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1 **Impacts of an upper-level easterly wave on the sudden track**  
2 **change of Typhoon Megi (2010)**

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## Abstract

24

25 In this study, the Advanced Weather Research and Forecasting (WRF-ARW) model is  
26 used to investigate possible influences of a predominantly upper-level easterly wave  
27 (EW) on Typhoon Megi's (2010) sharp northward turn on 20 October, 2010 after  
28 passing over the Philippines. Observational analysis indicates that an upper-level EW  
29 with a cold-cored structure was located to the east of Megi. This EW moved westward  
30 along with Megi and modified the large-scale environmental flow around the typhoon,  
31 thus affecting its movement. In a control experiment, the sharp northward turn that was  
32 observed was captured well by a simulation. The retreat of the subtropical high  
33 contributed directly to the poleward steering flow for Megi. Sensitivity experiments  
34 were conducted by filtering out the synoptic-scale (3–8-day) signals associated with  
35 EWs. In the absence of the upper-level EW, the simulation showed that Megi would  
36 not have made a sharp northward turn. Two mechanisms are proposed regarding the  
37 impact of the easterly wave on Megi. First, an upper-level EW may have impacted the  
38 environmental flows, allowing Megi to move at a slower westward speed so that it  
39 entered the eastern semicircle of the nearby monsoon gyre where an enhanced southerly  
40 steering flow then led to the typhoon making a sharp northward turn. Second, the  
41 diabatic heating and associated cyclonic vorticity induced by the middle-level (around  
42 400 hPa) convergence may have eroded the western flank of the subtropical high in the  
43 western North Pacific, causing an eastward retreat of the high-pressure system. The  
44 present modeling approach provides a reasonable assessment of the contribution of  
45 upper-level wave disturbances to sudden changes in tropical cyclones (TCs).

46

47 Keywords: Tropical cyclone; track changes; Easterly wave

## 48 **1. Introduction**

49 Tropical cyclone (TC) tracks are largely determined by interactions between the  
50 environmental flow and storm-scale circulations and correspond well with the steering  
51 flow (Kasahara 1957; Chan and Gray 1982; Holland 1983; Chan 1985; Harr and  
52 Elsberry 1991). The forecasting of TC tracks has made substantial progress in the last  
53 few decades (DeMaria and Gross 2003) due to improvements in numerical prediction  
54 models, advances in satellite retrievals, and new data-assimilation strategies.  
55 Nevertheless, numerical models occasionally have very large forecast track errors,  
56 especially in complex environmental flows. A good example is Typhoon Megi, the  
57 most powerful and long-lived TC over the western North Pacific (WNP) in 2010. After  
58 crossing Luzon Island and moving into the South China Sea, Megi experienced a sharp  
59 northward turn. Studies have suggested that Megi's sharp turn was to some extent a  
60 consequence of its earlier movement and also that this turn was affected by the  
61 typhoon's size and structure (Qian et al. 2013), related to the strength and extent of the  
62 western Pacific subtropical high (Sun et al. 2015), or influenced by an approaching  
63 eastward-moving mid-latitude trough (Kieu et al. 2012; Shi et al. 2014).

64 It has been well recongnized that TCs can experience sudden track changes  
65 resulting from interactions with a nearby monsoon gyre (MG) (Carr and Elsberry 1995;  
66 Liang et al. 2011; Yan et al. 2017; Lander 1994; Harr et al. 1996; Bi et al. 2015;

67 Molinari and Vollaro 2017). It has been proposed that, during the coalescence of a TC  
68 and the MG, Rossby wave energy dispersion enhances the southwesterly winds in the  
69 southeast quadrant of the TC. This enhanced southwesterly flow acts as an additional  
70 steering flow for the cyclone, leading to a sharp northward turn. The sharp turn of Megi  
71 has been ascribed to the multi-timescale interactions between the TC, a low-frequency  
72 MG, and synoptic-scale motion (Bi et al. 2015; Liang and Wu 2015; Ge et al. 2018).

73 The studies cited above examined the influence of middle to low-level low-  
74 frequency systems and mid-latitude circulations on Megi's sudden track change.  
75 Interactions between TCs and other upper-level tropical systems, such as the tropical  
76 upper tropospheric trough (TUTT; Patla et al. 2009), also cannot be ruled out.  
77 Misrepresentation of these upper-level atmospheric features (i.e., the TUTT cells) near  
78 TCs accounts for a considerable portion of TC track forecast errors (Carr and Elsberry  
79 2000a,b; Kehoe et al. 2007).

80 The study by Ma (2018) indicated that during the lifetime of Megi, an upper-  
81 level westward-propagating synoptic-scale wave (termed an easterly wave (EW)  
82 hereafter) with a pronounced cold-cored structure moved along the southern flank of  
83 the WNP subtropical high. This EW weakened the cyclonic circulation on the southern  
84 flank of Megi, resulting in the enhancement of the southerly wind on the southern flank  
85 of the typhoon. However, which mechanisms explain how the EW impacted the sudden  
86 poleward movement of Megi remains unclear—this is the focus of this study.

87       The structure of this paper is organized as follows. In section 2, the overall  
88 evolution of Megi and the EW are presented. The model used for the simulation of  
89 Megi and the design of the sensitivity experiments are presented in section 3. The  
90 simulated results are discussed in section 4, and the possible mechanisms contributing  
91 to Megi's sharp turn are presented in section 5. Finally, a summary and discussion are  
92 given in section 6.

93

## 94   **2. History of Typhoon Megi and the easterly wave**

95       Megi (2010) formed on 0000 UTC 13 October, 2010 over the WNP as a tropical  
96 depression to the east of the Philippines. The storm strengthened as it moved  
97 northwestward over the next 3 days and became a super typhoon at 1200 UTC 16  
98 October with a central minimum pressure of 941 hPa and maximum wind speed of 58  
99 m s<sup>-1</sup>. The intensity gradually weakened as it crossed the northern Philippines and  
100 entered the South China Sea. At around 0000 UTC 20 October, Megi suddenly turned  
101 almost 90° to the right and headed due north (Fig. 1).

102       During the period from 18 to 23 October, there existed a significant synoptic-scale  
103 EW east of Megi in the upper troposphere. Figure 2 displays the time evolution of the  
104 200-hPa wind and vorticity, as retrieved from the 6-hourly National Centers for  
105 Environmental Prediction Final Operational Global Analysis (NCEP FNL;  
106 NOAA/National Centers for Environmental Prediction 2000) reanalysis dataset which  
107 has a grid resolution of 1° × 1°. On 20 October, Megi was located east of the Philippine

108 islands. To its east side, there existed a wave-like pattern with alternating anticyclonic  
109 and cyclonic circulation. A large outflow over the center of Megi could be identified.  
110 The wave moved faster than Megi, and the distance between the cyclonic center of the  
111 wave and Megi reduced with time. Figure 3 is vertical cross-section across the center  
112 of the storm, showing the evolution of the EW and Megi. The maximum EW wind  
113 occurred at 200 hPa, and Megi also vertically extended to 200 hPa. Figure 4a shows a  
114 vertical–longitude cross-section of the meridional wind component along the EW on  
115 18 October. The cross-section indicates that the EW had a deeper vertical structure that  
116 penetrated vertically downward to about 700 hPa. The storm had a clear, cold-cored  
117 structure with the minimum temperature anomaly centered at around 400 hPa, which  
118 agrees well with Estoque and Lin (1977). To further demonstrate the evolution of the  
119 EW, Figure 4b displays a time–longitude cross-section of the meridional wind  
120 component along 18 °N at 200 hPa. The EW moved westward with a zonal speed of  
121 about  $-5.3 \text{ m s}^{-1}$ , which is faster than Megi’s zonal propagation speed, as will be shown  
122 later. It is speculated that a potential interaction existed between Megi and the EW as  
123 they became closer. This study focused on investigating this possibility and the  
124 mechanisms behind it.

125

### 126 **3. Model and experiment designs**

127 The Advanced Research Weather Research and Forecasting (WRF-ARW) model  
128 version 3.9.1 (Davis et al. 2008) was used to conduct numerical simulations. A single

129 domain with a horizontal resolution of 18 km was configured. The domain covered the  
130 region 90°E–155°E and 5°S–40°N. The model physics included a microphysics scheme  
131 (Lin et al. 1983) and a Kain–Fritsch convective scheme (Kain and Fritsch 1993). For  
132 the radiation process, Dudhia shortwave radiation (Dudhia 1989) and a Rapid Radiation  
133 Transfer Model (RRTM) longwave radiation parameterization scheme (Mlawer et al.  
134 1997) were used. The initial and boundary conditions were obtained from the NCEP  
135 FNL reanalysis dataset. The initial time was 0000 UTC 18 October 2010. The model  
136 integration lasted for five days. To investigate the impacts of horizontal resolution on  
137 the TC track prediction, a pair of experiments with finer resolution were conducted.  
138 Specifically, triply-nested domains with horizontal resolutions of 18, 6, and 2 km were  
139 used. The simulated track changes were identical to those for the coarse resolution (not  
140 shown), indicating that the results were not sensitive to the horizontal resolution used  
141 in the model.

142 In the control experiment (CTL), we simulated the sharp northward turn of Megi.  
143 Three additional sensitivity experiments were conducted to examine the possible  
144 impacts of the upper-level synoptic-scale signal on Megi; as shown in Fig 2, this signal  
145 was dominated by the EW. This is different to the experiment by Bi et al. (2015) in  
146 which the focus was on the influences of the 10–60-day low-frequency components.  
147 The NCEP FNL dataset was used for the extraction of the 3–8-day high-frequency  
148 components using the Lanczos filtering technique (Duchon 1979). In the sensitivity  
149 experiments, the initial and updated boundary fields were modified. More specifically,  
150 the 3–8-day components of the dynamic variables (horizontal winds) and



151 thermodynamic variables (air temperature, geopotential height, specific humidity, and  
152 surface pressure field) were removed. In the first sensitivity experiment, the high-  
153 frequency components at all vertical levels in the region east of 125°E were removed  
154 so that the overall impacts of all of these variables could be examined (ALL). In the  
155 second sensitivity experiment (UP), the 3–8-day signals were removed for levels above  
156 500 hPa only (see Fig. 3a). The purpose of this sensitivity experiment was to isolate the  
157 impacts of the upper tropospheric easterly wave (the dominant synoptic-scale  
158 component east of the storm). In the third sensitivity experiment (DOWN), the 3–8-day  
159 signals were removed below 500 hPa and the upper-level EWs retained. The  
160 modification of the flow was confined to east of 125°E to eliminate the westward-  
161 propagating signals only and keep the other weather systems (i.e., the TC and mid-  
162 latitude westerly trough) intact. In our experiments, it was necessary to make sure that  
163 the time filtering was capable of preserving a consistent set of kinetic and  
164 thermodynamic fields for selected waves. This was done by comparing the filtered wind  
165 fields with those calculated from the filtered geopotential height based on the  
166 geostrophic balance equation. The difference was small, indicating that the time  
167 filtering mostly preserved the balance relation. The designs of the experiments are  
168 shown in Table 1.

