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| r rojected changes of extremely coor summer days  |
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| over northeastern Japan simulated by 20 km-mesh   |
| large ensemble experiment   |
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#### Abstract

This study investigates future changes to extremely cool days (ECDs) during 20 21 the summer (June-August) season in northeastern Japan by applying selforganizing map (SOM) technique to large ensemble simulations from the 22 23 "database for Policy Decision making for Future climate change" (d4PDF). Two separate SOMs, one trained on mean sea level pressure using a combination of 24 JRA-55 reanalysis and d4PDF to evaluate model performance, and a "master" 25 26 SOM, which trained the SOMs using historical, +2K, and +4K simulations, were 27 created to investigate possible climate change impacts to future ECDs. For model evaluation, summer climatology and ECDs were confirmed to occur with similar 28 29 frequencies between circulation patterns in the JRA-55 and d4PDF. Surface temperature anomalies and horizontal wind composite from several high 30 frequency ECD nodes exhibit similar spatial patterns for all days and ECD 31 occurring in the node, with ECD composites depicting particularly strong 32 northeasterly winds, commonly referred to as Yamase, blowing from high 33 34 latitudes toward northeast Japan. Future changes using "master" SOMs suggest a gradual shift (from +2K to +4K) in preferred circulation patterns that result in 35 ECDs, with the greatest increase in frequency associated to those with a strong 36 37 low pressure system off eastern Japan and a moderate intensity Okhotsk Sea 38 high, and decreased ECDs to those with either a strong Okhotsk Sea high or westward extension of the North Pacific high. Lastly, changes to the intensity of 39 40 future ECDs are investigated by examining low level thermal advection. Results suggest that circulation patterns associated with increased ECD frequency 41 coincide with those with very strong cold air advection for all climates, though the 42

magnitude differs based on circulation patterns. Future changes show a
weakening cold air advection and decreasing ECDs, due in large part to
weakening meridional temperature gradient east of Japan.

46 **Keywords:** yamase; self-organizing maps; large ensemble; d4PDF.

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# 48 **1. Introduction**

Northeastern Japan periodically experiences prolonged days of abnormally 49 cool temperatures during the summer season. While the mechanism, timing, and 50 duration may vary, they are often caused by enhancement and stagnation of the 51 Okhotsk Sea high (OKH) and surface cyclones off the southern or eastern coast 52 53 of central Japan. These conditions are favorable for transporting cool maritime air mass towards the Pacific coast of northern Japan. As this air mass propagates 54 from the region with cooler sea surface temperatures (SSTs) to the north toward 55 warmer SSTs and ample moisture to the south, low-level maritime clouds form 56 near the Pacific coast of northeastern Japan (Sanriku coast; Fig. 1), diminishing 57 58 solar radiation and lowering coastal temperatures. Such events are accompanied 59 by northeasterly wind, often called Yamase winds, which has been extensively studied (e.g., Nimomiya and Mizuno 1985; Kanno 2004; Takai et al. 2006; 60 61 Shimada et al. 2014), particularly since the record cool summer of 1993 (Kodama 62 1997). In 1993, the Tohoku region (northeastern Japan), which accounted for ~28% of the nationwide rice produced in 1992, experienced several weeks of 63 64 persistent cloud cover and abnormally low surface temperatures. Due in large part to these conditions, the region experienced a 44% deficit in annual rice yield, 65 with three Tohoku prefectures bordering the Pacific Ocean (Aomori, Iwate, and 66

Miyagi) experiencing nearly 70% yield deficits (Ministry of Agriculture, Forestry and Fisheries, 2019). Mitigation measures were quickly implemented in the following year, as cultivars such as Hitomebore (a progeny of Koshihikari), which are more tolerant of cooler than average summer temperatures, largely replaced the popular Sasanishiki (Nagano et al. 2013).

However, very few have investigated how global warming may influence the 72 frequency and intensity of abnormally cool days in future climates. In the limited 73 74 number of literature that exists, Endo (2012) used 18 Atmosphere-Ocean GCMs (AOGCM) from Coupled Model Intercomparison Project Phase 3 and showed the 75 frequency of Yamase, defined as 10-11 day averaged northeasterly winds in 76 77 northern Japan, decreases in May and increases in August by the end of the century. Kanno et al. (2013) examined projected changes in Yamase, defined as 78 monthly averages when north-south pressure differences over the Tohoku region 79 were positive, using the Model for Interdisciplinary Research on Climate (MIROC) 80 AOGCM. Their work concluded that while there are durations in the near future 81 82 that may experience a slight decrease in Yamase events, no significant changes were seen through the end of the 21<sup>st</sup> century. Studies by lizumi et al. (2007) and 83 Kanda et al. (2014) have examined how future cool summers may impact rice 84 85 production in northern Japan.

