity around the Philippines in association with the northward migration of the active phase of the BSISO. However, variability with an 8– 25-day period dominates lower-pressure anomalies over the ECS in relation to the development of the upper-tropospheric trough around the KP.

434 We also investigated the atmospheric fields during HR18. The atmospheric features

during HR18 are generally similar to those of the other heavy rainfall events. The RWPP along the PFJ enhances the surface high-pressure system to the southeast of Japan for both HR18 and TP10. It is noteworthy that the surface high-pressure systems to the southeast of Japan were dominated by 25–90-day period variabilities for both HR18 and TP10. During HR18, in addition to the RWPP along the PFJ, a remarkable RWPP occurred along the STJ in late June 2018 that intensified the surface high-pressure system to the southeast of Japan.

We further discussed another effect of this remarkable RWPP in late June along the STJ. 442 Results of our analysis indicate that the low-pressure system with a 8-25-day period 443 develops to the south of Japan in association with wave breaking induced by the 444 remarkable RWPP in late June along the STJ. This wave breaking leads to the southward 445 penetration of positive PV anomalies accompanied by negative potential temperature 446 anomalies in the tropopause. This leads to thermodynamically unstable atmospheric 447conditions and activates convection around 20°N, 140°E, which then propagates 448 northwestward toward the ECS accompanied by the surface low-pressure system just 449 before HR18. Consequently, the simultaneous development of both the high-pressure 450 system to the southeast of Japan and the low-pressure system over the ECS contributed to 451 the extreme southerly moisture flux into western Japan. 452

453 During HR18, the sharp upper-tropospheric trough was observed over the KP. We found 454 that high frequency variability with a period shorter than 8 days is predominant in this trough.

We discussed the mechanism for the predominance of higher frequency variability over the KP, comparing the longitudinal gradient of 200-hPa zonal winds filtered using a 90-day LPF from northern China to the KP among the heavy rainfall events over western Japan. We found that during HR18, the significant deceleration of the basic flow around 115°E compared with those in the other events contributed to the stagnation and amplification of Rossby waves.

Finally, as described in section 3.2, we found that the direct contribution of the BSISO to 461 the development of the surface high-pressure system to the southeast of Japan during 462HR18 is less than during the other analyzed events because the active phase of the BSISO 463is located south of 10°N and far from the surface high-pressure system to the southeast of 464Japan. However, in mid-June 2018, the northward migration of the amplified active phase of 465the BSISO was clearly observed (not shown). The role of intra-seasonal variability in the 466excitation of quasi-stationary Rossby waves that propagate in the mid-latitudes of the 467Northern Hemisphere should be further investigated. In addition, it remains unclear how 468 such a remarkable RWPP along the STJ in late June was excited. The mechanisms driving 469extreme events, including heavy rainfall and heat waves, around Japan also warrant further 470consideration. 471

472

# 473 Supplement

474 Figure S1 in supplement shows scatter diagrams of regionally averaged (31.25°–35°N,

493	Acknowledgments
492	
491	<b>15A</b> , 25-30.
490	evaporation during a torrential rainfall event over western Japan in early 2018. SOLA,
489	Sekizawa, S., and co-authors, 2019: Anomalous moisture transport and oceanic
488	
487	m <sup>-2</sup> .
486	3-day precipitation peaks during TP10 (a-d) and HR18 (e-h). The contour interval is 5 W
485	Figure S4 in supplement is as in Figs. 9g and 9o but for from 12 days to 3 days before
484	LW10.
483	Figure S3 in supplement is as in the left panels of Fig. 8 but for (left) MD10 and (right)
482	during heavy rainfall events over western Japan that exceed the 95 <sup>th</sup> percentile.
481	60°-120°E) zonal components of 200-hPa WAF 8 days before 3-day precipitation peaks
480	Figure S2 in supplement is as in Fig. S1 but for regionally averaged ( $30^\circ$ – $45^\circ$ N,
479	represent HR18 and TP10, respectively.
478	vapor flux is based on equation (2) in Sekizawa et al. (2019). The red and orange circles
477	events over western Japan that exceed the 95th percentile. The decomposition of water
476	troposphere for days representing 3-day precipitation peaks during the heavy rainfall
475	130°-135°E) 3-day mean anomaly fields of water vapor flux divergence in the lower