169

#### 170 **4. Simulated tracks**

171 Figure 5 shows the simulated tracks and intensities for Megi obtained from all the  
172 experiments along with the observations. The TC center was determined by the location  
173 of the minimum sea-level pressure. The CTL experiment captured the overall  
174 movement of Megi reasonably well, especially the sharp northward turn that occurred  
175 around 20 October, although the experiment produced a slightly faster speed than was  
176 observed prior to landfall. Among the sensitivity experiments, the largest track  
177 difference was produced by ALL, which was when the 3–8-day signals at all levels  
178 were removed. In this case, the TC vortex exhibited a gradual northwestward  
179 propagation without a sharp turn. The track produced by UP was closer to that for ALL,  
180 which reflects the dominant role of the upper tropospheric features in influencing the  
181 movement of Megi. In DOWN, the storm track was only slightly different from that in  
182 CTL, indicating that the lower-level part of the easterly waves played a minor role in  
183 influencing Megi. In terms of the intensity, prior to the sharp northward turn, the TC  
184 intensities were similar for both CTL and ALL. This indicates that the track was not  
185 very sensitive to the intensity. After the turn, the simulated storm in CTL was stronger  
186 than the storm in ALL.

187 Overall, the differences between CTL and the sensitivity experiments indicate a  
188 substantial influence of the upper-level EW on the movement of Megi. Namely, in CTL,  
189 the EW penetrated vertically downward to about 700 hPa (Fig. 4a), indicating a deeper  
190 vertical structure. However, when the EW was removed in ALL and UP, the TC did  
191 not experience a sharp turn. In the following, the diagnostics are focused on CTL and  
192 ALL, which exhibited the largest deviation from CTL. Bi et al. (2015) emphasized the

193 important role of the low-frequency MG. In their experiments, Megi did not exhibit a  
194 sharp turn in the absence of MG. How the upper-level EW affected the track of Megi  
195 is described in the following.

196       During the first 48 hours of integration, there were marked differences in the  
197 zonal speeds between CTL and ALL (Fig. 6a). Specifically, the zonal speed,  $C_x$ , in  
198 CTL was initially negative (westward) and became positive (eastward) around the time  
199 of the sharp northward turn, consistent with the best track from the JTWC. In contrast,  
200 the zonal speed was negative during the whole period in ALL, indicating a persistent  
201 westward movement of the simulated Megi without a northward turn. The meridional  
202 moving speed,  $C_y$ , in CTL shows an acceleration before 20 October (Fig. 6b),  
203 indicating a sudden northward movement (Fig. 5a). Conversely,  $C_y$  in ALL was much  
204 smaller, corresponding to a slow northward propagation. Given the reasonably good  
205 model simulation in CTL, we used the outputs from CTL as a proxy for the atmosphere  
206 in subsequent analyses.

207

## 208 **5. Physical interpretations**

### 209 5.1 Interaction between TC and MG

210       It has been suggested that a sharp northward turn of a TC can be ascribed to its  
211 interaction with the nearby low-frequency MG in some cases (Bi et al. 2015; Liang and  
212 Wu 2015; Ge et al. 2018). As illustrated in Bi et al. (2015), a so-called special Fujiwhara  
213 effect may exist between the MG and TC in the same way that the traditional Fujiwhara

214 effect can exist between two TCs (Yang et al. 2008). In the studies mentioned above,  
215 the tracks were displayed as the relative positions of the TC and the MG center. With  
216 the MG removed, Megi did not show a sharp northward turn. However, as long as a TC  
217 is moving within the eastern semicircle of the MG, it has the potential to experience a  
218 sudden northward turn (Liang and Wu 2015). To this end, we first extracted the center  
219 of the MG. A spatial filtering technique (Ge et al. 2018) was used to separate the MG  
220 and TC-scale circulation. To achieve this, the MG circulation was considered to be the  
221 component with a wavelength greater than 500 km, and the remaining component  
222 (wavelength shorter than 500 km) was the TC-scale circulation. Thereafter, the MG  
223 center was defined by the location of the maximum value of the relative vorticity in the  
224 filtered field. It is worth mentioning that this result did not depend on the method used  
225 (i.e., using the minimum sea-level pressure center). The left-hand panels of Fig. 7  
226 display the positions of the TC and MG.

227       Initially, Megi was located to the west of the MG center. With time, both the TC  
228 and MG propagated westward but with different zonal speeds. Both the TC and MG  
229 were located further to the east in CTL compared with ALL, indicating a slower speed  
230 in the latter; this is in agreement with the smaller  $C_x$  shown in Fig. 6a. Of particular  
231 interest is that, at the time of the sudden change (i.e., 20 October), the distance between  
232 the TC and the MG center in CTL was smaller than it was in ALL. In CTL, Megi was  
233 located to the east of the MG center, whereas was is located to the west of the MG  
234 center in ALL. In other words, Megi had a faster westward propagation speed in ALL  
235 and had moved into the western flank of the MG by Oct. 20. Consequently, the

236 prevailing steering northerly wind for Megi in the MG environment did not support a  
237 sharp northward turn in ALL. On the other hand, when Megi moved with a slower speed  
238 relative to the MG, it was located in the eastern semicircle of the MG, where a southerly  
239 wind prevailed and supported northward motion. The results therefore agree well with  
240 those of previous studies (Liang and Wu 2015).

241 The markedly different results from these two simulations can also be seen from  
242 the relative positions of the TC and MG (Figs. 7b, d). The origin (0, 0) in these reference  
243 frames was defined as the midpoint between the centers of the two entities. Initially,  
244 the centers of the MG and TC were far apart, with the TC situated around the western  
245 flank of the MG. Thereafter, the TC moved cyclonically and approached the MG center.  
246 The two centers gradually attracted each other gradually and nearly coincided by the  
247 time of the sharp turn. These features are consistent with previous idealized simulations  
248 (Ge et al. 2018; Liang and Wu 2014) and the study of Megi by Bi et al (2015). Notice  
249 that these two systems rotate around and approach each other while remaining separated.

250 In short, the sensitivity experiments indicated the possibility that the Fujiwhara  
251 effect existed between Megi and the MG. The different effects can likely be ascribed to  
252 the different relative locations of Megi and MG, which are related to their speeds of  
253 movement. Namely, in CTL, Megi moved at a slower westward speed, and thus was  
254 situated on the eastern flank of the MG. As a result, Megi experienced a sudden sharp  
255 turn, which is consistent with previous idealized simulations (Ge et al. 2018; Liang and  
256 Wu 2014).

## 257 5.2 Potential vorticity tendency

258 Generally, a TC moves in the direction of the maximum vorticity or potential  
259 vorticity (PV) tendency (Wu and Wang 2000). It is speculated that a different PV  
260 tendency will be produced when the location of the TC with respect to the MG is  
261 different. To this end, Figure 8 depicts snapshots of the movement of the TC and the  
262 wavenumber-1 component of the PV tendency of the simulated TC in the CTL  
263 simulation from 19 to 20 October. Specifically, the maximum tendency was oriented  
264 toward the west at 0600 UTC 19 October and then turned northward at 1800 UTC 19  
265 October. The direction of the TC movement corresponded well with the change in the  
266 maximum wavenumber-1 PV tendency. This differs from ALL, in which the maximum  
267 tendency was persistently oriented toward the northwest (not shown). Previous studies  
268 (Ge et al. 2018) have indicated that TC tracks are sensitive to the intensity and vertical  
269 structure of the nearby MG. As shown in Fig. 5b, the TC intensity was somehow  
270 stronger in CTL than in ALL at the time of the sharp turn. Furthermore, the MG  
271 generally had a slightly larger size and deeper vertical structure (not shown), which is  
272 in agreement with Ge et al. (2018). These results suggest that the asymmetric  
273 wavenumber-1 component of the PV tendency in the inner core of Megi differed for  
274 the four experiments and that this can reasonably account for the different track changes.

## 275 5.3 Steering flow

276 TC movement is largely determined by the environmental steering flows (George  
277 and Gray 1976 and 1977; Chan and Gray 1982; Chan 1985). Some studies have

278 indicated that the relationship between the actual TC movement and the vertically  
279 averaged steering flow from 850 hPa to 200 hPa is better than that in individual layers  
280 (Sanders et al. 1980; Dong and Neumann 1986). With this in mind, the vertical  
281 distributions of the steering flows in our four experiments were compared. The  
282 atmospheric flow with a wavelength greater than 500 km was considered to be the  
283 background, and the steering flow was obtained by taking the average of this within a  
284 radius of 500 km around the storm center in each layer (Figure 9). In CTL (Fig. 9a), the  
285 environmental steering flows initially showed a consistent easterly at all vertical levels,  
286 indicating a westward propagation for Megi. The vertically averaged steering and the  
287 actual storm movement also indicated a westward propagation and they were fairly  
288 consistent with each other. Around 1200 UTC 19 October, the steering flows  
289 diminished significantly and then turned southwesterly by 0000 UTC 20 October,  
290 especially below 300 hPa. Thereafter, a dominant southerly wind appeared and the  
291 vertically averaged steering and the actual TC movement both indicated a northward  
292 propagation, which can reasonably explain the sudden track change at that time. In ALL  
293 (Fig. 9b), although initially there was stronger easterly steering, no significant westerly  
294 flow appeared during the period of 20–21 October. As a result, a sharp northward turn  
295 did not take place. Around 2000 UTC 20 October, the vertically averaged steering still  
296 indicated a westward propagation. The evolution feature in UP (Fig. 9c), where only  
297 the upper-level synoptic-scale flows had been removed, bore many similarities to the  
298 same feature in ALL. The evolution feature in DOWN (Fig. 9d), where only the low-

299 level synoptic-scale flows had been removed, bore many similarities to the one in CTL,  
300 indicating that the low-level part of the EW had little impact on Megi's movement.

301 To account for the difference in the environmental flows with and without the EW,  
302 we computed the averaged vertical–longitude background zonal wind between 10°N  
303 and 20°N during the period 19–20 October (Fig. 10). For simplicity, we only focused  
304 on the comparison between CTL and ALL. Note that a prevailing easterly (westerly)  
305 wind appeared at the upper (lower) level with a transition layer at about 350 hPa in both  
306 simulations. In CTL, there was a strong westerly wind at lower levels and a much lighter  
307 wind in ALL. The difference was about  $3 \text{ m s}^{-1}$ , which is approximately the Cx  
308 difference between the two experiments (Fig. 6). Accordingly, a strong westerly wind  
309 favors a slower westward motion of the TC.

#### 310 5.4 Environmental flow

311 Figure 11 displays the upper-level 200-hPa circulations at the initial time for the  
312 four experiments. The pattern in DOWN was almost the same as that in CTL, and the  
313 pattern in UP was very similar to that in ALL, indicating the vertical structure of the  
314 EW and the effect of removing the EW in different experiments. Figure 12 compares  
315 the same circulations in the four experiments on 20 October, two days into the  
316 integration. Both CTL and DOWN display a pronounced wave-like pattern. The EW  
317 was weaker in ALL and UP as we filtered out the 3–8-day synoptic time-scale  
318 component that dominated at the upper levels at the initial time (Fig. 11). However, in  
319 contrast to the initial states, the EWs reformed with a tilted orientation in these two



320 experiments. This suggests that the mechanism behind the formation of the EWs was  
321 still there and that the tilting may have been related to the existence of Megi through  
322 the amplification of the anticyclonic circulation by the outflow from Megi when the  
323 initial cyclonic circulation associated with the EW was removed.