Despite the limited sample of climate change studies, all have more or less reached a consensus in suggesting that Yamase or cool summer events will continue to persist in a warmer climate, as will the risks to the agricultural sector. In this study, we examine how climate change is projected to alter extreme cool days in northeast Japan during the summer season. We make use of the large

91 ensemble climate simulations from the "database for Policy Decision making for Future climate change" (d4PDF; Mizuta et al. 2017; Fujita et al. 2019) for 92 93 historical and future-scenario climates. More specifically, we aim to evaluate variability in synoptic pressure patterns during extremely cool days. Furthermore, 94 95 we examine whether certain patterns change in future frequency and if similar pressure patterns result in different local scale conditions. To do this, we utilize 96 an increasingly popular method in identifying patterns from large multivariate 97 98 datasets, known as self-organizing maps (SOM; Kohonen 1995). SOMs, described in section 2, is a type of artificial neural network that utilizes an 99 unsupervised learning method to produce a user-defined classification of 100 101 distinguishable patterns in the dataset. Implementation of SOMs in climate research can be seen in a wide range of studies, from evaluation of circulation 102 patterns associated with the North Atlantic Oscillation (Reusch et al., 2007), to 103 patterns associated with extreme precipitation events (Ohba et al., 2015, Swales 104 et al., 2016, Osakada and Nakakita 2018). Section 2 also details the methodology 105 106 of this study. Section 3 highlights climate model performance in reproducing 107 extremely cool days, future changes in the frequency of climatological summer 108 circulation patterns, and how extremely cool days may change as a result of 109 climate change. A summary is offered in section 4.

110

# 111 2. Methodology

112 2.1 Dataset

Ensemble simulation was performed using the Meteorological Research
 Institute atmospheric general circulation model (MRI-AGCM) version 3.2 with a

115 horizontal grid spacing of 60 km (Mizuta et al. 2012). For historical simulations, sea surface temperature perturbations ( $\delta$ SST) are used to create a 100 member-116 117 ensemble spanning 60 years (1951-2010). For future-scenario simulations, six SST patterns ( $\Delta$ SST) from the CMIP5 AOGCMs (CCSM4, GFDL-CM3, 118 HadGEM2-AO, MIROC5, MPI-ESM-MR, and MRI-CGCM3) were added to 119 observed SST (after long-term trends are removed) to represent a climate +2K 120 (2031-2090) and +4K (2051-2110) warmer than pre-industrial levels. For each 121 122  $\Delta$ SST, 9 (15)  $\delta$ SST are applied to the initial conditions, creating a 54 (90) member 123 +2K (+4K) ensemble. Regionally downscaled climate simulations of AGCM ensembles were conducted using the non-hydrostatic regional climate model 124 125 (NHRCM), with a horizontal grid spacing of 20 km (Sasaki et al. 2011). The d4PDF ensemble dataset provides a total of 50 ensemble members for the 126 historical climate and 54 (90) ensembles for the +2K (+4K) climate simulations. 127

128 For model verification, surface temperatures from the regional downscaling data (DSJRA-55; Kayaba et al. 2016) based on the Japanese 55-year Reanalysis 129 130 (JRA-55; Kobayashi et al. 2015; Harada et al. 2016) is used. For this study, we extract temperature data from 507 DSJRA-55 and 49 NHRCM model grid points 131 covering the coast of northeast Japan (Fig. 1). All grids are less than 500 m in 132 133 elevation, with a land-ocean ratio greater than 0.5. This region was specifically 134 chosen based on commonality in location with previous studies (Kanno 1997) and to limit temperature readings at higher elevations, which may be affected by 135 136 local effects unrelated to our analysis. We opted to use the DSJRA-55 as opposed to Automated Meteorological Data Acquisition System (AMeDAS) due 137 to its finer spatial distribution (5 km for the DSJRA-55, ~17 km for AMeDAS for 138

precipitation but coarser for temperature reports), and longer historical data over
a larger portion of northern Japan. To analyze surface winds and mean sea level
pressure (MSLP), we utilize the JRA-55 with 55 km grid spacing, which is the
same surface resolution as the MRI-AGCM.

143 2.2 Extremely cool day definition

We define extremely cool days (abbreviated ECD hereafter) as events when 144 daily mean temperature anomalies in June-August (JJA) fall below the 5th 145 146 percentile. To determine percentiles, daily climatology for each JJA day (92 days) 147 is computed and then smoothed by a 10-day running mean between 1958-2010 from DSJRA-55 and 1951-2010 for each NHRCM ensemble member. 148 149 Temperature anomalies are then calculated for each day, and percentiles are determined from all days. For the NHRCM ensemble, the 5<sup>th</sup> percentile from 48 150 ensembles (48 ensembles x 60 years x 92 days = 264,960 days) is extracted. 151 The same method is performed for both the +2K and +4K climate, though 152 extremes are extracted per  $\Delta$ SST pattern (8 ensembles x 60 years x 92 days = 153 154 44,160 days), and not over the entire future climate ensemble. This was done to 155 minimize the influence of local SST perturbations that tend to over-produce ECDs 156 for certain  $\Delta$ SST (briefly explained in section 3.3). For ECDs, each  $\Delta$ SST is 157 examined using equal number  $\delta$ SSTs (6  $\Delta$ SST x 8  $\delta$ SST), so each  $\Delta$ SST is equally sampled and matches the historical ensemble. Furthermore, only days 158 with at least 10 model grid points (100 for DSJRA-55) exhibit extremely cool 159 160 temperatures simultaneously are extracted to investigate ECDs that affect a wider 161 area. The number of simultaneous grid points to be classified as widespread is arbitrarily set to be around one-fifth of the total number of grids extracted from 162