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495	and advice. The JRA-55 dataset used in this paper is publicly available on the JMA Data
496	Dissemination System (http://jra.kishou.go.jp/JRA-55/index_en.html) and from collaborative
497	organizations (detailed information is available on the JRA-55 website). NOAA Interpolated
498	OLR data were downloaded from the U.S. NOAA Earth System Research Laboratory.
499	AMeDAS daily precipitation was downloaded from JMA. We are also thankful to Mr. Kazuya
500	Yamashita of JMA for helping us obtain JMA's observation data.
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- 594

# List of Figures

596	Fig. 1. Ranking of 3-day precipitation averaged over western Japan that exceeds the 95 <sup>th</sup>
597	percentile during warm seasons (May–September) from 1979 to 2018. Gray bars indicate
598	events during which typhoon centers existed within 500 km from observational stations in
599	western Japan. Colors identify specific events discussed in the text.
600	
601	Fig. 2. Composite maps of 3-day mean anomaly fields of (a) 200-hPa relative vorticity ( $10^{-6}$
602	s <sup>-1</sup> ), (b) 200-hPa zonal wind (m s <sup>-1</sup> ), (c) 500-hPa vertical velocity (10 <sup>-2</sup> Pa s <sup>-1</sup> ), (d)
603	500-hPa geopotential height (gpm), (e) 850-hPa relative vorticity (10 <sup>-6</sup> s <sup>-1</sup> ), (f) SLP (hPa),
604	(g) total-column water vapor flux (vector, kg m s <sup>-1</sup> ) and its divergence (contours, $10^{-4}$ kg
605	s <sup>-1</sup> ), and (h) OLR (W m <sup>-2</sup> ) for days representing 3-day precipitation peaks of TP10. The
606	contour intervals are (a, e) 8 × 10 <sup>-6</sup> s <sup>-1</sup> , (b) 4 m s <sup>-1</sup> , (c) 4 × 10 <sup>-2</sup> Pa s <sup>-1</sup> , (d) 20 gpm, (f) 1
607	hPa, (g) 0.6 × 10 <sup>-4</sup> kg s <sup>-1</sup> , and (h) 10 W m <sup>-2</sup> . Light and dark shading indicates areas
608	above the 90% and 95% confidence levels, and pink and blue colors indicate positive
609	and negative signs, respectively. The vector scales at the bottom of the panels denote
610	vectors of total-column water vapor flux. The tone bar at the lower-left corner of the
611	panels represents the color scale for the vector corresponding to the magnitude of
612	total-column water vapor flux.
613	

Fig. 3. As in Fig. 2 but for WAF (vectors,  $m^2 s^{-2}$ ) and geopotential height anomalies

615	(contours, gpm) at 250 hPa from 9 days before (top panel) to the day of 3-day			
616	precipitation peaks (bottom panel) of TP10. The contour interval is 20 gpm. WAFs are			
617	calculated from the composite fields. The vector scales at the bottom of the panels			
618	denote vectors of WAF. The tone bar at the lower-left corner of the panels represents the			
619	color scale for the vector corresponding to the magnitude of WAF.			
620				
621	Fig. 4. As in Fig. 3 but for MD10 (a–d) and LW10 (e–h).			
622				
623	Fig. 5. Three-day mean anomaly fields for (a) 200-hPa relative vorticity (10 <sup>-6</sup> s <sup>-1</sup> ), (b)			
624	200-hPa zonal wind (m s <sup>-1</sup> ), (c) 500-hPa vertical velocity (10 <sup>-2</sup> Pa s <sup>-1</sup> ), (d) 500-hPa			
625	geopotential height (gpm), (e) 850-hPa relative vorticity (10 <sup>-6</sup> s <sup>-1</sup> ), (f) SLP (hPa), (g)			
626	total-column water vapor flux (vector, kg m s <sup>-1</sup> ) and its divergence (shading, $10^{-4}$ kg s <sup>-1</sup> ),			
627	and (h) OLR (W m <sup>-2</sup> ) during 5–7 July 2018. The vector scales at the bottom of the panels			
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629	the panels represents the color scale for the vector corresponding to the magnitude of			
630	total-column water vapor flux.			
631				
632				
633	Fig. 6. Scatter diagrams of regionally averaged 3-day mean anomaly fields for (a) SLP			
634	(hPa), (b) 925-hPa meridional winds (m s <sup>-1</sup> ), (c) 925-hPa specific humidity (10 <sup>-3</sup> kg kg <sup>-1</sup> ),			
	31			

