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2 **Responses of Polar Mesocyclone Genesis to**
3 **Topographic Forcing along the Eastern Coast of**
4 **Eurasian Continent**

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Abstract

Polar mesocyclones (PMCs) occur frequently over the northern Sea of Japan. In this study, topographic effects on PMC genesis in this region were examined using long-term numerical simulations extending over 36 winter seasons. Sensitivity experiments showed that PMC genesis decreases in the part of the northern Sea of Japan when the mountain region at the eastern end of the Eurasian continent is removed. For example, the generation of PMCs over offshore west of Hokkaido decreases significantly when the mountain range is removed, whereas the generation of PMCs over the Strait of Tartary remains unchanged. According to composite analysis, this result can be attributed to the different responses of subregional oceanic surface wind to the removal of the mountains. In the experiment without mountains, cold air outbreaks from the continent blow directly over the Sea of Japan causing strong westerly winds over the offshore west of Hokkaido. Consequently, PMCs tend to make landfall earlier and before reaching maturity. The uniformly distributed westerly wind also has negative impact on PMC genesis because of weakened horizontal wind shear and meridional temperature gradient. In contrast, the low-level wind over the Strait of Tartary prior to PMC genesis is unaffected by the mountains and thus topographic effects are not required for PMC genesis in this region. These results indicate that the responses of PMCs to topographic forcing has a regional

50 variability.

51 **Keywords** polar mesocyclone; Sea of Japan; Strait of Tartary; topographic forcing;

52 long-term numerical simulations

53

54 **1. Introduction**

55 Polar mesocyclones (PMCs) are mesoscale cyclones that develop over high-latitude
56 oceans in the cold season. Intense meso- α -scale (200–1000 km) PMCs are called polar
57 lows (Rasmussen and Turner 2003). PMCs occur frequently over reasonably warm oceans
58 in association with outbreaks of cold continental air (Rasmussen and Turner 2003). PMCs
59 often cause locally heavy snowfall and strong winds around coastal seas and land areas in
60 the circumpolar region. Therefore, the genesis and development processes of PMCs have
61 attracted considerable scientific attention, especially in the seas such as the Barents Sea
62 (e.g., Rasmussen 1985), Labrador Sea (e.g., Mailhot et al. 1996), and Sea of Japan (e.g.,
63 Tsuboki and Wakahama 1992).

64 Several earlier case studies suggested that multiple mechanisms could contribute to
65 PMC development. For example, baroclinic instability is essential during the initial stage of
66 development of PMC (e.g., Reed and Duncan 1987), while conditional instability of the
67 second kind and wind-induced surface heat exchange (WISHE) processes can help their
68 rapid development (e.g., Rasmussen 1979; Emanuel and Rotunno 1989). An idealized
69 numerical simulation revealed that the principal energy source for PMC development varies
70 in accordance with synoptic-scale baroclinicity (Yanase and Niino 2007). The PMCs that
71 develop under such different synoptic-scale conditions exhibit different cloud patterns.
72 These earlier studies highlighted that PMCs can experience different formation and
73 development processes and thus the role of environmental conditions can differ from case

74 to case.

75 In addition to meteorological and oceanic effects, topographic effects can have a crucial
76 role in PMC genesis. Over the Barents Sea, cold air outbreaks originating from the Arctic
77 Sea can be split by the Svalbard islands, forming lower-tropospheric vorticity filaments that
78 later grow into PMCs (Sergeev et al. 2018). The mountains located in the north of the Korean
79 Peninsula can intensify a convergence zone over the southern Sea of Japan, along which
80 frequent PMC genesis is observed (Watanabe et al. 2018). Despite the substantial impact
81 of topographic effects on PMC genesis, previous discussions have tended to focus on case
82 studies or idealized numerical experiments (e.g., Kristjánsson et al. 2011). Therefore,
83 because the role of topographic effects on the genesis of various forms of PMC is not fully
84 understood, the impact of topographic effects on the climatological features of PMCs also
85 remains unexplored.

86 The frequency of occurrence of PMCs is very high over the northern Sea of Japan (Asai
87 1988), especially offshore west of Hokkaido (Fujiyoshi and Wakahama 1988). The horizontal
88 scale of typical PMC in this region ranges from 200 km to 700 km (Tsuboki and Wakahama
89 1992). In this region, a PMC tends to be generated under strong horizontal wind shear
90 caused by warm easterly wind and cold westerly wind associated with a synoptic-scale
91 cyclone located to the east of the PMC (Ninomiya 1991; Ninomiya et al. 1993). This region
92 of the Sea of Japan is surrounded by land (i.e., the Eurasian continent and Hokkaido) and
93 areas of sea ice that can affect PMC genesis (e.g., Okabayashi and Satomi 1971;

94 Muramatsu 1975). The mountain range on the eastern edge of the Eurasian continent (i.e.,
95 the Sikhote-Alin mountain region) weakens the westerly wind over the Sea of Japan,
96 establishing conditions suitable for PMC growth that allow PMCs to remain longer over the
97 sea (Watanabe et al. 2017). In addition, the mountain range creates local (Ohtake et al.
98 2009) and synoptic-scale (Ninomiya 1991) horizontal temperature gradients in the lower
99 troposphere which are likely to affect PMC genesis.

100 Although previous studies have highlighted that PMC generations over the offshore
101 west of Hokkaido are affected by topography (e.g., Okabayashi and Satomi 1971;
102 Muramatsu 1975; Watanabe et al. 2017), these studies intended to target the particular PMC
103 cases. As described previously, the physical processes of PMC genesis differ on a case-by-
104 case basis (Yanase and Niino 2007). In addition, due to the complexity of the effects of the
105 topographic forcing, it is unclear how much of PMCs are affected by the suggested
106 mechanisms. To examine the effects of the topographic forcing for such disturbances, it is
107 useful to study how the disturbances respond to topography by numerical experiments with
108 modified topography (e.g., Ohtake et al. 2009; Kristjansson et al, 2009, 2011, Watanabe et
109 al. 2017). Therefore, it is necessary to clarify the possible roles of topographic effects on
110 PMC genesis through the investigation of many PMC cases by using numerical experiments.

111 This study investigated the effects of the Sikhote-Alin mountain region on many different
112 PMC cases in the offshore west of Hokkaido by the sensitivity experiments using modulated
113 topography. We found a wide range of sensitivity of PMCs to topographic forcing, i.e., some

114 PMCs were highly sensitive to topographic effects, whereas others were less sensitive. The
115 purpose of this study was to investigate the spatial and temporal variability of PMC genesis
116 associated with topographic effects. We performed a long-term numerical experiment with
117 realistic conditions in conjunction with sensitivity experiments. As we will introduce in section
118 3, the long-term sensitivity experiments succeeded in evaluation of the topographic impact
119 on various types of PMCs geneses. Based on the experiments, we discriminated what types
120 of PMCs are sensitive or not sensitive to the topographic forcing. The methods adopted in
121 this study are described in section 2. In section 3, the results of the detection and tracking
122 of PMCs are presented. Section 4 provides a discussion and our conclusions.

123

124

125 **2. Data and methods**

126 *2.1 Numerical experiments*

127 We used the Weather Research and Forecasting (WRF) Model version 3.2.1 with the
128 Advanced Research version of WRF (ARW) core (Skamarock et al. 2008). The model
129 domain had 140×100 horizontal grid points with 20-km grid size and 32 vertical levels from
130 the surface to the 50-hPa level (Fig. 1). With this horizontal grid size, we study PMCs whose
131 horizontal scale is approximately larger than 100 km. This would reproduce majority of the
132 PMCs generated in the study area because their diameter is typically larger than 100 km
133 (e.g., Tsuboki and Wakahama 1992; Yanase et al. 2016). We used the following physics

134 schemes as they can simulate well the mean and interannual variation of the precipitation
135 around the Sea of Japan in snowy season (October to April) (Sato and Sugimoto 2013): the
136 WRF Single Moment 6-class microphysical scheme (Hong et al. 2004), Grell 3D ensemble
137 scheme (Grell and Devenyi 2002), rapid radiative transfer model for longwave
138 parameterization (Mlawer et al. 1997), Dudhia scheme for shortwave radiation (Dudhia
139 1989), Mellor–Yamada–Nakanishi–Niino Level 2.5 planetary boundary layer scheme
140 (Nakanishi and Niino 2004), and Noah land surface model (Chen and Dudhia, 2001). The
141 initial and boundary conditions were obtained from the Japanese 55-year Reanalysis (JRA-
142 55) (Kobayashi et al, 2015), which provides six-hourly data with 1.25° resolution, and the
143 NOAA 0.25° daily Optimum Interpolation Sea Surface Temperature and the distribution of
144 sea ice dataset (OISST; Reynolds et al. 2007). The outer four rows from the lateral boundary
145 were nudged to the forcing data. In this study, we did not use spectral nudging to consider
146 that the topographic forcing may affect various scale atmospheric conditions such as upper-
147 level flows.

148 We performed two types of WRF experiments that each covered 36 winter seasons (1
149 November 1981 to 31 March 2017). The experiments are started on 1 November and
150 terminated on 31 March in each winter season. The first month (November) was regarded
151 as a spin-up period and excluded from the analysis. The first experiment used realistic
152 topography (hereafter, REAL, see Fig. 1a). In the second experiment, the Sikhote-Alin
153 mountain region was removed (hereafter, NoMt; see Fig. 1b), i.e., we defined the

154 mountainous topographic height is as greater than 100m and the topographic height was
155 set to 100 m if the land elevation was greater than100 m. We analyzed the model output for
156 the period between 1 December and 31 March. After performing the numerical experiments,
157 we detected PMCs using the procedure introduced in the following section, and we
158 compared the simulated PMCs to discuss the importance of topography on PMC genesis.