163 each source. The combined ECDs from all ensemble simulations totals 45,681 days. Spatial distribution of DSJRA-55 and NHRCM historical temperature 164 165 anomalies composited for all ECDs are shown in Fig. 2. The NHRCM reproduces strong negative anomalies along the eastern coastline of the Tohoku region, as 166 167 well as the warming temperature anomaly from east to west. Summer ECDs in the Tohoku are often caused by low-level clouds occurring within a thin (surface 168 to ~1 km) mixed layer (Ninomiya and Mizuno 1985), allowing the mountain ranges 169 170 in central Tohoku to limit cool air from intruding to the Sea of Japan side. This 171 feature is seen in DSJRA-55, and well represented in the NHRCM, despite the coarser resolution. Similar characteristics are seen in the analyses of Yamase 172 173 winds by Takai et al. (2006), where the dominant empirical orthogonal function mode shows similar spatial coefficient function throughout northern Japan but 174 decreasing in value from the Pacific coast to the Japan Sea coast. We recognize 175 that DSJRA-55 is dynamically downscaled from the JRA-55 and should not be 176 considered as observations by itself. Therefore, we also compared spatial 177 178 distribution with observed surface air temperature from AMeDAS data and 179 confirmed that DSJRA-55 is appropriate in representing ECDs seen in the real 180 world (not shown).

181

#### 182 2.2 Self-Organizing Maps (SOMs)

Comprehensive methodology of SOMs can be found in a growing number of peer-reviewed literature (e.g., Hewitson and Crane 2002; Gutowski et al. 2004; Nishiyama et al. 2007; Cassano et al. 2015), but key points are highlighted here. The primary purpose of the SOM algorithm (the SOM\_PAK program is used for

187 this study, which can be downloaded at http://www.cis.hut.fi/research/somresearch) is to reduce high-dimensional data to a smaller array of characteristic 188 189 patterns and arranged in a two-dimensional, visual friendly pattern map. The term self-organizing refers to the unsupervised, iterative learning process in which the 190 191 map is updated continuously without human intervention or comprehensive knowledge of the input data. However, prior understanding will aid in setting 192 appropriate training parameters. For each input, the SOM algorithm selects a 193 194 reference node (also referred to as neurons or centroids) with the smallest 195 Euclidian distance out of all reference nodes, and the selected node is designated as the best matching unit. The selected node and its topological neighbors are 196 197 then updated toward the input vector, with nodes closest to the best matching unit experiencing the greater adjustment. This training is repeated over many 198 iterations until the map converges to a steady-state, with nodes with similar 199 patterns residing close to one another, and contrasting patterns placed further 200 apart. Several trial-and-error runs are performed by deciding on an appropriate 201 202 initialization parameter, number of nodes, neighbors that will be influenced by the 203 input (radius), and the number of iteration steps for the learning process. For 204 radius size, half the lowest dimension commonly used (e.g., Nishiyama et al. 205 2007, Loikith et al. 2017), while the number of iterations is suggested to be at least 500 times the number of nodes in the SOMs map (Kohonen 1995). In 206 207 general, the higher the number of nodes, the more detailed the classification, but 208 may produce many nodes with little to no distinction between its neighbor, in addition to longer computational time. Smaller maps provide representative 209 groups for the most dominant features with less computing time but may dilute 210

the variability present in the dataset, especially rare events. Choosing the right number of nodes is somewhat arbitrarily but should balance the benefits and drawbacks of both a large and small map.

In determining the most appropriate SOMs map for our study, a co-variate of 214 quantization error (QE) and Sammon map (Sammon 1969), both standard 215 outputs from the SOMs algorithm, are examined. In short, QE represents the sum 216 of mean squared distance between each input and the representing best 217 218 matching unit, while Sammon map is a two-dimensional representation of Euclidian distances between each node. A "flat" Sammon map is preferred over 219 a "twisted" or "folded" map, as it provides clearer relationships between 220 221 neighboring nodes and a stable learning process. To determine the flatness of the map, we follow the twistedness index (TI) approach introduced by Cassano 222 et al. (2015), to produce a quantitative value of the magnitude of Sammon map 223 flatness. A perfectly flat map will have an index of 1, while higher values signal an 224 225 unstable learning process, leading to a more distorted map (Fig. S1). Ideally, the 226 most appropriate SOM are those with minimal QE and TI.

227 For the purpose of model evaluation, we train the SOM algorithm on MSLP patterns over our analysis domain (Fig. 1) using all JJA days (1958-2010) from a 228 229 combination of JRA-55 and one randomly selected MRI-AGCM ensemble member (53 years x 92 days x (1 JRA-55 + 1 MRI-ACGM) = 9,752 days). The 230 synthesis of both the reanalysis and model circulations when training the SOM is 231 232 a common approach when evaluating model performance (Schuenemann and Cassano 2009; Skific et al. 2009; Jaye et al. 2019). Others have used reanalysis 233 MSLPs on its own (Wang et al. 2015; Gibson et al. 2016) to determine dominant 234

synoptic patterns. Either method, however, was determined to be equally useful
for model evaluation. Grid points with an elevation higher than 1000 m is omitted
prior to SOM training, so the best matching unit is determined from synoptic-scale
characteristics and not by differences in how each dataset represents complex
topography.

