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1	Influence of Low-Level, High-Entropy Air in the Eye on Tropical
2	Cyclone Intensity: A Trajectory Analysis
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#### Abstract

25 Previous studies suggested that the entrainment of the low-level, high-entropy eye 26 air can provide additional energy for tropical cyclone (TC) intensification, but the 27 previous trajectory analysis only indicated that considerable air parcels below the eye 28 inversion can be entrained into the eyewall. In this study, the one-minute output data 29 from a semi-idealized experiment are used to quantitatively evaluate the relative 30 importance of the entrainment of the high-entropy eye air by enhancing the eyewall 31 convection. 32 It is confirmed that considerable amount of high-entropy eye air below the eye 33 inversion can be entrained into the eyewall. The entrainment occurs favorably on the 34 quandrants of enhanced eyewall convection and is enhanced in the presence of small-35 scale disturbances in the inner edge of the eyewall. However, the eyewall air parcels 36 below 3 km experience a fast cycling. There are 84.4% and 7.7% eyewall air from the 37 low-level boundary inflow and the middle-level dry environment, respectively. The 38 low-level, high-entropy eye air only accounts for 1.7% of the eyewall air, while 6.2% 39 eyewall air remains in the eyewall below 3 km during the 90-minute period. The eye 40 air from the low-level, high-entropy reservoir accounts for 5.8% of the equivalent 41 potential temperature change below 3 km and 4.5% of the total mass transport at 3 km 42 in the TC eyewall. This study suggests that the low-level, high-entropy air from the eye 43 has little direct influence on TC intensity through enhancing the eyewall convection by 44 providing relatively small mass and thermodynamic contributions.

45 **1. Introduction** 

46 An inversion exists inside mature tropical cyclone (TC) eyes, which separates the low-level, high-entropy air from the warm, dry air aloft (Willoughby 1998; 47 48 Montgomery et al. 2006). It is suggested that the high-entropy eye air is an additional 49 source of energy for TC intensification through mixing with the eyewall air (Persing 50 and Montgomery 2003; Cram et al. 2007; Montgomery et al. 2006; Bell and 51 Montgomery 2008; Barnes and Fuentes 2010; Miyamoto and Takemi 2013), which may 52 make the TC intensity stronger than the maximum potential intensity (MPI) predicted 53 by Emanuel (1986, 1995). While the MPI theory is independent of the eye dynamics, 54 Emanuel (1997) suggested that TC intensification can be indirectly accelerated by 55 turbulent stresses that occur in the eye and eyewall. Bryan and Rotunno (2009) found that the influence of the low-level, high-entropy eye air on steady-state maximum TC 56 57 intensity was negligible in their numerical simulation of an axisymmetric TC model. 58 Wang and Heng (2016) indicated that the effect of the high-entropy eye air on TC 59 intensity was not through the modifications to the overall strength of eyewall 60 convection. Currently whether the high-entropy eye air can substantially increase TC 61 intensity through mixing with eyewall air and enhancing eyewall convection is still a 62 scientific issue.

The peak intensity of simulated TCs in very high-resolution models often exceeds
the MPI (Persing and Montgomery, 2003; Cram et al., 2007; Yang et al., 2007; Bryan
and Rotunno, 2009; Xu and Wang, 2010a, 2010b). Persing and Montgomery (2003)

was the first to propose the influence of the high-entropy air below the inversion of the 66 eye on TC intensity. They found that the TC intensity simulated in an axisymmetric, 67 68 cloud-resolving model with high spatial and temporal resolutions exceeded the upper 69 limit predicted by the MPI theory (Emanuel 1986, 1995). Since the so-called 70 superintensity occurred only in the presence of the enhanced low-level entropy in the 71 eye of the simulated TC, Persing and Montgomery (2003) hypothesized that the 72 superintensity was due to the impact of the entrainment of the low-level, high-entropy 73 air from the eye to the eyewall. The MPI theory assumes that no entropy is fluxed from 74 the eye to the eyewall. Cram et al. (2007) examined the entrainment through an analysis of air parcel trajectories. Their analysis was based on the cloud-resolved simulation of 75 76 Hurricane Bonnie (1998) conducted in Braun et al. (2006). Cram et al. (2007) 77 confirmed the entrainment of the high-entropy air below the inversion of the TC eye to 78 the eyewall, but they did not evaluate the relative importance of the high-entropy air 79 that is entrained into the eyewall.

The hypothesis was further examined in Huirricane Isabel (2003), in which the strongest horizontal wind of 107 m s<sup>-1</sup> was observed at 1.4-km altitude by a National Center for Atmospheric Research (NCAR) GPS dropsonde (Aberson et al. 2006). Using the observed data in Isabel, Montgomery et al. (2006) found that the observed azimuthal mean tangential wind speed in the boundary layer (76 m s<sup>-1</sup>, with a 6 m s<sup>-1</sup> standard deviation) was much stronger than the theoretically predicted 56.6 m s<sup>-1</sup> MPI. They argued that the entrainment of the enhanced low-level entropy from the eye to the eyewall proposed by Persing and Montgomery (2003) was the most likely candidate to
explain the superintensity of Hurricane Isabel (2003).