#### 324 5.5 Diabatic heating

325 Figure 13a presents the vertical–longitude cross-section of the meridional wind  
326 along 15°N for 0000 UTC 20 October, showing the vertical structure of the EW and  
327 Megi in the CTL experiment. The maximum wind is at 200 hPa, with the speed  
328 exceeding 15 m s<sup>-1</sup>. The meridional wind speed decreases as the height decreases but  
329 extends vertically downward to middle levels (i.e., around 600 hPa). To satisfy the  
330 thermal wind balance, there is a cold core area with a minimum temperature at a height  
331 of 400 hPa (not shown). This pattern bears many similarities to a TUTT cell (Li et al.  
332 2012). The maximum wind speed in the EW was lower in ALL (Fig. 13b).

333 Previous studies (Yao et al. 2009) suggested that the distribution and intensity of  
334 the diabatic heating of an EW can induce a short-term eastward retreat of the WNP  
335 subtropical high (WPSH). As a consequence of this, the environmental flows are  
336 modified and TC tracks can be affected. We postulate that, in the case that we examined,  
337 the EW may have caused erosion of the southwestern extent of the WPSH and  
338 subsequently affected the sharp northward turn of Megi. Also shown in Fig. 13a is the  
339 vertical–longitude cross-section of the diabatic heating rate ( $Q$ ) along 15°N centered at  
340 the easterly vortex. Clearly, diabatic heating occurs above 600 hPa with a maximum

341 centered on 400 hPa, whereas weak cooling occurs in the lower troposphere. In general,  
342 this vertical thermal distribution exhibits a “warmer in the upper layer and colder in the  
343 lower layer” pattern, which agrees well with Yao et al. (2009). In contrast to the vertical  
344 profile shown in Fig. 3c, the strong diabatic heating and vorticity generation are located  
345 to the west of the EW maximum where the horizontal shear is largest. Meanwhile, little  
346 diabatic heating and vorticity were generated in ALL (Figs. 13b, d).

347 Vorticity can be generated by the vertical distribution of diabatic heating according  
348 to the formula (Liu and Wu 1999)

$$349 \quad \frac{\partial \zeta}{\partial t} = \frac{f + \zeta}{\theta_z} \frac{\partial Q}{\partial z}, \quad (1)$$

350 where  $f$  is the geostrophic vorticity,  $\zeta$  is the relative vorticity,  $\theta_z$  is the vertical derivative  
351 of potential temperature, and  $Q$  is the diabatic heating rate. For an inertially and  
352 statically stable system, a cyclonic (anticyclonic) circulation under (above) the level of  
353 the maximum of the diabatic heating will be induced. To investigate this, the vorticity  
354 tendency associated with the diabatic heating was also computed (black contours in Fig.  
355 13a). As expected, a pronounced cyclonic circulation tendency can be seen located near  
356 600 hPa between 130°E and 135°E; this can affect the evolution of the WPSH. Figure  
357 13c shows a horizontal plot of the relative vorticity tendency at 500 hPa together with  
358 the spatial pattern of the WPSH highlighted by the 5880-gpm contour for 20 October  
359 in CTL. The relative vorticity tendency at 500 hPa is more intense in CTL than in ALL:  
360 that is, as the upper EW moves toward the west of the WPSH, its associated diabatic  
361 heating induces a positive cyclonic vorticity tendency. Given that the WPSH is an

362 anticyclonic circulation, the EW-induced cyclonic vorticity will modify the intensity  
363 and pattern of the subtropical high (SH), in this case, near its western periphery. Sun et  
364 al. (2015) also found that Megi showed an earlier northward turn when the simulated  
365 SH was weaker. The upper EW is one possible factor that can modify the behavior of  
366 the WPSH.

367 The horizontal convergence at 400 hPa is shown in Fig. 14. Note that a strong  
368 convergence existed between the TC and EW as the EW moved westward at 400 hPa  
369 on 20 Oct. (Fig. 14b). The convergence was strongest around 132°E at 400 hPa and this  
370 produced the greatest diabatic heating in CTL (Fig. 13a); however, this heating was  
371 very much weaker in ALL (Fig. 14d). The convergence at the middle levels here may  
372 be associated with the outflow from Megi at the upper levels. It can be speculated that  
373 the diabatic heating and convergence at 400 hPa were caused by the confluence of the  
374 upper-level anticyclonic flow of the TC and the cyclonic flow of the EW.

## 375 5.6 The subtropical high over the WNP

376 In the WNP summertime, the TC activity is largely controlled by the large-scale  
377 WPSH. Synoptic-scale systems such as TCs can also influence the variations in the  
378 WPSH. Wang et al. (2019) indicated that TCs can affect the WPSH's meridional  
379 movement by stimulating abnormal perturbations that disperse and propagate outward.  
380 For this reason, we examined the evolutionary characteristics of the WPSH. Figure 15  
381 compares the spatial pattern of the WPSH in the four simulations for the initial time on  
382 18 October and for 20 October two days later. The spatial features are highlighted by

383 the 5880-gpm contour. The WPSH retreats farther east in CTL compared with its  
384 counterparts in the three sensitivity experiments. This result is also in agreement with  
385 Qian et al. (2013), in which it was suggested that the eastward retreat of the SH was  
386 responsible for the sharp northward turn of Megi. Physically, an eastward retreat of the  
387 WPSH will promote southerly winds on its western flank, which could likely act as a  
388 steering flow to trigger a northward turn of a TC.

### 389 5.7 Equatorward outflow

390 In both CTL and DOWN, a strong easterly vortex existed as part of the EW. As  
391 this entity moved westward and approached the TC, the combination of the northerly  
392 flow on its western flank and the outflow from Megi probably resulted in a stronger  
393 upper jet stream. It can be considered that this equatorward outflowing jet structure  
394 influenced the convection (Ge et al. 2010). To examine this possibility, Fig. 16 presents  
395 the 200-hPa circulations and the accumulated rainfall amount in CTL and ALL. When  
396 the TC is embedded in a giant MG system, the dispersion of the Rossby wave energy  
397 that is induced by the beta effect may induce a strong southwesterly flow in the  
398 southeast quadrant. As such, an outer spiral rainband will be generated by enhanced  
399 surface fluxes and converge with the southeasterly wind on the southwestern flank of  
400 the SH. As anticipated, another spiral rainband exists in both CTL and ALL, but the  
401 associated convection is much more pronounced in CTL than in ALL. In CTL, the  
402 upper outflow jet core has a maximum wind speed exceeding  $30 \text{ m s}^{-1}$ , which is much  
403 stronger than that in ALL. A strong outflow jet reflects a larger upper divergence (not

404 shown), which enhances the vertical motion and thus the rainfall. This is the reason that  
405 the accumulated rainfall is larger in CTL, and there is a very large amount of convection  
406 in this case. Of particular interest is that the location of the maximum rainfall almost  
407 coincides with the right entrance region of the upper jet stream, which is a situation  
408 analogous to mid-latitude jet stream dynamics (Shi et al. 1990; Ge et al. 2010): namely,  
409 an intense upper outflow jet may force a secondary circulation in the entrance or exit  
410 region of the jet streak. As such, the forced vertical circulation will probably modify  
411 the upward motion and thus the accumulated rainfall within a certain area. In this study,  
412 the main precipitation was located to the right of the jet entrance region and coincided  
413 with the ascending motion. In contrast, these features were much weaker in ALL,  
414 implying the possible existence of processes associated with the jet dynamics. Recall  
415 that the TC was located further east in CTL than in ALL. As such, the associated  
416 diabatic heating was much closer to the WPSH in CTL. These combinations imply that  
417 there was strong diabatic heating on the western flank of the WPSH, which favored an  
418 abnormally eastward retreat of this system as well. Thus this may partially account for  
419 the observed eastward retreat of the WPSH.

## 420 **6. Discussion and summary**

421 Typhoon Megi was the most powerful and long-lived TCs over the WNP in 2010.  
422 After crossing Luzon Island and moving into the South China Sea, Megi performed a  
423 sudden northward turn around 20 October. Observational analyses indicate that an  
424 upper-level EW with a cold-cored structure existed to the east of Megi; this moved

425 westward and approached the storm before the critical time of the storm's turn. In this  
426 study, the influence of this upper EW on Typhoon Megi's sharp northward turn was  
427 examined by numerical simulations using the WRF-ARW model. In the control  
428 experiment, the simulation produced the sudden track change of Megi reasonably well.  
429 Sensitivity experiments were conducted by removing either different vertical layers or  
430 all of the synoptic-scale (3–8-day) signals that the EW dominated at the upper levels.  
431 In the absence of the upper-level EW, the simulated tracks did not produce the observed  
432 sharp northward turn. Previous studies have indicated that a nearby MG played an  
433 important role in Megi's sudden northward movement (Bi et al. 2015; Liang and Wu  
434 2015; Ge et al. 2018). Here, we investigated how the upper-level EW may have  
435 impacted Megi through its influence on the MG. Two mechanisms were proposed  
436 regarding the role of the EW. First, the upper-level EW may have had an effect on the  
437 zonal speeds of Megi and thus on the relative motion between the TC and MG. The  
438 stronger low-level westerly wind would then have led to a slower westward motion of  
439 Megi and a slower westward propagation relative to the movement of the MG. Initially,  
440 the centers of the MG and TC were far apart. Gradually, the two centers attracted each  
441 other and nearly coincided, with Megi being located within the eastern semicircle of  
442 the MG. The enhanced southerly steering flow associated with the MG to its right  
443 favored the sharp northward turn of Megi. This is consistent with previous studies on  
444 the influence of the MG on Megi that were discussed in the Introduction (Bi et al. 2015).  
445 The sensitivity experiments showed that without the presence of the upper-level EW,  
446 Megi moved too fast and remained within the western semicircle of the MG and under

447 the influence of the northerly flow of the MG circulation. The PV tendency analysis  
448 clearly accounts for the track changes, reflecting the impacts of different TC locations  
449 relative to the MG.

450 The second mechanism consisted of the upper-level EW modifying the spatial  
451 pattern and intensity of the SH over the WNP. Analyses of the model simulation  
452 indicated that the interaction between Megi and the EW led to a strong upper-level  
453 outflow jet. This enhanced upper-level outflow jet induced convective activity and  
454 diabatic heating between Megi and the cyclonic center of the EW, corresponding to the  
455 upward branch of a secondary circulation. The diabatic heating associated with this  
456 Megi–EW interaction generated further cyclonic vorticity on the western flank of the  
457 WPSH, thus eroding the western periphery of the WPSH and resulting in its eastward  
458 retreat. The retreat of the SH modified the large-scale flow that was steering Megi and  
459 contributed directly to the poleward steering through its western peripheral flow.