Figure S2a shows the relationship between QE and TI dependent on node 240 size and the number of iterations. It is seen that increasing the number of nodes 241 242 will lower the QE but produces larger than desirable TI. Also, too many iterations 243 will decrease QE but increase TI. Ten million iterations, for example, results in rapid TI increases at smaller node sizes than lower iterations, while providing only 244 245 small improvements to QE compared to three million iterations. At larger nodes, we notice the greatest inter-iteration advantages in QE, with a difference of ~25 246 at node size 360 compared to ~4 at node size 15. Based on these results, we 247 opted to train the SOMs using a 7x5 map (35 nodes), radius of 3, gaussian 248 neighborhood function, and three million iterations for model evaluation, which 249 250 represent an appropriate balance of low QE and TI. Our decision is still subjective 251 and not determined by a specific QE or TI thresholds. However, the number of nodes in past studies range from 20 to 50 nodes (Cassano et al. 2007; Johnson 252 253 et al. 2008; Glisan et al. 2016; Fujita et al. 2019), so 35 nodes are determined to be appropriate in capturing the diversity of synoptic patterns. Once the training 254 255 procedure is completed, the map is then used to distinguish similar patterns from 256 the JRA-55 and the one randomly selected MRI-ACGM ensemble. The choice of a rectangular SOM (7x5) orientation over a square SOM (i.e., 7x7 or 5x5) is based 257 on Kohonen (1995), which suggests a more effective learning process for the 258

map to reach steady form. However, we find this to have negligible effects on ourstudy.

To examine the impact of climate change on the synoptic circulation patterns 261 inducing ECDs, we create a new "master" SOM, which trains the SOM using the 262 historical, +2K, and +4K simulations for all JJA days, so a range of plausible 263 historical and future MSLP patterns is considered (Schuenemann and Cassano 264 2010; Gibson et al. 2016). The SOM is trained using the same domain as the 7x5 265 map. For computational efficiency, the master SOM is created using 12 MRI-266 AGCM ensembles for historical, +2K (6  $\triangle$  SST x 2  $\delta$ SST), and +4K (same as +2K), 267 268 for a total of 198,720 days (12 ensembles x 3 simulations x 60 years x 92 days), 269 instead of the full 48-member ensemble. Lastly, we use 48 MRI-AGCM and 270 NHRCM ensembles (2880 years) to extract ECDs, as mentioned earlier, and the master SOM is used to determine preferable circulation patterns that result in 271 ECDs. A larger SOM (15x13, 195 nodes) is used, with adjustments being made 272 to the number of iterations and neighborhood radius (800,000 iterations and 273 radius of 7), once again using QE and TI relationship as reference (Fig. S2b). 274 Master SOMs with many nodes have proven effective in examining variability in 275 circulation patterns, and changes to meteorological extremes using d4PDF 276 277 (Ohba and Sugimoto 2020).

278

279 **3. Results and Discussion** 

# 280 **3.1 Model evaluation from SOMs**

The SOM trained on all JJA days from a combined MSLP input of JRA-55 and MRI-AGCM (Fig. 3) produced 35 dominant circulation patterns for East

283 Asia/North Pacific domain. The left column nodes depict differing positions of the western Pacific high, with the former intruding northwest towards Bering/Okhotsk 284 285 Sea, while the latter remains well southeast of the analysis domain, along with a surface low near the Bering Sea or Eurasian landmass. The right column nodes 286 show the intensified OKH, with nodes 28 and 35 exhibiting weaker OKH relative 287 to node 7 and 14, and is accompanied by a surface low near the Bering Sea, and 288 south and east of Japan (~25°-40°N, 130°-160°E; EJL (east Japan low) hereafter). 289 290 Figure 4a and 4c show the frequency of days each node is accessed for all JJA 291 days in reanalysis and d4PDF. It reveals that the highest frequency is patterns similar to ones represented by node 1, 7, 29, and 35 for both JRA-55 and d4PDF. 292 293 On the other hand, d4PDF show lower frequencies in the bottom row of nodes (node 23 to 35) while over-producing much of the nodes on the top half of the 294 map (node 1 to 21) compared to reanalysis. The right-most nodes are likely to 295 296 promote Yamase winds to flow towards northern Japan, resulting in anomalously cool summer days. This is confirmed in Fig. 4b and 4d, where the percentage of 297 298 ECD is greatest in node 35 for both the JRA-55 and d4PDF, with high 299 percentages also seen in node 1, 7, and 21. Node 6 and node 28 exhibit the largest difference in frequency, but this likely attributed to subtle differences 300 301 between MSLP that end up selecting the best matching unit of a neighboring node. 302 We conclude that d4PDF model does an adequate job producing JJA climatology and ECDs under similar circulation characteristics to those seen in JRA-55. 303

Figure 5 shows composites for node 35 from JJA climatology and ECDs, which is a node with among the highest ECD frequency for JRA-55 and d4PDF ensemble. Composites reveal similar intensity and location of the OKH and a