89 The above studies did not address whether the low-level, high-entropy air in the 90 eye can substantially increase the maximum intensity of TCs by enhancing the eyewall 91 convection although the entrainment of the low-level, high-entropy air from the eye to 92 the eyewall is confirmed. Using a time-dependent axisymmetric numerical model, 93 Bryan and Rotunno (2009) indicated that the enhanced low-level entropy in the TC eye is created mainly by surface entropy fluxes. This is consistent with the trajectory 94 95 analysis in Cram et al. (2007). Cram et al. (2007) found that a portion of the low-level inflow bypasses the eyewall to enter the eye and lingers for about one hour to acquire 96 97 enhanced entropy characteristics through interaction with the ocean beneath the eye. 98 For this reason, the effect of the low-level high-entropy air in the eye can be examined 99 by setting the surface fluxes to zero in the numerical experiment. Bryan and Rotunno 100 (2009) turned off the enthalpy flux from the sea surface in the eye while the angular 101 momentum mixing effect remained, and found that the axisymmetric tangential wind 102 speed is only slightly weakened by about 4% in their experiment without the surface 103 fluxes. Their further analysis indicated that less than 3% of the total surface-entropy 104 input to the TC comes from the eye. This was confirmed by Wang and Xu (2010) 105 through sensitivity experiments in the three - dimensional cloud - resolving model 106 simulations. Bryan and Rotunno (2009) concluded that the transport of the high-entropy 107 air below the inversion from the TC eye into the eyewall has little influence on the 108 maximum axisymmetric intensity of TCs. Wang and Heng (2016) found that the near-109 surface high-entropy air in the eye region can initiate convection near the inner edge of 110 the eyewall and then facilitate eyewall contraction, leading to higher inner-core inertial 111 stability and then increasing TC intensification rate.

112 We notice that the conclusion of Bryan and Rotunno (2009) was based on the 113 numerical simulation of an axisymmetric numerical model. The trajectory analysis in 114 Cram et al. (2007) indicated that there was an overall tendency for the trajectories to be 115 stirred out into the eyewall downshear and left of vertical wind shear, suggesting the 116 influence of the asymmetric structure of the TC on the transport. In addition, mesoscale 117 and microscale vortices are usually observed in the inner region of intense TCs, which are closely related to the vortex Rossby wave. The mesoscale vortices can cause the 118 119 high potential vorticity of the eyewall to stir into the eye (Black and Marks 1991; Kossin 120 et al. 2002; Knaff et al. 2003), which may affect the entrainment of the enhanced low-121 level entropy from the eye to the eyewall. Aberson et al. (2006) suggested that the entrainment of the low-level, high-entropy from the eye to the eyewall in Hurricane 122 123 Isabel (2006) can be enhanced in the presence of the small-scale or tornado-scale vortex, 124 which usually occurs at low levels in the inner edge of the intense eyewall convection 125 (Marks et al. 2008; Aberson et al. 2017; Ito et al. 2017; Wurman and Kosiba 2018). 126 Using the large eddy simulation (LES) in the Advanced Weather Research and Forecast 127 (WRF) model, Wu et al. (2018, 2019) recently conducted a numerical experiment 128 including seven nesting domains with the finest horizontal grid size of 37 m and

129 confirmed the existence of tornado-scale vortices in the turbulent boundary layer of the TC eyewall and indicated that tornado-scale vortices are prevalent in the inner edge of 130 131 the intense eyewall convection. The axisymmetric models cannot generate the 132 mesoscale to microscale vortices. It is suggested that the influence of the low-level, 133 high-entropy air in the eye on TC intensity should be examined in a more realistic TC 134 than the axisymmetric numerical model.

135 It is clear that the relative importance of the low-level, high entropy to eyewall convection has not been fully understood in the previous trajectory analysis (Cram et 136 137 al. 2007). In addition, the numerical experiments cannot separate the relative 138 contribution from other influences such as the induced eyewall contraction and angular 139 momentum mixing (Bryan and Rotunno 2009; Wang and Heng 2016). In this study, the 140 output data from the high-resolution numerical simulation of a semi-idealized TC that 141 evolves in a realistic large-scale environment are used to examine the relative 142 contribution of the low-level, high-entropy eye air as an additional source of energy 143 through mixing with the eyewall air, with a focus on the influence of small-scale 144 structures on the entrainment from the eye to the eyewall. The simulated TC is 145 described in Section 2, while the analysis of the entrainment of low-level, high-entropy 146 air in the eye to the eyewall and the possible influence of the asymmetric structure are 147 discussed in Section 3. In Section 4, the origins of the eyewall air and the influence of 148 low-level, high-entropy air in the eye are examined, followed by a summary in Section 5.