460 Abrupt TCs track changes remain a great challenge for operational and numerical  
461 predictions. While many studies have been devoted to Megi’s abrupt northward  
462 movement, this study has further demonstrated the complex, multiscale interactions that  
463 impacted this movement. For successful TCs prediction, it is imperative that a  
464 numerical prediction system that has accurate initial conditions and that properly  
465 represents the multiscale dynamics and thermodynamics is implemented.

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470 Development of Jiangsu Higher Education Institutions (PAPD).



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594

Table 1 Descriptions on the experiment designs

Experiment symbols	Descriptions
CTL	The control experiment
ALL	the experiment removes the high-frequency components at all the vertical levels at the region of east of 125 °E
UP	Same as ALL except removing the high-frequency components at the levels above 500 hPa only
DOWN	Same as ALL except removing the high-frequency components at the levels below 500 hPa

595

596

## Figure captions

597 Figure 1 The JTWC best track for Typhoon Megi from 18 to 23 October 2010.

598 Figure 2 The time evolution of the 200-hPa circulation (vectors) and relative vorticity  
599 (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ). The hurricane symbol represents the position of Megi near  
600 the surface, and “E” represents the cyclonic vortex center of the easterly wave at 200  
601 hPa.

602 Figure 3 The time evolution of the vertical cross-section of the meridional wind  
603 (contours in  $\text{m s}^{-1}$ ) and relative vorticity (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ) across the center  
604 of Megi.

605 Figure 4 (a) The vertical–longitude cross-section of the meridional wind of the easterly  
606 vortex (black contours in  $\text{m s}^{-1}$ ) and the temperature anomaly (shaded; units: K) on 18  
607 October; (b) the time–longitude cross-section of the meridional wind component  
608 ( $\text{m s}^{-1}$ ) at 200 hPa from 18 to 23 October 2010 along  $18^\circ\text{N}$ .

609 Figure 5 (a) The observed and simulated tracks and (b) the intensity of Megi from 18  
610 to 23 October 2010.

611 Figure 6 The (a) zonal and (b) meridional speeds (units:  $\text{m s}^{-1}$ ) from 18 to 20 October  
612 in CTL and ALL, together with the observed values.

613 Figure 7 Left-hand panels: time evolution of the center positions (km) of both TC and  
614 MG from 18 to 21 October in (a) CTL and (c) ALL. The right-hand panels display the  
615 relative motion between TC and MG from 18 to 20 October in (b) CTL and (d) ALL.



616 Figure 8 Time evolution of the simulated direction of movement of the TC and the  
617 wavenumber-1 component of the PV tendency (shaded) in CTL from 19 to 20 October,  
618 shown at 6-hourly intervals.

619 Figure 9 The time evolution of the vertical profiles of the steering flows ( $\text{m s}^{-1}$ ) (top of  
620 each panel) together with the vertically averaged steering (black vectors) and the actual  
621 TC movement (red vectors) (bottom of each panel) from 18 to 23 October in (a) CTL,  
622 (b) ALL, (c) UP, and (d) DOWN.

623 Figure 10 The averaged vertical–longitude background zonal wind from  $10^\circ\text{N}$  to  $20^\circ\text{N}$   
624 during the period 19–20 October ( $\text{m s}^{-1}$ ): (a) CTL, (b) ALL, and (c) the difference  
625 between CTL and ALL.

626 Figure 11 The 200-hPa circulation (shown as vectors with wind speeds shown as red  
627 contours) and relative vorticity (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ) at the initial time on 18  
628 October for the four simulated experiments: (a) CTL, (b) ALL, (c) UP, and (d) DOWN.

629 Figure 12 The 200-hPa circulation (shown as vectors with wind speeds shown as red  
630 contours) and relative vorticity (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ) on 20 October for the four  
631 simulated experiments: (a) CTL, (b) ALL, (c) UP, and (d) DOWN.

632 Figure 13 Upper panels: vertical–longitude cross-section of meridional wind of the  
633 easterly vortex (red contours;  $\text{m s}^{-1}$ ) and the diabatic heating rate,  $Q$  (shaded; units:  $1$   
634  $\times 10^{-3} \text{ K s}^{-1}$ ) along  $15^\circ\text{N}$ , centered on the easterly vortex on 20 October. The black  
635 contours are the relative vorticity tendency calculated using Eq. (1) for (a) CTL and (b)  
636 ALL. Lower panels: horizontal plot of the relative vorticity tendency at 500 hPa (shaded)

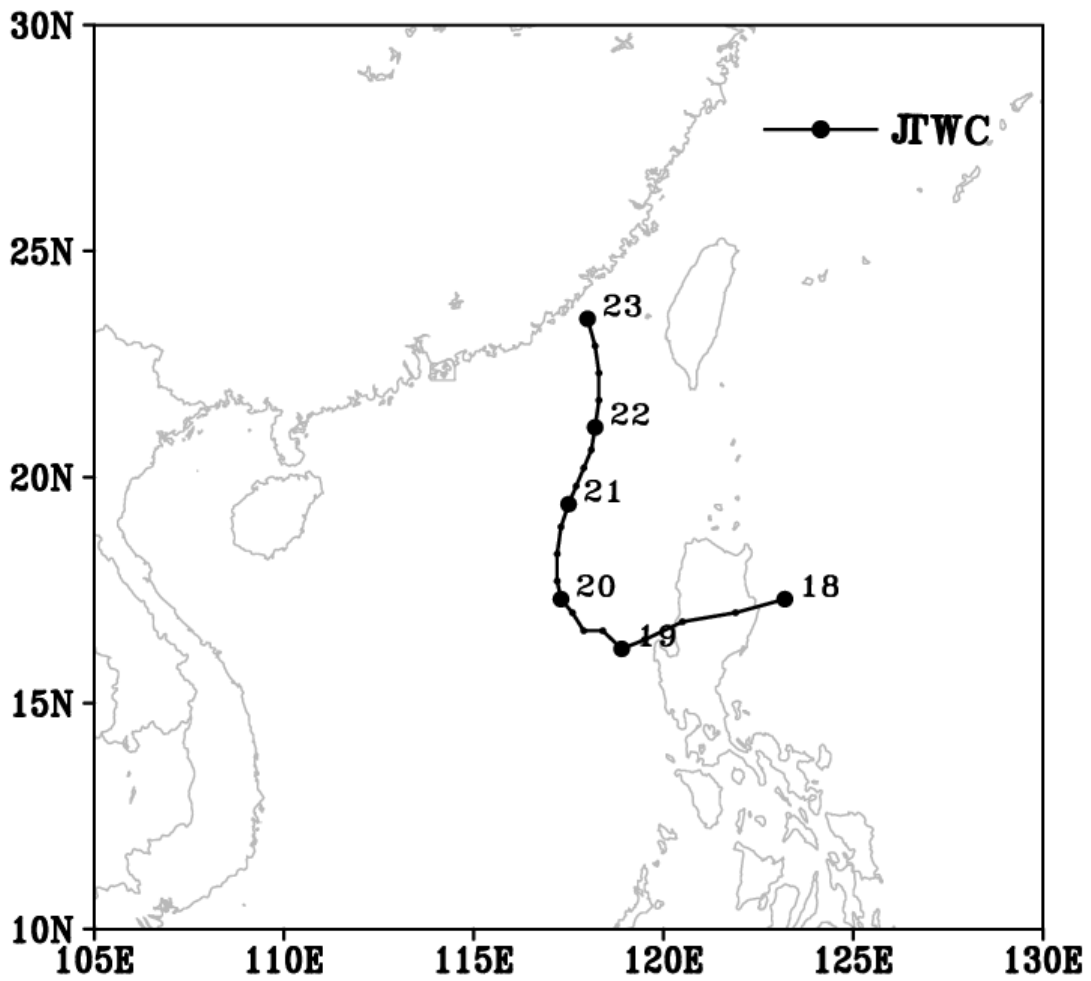
637 and the spatial pattern of the WPSH highlighted using the 5880-gpm contour (in red)  
638 on 20 October for (c) CTL and (d) ALL.

639 Figure 14 The convergence field (shaded) and the circulations (vectors) at 400 hPa at  
640 the initial time on 18 October and also on 20 October (units:  $1 \times 10^{-6}$ ) for (a and b)  
641 CTL and (c and d) ALL.

642 Figure 15 The spatial pattern of the WPSH in the four experiments highlighted using  
643 the 5880-gpm contour: (a) at the initial time on 18 October and (b) on 20 October after  
644 two days integration.

645 Figure 16 Top panels: the 200-hPa circulations (shown as vectors with wind speeds  
646 shown as blue contours; units:  $m s^{-1}$ ) and the accumulated rainfall (shaded; units: mm)  
647 on 20 October for (a) CTL and (b) ALL. The hurricane symbol represents the position  
648 of Megi near the surface, “E” represents the cyclonic vortex center of the easterly wave,  
649 and “J” represents the outflow jet core. Bottom panels: the -850 hPa circulation (vectors)  
650 and the accumulated rainfall distribution (mm) around the jet core region for (c) CTL  
651 and (d) ALL.

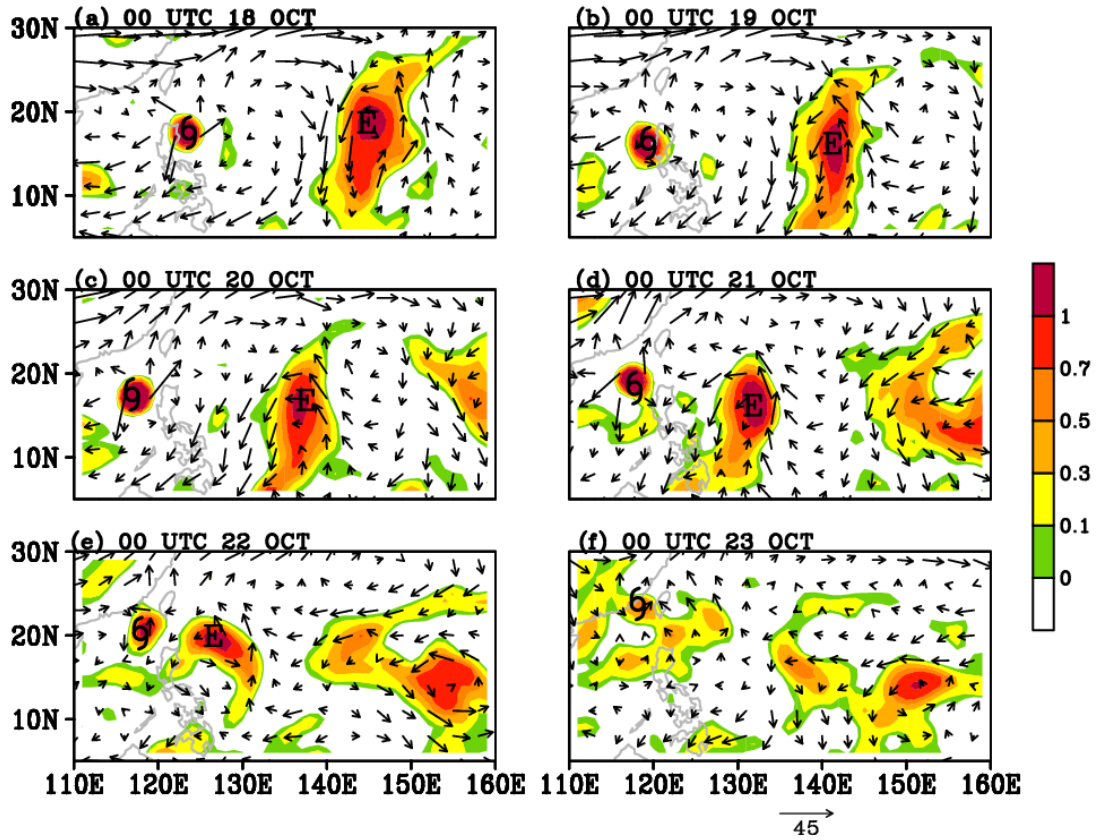
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654 Figure 1 The JTWC best track for Typhoon Megi from 18 to 23 October 2010.