159

160 *2.2 Detection of PMCs*

161 Although, previous studies have developed tracking algorithms to detect polar lows or
162 PMCs (e.g., Yanase et al. 2016, Watanabe et al. 2016, Stoll et al. 2018), we developed a
163 new tracking algorithm for detection of PMCs. To detect PMCs that include local disturbance,
164 this algorithm does not require the threshold of the upper-level atmospheric conditions used
165 in the previous studies. This enables us to analyze various types of PMCs as well as
166 undeveloped PMC cases as discussed later. The workflow of the algorithm is as follows (Fig.
167 2). A center of low pressure is detected as the local minimum grid point of the 850-hPa
168 geopotential height (Fig. 2b). On the grid point detected as the center of low pressure, if the
169 relative vorticity at 850 hPa is greater than $1.0 \times 10^{-5} \text{ s}^{-1}$ and the center of low pressure
170 appears over the sea, the low is designated as a cyclone and its movement is tracked. The
171 threshold was determined as to most similarly produce the distribution of observed PMC
172 (Fujiyoshi and Wakahama 1988). If stronger threshold (i.e., $1.0 \times 10^{-4} \text{ s}^{-1}$) was adopted,
173 many PMCs generated near the coastal area were not detected. After one hour, if a center

174 of low pressure is detected within 50 km of a previous center of low pressure, the low is
175 regarded as the same cyclone (Fig. 2c). This tracking process is repeated for all cyclones
176 generated over the sea. The above procedure could also detect synoptic-scale cyclones
177 (i.e., extratropical cyclones) whose horizontal size is much greater than a PMC. To remove
178 synoptic-scale cyclones, the following procedure was incorporated. The 850-hPa
179 geopotential height field derived from the original 20-km mesh WRF output (Fig. 2a) is
180 smoothed to a 100-km mesh grid (Fig. 2d), and centers of low pressure are detected using
181 the smoothed field (Fig. 2e). Eventually, the absolute location of the center within a 100-km
182 mesh grid is determined by using the original 20-km mesh data (850-hPa geopotential height
183 minima) so that the locations of the low pressure center are consistent before and after
184 smoothing (Fig. 2e). In this attempt, only large-scale cyclones (diameter is over ~300km)
185 are detected (Fig. 2f), which contrasts with the lows detected without smoothing (i.e., 20-km
186 mesh grid) that include all cyclone features (Fig. 2c). The low pressures detected in both
187 attempts are regarded as synoptic-scale cyclones (Fig. 2g) that were excluded from further
188 analysis. At least, the diameter of the remaining low pressures is less than ~300 km at the
189 first detected time. The diameter of PMC may exceed 300 km during the tracking. In such a
190 case, the low pressure has been kept assigned as a PMC because the point of the first
191 detections are different between before and after smoothing. Consequently, these lows are
192 regarded as PMCs that were included in the analysis if they continuously satisfied the above
193 requirements for at least 12 hours (unless otherwise stated).

194

195

196 **3. Results**

197 *3.1 Number of PMCs*

198 The distribution of PMC genesis is shown in Fig. 3. In the REAL experiment, PMCs
199 occur frequently over the area offshore west of Hokkaido and over the Strait of Tartary (Fig.
200 3a). This distribution pattern is similar to that of polar lows detected using reanalysis data
201 (Yanase et al. 2016). However, the number is relatively higher in this study because we
202 intended to include smaller-scale PMCs by using finer mesh data and weaker thresholds.
203 The number of generated PMCs is lower in the NoMt experiment in comparison with the
204 REAL experiment, especially over the western coastal sea of Hokkaido (Fig. 3b). This result
205 indicates that the Sikhote-Alin mountain region strongly enhances PMC genesis over the
206 study area.

207 The seasonal variation in the number of PMCs generated in the area offshore west of
208 Hokkaido (43° – 49° N, 138° – 142° E) is shown in Fig. 4. In the REAL experiment, the number
209 of generated PMCs is lowest in December, reaches a peak in January, and then falls through
210 February and March, which is consistent with satellite data analysis (Fujiyoshi and
211 Wakahama 1988). In the NoMt experiment, the number of PMCs generated in January
212 dramatically decreases to 55% of REAL experiment followed by December (60%) and
213 February (64%), whereas there is a weak decrease in March (77%). Therefore, the

214 contribution of the mountain region to PMC genesis varies depending on the month.
215 Furthermore, this implies that PMCs could be classified into two groups: those sensitive to
216 topographic effects and those less sensitive. Among the various PMCs occurring in each
217 month, the majority in January seems to require topographic effects in the genesis stage.

218 The direction of movement of PMCs generated in the area offshore west of Hokkaido
219 varies depending on the synoptic-scale environments prevailing at the time of their
220 occurrence. A PMC moves southward when an extratropical cyclone is located to its east,
221 whereas it moves eastward in association with an eastward-migrating upper-level trough
222 (Yanase et al. 2016). The number of PMCs moving in each of the four main cardinal
223 directions is shown in Fig. 5. Here, the direction of movement is defined as the orientation
224 of the line connecting the points of PMC occurrence and disappearance. The dominant
225 directions of movement in the REAL experiment are southward and eastward. Southward-
226 moving PMCs occur more frequently in January than in the other months. In the NoMt
227 experiment, the number of southward-moving PMCs is reduced in comparison with REAL,
228 while that of eastward-moving PMCs is less reduced.

229

230 *3.2 Distribution of PMC genesis*

231 The NoMt experiment indicates that PMCs generated in January are strongly affected
232 by the Sikhote-Alin mountain region (Fig. 4) if the predominant direction of movement of the
233 PMCs is southward (Fig. 5b). The spatial distribution of PMC genesis in January is shown

234 in Fig. 6. The number of southward-moving PMCs generated along the offshore area west
235 of Hokkaido and over the Strait of Tartary is high (Fig. 6a), while the genesis of eastward-
236 moving PMCs is scattered more widely (Fig. 6b). The difference in the distribution of
237 southward- and eastward-moving PMCs between the NoMt and REAL experiments (NoMt
238 – REAL) is shown in Fig. 6e and 6f, respectively. It can be seen that the effect of the Sikhote-
239 Alin mountain region on the PMC genesis is different between the Strait of Tartary and
240 offshore west of Hokkaido. In the NoMt experiment, a few southward-moving PMCs are
241 generated south of 46°N (Fig. 6c) and the difference in the number of southward-moving
242 PMCs genesis is large (Fig. 6e). By removing the mountains, the number of eastward-
243 moving PMC genesis is reduced over the south of 46°N and increase over the north of 46°N
244 (Fig. 6f). This result suggests that the characteristics of PMCs are different between the
245 Strait of Tartary and offshore west of Hokkaido. In addition, eastward-moving PMC frequently
246 occurs near the west coast of Hokkaido (140°E–142°E) only in January (Supplement 1) and
247 its number is substantially decreased due to the removal of the mountain range (Supplement
248 2).

249 Considering the different sensitivity of PMCs to topographic forcing within the study
250 region (Fig. 6), we defined two subregions: offshore west of Hokkaido (43°–46°N, 138°–
251 142°E) and the Strait of Tartary (46°–49°N, 138°–142°E). In the following, PMCs generated
252 in the offshore west of Hokkaido are referred to as WH-PMCs, PMCs generated in the Strait
253 of Tartary are referred to as ST-PMCs. The monthly variation of the number of PMCs

254 generated in the two subregions is shown in Fig. 7. In the offshore west of Hokkaido, PMCs
255 occur most frequently in January (Fig. 7a). In the NoMt experiment, the number of WH-
256 PMCs (both southward- and eastward-moving systems) in January decreases to less than
257 50% of the REAL experiment. Similar reductions in WH-PMCs are found for southward-
258 moving systems in each month; however, interestingly, the number of eastward-moving
259 systems does not change in December, February, and March. In January, the number of
260 generated ST-PMCs is lower in comparison with WH-PMCs, and the difference between the
261 REAL and NoMT experiments is small (Fig. 7b). Comparing the number of PMC genesis
262 between the subregions and months, PMCs generated over the offshore west of Hokkaido
263 in January seem most sensitive to the mountain regions. The reasons for the different
264 sensitivity of the PMCs are discussed in the following sections.

265

266 *3.3 Atmospheric conditions*

267 Based on composite analysis, the synoptic-scale atmospheric patterns leading to the
268 different sensitivity between the two subregions are highlighted. The composite field of the
269 500- and 850-hPa geopotential height for the time of PMC genesis in January is shown in
270 Fig. 8. At the time of WH-PMC genesis, a synoptic-scale lower-tropospheric cyclone appears
271 over the Kamchatka Peninsula as a part of Aleutian low (Fig. 8c). The synoptic-scale
272 pressure patterns are similar between southward-moving (blue contours) and eastward-
273 moving (red contours) PMCs (Fig. 8a and 8c). For ST-PMCs, the synoptic-scale pressure

274 patterns are different between the two directions of movement (Fig. 8b and 8d). For
275 southward-moving ST-PMCs, the synoptic-scale pressure pattern resembles that of WH-
276 PMCs (Fig. 8b and 8d). However, the generation of eastward-moving ST-PMCs is not
277 associated with Aleutian low (Fig. 8d). Locations of upper-level low pressure vary with PMCs
278 depending on the moving directions and generated regions. For southward-moving PMC the
279 upper-level low tends to collocate with or locate to the north of the surface low, and for
280 eastward-moving PMC it locates to the northwest of the surface low (Fig. 8a and 8b).
281 Presumably, southward-moving PMCs are developed through the active convection induced
282 by the upper-level cold troughs while eastward-moving PMCs develop through baroclinic
283 interaction with the upper-level troughs (Yanase et al. 2016). It should be noted that Fig. 8
284 does not show clearly the upper-level synoptic-scale atmospheric conditions at the
285 developmental stage because it shows the composite fields at the PMCs genesis. Focusing
286 on the PMC tracks, most southward-moving PMCs initially move southwestward and then
287 move southeastward, apparently affected by the cyclonic circulation associated with Aleutian
288 low (Fig. 8c and 8d). Eastward-moving PMCs are more likely to follow an eastward-moving
289 mid-tropospheric trough. The moving direction is, however, not unique even if synoptic-scale
290 atmospheric condition during PMCs genesis is similar as shown in Fig. 8c. The PMC
291 movement could vary according to the post-genesis synoptic circulation changes, such as
292 caused by the transition of Aleutian low and other synoptic-scale low pressure.