#### 150 **2.** The simulated TC

The TC data used in the present study are based on a numerical experiment, which 151 152 resembles that in Wu et al. (2018, 2019) except the coarser grid size of the innermost 153 domain. The experiment was conducted with the version 3.2.1 of the WRF model, but 154 the horizontal grid size of the innermost domain is 1/3 km in this study. The outermost domain centered at 30.0°N, 132.5°E covered an area of 6210×6210 km<sup>2</sup> and contained 155 156 230×210 grid points with 27-km grid spacing. The four nested, two-way interactive 157 domains contained 230×210, 432×399, 333×333, and 721×721 grid points, respectively. 158 The corresponding horizontal grid sizes were 9 km, 3 km, 1 km, 1/3 km (~333 m), respectively. The 3-km, 1-km and 1/3-km grid domains followed the movement of 159 160 simulated storm. The model top was 50 hPa with 75 vertical levels. The vertical grid 161 size ranged from 70-100 m below 1 km to 250-400 m above 1 km. 162 Following Wu and Chen (2016), a symmetric vortex was spun up for 18 hours on 163 an f-plane in a resting environment and then the vortex was placed in the large-scale background of Typhoon Matsa (2005) from 0000 UTC 5 August to 1200 UTC 6 August. 164 165 The large-scale environment was from the National Centers for Environmental 166 Prediction (NCEP) Final (FNL) Operational Global Analysis data with the grid size of  $1.0^{\circ} \times 1.0^{\circ}$ . The low-frequency environment was obtained with a 20-day low-pass 167 168 Lanczos filter (Duchon 1979). The Kain-Fritsch cumulus parameterization scheme and 169 the WRF Single-Moment 3-class microphysics scheme (WSM3) was used in the 170 outermost domain (Kain and Fritsch 1993), while the WRF Single-Moment 6-class

microphysics scheme (WSM6) was used in the four nested domains (Hong and Lim
2006). The option of the LES simulation was used in the innermost domain (Mirocha
et al. 2010), and the Yonsei University PBL parameterization scheme (Noh et al. 2003)
was used in the other domains.

175 The simulation was run for 72 hours over the open ocean with the uniform sea 176 surface temperature (SST) of 29°C. While the model output is regularly at 1-hour 177 intervals, for the purpose of trajectory calculation we set the output at 1-minute intervals during a 90-minute period (24-25.5 h). Figure 1a shows the intensity of the simulated 178 179 TC in terms of instantaneous and azimuthal maximum wind speeds at 10 m during the 90-minute period. While the instantaneous maximum wind speed is between 64.8 m s<sup>-</sup> 180 <sup>1</sup> and 78.6 m s<sup>-1</sup>, the azimuthal wind speed ranges from 46.5 m s<sup>-1</sup> to 48.8 m s<sup>-1</sup>. We can 181 182 see that the TC intensity generally fluctuates around the average over the period. Note 183 that the azimuthal maximum wind speed reaches the peak around 12 h and remains 184 relatively steady during 18-42 h.

Figures 1b and c show the simulated radar reflectivity at the 3-km altitude in the TC inner-core region and the vector of vertical wind shear at 24 h and 25.5 h. The vertical wind shear is calculated as the wind differences between 200 hPa and 850 hPa over a radius of 500 km. The vertical wind shear is northwesterly with a magnitude of about 7 m s<sup>-1</sup>. In agreement with previous studies (e.g., Frank et al. 1999), the enhanced eyewall convection occurs on the down-shear left side, while the eyewall of the simulated TC is not closed. During the 90-minute period, the simulated TC is at an 192 observational steady state due to the shear-induced asymmetry during this 90-min period. The influence of the asymmetric structure of the simulated TC on the 193 194 entrainment of the high-entropy air into the eyewall will be discussed in the next section. 195 Figure 2a shows the vertical cross section of vertical and radial winds, the 196 simulated radar reflectivity and the temperature anomalies relative to the environment 197 at 24 h. The warm-core structure and the high-entropy reservoir at the low levels can 198 be clearly seen in this figure. The eyewall is indicated by the enhanced reflectivity and 199 strong eyewall updrafts. At this time, the near-surface radius of maximum wind (RMW) 200 is 32.7 km. The low-level inflow below ~1.5 km converges to the eyewall updraft, 201 which extends radially outward to about 13 km and turns into the outflow. Following 202 Stern and Zhang (2013), the warm core is defined based on the mean environmental 203 temperature averaged over the 500-1000-km annulus. The altitude of the warm core 204 with a maximum of about  $11.2^{\circ}$ C at 7.5 km is consistent with the numerical simulation 205 of Stern and Nolan (2012) and Stern and Zhang (2013).