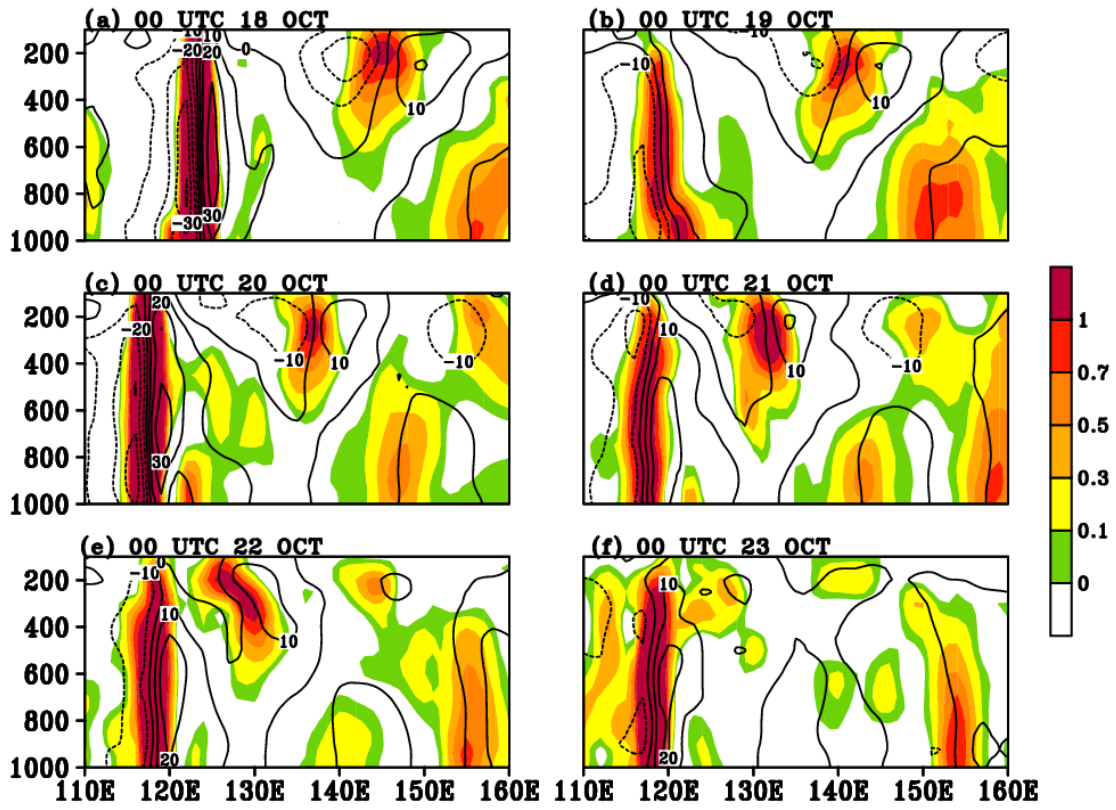
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657 Figure 2 The time evolution of the 200-hPa circulation (vectors) and relative vorticity  
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 659 the surface, and “E” represents the cyclonic vortex center of the easterly wave at 200  
 660 hPa.

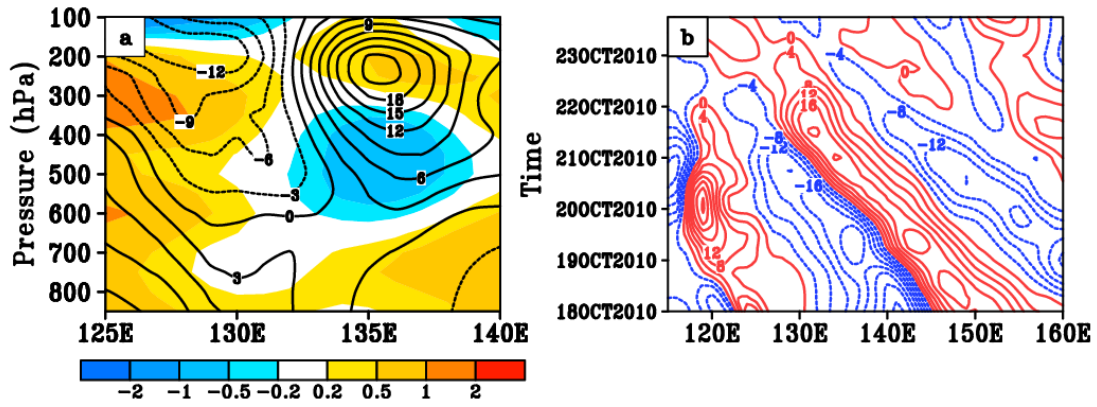
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663 Figure 3 The time evolution of the vertical cross-section of the meridional wind  
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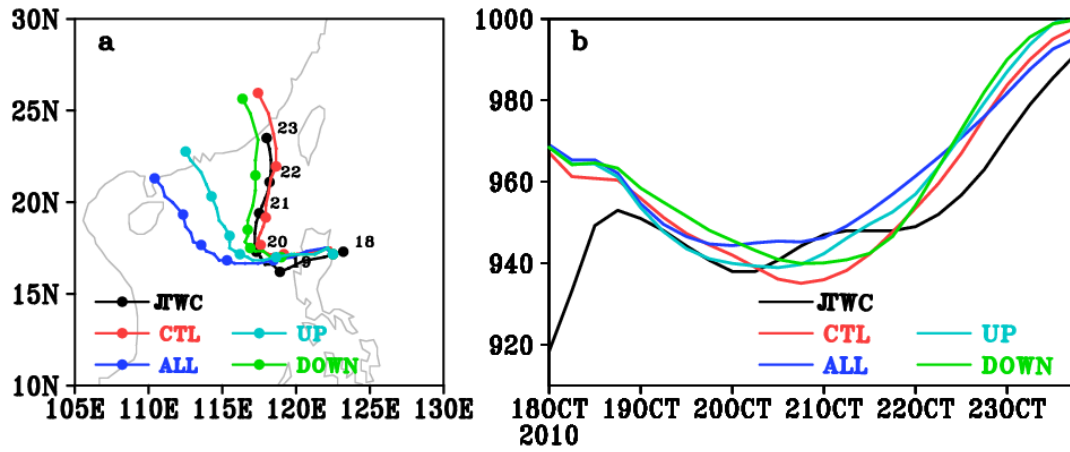
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 671 ( $\text{m s}^{-1}$ ) at 200 hPa from 18 to 23 October 2010 along  $18^\circ\text{N}$ .

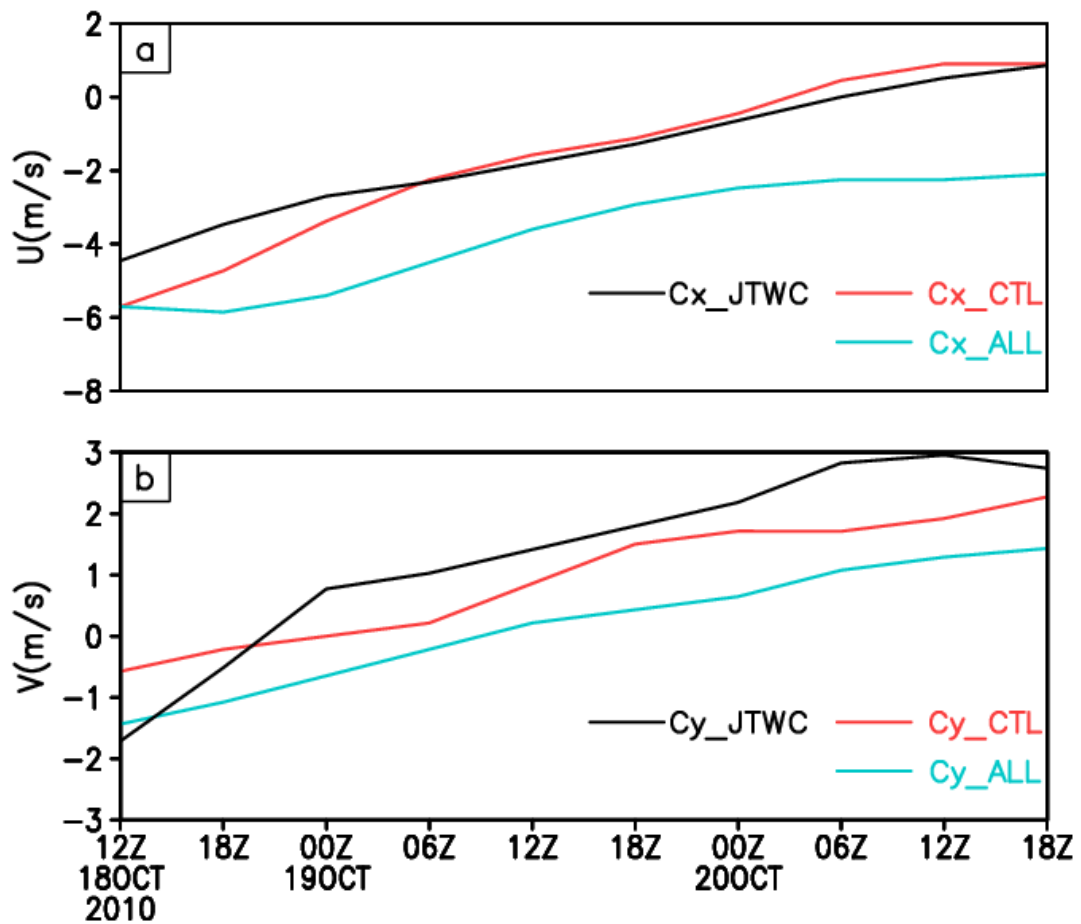
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674 Figure 5 (a) The observed and simulated tracks and (b) the intensity of Megi from 18  
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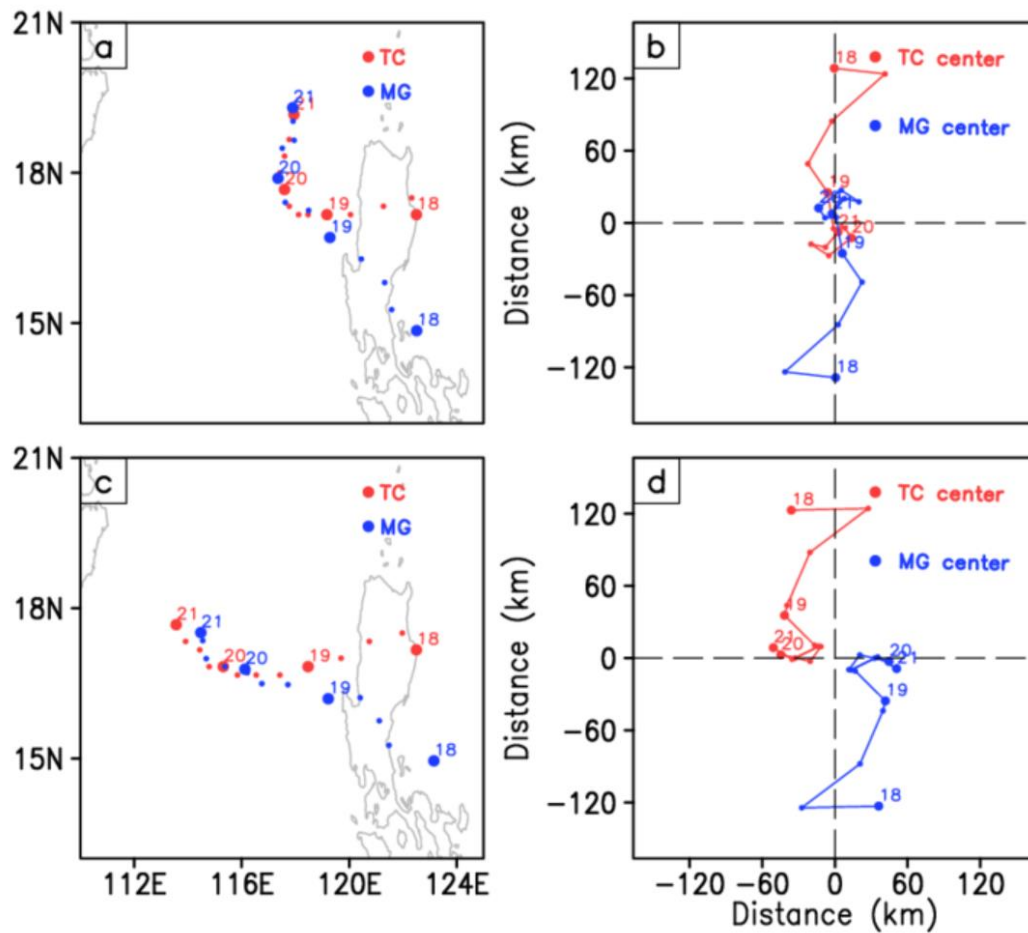
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678 Figure 6 The (a) zonal and (b) meridional speeds (units:  $\text{m s}^{-1}$ ) from 18 to 20 October