307 strong low near the Bering Sea for both climatology and ECDs. The presence of OKH provides a more distinct couplet with the surface low to the east, allowing 308 stronger transport of cold air from the Okhotsk Sea into the region. Tachibana et 309 al. (2004) indicated that cold anomalies in northern Japan are not as a result of 310 311 OKH by itself but require a corresponding cyclonic anomaly in the northern North Pacific. This is quite clear in our results in Fig. 5 and Fig. S3. As a result, stronger 312 cold air advection is likely enhanced through the noticeability stronger surface 313 314 winds approaching northern Japan, and the lack of, or weak northeasterlies like 315 what is seen in Fig. S4a and S4c are shown to be unfavorable in producing ECDs. These details substantiate the usefulness of SOMs in preserving vital differences 316 317 between ECDs and climatology, which would have been lost if all events within each node were composited. These results may also imply that 35 nodes may 318 not be sufficient when seeking these details. While the size of the map is still 319 320 appropriate for model evaluation, as examining extremes with observations using a greater number of nodes would limit the statistical robustness for each node, 321 322 utilizing the d4PDF would certainly allow for such trials to be applied. We 323 investigate this in the next section.

324

# 325 **3.2** Changes of ECDs in the near future and the end of this century

As model evaluation through comparing spatial distribution of temperature anomaly (Fig. 2) and circulation patterns (Fig. 3-5) lends confidence in the model's ability to produce ECDs without significant discrepancies, we next examine changes to ECDs by creating a master SOM. The master SOM (Fig. 6) shows a diverse collection of circulation patterns, ranging from strong influence

from the OKH at the top right of the map, strong EJL on the bottom right, strong North Pacific high near the south of the domain on the bottom left, and a northern presence on the center-left. The larger number of nodes adds additional details that were diluted by the smaller map from the model verification step (Fig. 3).

Figure 7a shows several nodes of preferred circulation patterns for ECDs in 335 the historical climate using the master SOM. While many nodes show ECD 336 occurrences, we focus on four clusters with the highest cumulative ECD 337 338 frequencies. Each cluster contains four nodes, which allows them to be representative of four distinct MSLP patterns. These four clusters represent 339 noticeable differences in synoptic circulations. ECD1 shows a dominant OKH 340 341 pattern, though the center of the high is displaced slightly east of the Okhotsk Sea and toward the Bering Sea. ECD2 highlights a moderately strength OKH and 342 a tongue of lower pressure northeastward from eastern Japan. ECD3 shows no 343 344 clear OKH pattern but instead is highlighted by a strong low-pressure center near the Bering Sea, and a relatively weak North Pacific high to the south. This pattern 345 346 is comparable to ECD patterns in Fig. 5b and 5d, demonstrating how a larger 347 SOM and larger sample sizes allow us to examine characteristics such as these that would otherwise be difficult to analyze. Lastly, ECD4 shows similar features 348 349 to that of ECD3, but with a stronger OKH feature.

Figure 7b and 7c represent changes in node frequency in +2K and +4K JJA climatology compared to the historical ensemble, with percent change for the four ECD clusters labeled. Changes in +2K are lower relative to +4K, but both show a divide in patterns that are projected to increase or decrease in future climates. The top and left parts of the map, which is highlighted by OKH/Bering Sea high

355 and westward expansion of the North Pacific high, respectively, show notable decreases in frequency. The projected retreat of the North Pacific high in future 356 climates, as suggested in previous studies (He et al. 2017; Kamae et al. 2019), 357 can be one possible reason that these patterns are projected to be less frequent 358 359 in the future. The cluster at the bottom right of the map shows a considerable increase in frequency. Circulation patterns from this cluster are dominated by a 360 low-pressure center near the southern portion of Japan and extending northeast 361 362 towards the Pacific east of Japan and suggest the presence of the Baiu front. 363 Increases in these patterns may relate to a similar onset but delayed termination of the Baiu front in future climates compared to present (Kitoh and Uchiyama 364 365 2006; Kusunoki et al. 2006). Future changes to nodes associated with ECDs in the historical climate show ECD2 to occur much more frequently, particularly in 366 the +4K climate. ECD1 and ECD3 show a lower decrease and increase, 367 respectively, while ECD4 shows very little change. Lastly, it is seen that changes 368 in dominant circulation patterns will shift gradually from historical to +2K, and from 369 370 +2K to +4K for most nodes.

371 To determine how ECDs may change beyond synoptic characteristics, we investigate the role of thermal advection in the lower troposphere during ECDs 372 373 for each of the four ECD clusters for the historical, +2K, and +4K climate (Fig. 8). 374 For each ECD cluster, differences in MSLP patterns yield different 925 hPa winds, thermal advection and temperature anomaly distribution in northern Japan. For 375 376 all historical patterns, cold air advection can be seen off eastern Japan, with the greater advection magnitudes yielding more negative surface temperatures. Also, 377 all patterns exhibit a northeasterly wind component, allowing cooler air from the 378

379 Okhotsk Sea to be transported to northern Japan. ECD1, with strong OKH features, present the weakest cold air advection among the four clusters with 380 381 warmer temperature anomalies, possibly due to the contribution of warm air from the south and winds showing a more zonal flow. Another possibility may lie in the 382 383 vertical structure of OKH, as deep OKH, as emphasized in Tachibana et al. (2004), does not supply the necessary cold air advection that shallow OKH can provide. 384 Changes in the structure of OKH in future climates would be of interest in further 385 386 studies. The other three nodes depict strong northeasterly winds and clear 387 boundaries from the warmer airflow from the south. These results suggest that a sharp separation between the cold air from the north and warm air to the south, 388 389 either by the North Pacific high or a surface low over southern Japan, is necessary to produce strong ECDs. 390