293 Figure 9 displays temporal and vertical variations of relative vorticity in the PMC.

294 Southward-moving WH-PMC has intense vorticity comparing to the others (Fig. 9a). The
295 relative vorticity of eastward-moving PMCs became weak within 12 hours after the genesis,
296 suggesting the earlier landfall than southward-moving PMCs (Fig. 9c and 9d). PMCs are
297 characterized as a shallow system at their early developmental stage and the strong vorticity
298 extends vertically along with the development of the PMCs. Since we focus on the PMCs
299 genesis, we analyze the lower-level atmospheric conditions hereafter.

300 The composite field of potential temperature and wind at 850 hPa 12 hours before PMC
301 genesis in the REAL experiment is shown in Fig. 10. The temperature gradient from
302 northwest to southeast across the domain suggests the occurrence of cold air outbreaks
303 (Fig. 10a–c). A part of the cold air outbreaks passes across the southern part of the Sikhote-
304 Alin mountain region, where the elevation is relatively low and then causes northwesterly
305 wind over the Sea of Japan (Fig. 1a). In addition, northerly wind blowing from the Strait of
306 Tartary is found for WH-PMCs cases (Fig. 10a and 10c). These wind systems can form
307 horizontal wind shear over the offshore west of Hokkaido. In the case of the ST-PMCs
308 moving eastward, the low-level wind pattern is different from other PMCs, with southwesterly
309 winds and higher potential temperature at 850-hPa over the Sea of Japan (Fig. 10d). It
310 seems that the cold air outbreaks do not affect the genesis of the eastward-moving ST-PMC.

311 The difference in 850-hPa winds between the NoMt and REAL experiments (NoMt –
312 REAL) is presented in Fig. 11 to investigate how the Sikhote-Alin mountain region might
313 modulate the lower-tropospheric wind. Here, the reference time for the composite analysis

314 is common for both experiments, i.e., 12 hours before PMC genesis in the REAL experiment.
315 In the NoMt experiment, in comparison with the REAL experiment, the westerly wind
316 component is stronger, and the potential temperature is lower over the offshore west of
317 Hokkaido (Fig. 11). In addition, the northerly wind over the Strait of Tartary is slightly weak
318 and the potential temperature is a little higher in NoMt experiment, which are the common
319 circulation changes for many PMC types as found in Fig. 11a-c. These differences are not
320 clear in the case of eastward-moving ST-PMCs (Fig. 11d).

321 Figure 12 shows the potential temperature along the cross sections A–A', B–B', and C–
322 C' (see Fig. 11). When PMC genesis occurs in offshore west of Hokkaido, a meridional
323 potential temperature gradient around 46°N is strong for southward-moving PMCs but it
324 weakens in NoMt experiment (Fig. 12a). In the case of southward-moving WH-PMC, the
325 area of strong zonal potential temperature gradient on 138°E shifts eastward (Fig. 12c).
326 Similarly, the area of large potential temperature gradient around 47°N for southward-
327 moving ST-PMCs retreats northward (Fig. 12b). On the Strait of Tartary (Fig. 12d), the
328 potential temperature for ST-PMCs case slightly increases in NoMt experiment. These
329 suggest that, after the removal of the Sikhote-Alin mountain region, cold air outbreaks from
330 the continent tend to blow directly over the Sea of Japan, which consequently weakens the
331 cold advection from the Strait of Tartary. Based on this evidence, it is speculated that the
332 changes in wind and temperature in the lower-troposphere are fundamental to the
333 understanding of the diverse response of PMC genesis to topographic forcing.

334

335 *3.4 Characteristics of PMCs*

336 The Sikhote-Alin mountain region forms the horizontal wind shear over the Sea of Japan
337 (Fig. 10a and 10c), which is likely to affect the probability of PMC genesis. We found that
338 the mountain reduces the zonal wind speed over the western part of the Sea of Japan (Fig.
339 11a–11c). Furthermore, the mountain region has a role to form horizontal temperature
340 gradients over the offshore west of Hokkaido (Fig. 12). To discuss how they affect the PMC
341 genesis, longitudinal distribution of PMC genesis is investigated. This analysis here includes
342 short-lived PMCs to ensure consideration of both assigned (i.e. developed) and unassigned
343 (i.e. undeveloped) PMCs. To account for short-lived mesocyclones, we relaxed the detection
344 requirement by shortening the minimum lifespan from original twelve hours (See section 2.2)
345 to six hours. The longitudinal distributions of the locations of PMC genesis in the two
346 experiments are shown in Fig. 13. The number of WH-PMCs generated near the Eurasian
347 continent (138°E to 140°E) in the REAL experiment (78 counts per 36 winters) is reduced in
348 the NoMt experiment (27 counts), while the reduction is relatively minor (81 and 50 counts
349 for REAL and NoMt respectively) near Hokkaido (140°E to 142°E) (Fig. 13a). In contrast,
350 the number of ST-PMCs does not differ between the two experiments (Fig. 13b). These
351 results suggest the Sikhote-Alin mountain region can form horizontal wind shear in the
352 downwind vicinity, which enhance PMC genesis over the western part of the western
353 offshore of Hokkaido, while the impact is weaker over the eastern part (i.e., near the

354 Hokkaido).

355 A strong westerly wind over the ocean is likely to accelerate the speed of movement of
356 PMCs, promoting landfall of early stage PMCs on the islands (Watanabe et al. 2017). The
357 duration between PMC genesis and landfall is summarized in Fig. 14. The mean duration of
358 WH-PMCs in the NoMt experiment (6.0 h) is significantly shorter (Welch's t-test, $p = 0.008$)
359 than in the REAL experiment (9.4 h). ST-PMCs do not exhibit significant changes in their
360 lifespan. This result indicates that without Sikhote-Alin mountain region, WH-PMC's landfall
361 on Hokkaido occurs earlier, whereas ST-PMC's landfall on Hokkaido or Sakhalin remain
362 unchanged. These characteristics are found only in January (Supplement 3) because the
363 southward- and eastward-moving PMCs frequently occur near the west coast of Hokkaido
364 only in January (Supplement 1). Without mountains, the rate of landfall for WH-PMCs (ST-
365 PMCs) increases from 52% to 61% (43% to 49%). The Sikhote-Alin mountain region
366 weakens the westerly wind (Fig. 11), which allows PMCs to remain longer over the ocean.
367 Through analysis of many PMC cases, our study confirms that the effect of the Sikhote-Alin
368 mountain region in modulating the environmental winds and the lifespan of generated PMCs
369 is robust in the offshore west of Hokkaido but not in the Strait of Tartary.

370

371

372 **4. Discussion and Conclusions**

373 In this study, we performed long-term numerical experiments to investigate the effects

374 of topography (i.e., the Sikhote-Alin mountain region) on PMCs generated over the northern
375 Sea of Japan. The comparison of PMCs between REAL experiment and NoMt experiment
376 is summarized in table 1. We found that the effects of the Sikhote-Alin mountain region on
377 PMCs vary depending on slight differences in genesis location and month. Among the many
378 PMCs investigated, those generated over the offshore west of Hokkaido are most sensitive
379 to topographic effects, while those generated over the Strait of Tartary are less sensitive.
380 The difference in sensitivity is attributable to local modulation of the topographically induced
381 oceanic winds.

382 During WH-PMC genesis, the horizontal wind shear over the offshore west of Hokkaido
383 is formed because of two wind systems: a northwesterly wind from the continent and a
384 northerly wind from the Strait of Tartary (Fig. 10a and 10c). This horizontal wind shear is
385 likely induced by cyclonic circulation related to the passage of synoptic-scale lower-
386 tropospheric cyclones (Fig. 8a and 8c), similar to the case study by Ninomiya (1991). The
387 northwesterly wind over the Sea of Japan originates from the air mass passing across the
388 Sikhote-Alin mountain region. Therefore, the wind shear is very sensitive to the local
389 topography. Conversely, for ST-PMC genesis, northerly wind from the Strait of Tartary is
390 weak (Fig. 10b and 10d) because the cyclonic circulation of Aleutian low does not reach the
391 Strait of Tartary (Fig. 8d).

392 The area of intensified westerly wind in the NoMt experiment (around 46°N)
393 corresponds to the boundary between the northerly and northwesterly wind in the REAL

394 experiment (Figs. 10 and 11). By removing the Sikhote-Alin mountain region, the westerly
395 wind from the continent blows directly over the Sea of Japan. Consequently, the westerly
396 wind over the offshore west of Hokkaido becomes horizontally uniform and wind shear
397 becomes negligibly weak, which discourages WH-PMCs genesis. This wind shear shifts to
398 the Strait of Tartary (Fig. 11a and 11b) and may cause northward shift of PMC genesis. In
399 addition, even though enhanced westerly wind causes strong surface fluxes from the sea
400 after the removal of the mountain range, the equivalent potential temperature over the
401 offshore west of Hokkaido is decreased as a result of the dry and cold air inflow from the
402 continent (not shown). The resultant stable condition may suppress the PMC genesis.
403 However, in eastward-moving ST-PMCs, the wind and temperature fields do not vary by
404 removing the Sikhote-Alin mountain region (Fig. 11d). Therefore, the occurrence of the
405 eastward-moving ST-PMCs may not require lower-level wind shear. This PMC type could
406 develop from baroclinic instability with an upper-level trough passage (Yanase et al. 2016).