635	(d) 925-hPa meridional component of water vapor flux (10 <sup>-2</sup> kg kg <sup>-1</sup> m s <sup>-1</sup> ), and (e)
636	total-column water vapor flux divergence (10 <sup>-4</sup> kg s <sup>-1</sup> ), for days representing 3-day
637	precipitation peaks during the heavy rainfall events over western Japan that exceed the
638	95 <sup>th</sup> percentile. Red, orange and gray circles represent HR18, TP10 and the other events,
639	respectively.
640	
641	Fig. 7. WAF (vectors, $m^2 s^{-2}$ ) and geopotential height anomalies (shading, gpm) at 250 hPa
642	for (a) 26–28 June, (b) 29 June–1 July, (c) 2–4 July, and (d) 5–7 July 2018. The vector
643	scales at the bottom of the panels denote vectors of WAF. The tone bar at the lower-left
644	corner of the panels represents the color scale for the vector corresponding to the
645	magnitude of WAF.
646	
647	
648	Fig. 8. (a–d) Composite maps of time-filtered SLP anomaly fields (hPa) for TP10 and (e–h)
649	time-filtered SLP anomaly fields on 6 July 2018 using (a),(e) an 8-day HPF, (b),(f) an 8–
650	25-day BPF, (c),(g) a 25–90-day BPF, and (d),(h) a 90-day LPF. The contour interval in
651	(a–d) is 1 hPa. Light and dark shadings in (a–d) indicate areas above the 90% and 95%
652	confidence levels, respectively.
653	
654	Fig. 9. As in Fig. 8 but for (a–d and i–l) 250-hPa geopotential height and (e–h and m–p)

OLR. The contour intervals are (a–d) 20 gpm and (e–h) 5 W m<sup>-2</sup>.

656

Fig. 10. 8–25-day BPF SLP anomalies (contour, hPa) and OLR anomalies (shading, W m<sup>-2</sup>)
 from 26 June to 6 July 2018. The contour interval is 1 hPa.

659

Fig. 11. As in Fig. 8 but for time-filtered 360-K PV anomalies (PVU) using (a and d) 8-day HPF, (b and e) 8–25-day BPF, and (c and f) 25-day LPF. The contour interval is 0.5 PVU. [Units: PVU (1 PVU =  $10^{-6}$  m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].

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Fig. 12. As in Fig. 6 but for the regional maximum PV anomaly (PVU) at 360 K in the region ( $30^{\circ}-50^{\circ}N$ ,  $110^{\circ}-130^{\circ}E$ ) using (a) an 8-day HPF, (b) an 8-25-day BPF, and (c) a 25-day LPF. [Units: PVU (1 PVU =  $10^{-6}$  m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].

667

Fig. 13. Daily-mean (a) PV at 360 K (PVU), (b) potential temperature anomaly at 2 PVU (K), (c) Longitude-pressure cross section of the square of Brunt-Vaisälä frequency (N<sup>2</sup>) anomaly (10<sup>-5</sup> s<sup>-2</sup>), (d) longitudinal distribution of latitudinally (15°–20°N) and vertically (300–150 hPa) averaged N<sup>2</sup> anomaly (10<sup>-5</sup> s<sup>-2</sup>), (e) OLR anomalies (W m<sup>-2</sup>) on 25 June 2018. Contours and shading in (b) indicate actual values and anomalies, respectively. The contour interval in (b) is 5 K for values ≥355 K. [Units: PVU (1 PVU = 10<sup>-6</sup> m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].

675

Fig. 14. Instantaneous 6-hourly PV map at 360 K from (a) 12 UTC 5 July 2018 to (h) 06 UTC 7 July 2018. [Units: PVU (1 PVU =  $10^{-6}$  m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].

678

Fig. 15. (a) 90-day LPF 200-hPa zonal wind field on 6 July 2018 and (b) the distribution of
 the longitudinal gradient of the 90-day LPF 200-hPa zonal wind component (10<sup>-6</sup> m s<sup>-1</sup>

m<sup>-1</sup>) averaged over 35°–50°N for days representing 3-day precipitation peaks during the
 heavy rainfall events over western Japan that exceed the 95<sup>th</sup> percentile. Gray lines
 indicate individual cases. The red line indicates HR18. Blue and black lines represent the
 average of the heavy rainfall events over western Japan and the climatological mean,
 respectively.