Figure 2b shows the vertical cross section of the azimuthal mean tangential wind speed and equivalent potential temperature ( $\theta_e$ ) at 24 h. The strongest tangential wind occurs at about 500 m. In agreement with the previous observations (Jordan 1952; Willoughby 1998), the low-level  $\theta_e$  in the eye is enhanced with a maximum of 377 K, forming a high-entropy reservoir in the low-level TC eye. The eyewall region is also plotted in Fig. 2. The inner boundary of the eyewall is defined by the azimuthal mean vertical motion of 0.5 m s<sup>-1</sup>, while the outer boundary is 10 km radially outward from 213 the RMW. The threshold of the azimuthal mean vertical motion is larger than that used 214 in Cram et al. (2007) due to higher horizontal resolution in our simulation. The defined 215 region generally covers the strong vertical motion with the most active convection in 216 the eyewall. As shown in Fig. 2b, the  $\theta_e$  in the eye can exceed that in the eyewall by 217 more than 10 K.

The high-entropy reservoir in the low-level TC eye is accompanied by a low-level inversion, which is clear in the skew-T Log-P diagram of the sounding at the TC center (Fig. 3a). The inversion with a bottom between 850 and 700 hPa separates the dry, warm air aloft from moist air near the surface in the eye (Jordan 1952; Willoughby 1998). Above the bottom of the inversion,  $\theta_e$  decreases first and then increases with height. The minimum value is about 359 K at the altitude of 5 km in the eye.

### **3.** Trajectories of the low-level, high-entropy air parcels in the eye

225 The method for the trajectory calculation in this study was adopted from the WRF 226 post-processing RIP4 software (which stands for Read/Interpolate/Plot). Since the 227 innermost domain moves with the TC center at an interval of 15 minutes, the 90-minute 228 output data at 1-minute intervals are first transformed into a fixed reference framework. 229 Note that the resulting forward trajectories are plotted in the reference framework 230 moving with the TC center. The TC center in this study was determined with the method 231 for the minimum pressure variance center (Braun 2002; Braun et al. 2006). At a specific 232 initial moment and height (z-coordinate), the trajectory starting locations are evenly

seeded with a 1-km spacing in both zonal and meridional directions. The high-entropy reservoir is defined within the inner boundary of the eyewall below 3 km in the eye. More than 99% air parcels that are initially in the eye have a higher  $\theta_e$  than that averaged over the eyewall region.

237 Table 1 shows the number of the air parcels initially in the TC eye as a function of 238 height between 0.1 and 15 km. Since the eye area varies with height, the initial number 239 of the air parcels generally increases with height. The percentage of the air parcels that 240 enter the eyewall region is calculated from 0.1 km to 15 km during the 90-minute period 241 (Fig. 3b). At the lower levels, as shown in Fig. 3b, the percentage reaches a maximum 242 of 39.1% at 0.5 km and decreases to a minimum of about 18% at 3 km. At the middle 243 and upper levels, the proportion generally increases with height with a maximum at 9.5 244 km (43.5%). Our analysis suggests that the increasing entrainment of the eye air into 245 the eyewall at the middle and upper levels is associated with the enhanced eyewall 246 convection. In this study, we focus only on the entrainment of low-level, high-entropy 247 air in the TC eye. Despite the relatively short period used in our study, our results are 248 generally comparable to those in Cram et al. (2007). They found that the maximum 249 percentage of 56.8% occurred at 453 m within the 5-hour period. Consistent with Cram 250 et al. (2007), here we also confirm that a considerable proportion of the air parcels in 251 the low-level, high-entropy reservoir enter the eyewall region to mix with the eyewall 252 air.

253

We find that the entrainment of the eye air parcels in the low-level, high-entropy

254	reservoir to the eyewall is confined to the layer below 3 km during the 90-minute period.
255	They are initially located less than 6 km away from the inner edge of the defined
256	eyewall, mainly due to the lack of strong outflow below the low-level inversion. Figure
257	4 shows a subset of 15 air parcel trajectories (randomly selected to represent three
258	typical groups) that are initially at 0.5 km, representing the typical forward trajectories
259	of the entrainment of the high-entropy eye air to the eyewall. Their initial $\theta_e$ ranges
260	from 368 to 372 K and they are entrained into the eyewall mainly between the altitudes
261	of 0.5 km and 1.5 km. After they enter the eyewall, their $\theta_e$ is close to that in the
262	eyewall within 10 minutes. The parcels can encounter the maximum updraft of 13.8 m
263	s <sup>-1</sup> and downdraft of -9.1 m s <sup>-1</sup> . As shown in Fig. 4, the air parcel trajectories in the 90-
264	minute period can be roughly classified into three categories: ascending with the
265	eyewall updraft (56.5%; T1) and even reaching the altitude of 13 km (3.1%), being
266	detrained into the middle-level eye (35.8%, T2) and outside environment (7.7%, T3).
267	Cram et al. (2007) also found that the air parcels in the low-level, high-entropy
268	reservoir prefer to make their way out to the eyewall region with strong eyewall
269	convection. Such a tendency is also found in this study. Figure 5 shows the simulated
270	radar reflectivity and where the eye air parcels initially at 0.5 km enter the eyewall. We
271	can see that most of the air parcels are entrained into the eyewall on the side with
272	enhanced eyewall convection. Figure 6 (blue bar) further shows the histograms of the
273	azimuths of the points shown in Fig. 5. The peak is to the northeast of the TC center,
274	corresponding to the most active convection in the eyewall.