407 Over the offshore west of Hokkaido, the cold air outbreaks from the Eurasian continent
408 helps form zonal temperature gradient (Fig. 10 and 12c). Over the Strait of Tartary,
409 meridional temperature gradient is intensified by the northerly wind, especially in the case
410 of southward-moving PMC (Fig. 10 and 12a-b). Over the offshore west of Hokkaido, removal
411 of the mountain range shifts the high zonal temperature gradient zone eastward, leading to
412 the eastward shift of the PMC genesis and thereby more landfall before the PMC
413 development. Therefore, the number of WH-PMCs is decreased. Furthermore, northward

414 retreat of the high meridional temperature gradient zone due to the removal of the mountain
415 also causes northward shift of PMC genesis. Due to this shift in genesis location, some WH-
416 PMCs in the REAL experiment could be counted as ST-PMC in the NoMt experiment.

417 PMC (and short-lived mesocyclone) genesis over the offshore west of Hokkaido (138° –
418 140° E) is reduced by the removal of the Sikhote-Alin mountain region (Fig. 13a). However,
419 the mountain effect on the genesis of the PMC is weak for coastal offshore areas (140° –
420 142° E). Along the west coast of Hokkaido, local cold air outbreaks often occur from Hokkaido
421 toward the Sea of Japan. This produces horizontal wind shear over the sea, establishing
422 conditions favorable for PMC genesis (e.g., Okabayashi and Satomi 1971; Muramatsu et al.
423 1975; Tsuboki and Wakahama 1992). Therefore, it is speculated that the likelihood of PMCs
424 genesis over the coastal region remains similar, even after the removal of the Sikhote-Alin
425 mountain region.

426 Among the many PMCs generated in January that moved southward, PMC genesis
427 decreases only over the offshore west of Hokkaido (Fig. 7a and 7b). Without the Sikhote-
428 Alin mountain region, WH-PMCs tend to make early landfall, while ST-PMCs do not (Fig.
429 14). Moreover, the lower-tropospheric westerly to the south of the Strait of Tartary (around
430 46° N) becomes stronger, while little change was detected over the Strait of Tartary (north of
431 46° N) (Fig. 11b and 11d). In the NoMt experiment, WH-PMCs are affected by the westerly
432 wind in their genesis stage because they occur under the condition of the westerly wind
433 enhanced by removal of the mountains. In contrast, ST-PMCs are not affected by the

434 westerly wind during their genesis stage because their genesis location is to the north of the
435 strong westerly zone. When ST-PMCs move southward, they enter the strong westerly zone
436 after they grow. Since PMCs are characterized as a shallow system at their early
437 developmental stage (Fig. 9), lower-tropospheric wind might only affect the development of
438 early-stage PMCs but not the latter stage. For WH-PMCs, intensified low-level westerly due
439 to the mountain removal pushes early-stage PMCs eastward and enhances landfall on
440 Hokkaido, and hence the number is reduced. On the other hand, the southward-moving ST-
441 PMCs typically migrate offshore west of Hokkaido at their mature stage. Because of the
442 vertical extending of vorticity along with the development of PMCs (Fig. 9), they are less
443 affected by the lower-level wind. For eastward-moving ST-PMCs, the baroclinic instability is
444 likely to be a primary development mechanism (Yanase et al., 2016), the upper-level
445 synoptic environment is more crucial than lower-level circulation (Fig. 8b). Since the
446 mountain range hardly disturbs the upper-level fields, eastward ST-PMCs are not affected
447 by the removal of the mountain range. Hence, ST-PMCs are not sensitive to the effects of
448 the Sikhote-Alin mountain region. In addition, sea ice extends to the northern part of the
449 Strait of Tartary in January (Fig. 1). Near the ice edge, low-level baroclinicity stimulates the
450 development of PMCs (Mailhot et al. 1996). Hence, ST-PMC genesis is likely affected more
451 strongly by sea ice than by the Sikhote-Alin mountain region.

452 With regard to modulation of the lower-tropospheric environment and thus PMC genesis,
453 this study clarified that the effect of the Sikhote-Alin mountain region is manifest in two major

454 ways: intensified horizontal wind shear and weakened westerly wind. These effects are likely
455 to enhance PMC genesis over the offshore west of Hokkaido. Moreover, we found that PMC
456 sensitivity to the Sikhote-Alin mountain region varies with the location of PMC genesis, i.e.,
457 PMCs generated over the offshore west of Hokkaido are very sensitive, whereas those
458 generated over the Strait of Tartary are insensitive. In order to investigate the sensitivity of
459 PMCs to the mountain range, we performed an additional experiment in which the height of
460 the mountains was halved (hereafter, HalfMt). Figure 15 compares the number of PMC
461 genesis in each experiment. For WH-PMC, the number of PMCs decreases sharply in
462 HalfMt experiment exhibiting the similar magnitude of reduction as in NoMt experiment,
463 whereas the number of ST-PMCs remain almost unchanged (Fig. 15). This result indicates
464 that the effect of the topography on PMCs vary locally.

465 Through the long-term numerical experiments, we confirmed that, in a climatology
466 sense, WH-PMC genesis is sensitive to the topography. Since this study considers many
467 PMCs, the sensitivity here means the tendency of behavior for PMC population. Given that
468 expected response of PMC to mountain varies for each case, probably depending on the
469 background synoptic patterns, the statistical approach like this study is useful to
470 comprehensively understand the characteristics of PMC in the studied area.

471 In addition to the orographic effects, SST has known to affect the development of PMCs.
472 PMC is likely to be modulated by variability of local sea surface conditions (e.g., Kolstad and
473 Bracegirdle 2017). Furthermore, variations in SST and sea ice distribution can modulate the

474 pattern and frequency of PMC genesis at various timescales, e.g., interannual to decadal.
475 To clarify the trend of PMCs within such timescales, it will be necessary to analyze many
476 PMC examples using long-term high-resolution numerical simulations that have recently
477 become possible. It is also important to consider the effect of air-sea interaction. The
478 numerical experiment in this study was conducted by an atmospheric model which does not
479 predict oceanic response to modified atmospheric circulation. This offline ocean setting
480 might underestimate the reduction of PMC genesis due to the removal of mountains. The
481 accelerated low-level westerly and intensified cold air outbreaks in NoMt experiment
482 enhance heat fluxes from the ocean and, consequently, could decrease SST (Kawamura
483 and Wu 1998). The formation of PMC could be further reduced over the colder SST
484 (Watanabe et al. 2017) in comparison to our NoMt experiment. To examine the role of air-
485 sea interaction, future studies require a coupled model experiment with sufficient high spatial
486 resolution and with better ability in simulating planetary boundary layer processes and
487 mesoscale convection.