679 in CTL and ALL, together with the observed values.

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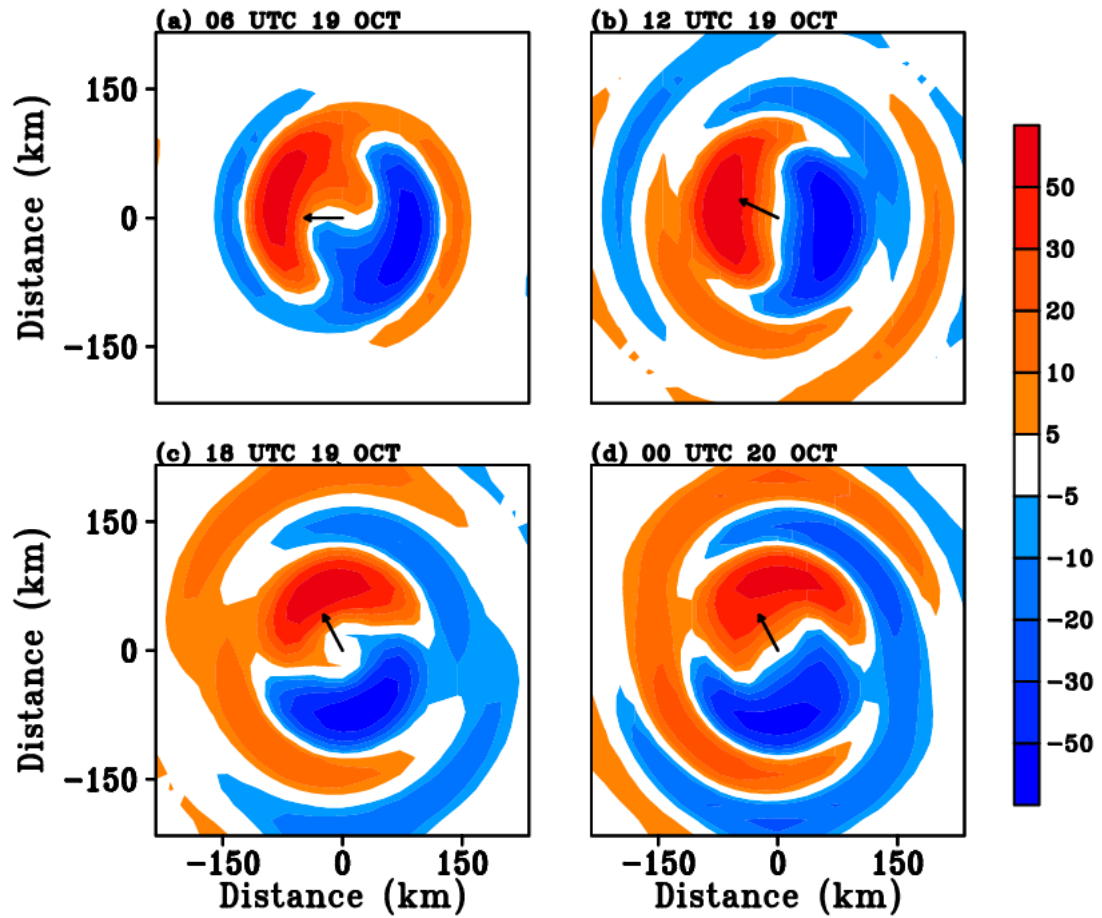
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682 Figure 7 Left-hand panels: time evolution of the center positions (km) of both TC and

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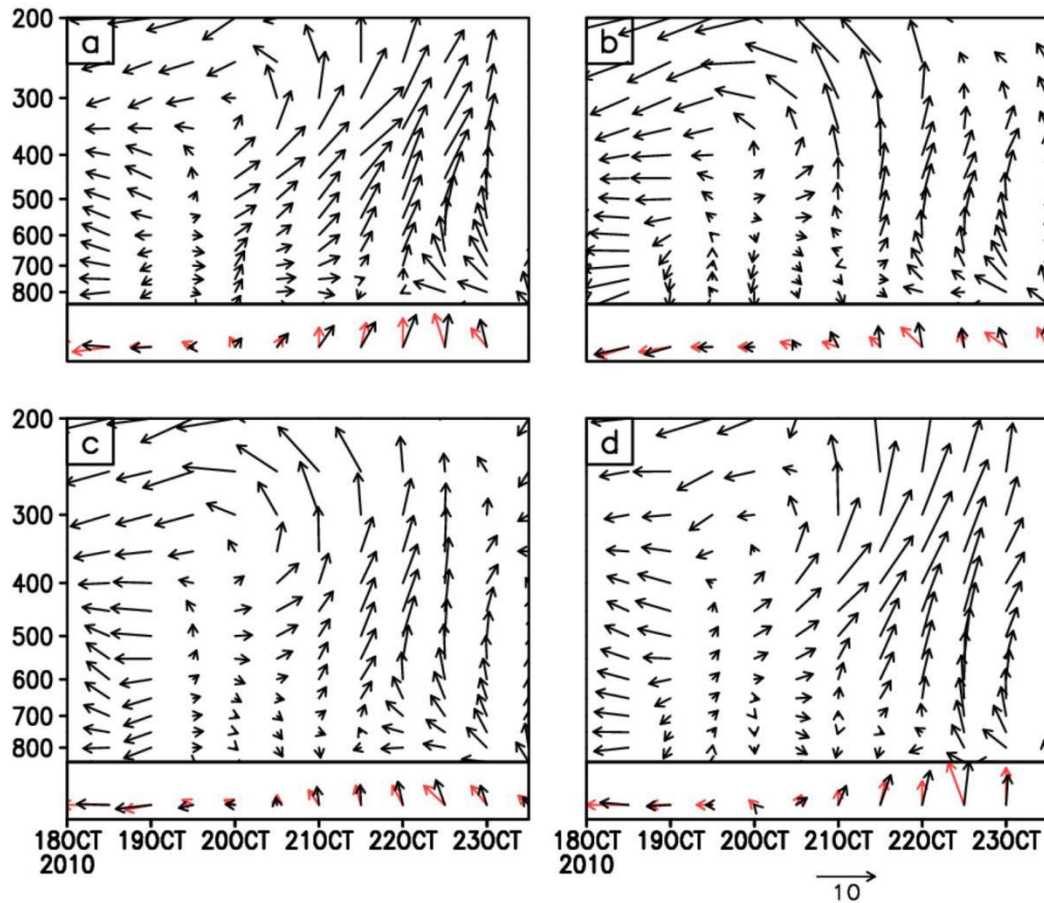
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687 Figure 8 Time evolution of the simulated direction of movement of the TC and the  
 688 wavenumber-1 component of the PV tendency (shaded) in CTL from 19 to 20 October,  
 689 shown at 6-hourly intervals.