275 In addition to the asymmetric structure discussed above, recent studies confirmed the presence of the small-scale or tornado-scale vortex usually at low levels in the inner 276 277 edge of the intense eyewall convection (Marks et al. 2008; Aberson et al. 2017; Ito et 278 al. 2017, Wurman and Kosiba 2018; Wu et al. 2018, 2019). Aberson et al. (2006) 279 suggested that the small-scale vortex may enhance the entrainment of the low-level 280 high-entropy from the eye to the eyewall in Isabel (2006). Figure 7a shows the 0.5-km 281 wind vector and speed on the northern side of the TC at 24 h 45 m. As shown in Fig. 282 1b, the eyewall convection is enhanced on the side with the strongest convection in the northeast quadrant. The maximum wind speed in Figure 7a is 89.9 m s<sup>-1</sup> and the 283 maximum relative vertical vorticity is 0.07 s<sup>-1</sup>. The intense winds occur mainly inside 284 the radius of maximum wind. The streaks of alternating high and low wind speeds 285 286 suggest the presence of small-scale features. To obtain the small-scale features, we 287 subtract the wavenumber 0-3 components with respect to the TC center in the wind 288 field (Fig. 7b). The small-scale disturbances are mainly located at the interface between 289 the eye and eyewall, with diameters ranging from 1 kilometer to several kilometers. 290 To demonstrate the impact of the small-scale features on the entrainment of the 291 low-level, high-entropy from the eye to the eyewall, we calculated the forward trajectories of the air parcels initially in the TC eye by using the wavenumbers 0-3 292

- 293 components in the wind field. Figure 6 shows the comparison of the entrance azimuths
- 294 of these air parcels at 0.5 km with those calculated with the original winds. Note that
- the entrance azimuth is defined as where an air parcel reaches the inner boundary of the

296 eyewall before entering the eyewall region. We can see that the most active entrance 297 location shifts cyclonically by about 30 degrees under the no-perturbation scenario, 298 indicating the influence of the small-scale features on the entrainment. There are 624 299 air parcels enter the eyewall, accounting for 33.8% of the total (1846) air parcels. It is 300 indicated that the small-scale disturbances increase the entrainment of the low-level 301 high-entropy from the eye to the eyewall by 5.3%. Although our calculation is only 302 based on a single case, it is suggested that the presence of the small-scale disturbances 303 at the interface between the eye and the eyewall can enhance the entrainment of the 304 low-level high-entropy from the eye to the eyewall.

We should point out that the horizontal spacing of our numerical experiment marginally resolves the small-scale features at the interface between the eye and eyewall. We compare the small-scale features with those in the numerical experiment in Wu et al. (2018, 2019) and find that the small-scale feature simulated in this study are much weaker in terms of the associated updraft. In Wu et al. (2018, 2019) the horizontal grid size is 37 m. The influence of the small-scale features should increase when their strength and structure are more realistically simulated.

312

## 4. Origins of the eyewall air parcels

As we mentioned in the introduction, the influence of the entrainment of the lowlevel, high-entropy from the eye to the eyewall on TC intensity also depends on how many eyewall air parcels are from other origins. For this purpose, the original positions of air parcels in the eyewall are tracked by the calculation of the backward trajectories. As indicated in Table 1, the number of the eyewall air parcels ranges from 2291 to 3543,

318 much larger than the number of the eye air parcels below 3 km.

319 In the last section, we already know that the entrainment of the low-level high-320 entropy from the eye to the eyewall occurs only at the levels below 3 km. For this reason, 321 we take the eyewall region below 3 km as a whole and then evaluate the origins of the 322 eyewall air parcels in the lower layer. Figure 8 shows a subset of the backward 323 trajectories of the eyewall air parcels below 3 km. The numbers of the trajectories are 324 based on the percentage of each origin, while the individual trajectories are randomly 325 selected in the subset. The figure just shows the three origins of the eyewall parcels 326 outside the eyewall during the 90-min period. In addition to the air parcels from the eye 327 region, the eyewall parcels also come from the strong low-level inflow and the middle-328 level environment. The parcels from the middle-level environment are much drier than those in the eyewall with a mean  $\theta_e$  of 352 K at the starting points. The entrainment 329 330 of the middle-level dry air has been known as the ventilation effect in previous studies, 331 which tends to weaken TC intensity (Simpson and Rhiel 1958; Tang and Emanuel 2010). 332 The relative importance of the air parcels from the three origins can be quantified 333 by calculating their percentages that account for all parcels entering the eyewall region 334 below 3 km within the 90-minute period. As expected, the eyewall parcels are 335 dominantly from the low-level boundary inflow, accounting for 84.4% the total 336 incoming air parcels, while there are 7.7% air parcels from the middle-level dry 337 environment. Although considerable air parcels in the low-level eye can be entrained

into the eyewall, these parcels only account for 1.7% of the total incoming air parcels.