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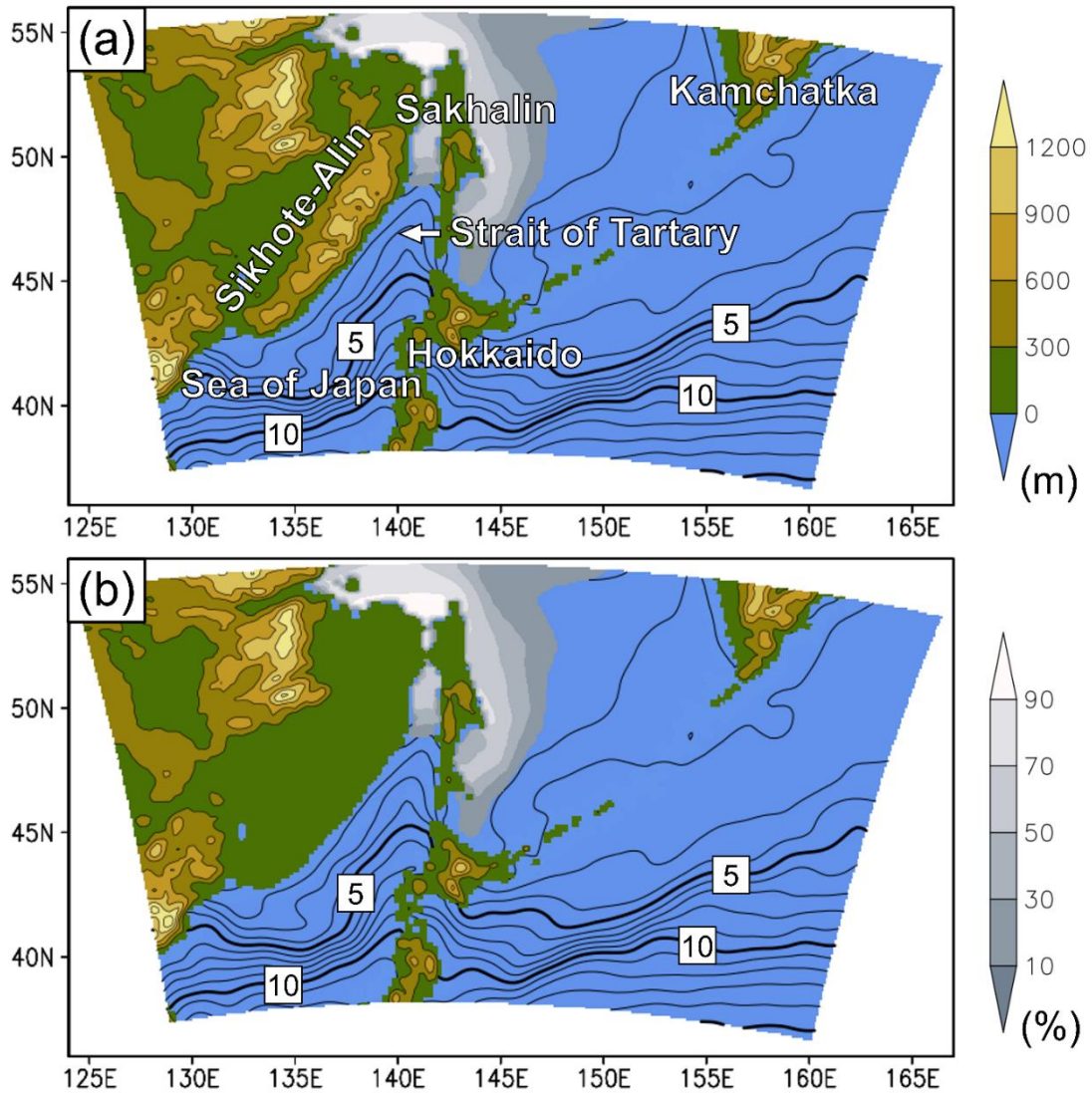
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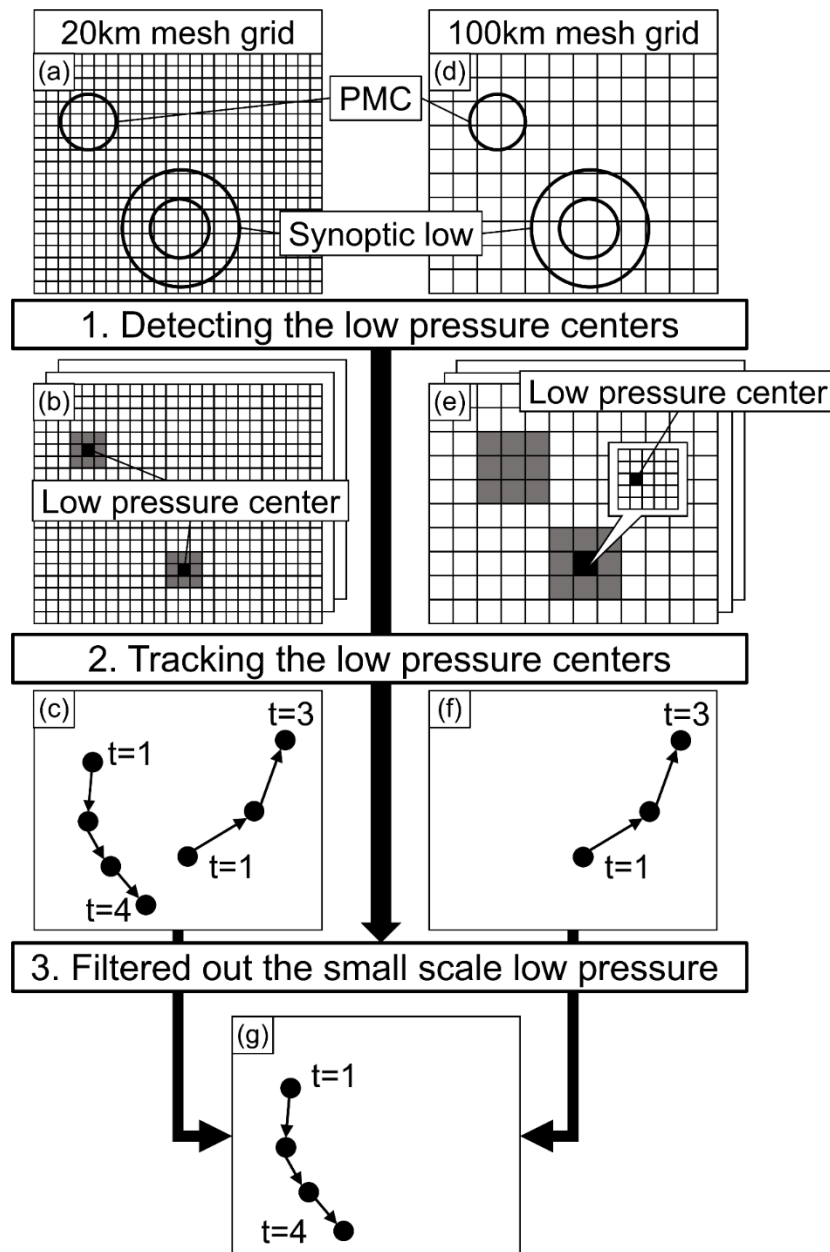
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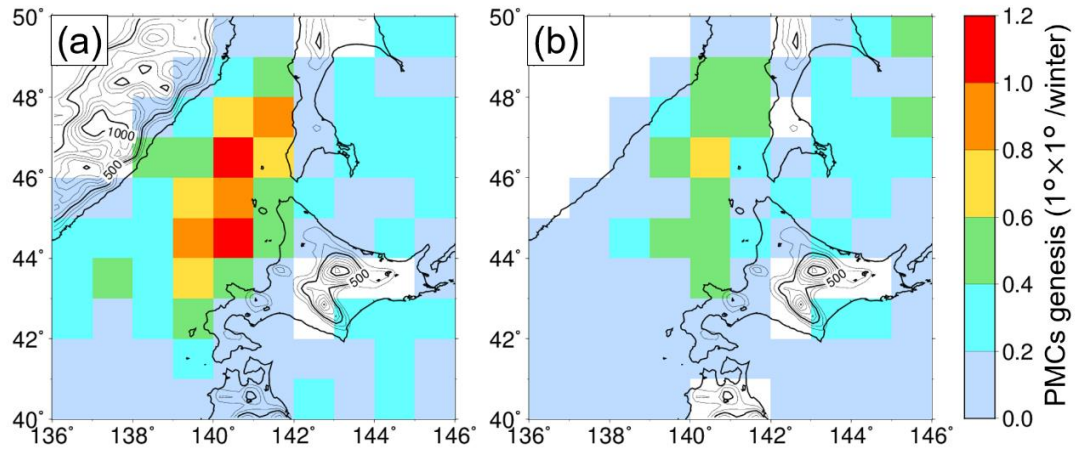
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595 Fig. 1 Topography (color; m), January mean SST (contours; interval 1°C), and distribution
 596 of sea ice concentration (gray shading, %) around Hokkaido in the numerical simulations.
 597 Topography shown in (a) was used for the REAL experiment, while that shown in (b) was
 598 used for the NoMt experiment.



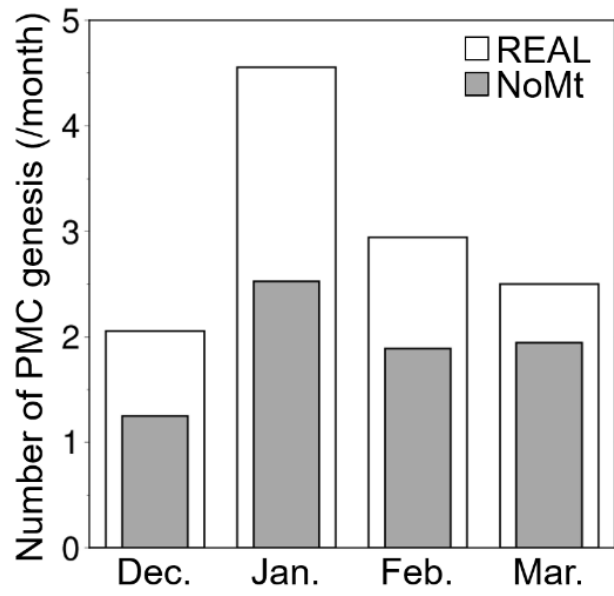
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600 Fig. 2 Schematic of the workflow of the PMC detection algorithm. (a) Original grid (20-km
 601 mesh grid) and (d) smoothed grid (100-km mesh grid). (b) and (e) Gray-filled grids indicate
 602 the search area validating whether there is a local minimum 850-hPa geopotential height
 603 within 3×3 grids. Black-filled grids indicate detected centers of low pressure. (c) and (f)
 604 Detected centers of low pressure and their tracks. (g) Track of a detected PMC. Full details
 605 are provided in the text.



606

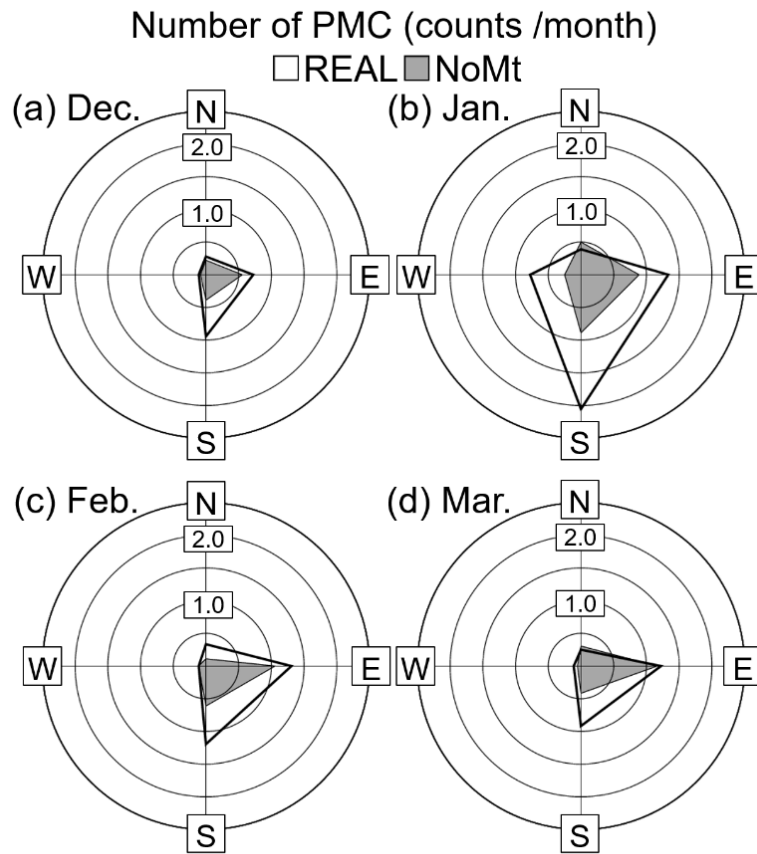
607 Fig. 3 Distribution of PMC genesis over the 36 winter seasons between December 1981
 608 and March 2017: (a) REAL experiment and (b) NoMt experiment. Colors indicate the
 609 number of PMCs generated within each $1^\circ \times 1^\circ$ box (/winter).