687	List of Tables		
688			
689	Table 1. List of top 21 extreme heavy rainfall events in western Japan. Zero value of		
690	typhoon flag means that any center of typhoon does not exist within 500 km from the		
691	stations in western Japan. Gray shadings indicate exclusion from composite analysis.		
692	The dates represent the central dates for 3-day summation of precipitation.		
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Fig. 1. Ranking of 3-day precipitation averaged over western Japan which exceeds the 95th percentile during the warm seasons (May-September) from 1979 to 2018. Gray bars indicate events during which typhoon centers existed within 500 km from observational stations in western Japan. Colors identify specific events discussed in the text.



Fig. 2. Composite maps of 3-day mean anomaly fileds of (a) 200-hPa relative vorticity (10<sup>-6</sup> s<sup>-1</sup>), (b) 200-hPa zonal wind (m s<sup>-1</sup>), (c) 500-hPa vertical velocity (10<sup>-2</sup> Pa s<sup>-1</sup>), (d) 500-hPa geopotential height (gpm), (e) 850-hPa relative vorticity (10<sup>-6</sup> s<sup>-1</sup>), (f) SLP (hPa), (g) total-column water vapor flux (vector, kg m s<sup>-1</sup>) and its divergence (contours, 10<sup>-4</sup> kg s<sup>-1</sup>), and (h) OLR (W m<sup>-2</sup>) for days representing 3-day precipitation peaks of TP10. The contour intervals are (a, e) 8x10<sup>-6</sup> s<sup>-1</sup>, (b) 4 m s<sup>-1</sup>, (c) 4x10<sup>-2</sup> Pa s<sup>-1</sup>, (d) 20 gpm, (f) 1 hPa, (g) 0.6x10<sup>-4</sup> kg s<sup>-1</sup>, and (h) 10 W m<sup>-2</sup>. Light and dark shading indicates areas above the 90% and 95% confidence levels, and pink and blue colors indicate positive and negative signs, respectively. The vector scales at the bottom of the panels denote vectors of total-column water vapor flux. The tone bar at the lower-left corner of the panels represents the color scale for the vector corresponding to the magnitude of total-column water vapor flux.



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Fig. 4. As in Fig. 3 but for MD10 (a-d) and LW10 (e-h).



Fig. 5. Three-day mean anomaly fileds of (a) 200-hPa relative vorticity  $(10^{-6} \text{ s}^{-1})$ , (b) 200-hPa zonal winds (m s<sup>-1</sup>), (c) 500-hPa vertical velocity  $(10^{-2} \text{ Pa s}^{-1})$ , (d) 500-hPa geopotential heights (gpm), (e) 850-hPa relative vorticity  $(10^{-6} \text{ s}^{-1})$ , (f) SLP (hPa), (g) total column of water vapor flux (vector, kg m s<sup>-1</sup>) and its divergence (shading,  $10^{-4} \text{ kg s}^{-1}$ ), (h) OLR (W m<sup>-2</sup>) during 5-7 July 2018. The vector scales at the bottom of the panels denote vectors of total-column water vapor flux. The tone bar at the lower-left corner of the panels represents the color scale for the vector corresponding to the magnitude of total-column water vapor flux.



Fig. 6. Scatter diagrams of regional averaged 3-day mean anomaly fields for (a) SLP (hPa), (b) 925-hPa meridional winds (m s<sup>-1</sup>), (c) 925-hPa specific humidity (10<sup>-3</sup> kg kg<sup>-1</sup>), (d) 925-hPa meridional component of water vapor flux (10<sup>-2</sup> kg kg<sup>-1</sup> m s<sup>-1</sup>), and (e) total-column of water vapor flux divergence (10<sup>-4</sup> kg s<sup>-1</sup>), for days representing 3-day precipitation peaks during the heavy rainfall events over western Japan that exceed 95<sup>th</sup> percentile. Red, orange and gray circles represent HR18, TP10 and the other events, respectively.



Fig. 7. WAF (vectors,  $m^2 s^{-2}$ ) and geopotential height anomalies (shading, gpm) at 250 hPa for (a) 26-28 June, (b) 29 June - 1July, (c) 2-4 July, and (d) 5-7 July 2018. The vector scales at the bottom of the panels denote vectors of WAF. The tone bar at the lower-left corner of the panels represents the color scale for the vector corresponding to the magnitude of WAF.