339 It is suggested that the entrainment of the low-level high-entropy from the eye to the
340 eyewall has a negligible influence on the total eyewall air parcels below 3 km in terms
341 of its percentage.

342 Following Cram et al. (2007), we can quantitatively examine the influence of the 343 mixing of low-level, high-entropy eye air to eyewall convection by calculating the 344 change of  $\theta_e$  along the trajectory as follows:  $\Delta \theta = \theta_e(eye \text{ or environment}) - \theta_e(eye \text{ or environment})$  $\theta_e(eyewall)$ . Since the backward trajectories are calculated,  $\theta_e(eyewall)$  is the  $\theta_e$ 345 at the seed point and  $\theta_e(eye \text{ or environment})$  is the  $\theta_e$  at the first point of the 346 trajectory in the eye or environment. For the parcels from the low-level, high-entropy 347 eve air (the boundary inflow),  $\overline{\Delta \theta} = 2.27K (1.23K)$ , indicating the mixing increases 348 the  $\theta_e$ . For the entrainment of the dry environmental air parcels,  $\overline{\Delta \theta} = -0.27 K$ , 349 indicating the mixing decreases the  $\theta_e$ . Note that the entrainment of the dry 350 351 environmental air into the eyewall is not limited to the lower part of the eyewall. The 352 dry-air entrainment generally increases with altitude with two maxima at 6-8 km and 353 around 12 km (figure not shown). The parcels from the low-level, high-entropy eye air account for 5.8% of the total change in  $\theta_e$  due to the small proportion in the incoming 354 355 eyewall air parcels.

To further evaluate the relative importance of the entrainment of the low-level, high-entropy eye air, we calculated the mass transport of various origins at 3 km. In the calculation, each air parcel represents an area of  $1 \times 1$  km<sup>2</sup>. At 25.5 h, the total mass

359	transport of the eyewall is $28.8 \times 10^5$ kg s <sup>-1</sup> . The mass transport at 3 km height results
360	dominantly from the boundary inflow and the ascending air that is originally in the
361	eyewall. The former accounts for 79.2% of the mass transport ( $22.8 \times 10^5$ kg s <sup>-1</sup> ) and
362	the latter accounts for 14.2% of the mass transport (4.1 $\times$ 10 <sup>5</sup> kg s <sup>-1</sup> ). The two origins
363	account for a total of 93.4%, while the other two origins only account for 6.6% of the
364	total mass transport. The air parcels from the low-level, high-entropy reservoir account
365	for 4.5% ( $1.3 \times 10^5$ kg s <sup>-1</sup> ), and the air parcels from the dry environment account for
366	2.1% ( $0.6 \times 10^5 \text{ kg s}^{-1}$ ).

367 We can see that the low-level high-entropy air from the eye also has little influence on the change of  $\theta_e$  and mass transport in the eyewall. The results are generally 368 369 consistent with the finding of Bryan and Rotunno (2009) and Wang and Heng (2016). 370 Bryan and Rotunno (2009) found that a lack of the low-level, high-entropy air in the 371 TC eye can reduce the axisymmetric tangential wind speed by about 4% in their 372 experiments. Wang and Heng (2016) found that the entrainment of the high-entropy 373 eye air on TC intensity was not through the modifications to the overall strength of 374 eyewall convection.

One may argue that the 90-minute period is not long enough for quantifying the relative importance of the air parcels from the different origins. The issue can be justified as follows. First, the same time period is used to calculate the entrainment of the eye air parcels into the eyewall. Second, the eyewall air parcels below 3 km are nearly recycled during the 90-minute period and only 6.2% parcels stay in the eyewall without an incoming origin. We should point out that the relative importance discussedhere may change during different stages of TC lifetime.

382 **5. Summary** 

383 Previous studies suggested that the entrainment of the high-entropy eye air is an 384 additional energy source for TC intensification, likely responsible for the simulated 385 superintensity of TCs (Persing and Montgomery 2003; Cram et al. 2007; Montgomery 386 et al. 2006; Bell and Montgomery 2008), but Bryan and Rotunno (2009) demonstrated 387 numerically that this effect is negligibly small. Although Cram et al. (2007) confirmed 388 the entrainment of the high-entropy air below the inversion of the TC eye to the eyewall, 389 their trajectory analysis did not quantitatively evaluate the role of the entrainment of the high-entropy eye air. In the study, we used the 1-minute output data from the high-390 391 resolution numerical simulation of a semi-idealized TC that evolves in a realistic large-392 scale environment and evaluated the relative importance of the entrainment of the high-393 entropy eye air through the trajectory analysis.