610

611 Fig. 4 Number of PMCs generated within the analysis domain (43°–49°N, 138°–142°E) in

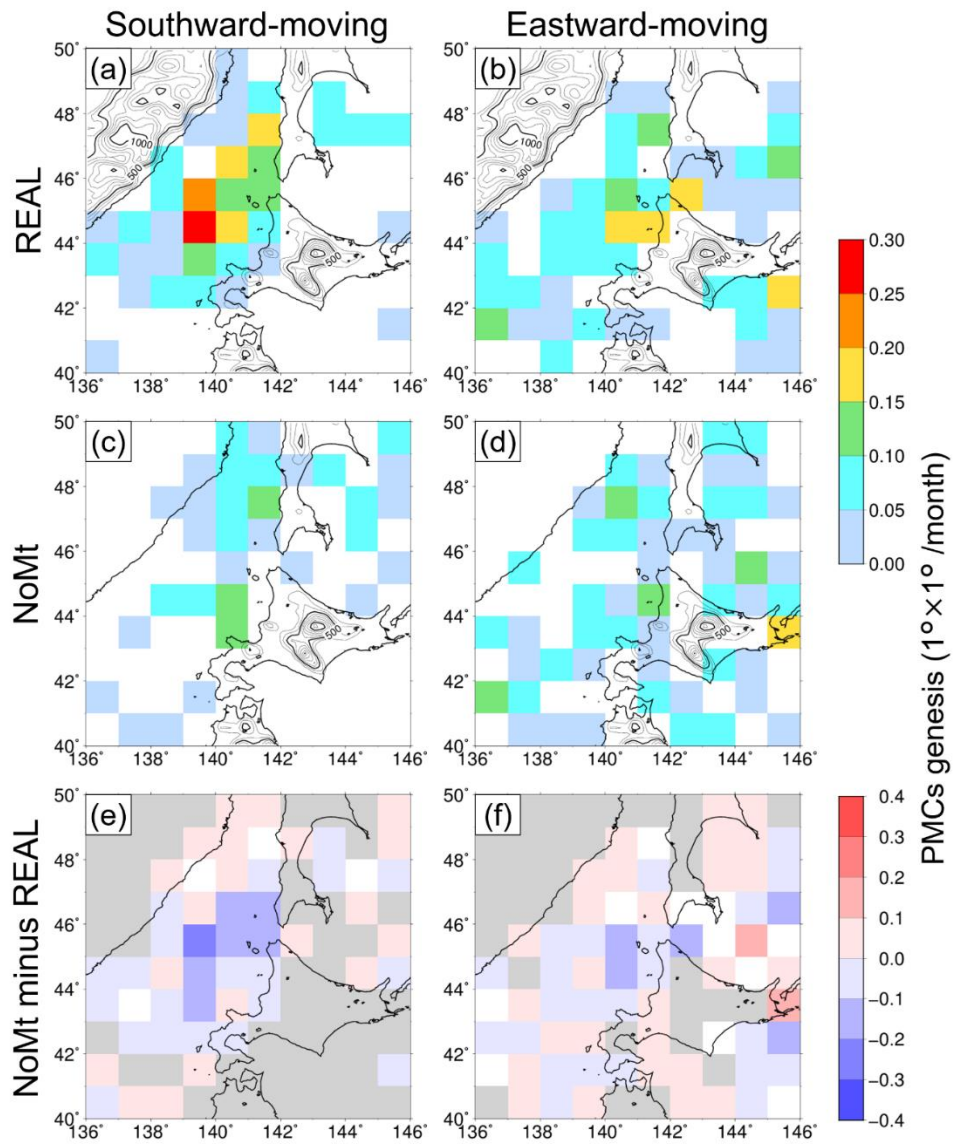
612 each month. White (gray) bar indicates REAL (NoMt) experiment.



613

614 Fig. 5 Number of PMCs moving in each of the four main cardinal directions. Black line

615 (gray shading) indicates PMCs in the REAL (NoMt) experiment.

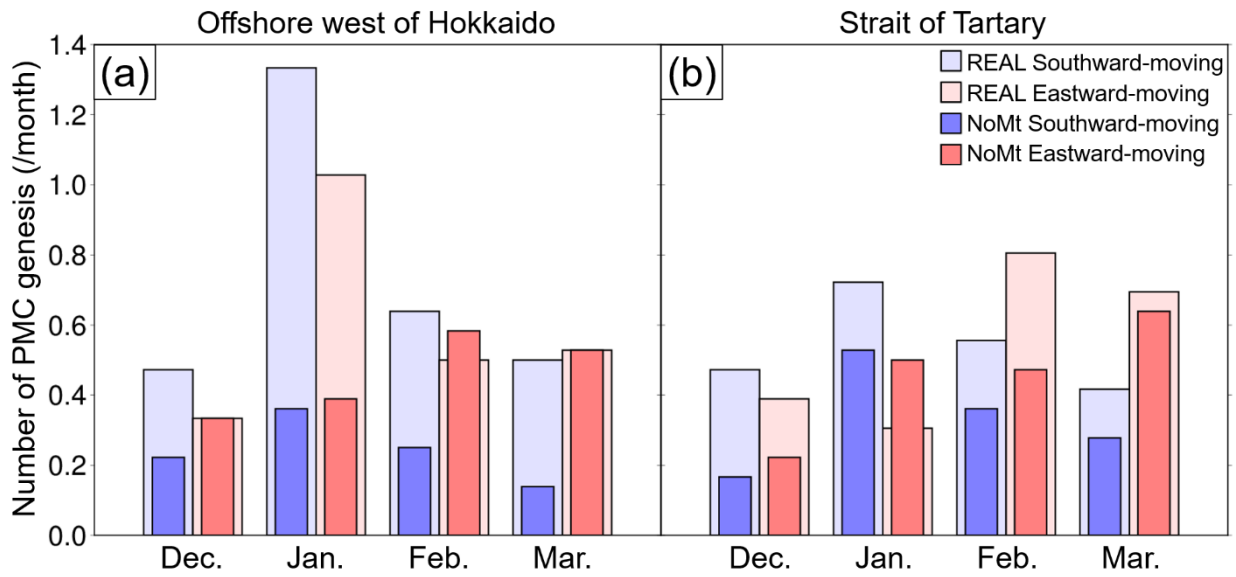


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617 Fig. 6 Distribution of PMC genesis in January: (a) and (b) REAL experiment, (c) and (d)

618 NoMt experiment, (e) and (f) difference (i.e., NoMt - REAL). Left (right) panels show

619 southward (eastward)-moving PMCs.



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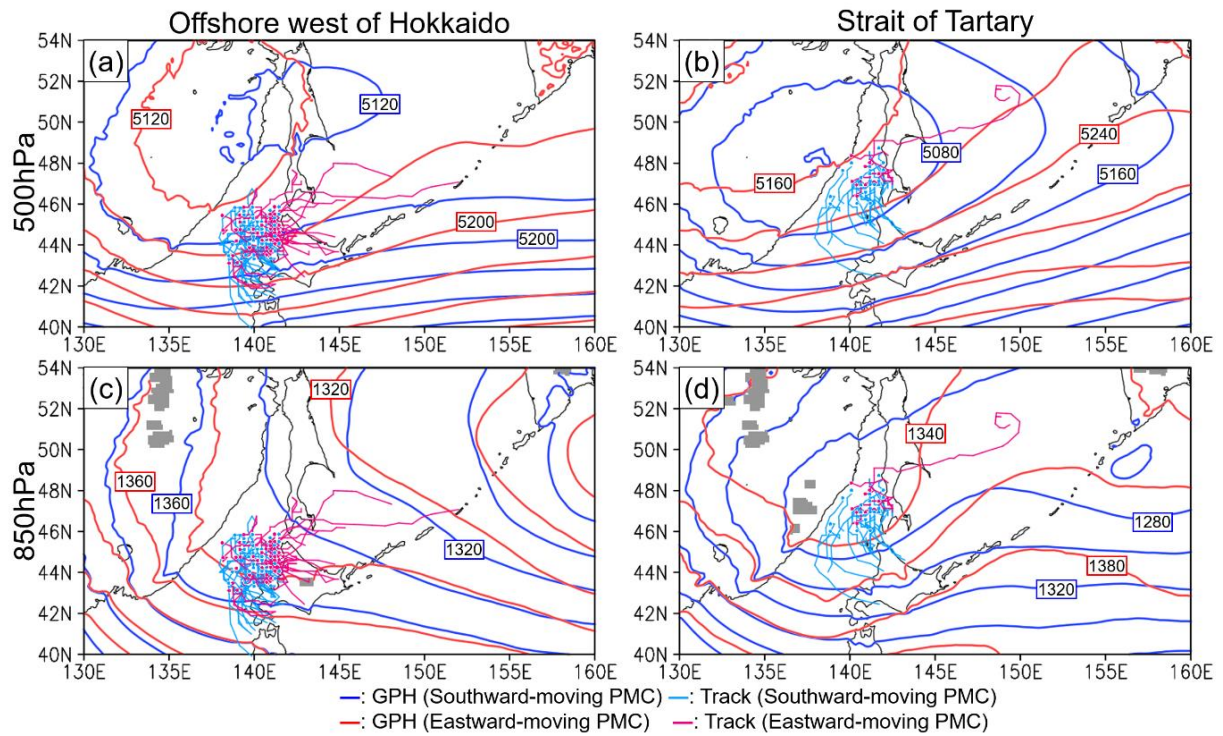
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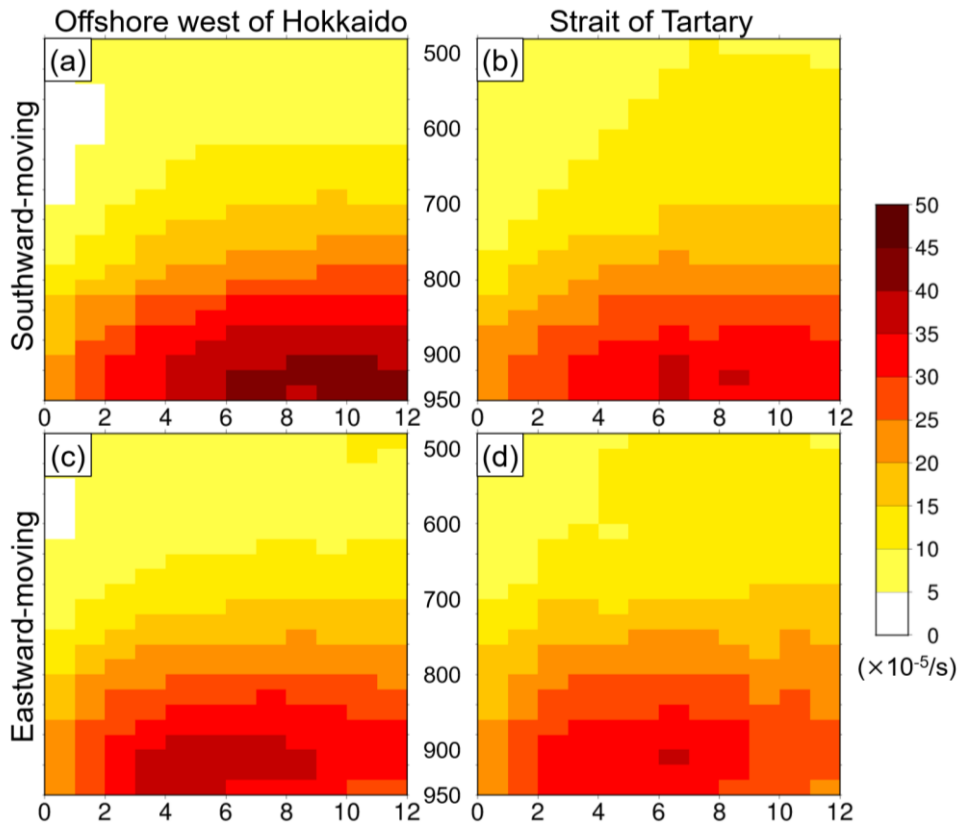
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Fig. 7 Number of PMCs generated (a) in the offshore area west of Hokkaido (43° – 46° N, 138° – 142° E) and (b) in the Strait of Tartary (46° – 49° N, 138° – 142° E). Blue (red) bar indicates the number of southward (eastward)-moving PMC genesis. Light (dark) color indicates the REAL (NoMt) experiment.