Fig. 8. (a-d) Composite maps of time-filtered SLP anomaly fields (hPa) for TP10 and (e-h) time-filtered SLP anomaly fields on 6 July 2018 using (a),(e) an 8-day HPF, (b),(f) an 8-25-day BPF, (c),(g) a 25-90-day BPF, and (d),(h) a 90-day LPF. The contour interval in (a-d) is 1 hPa. Light and dark shadings in (a-d) indicate areas above 90% and 95% confidence levels, respectively.



Fig. 9. As in Fig.8 but for (a-d and i-l) 250-hPa geopotential heights (gpm) and (e-h and m-p) OLR (W m<sup>-2</sup>). The contour intervals are (a-d) 20 gpm and (e-h) 5 W m<sup>-2</sup>.



Fig. 10. 8-25 day BPF SLP anomalies (contour, hPa) and OLR anomalies (shading, W m<sup>-2</sup>) from 26 June to 6 July 2018. The contour interval is 1 hPa.



Fig. 11. As in Fig.8 but time-filtered 360-K PV anomalies (PVU) using (a and d) 8-day HPF, (b and e) 8-25 day BPF, and (c and f) 25-day LPF. The contour interval is 0.5 PVU. [Unit: PVU (1PVU= $10^{-6}$  m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].



Fig. 12. As in Fig. 6 but for the regional maximum PV anomaly (PVU) at 360 K in the region (30–50N, 110–130E) using (a) an 8–day HPF, (b) an 8–25 day BPF, and (c) a 25–day LPF. [Unit: PVU (1PVU=10<sup>-6</sup> m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].



Fig. 13. Daily-mean (a) PV at 360 K (PVU), (b) potential temperature anomaly at 2 PVU (K), (c) Longitude-pressure cross section of the square of Brunt-Vaisälä frequency (N<sup>2</sup>) anomaly (10<sup>-5</sup> s<sup>-2</sup>), (d) longitudinal distribution of latitudinally (15°–20°N) and vertically (300–150 hPa) averaged N<sup>2</sup> anomaly (10<sup>-5</sup> s<sup>-2</sup>), (e) OLR anomalies (W m<sup>-2</sup>) on 25 June 2018. Contours and shading in (b) indicate actual values and anomalies, respectively. The contour interval in (b) is 5 K for values  $\geq$ 355 K. [Units: PVU (1 PVU = 10<sup>-6</sup> m<sup>2</sup> s<sup>-1</sup> K kg<sup>-1</sup>)].



Fig. 14. Instantaneous 6-hourly PV map at 360 K from (a) 12 UTC 5 July 2018 to (h) 06 UTC 7 July 2018. [Unit: PVU ( $1PVU=10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$ )].



Fig. 15. (a) 90–day LPF 200–hPa zonal wind field on 6 July 2018 and (b) the distribution of the longitudinal gradient of the 90–day LPF 200–hPa zonal wind component (10<sup>-6</sup> m s<sup>-1</sup>) averaged over 35–50N for days representing 3–day precipitation peaks during the heavy rainfall events over western Japan that exceed 95<sup>th</sup> percentile. Gray lines indicate individual cases. The red line indicates for HR18. Blue and black lines represent the average of the heavy rainfall events over western Japan and the climatological mean, respectively.

Table 1. List of top 21 extreme heavy rainfall events in western Japan. Zero value of typhoon flag means that any center of typhoon does not exist within 500 km from the stations in western Japan. Gray shadings indicate the exclusion from composite analysis. The dates represent the central dates for 3-day summation of precipitation.

		3-day precip.	
Rank	Date	[mm]	Typhoon flag
1	06 July 2018	285.9	0
2	05 September 2005	228.5	1
3	28 June 1979	205.9	0
4	03 July 1995	204.2	0
5	18 September 1990	199.7	1
6	27 September 1983	165.3	1
7	18 July 1987	159.1	0
8	03 September 2013	157.8	1
9	02 June 1988	155.3	0
10	29 September 2018	154.7	1
11	09 August 2014	150.9	1
12	03 July 1993	150.5	0
13	13 July 2010	149.1	0
14	03 September 2011	147.5	1
15	20 September 2011	147.5	1
16	13 July 2007	147.3	1
17	19 September 2016	147.2	1
18	02 September 1989	144.9	0
19	09 July 1997	144.9	0
20	20 June 2001	140.0	0
21	24 July 1982	139.2	0