Future changes reveal gradual warming of ECDs, associated with weaker cold 391 air advection. To examine the cause of the weakening cold air advection, we 392 393 investigate changes in horizontal wind and meridional temperature gradient 394 components of thermal advection (Fig. 9). We note here that the zonal 395 temperature gradient was examined but did not exhibit significant changes. Future patterns reveal little to no change in winds, particularly in the region with 396 397 strong cold air advection. We do see, however, a positive change in meridional temperature gradient near the area of a strong negative meridional gradient. 398 While these features are less clear in the +2K compared to +4K (which results in 399 400 limited ECD change at the surface), this would imply a weaker meridional 401 temperature gradient in future climates and results in warmer ECDs compared to historical climate. Interestingly, this is consistent between all ECD clusters, which 402

seems to suggest that while ECDs may continue to persist, they may warmerthan ECDs in present-day climate.

405

# 406 **3.3** Possible contribution of $\triangle$ SST to ECDs

There are important caveats with this study worth mentioning. Perhaps the 407 greatest influence on ECDs in northeast Japan would be from the SST 408 characteristics surrounding the Sanriku coast (Fig. 1). Kodama (1997) revealed 409 410 that local SSTs can substantially transform low-level cloud development, primarily through differences from atmospheric mixed/stable layer contributions. As 411 described in section 2, d4PDF future ensembles rely on six  $\Delta$ SST patterns from 412 413 CMIP5, and Sanriku coast (along with the Okhotsk Sea) has been shown to exhibit large intermodel spread in SSTs (Zhou and Xie 2017), a distinction also 414 confirmed for ASST utilized in d4PDF (not shown). To ensure each SST 415 contributes an equal fraction of future ECDs, we calculated temperature 416 anomalies on a per- $\Delta$ SST basis. If we extracted the 5<sup>th</sup> percentile from the entire 417 418 +4K ensemble (48 members) instead of how it was defined in this study, ~31% of 419 total ECDs were from the HadGEM2-AO ΔSST, and only ~10% from the MPI-ESM-MR, with similar percentages seen in the +2K climate. This can be attributed 420 421 to the propensity for certain  $\Delta$ SSTs to develop low-level clouds, particularly near the Sanriku coast, at much higher fractions (HadGEM2-AO) than others (MPI-422 423 ESM-MR) in future simulations. Finally, while the influence of low-level clouds to 424 SST on a daily timescale may be insignificant, persistent Yamase type events 425 may act to lower SSTs (Kodama et al. 2009), which could be an important teleconnection not captured by atmosphere only GCMs. 426

# 428 **4.** Conclusion

This study takes advantage of both the d4PDF's ability to produce large 429 samples of extreme events and pattern segregation techniques using SOMs to 430 431 evaluate variability in synoptic circulation patterns and how climate change may impact extreme events. Preliminary examination of ECDs, defined as days with 432 widespread temperature anomalies less than the 5<sup>th</sup> percentile, was examined 433 434 using SOMs. Two different maps, one for climate model evaluation, and one for 435 evaluating near-future and end of century projected changes were created using MSLP patterns in an East Asia/North Pacific domain. 436

437 In the model evaluation step, SOMs were trained on all JJA MSLPs from the JRA-55 and one MRI-AGCM ensemble member, and the same map was used to 438 determine the frequency of DSJRA-55 and NHRCM ECDs corresponding to the 439 representative circulation fields produced by SOMs. While some variability exists, 440 the MRI-AGCM ensemble captured the preferred synoptic field during ECDs seen 441 442 in the JRA-55. Further validation was performed by compositing MSLP, 443 temperature anomalies, and surface winds for several nodes representing the highest ECD frequencies. The location and intensity of the OKH are well 444 445 represented in the models, as is the surface low characteristics near the Bering Sea or off eastern Japan. Additionally, composites of climatology and ECD on 446 447 nodes with highest frequency ECDs reveal differences in characteristics, such as 448 stronger high-pressure center north of Hokkaido and stronger northeasterlies 449 approaching northern Japan.

450

For future climate evaluation, we created a new "master" SOM, training a

451 combined MRI-AGCM historical, +2K, and +4K MSLP fields for all JJA days. The master SOM map provided additional circulation patterns than the map used in 452 model evaluation and was useful in investigating future changes in greater detail. 453 MSLP patterns inducing ECDs show a clear transition of increased and 454 455 decreased nodes, with patterns showing the presence of a strong OKH/Bering Sea high and westward extent of the North Pacific high decreasing in +2K, and 456 more so in the +4K climate. Increases in frequency were significant for patterns 457 458 dominated by surface low pressure in southern Japan towards offshore of eastern Japan paired with a moderately strong OKH. Lastly, we examined how specific 459 circulation patterns during ECD that are largely unchanged between historical 460 461 and future climates alters the underlying surface characteristics and the possible mechanisms behind it. It is suggested that differences in thermal advection play 462 a major role in surface temperature variability and that similar synoptic patterns 463 will not necessarily result in similar magnitudes of cold air advection. For future 464 changes, a weakening of cold air advection, particularly in +4K, was found to be 465 466 largely due to a weakening meridional temperature gradient, regardless of circulation patterns. This resulted in weaker ECDs, indicating the possibility that 467 while ECD will undoubtedly occur in future climates, they may not be as cool as 468 469 what is experienced at present. While changes in temporal distribution and 470 duration of ECD needs to be taken into consideration, these results are notable.