625

626 Fig. 8 Composite fields of geopotential height (contour; m) in REAL experiment at (a) and
 627 (b) 500 hPa and (c) and (d) 850 hPa. (a) and (c) offshore west of Hokkaido, (b) and (d)
 628 Strait of Tartary. Blue (red) contours indicate the geopotential height for southward
 629 (eastward)-moving PMCs. Colored dots and tracks indicate genesis location and track of
 630 PMCs (light blue: southward-moving PMCs, light red: eastward-moving PMCs),
 631 respectively.



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633

Fig. 9 Time series of the PMC's relative vorticity composited at each pressure height

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until 12 hours after the PMCs genesis: (a) and (c) PMCs generated offshore west of

635

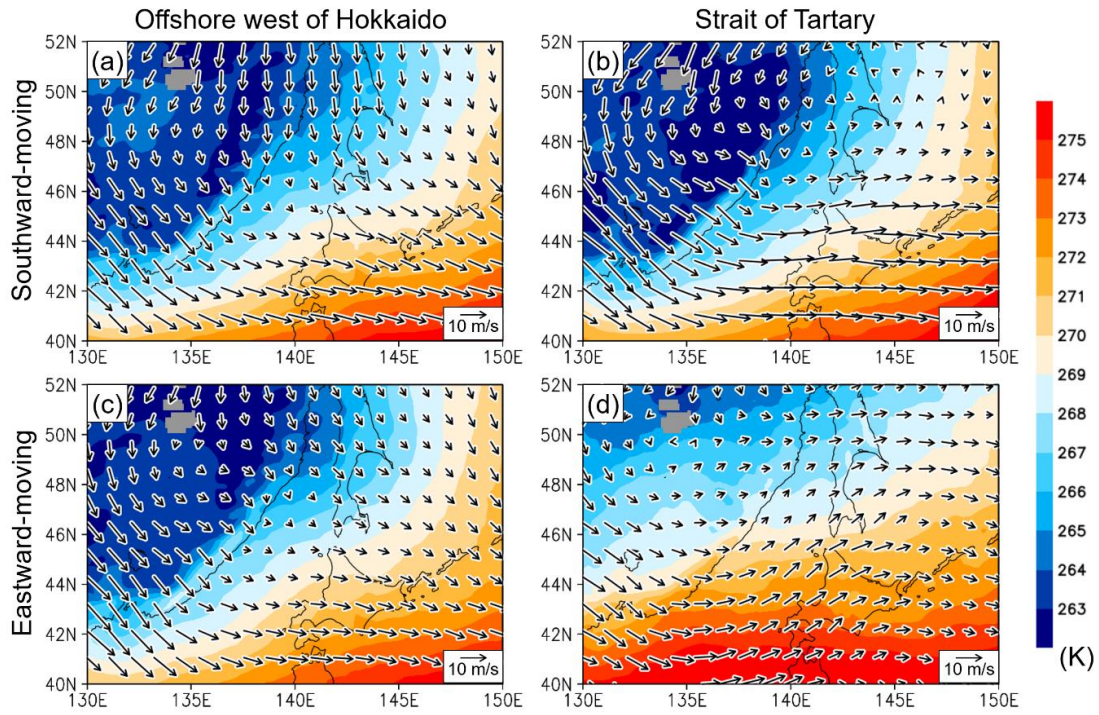
Hokkaido, (b) and (d) Strait of Tartary. (a) and (b) for southward-moving PMCs and (c) and

636

(d) for eastward-moving PMCs. The vorticity is averaged within the 60 km radius from the

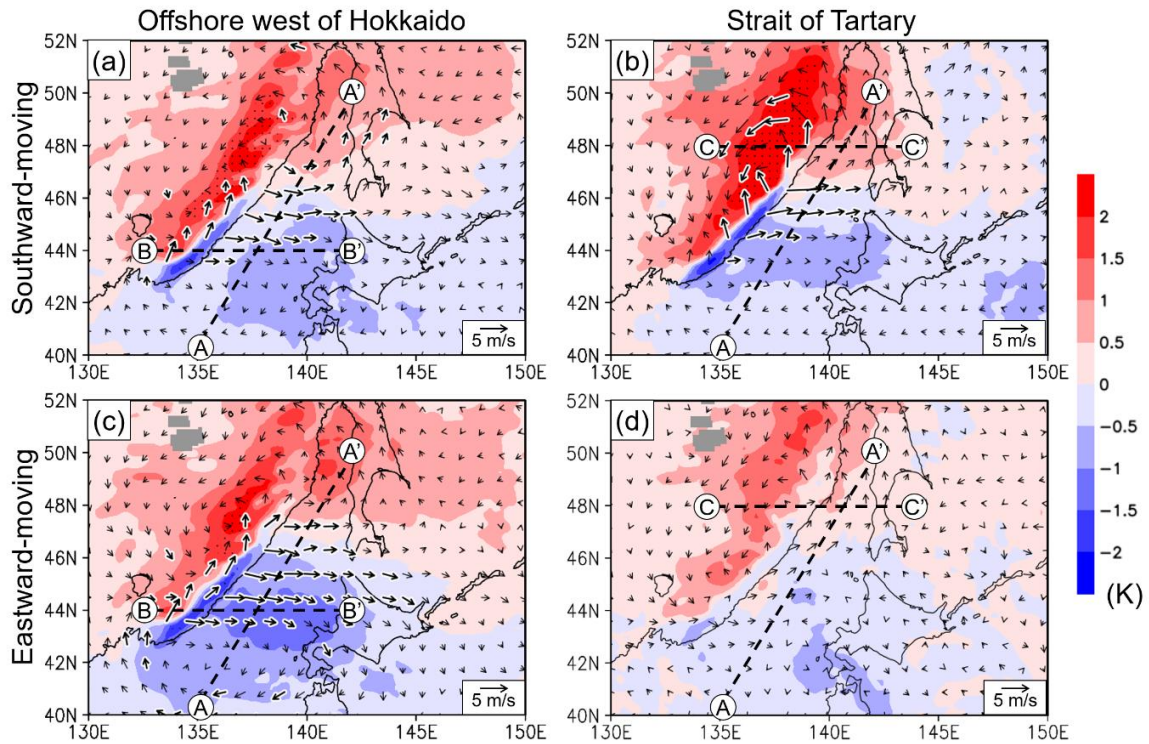
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center of PMC.



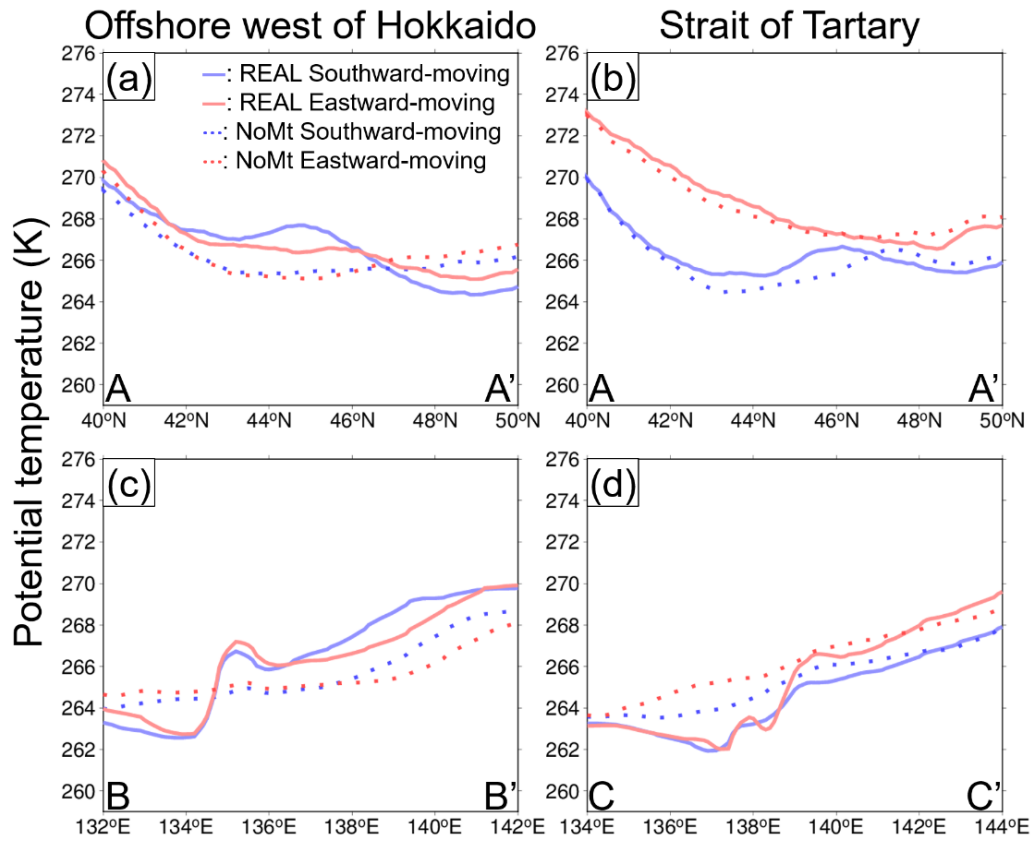
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639 Fig. 10 Composite fields of potential temperature (shading; K) and horizontal winds
 640 (vectors) at 850 hPa for the 12 hours before PMC genesis: (a) and (c) offshore west of
 641 Hokkaido, (b) and (d) Strait of Tartary. (a) and (b) represent southward-moving PMCs and
 642 (c) and (d) represent eastward-moving PMCs.