In agreement with Cram et al. (2007), our analysis also indicates that considerable air parcels below the eye inversion can be entrained into the eyewall in the layer below 3 km and the entrainment occurs favorably on the quadrants of enhanced eyewall convection. Although the horizontal resolution of our simulation is relatively coarse for resolving the small-scale features at the interface between the eye and eyewall, we find that the presence of the small-scale disturbances can enhance the entrainment of the low-level high-entropy from the eye to the eyewall. We speculate that the influence of

401 the small-scale features on the entrainment may increase if their strength and structure are more realistically simulated. 402

403 Although there are considerable air parcels in the low-level eye that can be 404 entrained into the eyewall in the 90-minute period, these parcels can only account for 405 1.7% of the total eyewall air parcels. The eyewall air parcels below 3 km experience a fast recycling, with 84.4% of them from the low-level boundary inflow and 7.7% of 406 407 them from the middle-level dry environment, while only 6.2% air parcels stay in the 408 eyewall without an incoming origin during the 90-minute period. The parcels from the 409 low-level, high-entropy eye air account for 5.8% of the total change in  $\theta_e$  due to the small proportion in the incoming eyewall air parcels. Calculation of the mass flux at 3-410 411 km height indicates that 79.2% of the mass transport results from the air parcels with 412 the boundary inflow, while the air parcels from the low-level, high-entropy reservoir 413 account for 4.5% of the total mass transport. In consistent with Bryan and Rotunno 414 (2009), the low-level high-entropy air from the eye has little direct influence on TC 415 intensity by the calculation of the mass and thermodynamic contributions.

416 It should be pointed out that our evaluation is based on the direct contribution of 417 the low-level, high-entropy eye air to the eyewall convection. Studies indicated that the 418 high-entropy air can have the indirect effect by enhancing localized strong updrafts in 419 the eyewall, leading to the formation of convective bursts (CBs) (Hazelton et al. 2017) 420 and promoting RMW contraction (Wang and Heng 2016). An analysis of the trajectories 421 associated with convective bursts (CBs) was conducted by using the method in 20

Hazelton et al. (2017). It is found that 7.3% air parcels in CBs are from the low-level,
high-entropy eye air, suggesting that the entrainment may affect the tropical cyclone
intensity through prompting the CBs in the eyewall.

425 In this study, we reconcile the results of Bryan and Rotunno (2009) and Cram et al. 426 (2007). However, the robustness of the results of this study deserves further 427 investigation. First, the trajectory analysis is based on the model output over a 90-428 minute period. It is likely that the contribution of the entrainment of the low-level high-429 entropy from the eye to the eyewall varies during the different stages of the TC 430 development. Second, the horizontal resolution of our numerical experiment marginally resolves the small-scale features at the interface between the eye and the eyewall. The 431 432 effect of the small-scale features may increase if their strength and structure are more 433 realistically simulated.

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#### 546 **Figure captions**

Figure 1 (a) Intensity of the simulated tropical cyclone during 24-25.5h in terms of
instantaneous (orange) and azimuthal maximum (blue) wind speeds at 10 m. (b, c)
The simulated 3-km radar reflectivity (dBZ) at 24 h (left) and 25.5 h (right). The
black circles in (b) and (c) indicates the 10-m RMW at 24 h (32.7 km) and 25.5 h
(34.3 km), while the arrow indicates the vector of vertical wind shear (7.0 m s<sup>-1</sup> at
24 h and 6.9 m s<sup>-1</sup> at 25.5 h).

Figure 2 (a) Vertical cross sections of azimuthally averaged vertical and radial winds (m s<sup>-1</sup>, vector), radar reflectivity (dBZ, shading), and temperature difference from the average over the radius of 500 km from the TC center (°C, contour) at 24h ; b) the same as (a), but for vertical and radial winds (m s<sup>-1</sup>, vector), equivalent potential temperature (shading, k), and azimuthal mean tangential wind speed (m s<sup>-1</sup>, contour). The solid lines denote the inner and outer boundaries of the eyewall with the dashed lines indicating the RMW.

560 Figure 3 (a) Skew-T Log-P diagram of the eye sounding at 24 h. The red and blue lines

- are the vertical profiles of temperature profile (°C) and dewpoint (°C), respectively.
- (b) The percentage of eye air parcels that enter the eyewall as a function of altitudewithin 90 minutes.

Figure 4 90-minute trajectories for a subset of 15 eye air parcels initially at 0.5 km: (a)

borizontal view and (b) vertical view. The circle in (a) and dashed line in (b)

566 indicate the RMW, and the solid lines in (b) denote the inner and outer boundaries

567	of the eyewall. T1 (black), T2 (blue) and T3 (green) represent three types of the
568	trajectories: ascending with the eyewall updraft (T1), being detrained into the
569	middle-level eye (T2) and outside environment (T3).

- 570 Figure 5 Entrance locations of eye air parcels (black dots) initially at 0.5 km and enter
- the eyewall and the simulated 0.5-km radar reflectivity (dBZ, shading) at 24 h with
  the vector of vertical wind shear (arrow).

Figure 6 Histogram of the azimuths of the eye air parcels that are initially at 0.5 km and
then enter the eyewall. The blue and red bars indicate the parcel numbers for the
original wind field (shown in Figure 5) and the wind field with no perturbation
components.