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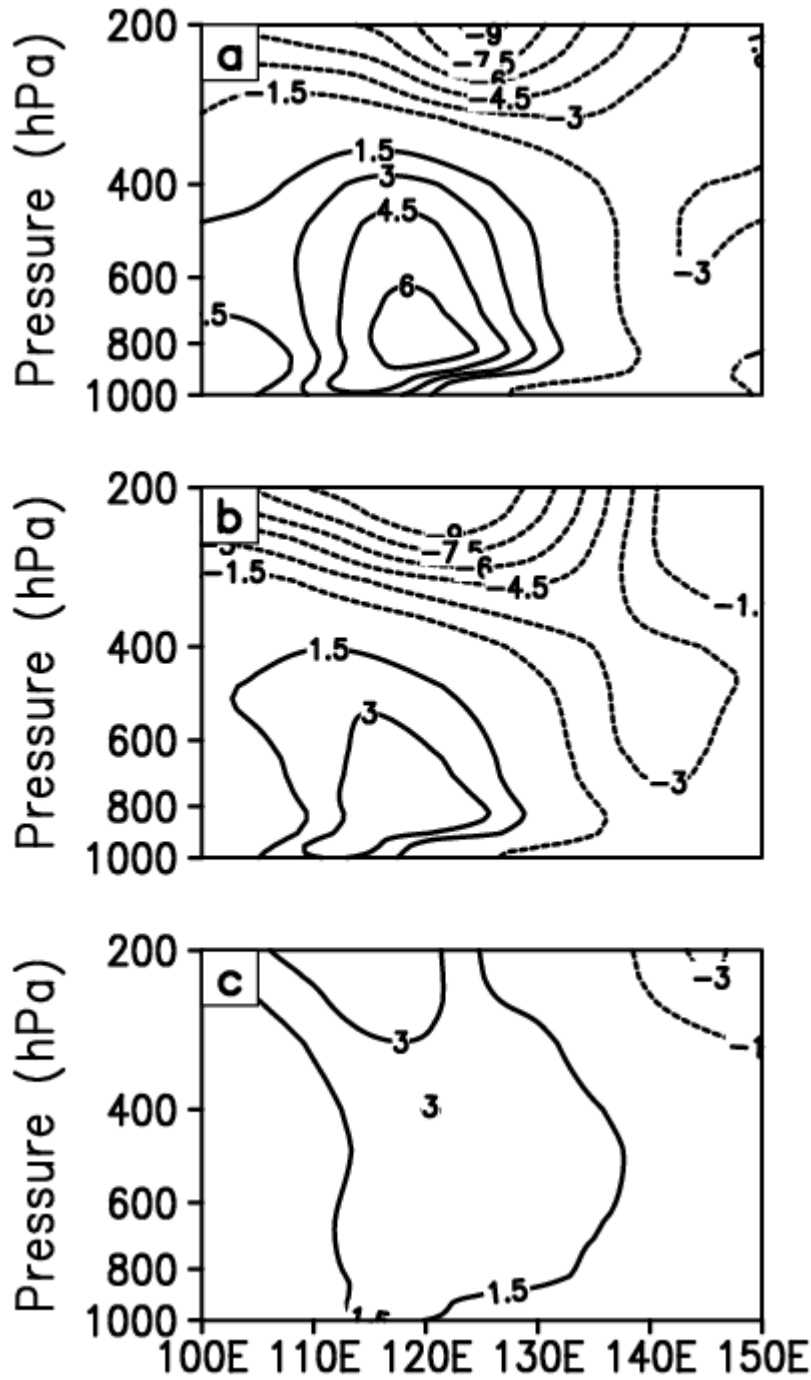
692 Figure 9 The time evolution of the vertical profiles of the steering flows ( $\text{m s}^{-1}$ ) (top of

693 each panel) together with the vertically averaged steering (black vectors) and the actual

694 TC movement (red vectors) (bottom of each panel) from 18 to 23 October in (a) CTL,

695 (b) ALL, (c) UP, and (d) DOWN.

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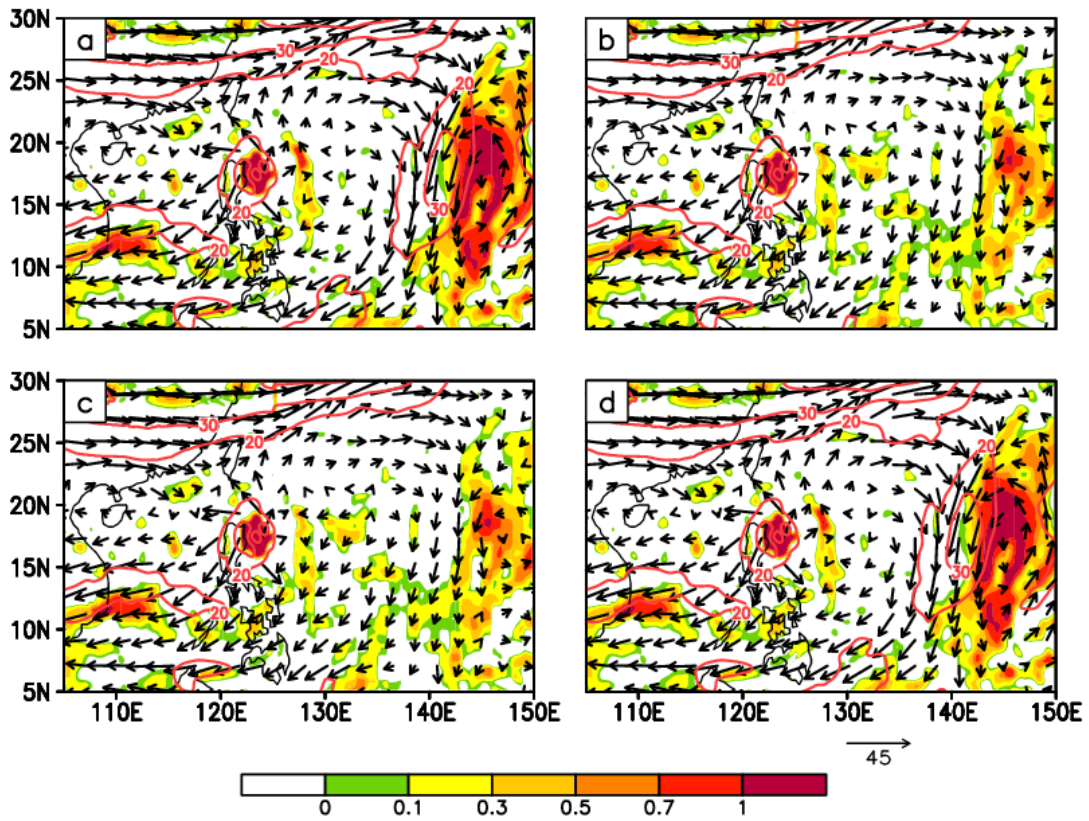
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698 Figure 10 The averaged vertical-longitude background zonal wind from 10°N to 20°N

699 during the period 19–20 October ( $\text{m s}^{-1}$ ): (a) CTL, (b) ALL, and (c) the difference

700 between CTL and ALL.

701



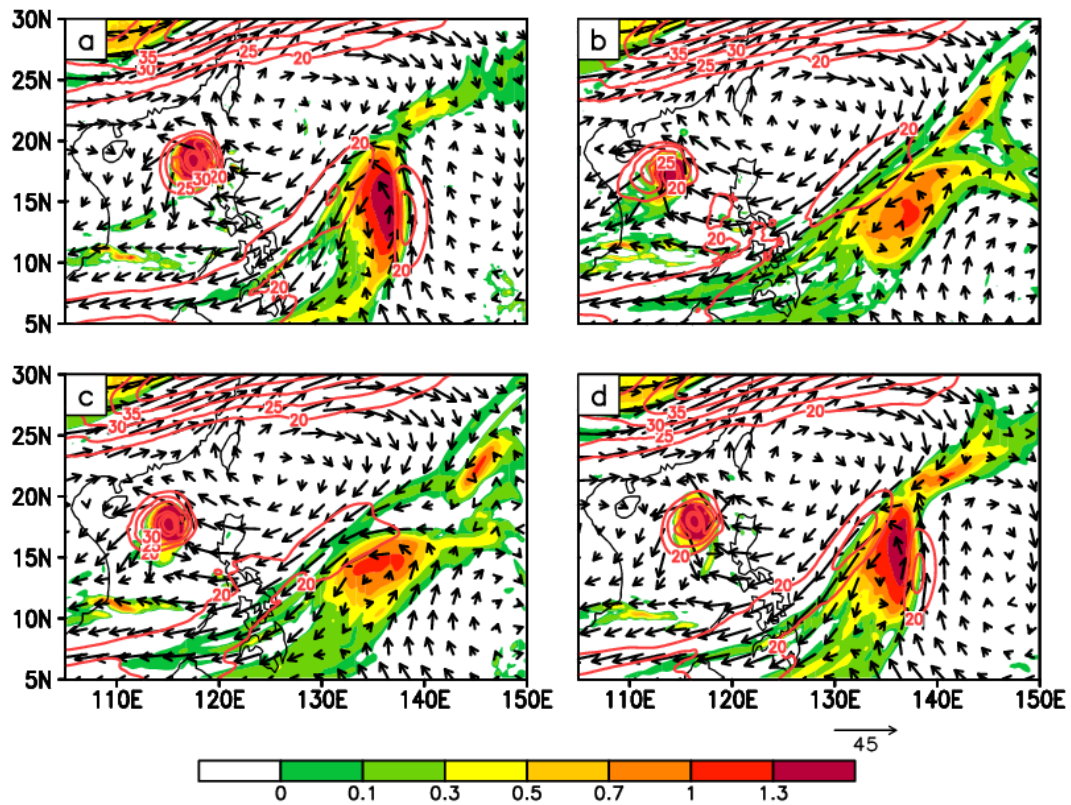
702

703 Figure 11 The 200-hPa circulation (shown as vectors with wind speeds shown as red

704 contours) and relative vorticity (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ) at the initial time on 18

705 October for the four simulated experiments: (a) CTL, (b) ALL, (c) UP, and (d) DOWN.

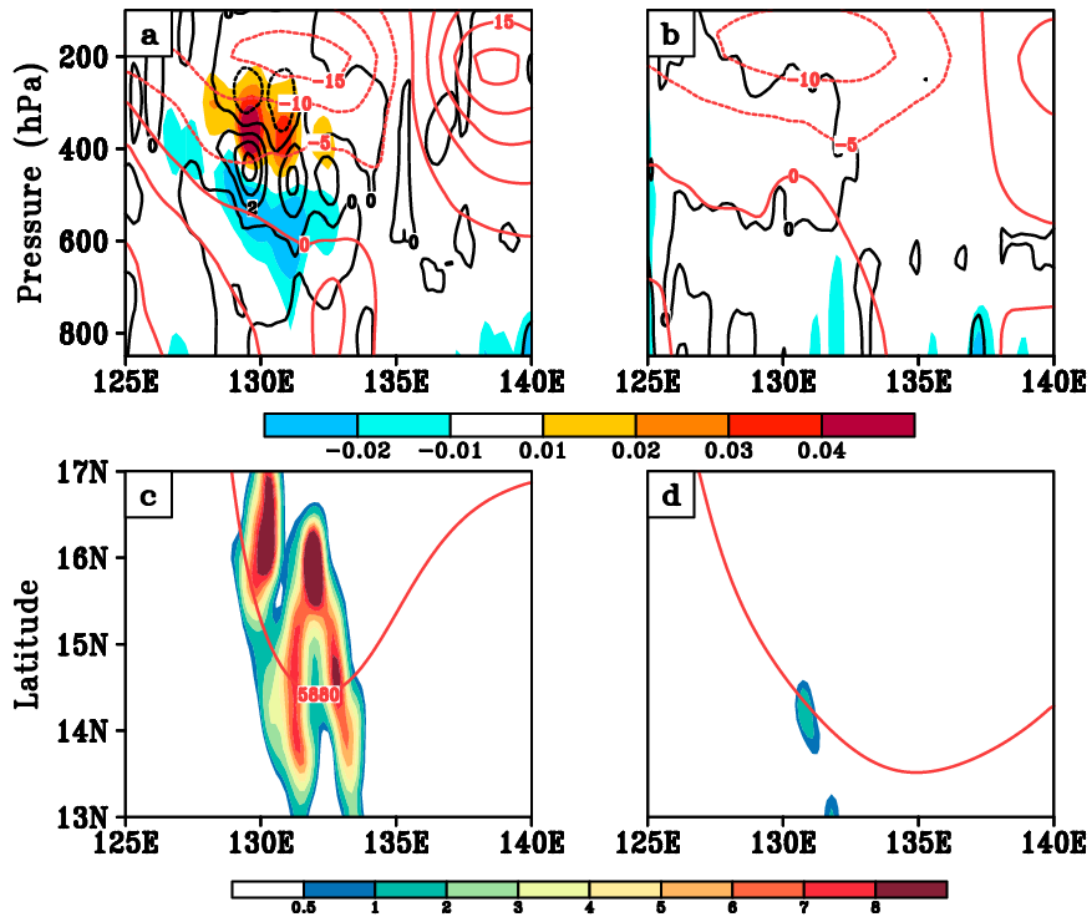
706



707

708 Figure 12 The 200-hPa circulation (shown as vectors with wind speeds shown as red  
 709 contours) and relative vorticity (shaded; units:  $1 \times 10^{-6} \text{ s}^{-1}$ ) on 20 October for the four  
 710 simulated experiments: (a) CTL, (b) ALL, (c) UP, and (d) DOWN.