471

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Figure 1. Analysis domain with contoured elevations from the MRI-AGCM (outer
domain) and NHRCM (inner domain/red insert). Approximate location of Sanriku
coast in blue.



646 Figure 2. Temperature anomaly distribution from DSJRA-55 (left) and 48-member

- 647 NHRCM historical ensemble (right) during ECDs from 1958-2010. Yellow markers
- <sup>648</sup> represent grid points for extracting ECDs.



Figure 3. The 7 x 5 MSLP SOM of all JJA days from the combination of JRA-55
and one MRI-AGCM ensemble member. The numbers above figure indicate node
number. Areas with elevation above 1000m are omitted before SOMs training (in
white from JRA-55 topography).

| a) JRA-55 climatology |  |                      |                      |                      |                      |                      | b) JRA-55 ECDs      |                     |                          |               |                      |                      |                      |  |  |
|-----------------------|--|----------------------|----------------------|----------------------|----------------------|----------------------|---------------------|---------------------|--------------------------|---------------|----------------------|----------------------|----------------------|--|--|
| <sup>1</sup><br>5.0   | <sup>2</sup><br>3.2  | <sup>3</sup> 2.5     | <sup>4</sup><br>2.3  | <sup>5</sup><br>3.3  | <sup>6</sup><br>2.4  | <sup>7</sup><br>3.6  | <sup>1</sup><br>6.4 | <sup>2</sup><br>3.9 | <sup>3</sup><br>2.8      | 4<br>1.4      | <sup>5</sup> 2.2     | <sup>6</sup><br>7.2  | <sup>7</sup><br>10.0 |  |  |
| <sup>8</sup>          | 9  | <sup>10</sup>        | 11                   | <sup>12</sup>        | <sup>13</sup>        | <sup>14</sup>        | <sup>8</sup> 2.2    | 9                   | <sup>10</sup>            | 11            | <sup>12</sup>        | <sup>13</sup>        | <sup>14</sup>        |  |  |
| 2.5                   | 1.8  | 2.3                  | 1.9                  | 2.2                  | 1.7                  | 2.0                  |                     | 1.1                 | 1.1                      | 0.8           | 2.2                  | 2.8                  | 3.9                  |  |  |
| <sup>15</sup>         | <sup>16</sup>  | <sup>17</sup>        | <sup>18</sup>        | <sup>19</sup>        | <sup>20</sup>        | <sup>21</sup>        | <sup>15</sup>       | <sup>16</sup>       | <sup>17</sup>            | <sup>18</sup> | <sup>19</sup>        | <sup>20</sup>        | <sup>21</sup>        |  |  |
| 3.1                   | 2.4  | 3.1                  | 2.6                  | 2.7                  | 2.2                  | 2.7                  | 3.3                 | 1.1                 | 0.8                      | 0.8           | 2.2                  | 2.2                  | 6.4                  |  |  |
| <sup>22</sup>         | <sup>23</sup>  | <sup>24</sup>        | 25                   | <sup>26</sup>        | <sup>27</sup>        | <sup>28</sup>        | <sup>22</sup>       | <sup>23</sup>       | <sup>24</sup>            | <sup>25</sup> | <sup>26</sup>        | <sup>27</sup>        | <sup>28</sup>        |  |  |
| 3.0                   | 2.4  | 2.1                  | 2.3                  | 2.2                  | 1.8                  | 3.2                  | 0.3                 | 0.3                 | 0.6                      | 0.8           | 2.2                  | 1.7                  | 3.9                  |  |  |
| <sup>29</sup>         | <sup>30</sup>  | <sup>31</sup>        | <sup>32</sup>        | <sup>33</sup>        | <sup>34</sup>        | <sup>35</sup>        | <sup>29</sup>       | <sup>30</sup>       | <sup>31</sup>            | <sup>32</sup> | <sup>33</sup>        | <sup>34</sup>        | <sup>35</sup>        |  |  |
| 5.9                   | 3.2  | 3.2                  | 2.8                  | 3.1                  | 3.2                  | 5.7                  | 2.8                 | 0.3                 | 0.8                      | 1.4           | 1.9                  | 5.3                  | 12.5                 |  |  |
| c) d4                 | c) d4PDF historical climatology  |                      |                      |                      |                      |                      |                     |                     | d) d4PDF historical ECDs |               |                      |                      |                      |  |  |
| <sup>1</sup><br>5.9   | <sup>2</sup> 2.6   | <sup>3</sup><br>3.0  | <sup>4</sup><br>2.8  | <sup>5</sup><br>3.2  | <sup>6</sup> 2.6     | <sup>7</sup><br>5.4  | 10.1                | 2<br>1.1            | <sup>3</sup><br>2.1      | 4<br>0.9      | <sup>5</sup> 2.4     | <sup>6</sup> 2.6     | 7<br>12.7            |  |  |
| <sup>8</sup><br>3.4   | 9<br>2.1   | <sup>10</sup><br>2.2 | <sup>11</sup><br>2.1 | <sup>12</sup><br>2.4 | <sup>13</sup><br>1.7 | <sup>14</sup><br>3.2 | <sup>8</sup> 2.6    | 9<br>1.1            | 10<br>0.4                | 0.4           | <sup>12</sup><br>2.1 | <sup>13</sup><br>2.8 | <sup>14</sup><br>4.3 |  |  |
| <sup>15</sup>         | <sup>16</sup>  | <sup>17</sup>        | <sup>18</sup>        | <sup>19</sup>        | <sup>20</sup>        | <sup>21</sup>        | <sup>15</sup>       | <sup>16</sup>       | 17                       | <sup>18</sup> | <sup>19</sup>        | <sup>20</sup>        | <sup>21</sup>        |  |  |
| 3.6                   | 2.3  | 3.0                  | 2.6                  | 3.0                  | 3.0                  | 3.4                  | 2.1                 | 0.6                 | 0.9                      | 0.6           | 1.9                  | 2.4                  | 6.0                  |  |  |
| 22                    | <sup>23</sup>  | <sup>24</sup>        | <sup>25</sup>        | <sup>26</sup>        | <sup>27</sup>        | <sup>28</sup>        | <sup>22</sup>       | <sup>23</sup>       | <sup>24</sup>            | <sup>25</sup> | <sup>26</sup>        | 27                   | <sup>28</sup>        |  |  |
| <b>3.1</b>            | 1.6  | 2.2                  | 1.8                  | 2.3                  | 1.9                  | 2.9                  | 2.6                 | 0.4                 | 0.9                      | 0.6           | 1.3                  | 2.1                  | 7.9                  |  |  |
| <sup>29</sup>         | <sup>30</sup>  | <sup>31</sup>        | <sup>32</sup>        | <sup>33</sup>        | <sup>34</sup>        | <sup>35</sup>        | <sup>29</sup>       | <sup>30</sup>       | <sup>31</sup>            | <sup>32</sup> | <sup>33</sup>        | <sup>34</sup>        | <sup>35</sup>        |  |  |
| 4.0                   | 2.2  | 2.6                  | 2.2                  | 2.4                  | 2.7                  | 4.8                  | 3.2                 | 0.4                 | 1.1                      | 1.1           | 3.6                  | 3.2                  | 11.2                 |  |  |
| iaure 4               | nure 4. Erequency of MSI P patterns associated with LIA climatology and EC |                      |                      |                      |                      |                      |                     |                     |                          |               |                      |                      |                      |  |  |