643

644 Fig. 11 Composite fields of the differences between REAL experiment and NoMt
 645 experiment (NoMt - REAL) in potential temperature (shading; K) and horizontal winds at
 646 850 hPa (vector) for the 12 hours before PMC genesis: (a) and (c) offshore west of
 647 Hokkaido, (b) and (d) Strait of Tartary. (a) and (b) represent southward-moving PMCs and
 648 (c) and (d) represent eastward-moving PMCs. Dots and thick arrows indicate statistical
 649 significance at the 5% level for the difference between the experiments (Welch's t-test)
 650 Potential temperatures over the dash lines are shown in Fig. 12.

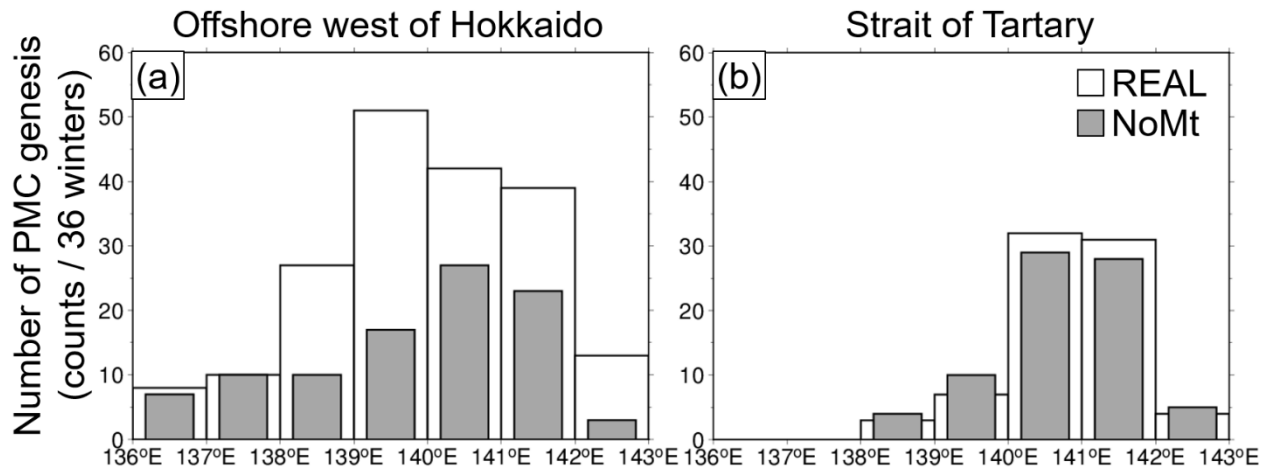


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652 Fig. 12 Potential temperature (K) along the lines in Fig. 11: (a) and (b) A–A', (c) B–B', (d) C–

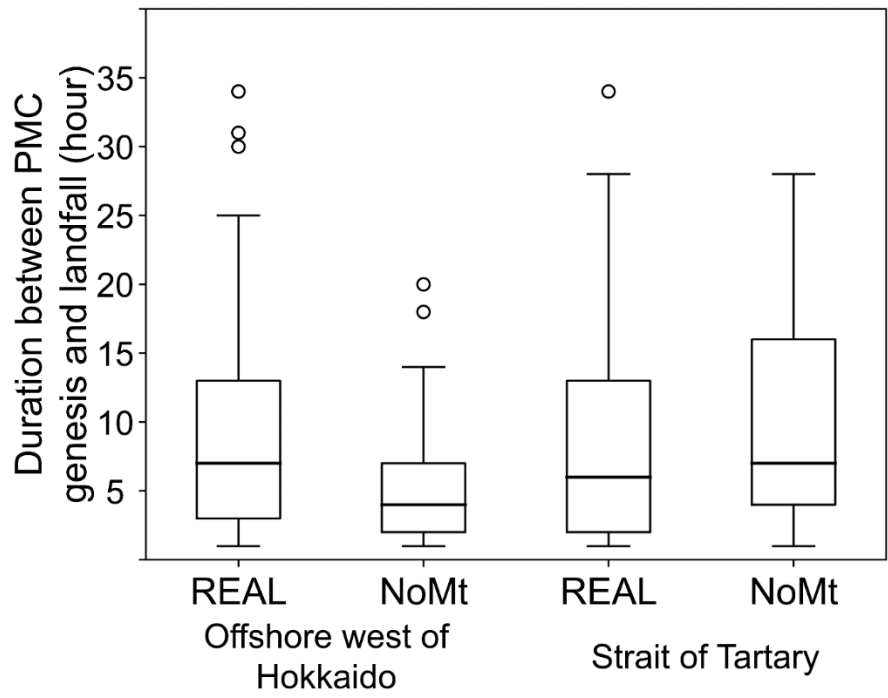
653 C'. Solid (broken) lines indicate REAL (NoMt) experiment. Blue (red) color shows

654 southward- (eastward-) moving PMCs.



655

656 Fig. 13 Number of PMCs generated at each longitude. White (gray) bar indicates the REAL
 657 (NoMt) experiment. Numbers given here include PMCs whose lifespan was longer than
 658 six hours.

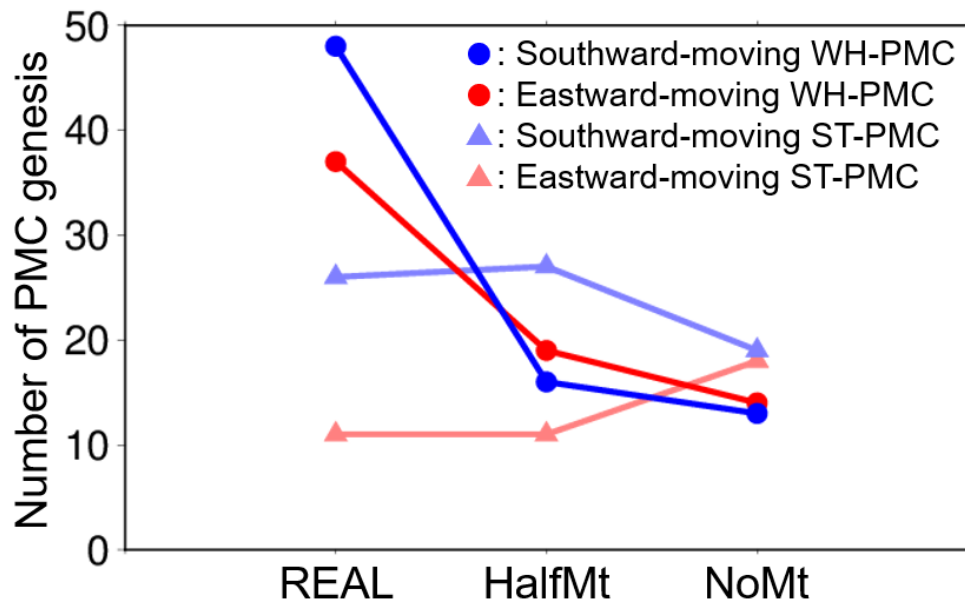


659

660 Fig. 14 Duration between PMC genesis and landfall. Results given here include PMCs

661 whose lifespan is longer than six hours.

662



663

664 Fig. 15 Comparison of PMC genesis number within the analysis domain (43°–49°N, 138°–
 665 142°E) in January for three different mountain height setting. HalfMt experiment uses
 666 halved topography only for the Sikhote-Alin mountain range.

667 Table. 1 Comparison of PMCs between REAL experiment and NoMt experiment.

Offshore west of Hokkaido (WH-PMCs)		REAL⇒NoMt	
		Southward- and eastward-moving	
Number of PMCs genesis	Decreased		
Westerly wind from the continent	Intensified and become horizontally uniform		
Horizontal wind shear (northerly – northwesterly)	Shifted to the north (from offshore west of Hokkaido to Strait of Tartary)		
Zonal potential temperature gradient	Shifted to the east (from offshore west of Hokkaido to near the west coast of Hokkaido)		
Meridional potential temperature gradient	Weakened		
Strait of Tartary (ST-PMCs)		REAL⇒NoMt	
		Southward-moving	Eastward-moving
Number of PMCs genesis	Unchanged		Unchanged
Westerly wind from the continent	Intensified and become horizontally uniform		Unclear
Horizontal wind shear (northerly – northwesterly)	Slightly shifted to the north (Strait of Tartary)		Unclear
Zonal potential temperature gradient	Unclear		Unclear
Meridional potential temperature gradient	Shifted to the north (around 47°N to 48°N)		Unclear