Figure 7 (a) 0.5-km wind speed (m s<sup>-1</sup>, shading) and wind field (m s<sup>-1</sup>, vector) in the
northern portion of the TC inner core at 24 h 45 min and (b) the corresponding
perturbation wind field (m s<sup>-1</sup>, vector) obtained by subtracting wavenumber 0-3
components and vertical component of relative vorticity (s<sup>-1</sup>, shading). The black
line indicates the RMW.

Figure 8 A subset of the backward trajectories of the eyewall air parcels (184) that are initially at 3 km. The colors of the trajectories indicate the three types of their origins: from the boundary inflow (black), the low-level eye (yellow) and middlelevel environment (blue), which are also schmatically shown by thick arrows. The solid lines denote the inner and outer boundaries of the eyewall with the dashed lines indicating the RMW.

Altitude	Eye parcels	Eyewall parcels	Altitude	Eye Parcels	Eyewall Parcels
0.1	1909	2291	8	3732	3958
0.5	1864	2692	8.5	3934	4133
1	2068	2795	9	3924	4461
1.5	1856	3220	9.5	4706	3960
2	2117	3153	10	4659	4338
2.5	2289	3253	10.5	4988	4460
3	2177	3543	11	5274	4560
3.5	2253	3454	11.5	5347	4587
4	2256	3375	12	5394	4600
4.5	2291	3394	12.5	5664	4639
5	2351	3556	13	6089	4551
5.5	2441	3735	13.5	6321	4473
6	2788	3664	14	6363	4415
6.5	2942	3750	14.5	6342	4308
7	3082	3860	15	6350	4054
7.5	3229	4063			

589 (	(km) between	0.1 km a	nd 15 km.
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Figure 1 (a) Intensity of the simulated tropical cyclone during 24-25.5h in terms of instantaneous (orange) and azimuthal maximum (blue) wind speeds at 10 m. (b, c) The simulated 3-km radar reflectivity (dBZ) at 24 h (left) and 25.5 h (right). The black circles in (b) and (c) indicates the 10-m RMW at 24 h (32.7 km) and 25.5 h (34.3 km), while the arrow indicates the vector of vertical wind shear (7.0 m s<sup>-1</sup> at 24 h and 6.9 m s<sup>-1</sup> at 25.5 h).

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Figure 2 (a) Vertical cross sections of azimuthally averaged vertical and radial winds (m s<sup>-1</sup>, vector), radar reflectivity (dBZ, shading), and temperature difference from the average over the radius of 500 km from the TC center ( $^{\circ}$ C, contour) at 24h ; b) the same as (a), but for vertical and radial winds (m s<sup>-1</sup>, vector), equivalent potential temperature (shading, k), and azimuthal mean tangential wind speed (m s<sup>-1</sup>, contour). The solid lines denote the inner and outer boundaries of the eyewall with the dashed lines indicating the RMW.



Figure 3 (a) Skew-T Log-P diagram of the eye sounding at 24 h. The red and blue lines are the vertical profiles of temperature profile ( $^{\circ}$ C) and dewpoint ( $^{\circ}$ C), respectively. (b) The percentage of eye air parcels that enter the eyewall as a function of altitude within 90 minutes.



Figure 4 90-minute trajectories for a subset of 15 eye air parcels initially at 0.5 km: (a) horizontal view and (b) vertical view. The circle in (a) and dashed line in (b) indicate the RMW, and the solid lines in (b) denote the inner and outer boundaries of the eyewall. T1 (black), T2 (blue) and T3 (green) represent three types of the trajectories: ascending with the eyewall updraft (T1), being detrained into the middle-level eye (T2) and outside environment (T3).



Figure 5 Entrance locations of eye air parcels (black dots) initially at 0.5 km and enter
the eyewall and the simulated 0.5-km radar reflectivity (dBZ, shading) at 24 h with the
vector of vertical wind shear (arrow).

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631 Figure 6 Histogram of the azimuths of the eye air parcels that are initially at 0.5 km and

then enter the eyewall. The blue and red bars indicate the parcel numbers for the original

633 wind field (shown in Figure 5) and the wind field with no perturbation components.



635

Figure 7 (a) 0.5-km wind speed (m s<sup>-1</sup>, shading) and wind field (m s<sup>-1</sup>, vector) in the northern portion of the TC inner core at 24 h 45 min and (b) the corresponding perturbation wind field (m s<sup>-1</sup>, vector) obtained by subtracting wavenumber 0-3 components and vertical component of relative vorticity (s<sup>-1</sup>, shading). The black line indicates the RMW.



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Figure 8 A subset of the backward trajectories of the eyewall air parcels (184) that are initially at 3 km. The colors of the trajectories indicate the three types of their origins: from the boundary inflow (black), the low-level eye (yellow) and middle-level environment (blue), which are also schmatically shown by thick arrows. The solid lines denote the inner and outer boundaries of the eyewall with the dashed lines indicating the RMW.