Figure 4. Frequency of MSLP patterns associated with JJA climatology and ECDs
(%) for (a,b) JRA-55 and (c,d) d4PDF 48-member historical ensemble. Warmer
colors represent higher frequencies. The top left number indicates node number
corresponding to Fig. 3.



Figure 5. Composite of node 35 (a,b) JRA-55 and (c,d) MRI-AGCM 48-member
historical ensemble of JJA climatology and ECDs. MSLP (contour, 2 hPa
intervals), temperature anomaly (color, K), and 10 m near-surface winds (vectors,
m s<sup>-1</sup>) are plotted. Winds vectors with speeds less than 2 m s<sup>-1</sup> are omitted for
visual clarity.



Figure 6. The 15 x 13 MSLP "master SOM" trained on all JJA days from historical,
+2K, and +4K experiments. Black outlines indicate a cluster of nodes with the
highest ECD frequency in the historical climate (Fig. 7a). MSLP and horizontal
wind composites for all days is enlarged.



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Figure 7. Frequency of ECD in historical (a), and percent change between the historical and the (b) +2K and (c) +4K climate experiment for all JJA days. The top left number in each box indicates node numbers. For b) and c), nodes in white indicate nodes with less than 10% change and/or less than 4  $\Delta$ SST patterns agree on the sign of change. Black outlines indicate a cluster of nodes with the highest ECD frequency in the historical climate.



Figure 8. Composites of 925 hPa thermal advection (shading over water, bottom
label bar), 925 hPa horizontal wind vectors (winds over land and speeds less than
2 m s<sup>-1</sup> is omitted), MSLP (red contours, 2 hPa intervals), and surface temperature
anomaly (shading over land, right label bar) from the four high-frequency ECD
nodes (Fig. 7a) for the historical, +2K, and +4K NHRCM experiments.



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Figure 9. Future changes in surface temperature anomalies (shading over land, vertical label bar) 925 hPa horizontal winds (vectors, m s<sup>-1</sup>, speeds less than 0.5 m s<sup>-1</sup> omitted), and meridional temperature gradient (shading over water, horizontal label bar) in +2K and +4K climate from the four high-frequency ECD nodes. Blue contour lines show meridional temperature gradients less than -0.6 x 10<sup>-5</sup> K s<sup>-1</sup> in the historical climate. Only changes significant at the 95 percent confidence interval by the student t-test is plotted.