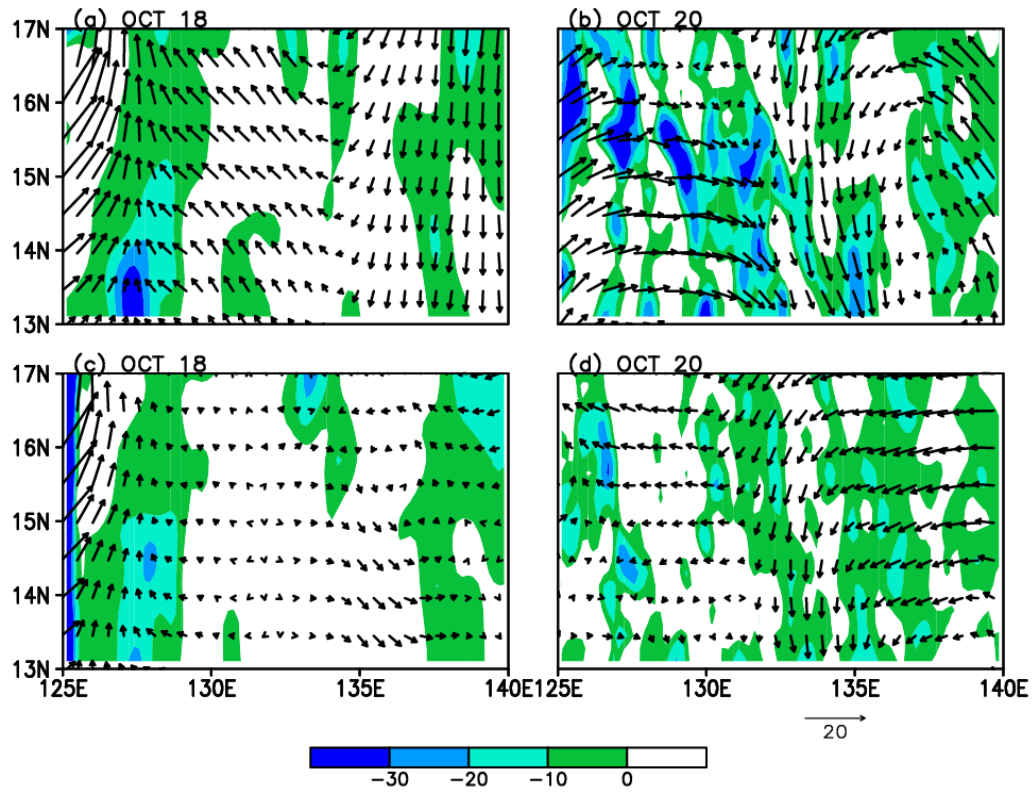
711



712

713 Figure 13 Upper panels: vertical–longitude cross-section of meridional wind of the  
 714 easterly vortex (red contours;  $\text{m s}^{-1}$ ) and the diabatic heating rate,  $Q$  (shaded; units:  $1$   
 715  $\times 10^{-3} \text{ K s}^{-1}$ ) along 15°N, centered on the easterly vortex on 20 October. The black  
 716 contours are the relative vorticity tendency calculated using Eq. (1) for (a) CTL and (b)  
 717 ALL. Lower panels: horizontal plot of the relative vorticity tendency at 500 hPa (shaded)  
 718 and the spatial pattern of the WPSH highlighted using the 5880-gpm contour (in red)  
 719 on 20 October for (c) CTL and (d) ALL.

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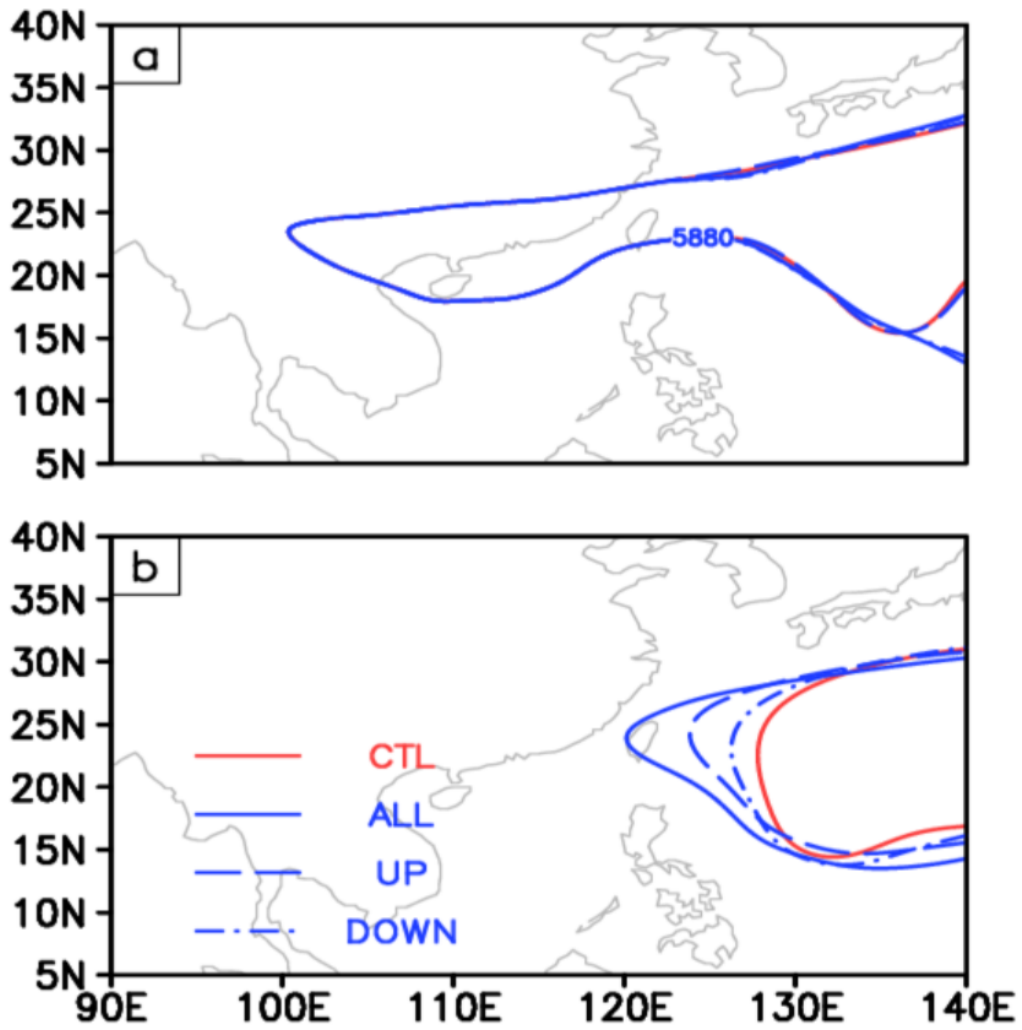
722 Figure 14 The convergence field (shaded) and the circulations (vectors) at 400 hPa at

723 the initial time on 18 October and also on 20 October (units:  $1 \times 10^{-6}$ ) for (a and b)

724 CTL and (c and d) ALL.

725





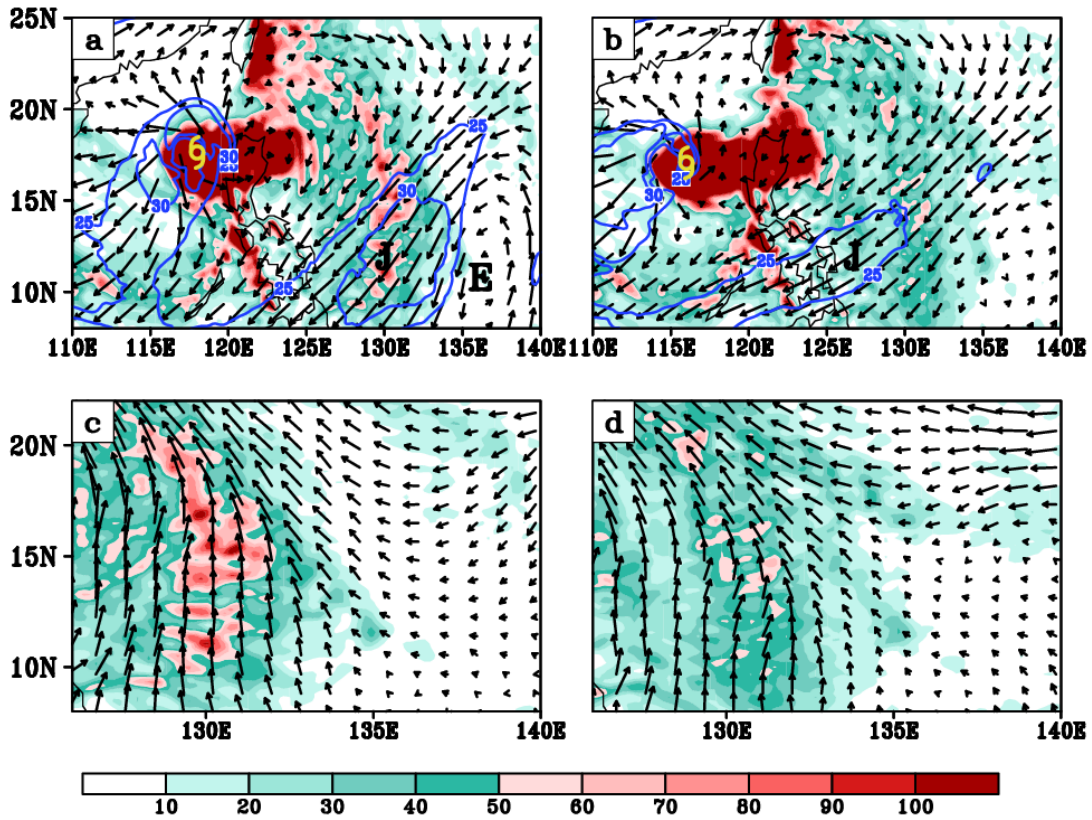
726

727 Figure 15 The spatial pattern of the WPSH in the four experiments highlighted using

728 the 5880-gpm contour: (a) at the initial time on 18 October and (b) on 20 October after

729 two days integration.

730



731

732 Figure 16 Top panels: the 200-hPa circulations (shown as vectors with wind speeds  
 733 shown as blue contours; units:  $\text{m s}^{-1}$ ) and the accumulated rainfall (shaded; units: mm)  
 734 on 20 October for (a) CTL and (b) ALL. The hurricane symbol represents the position  
 735 of Megi near the surface, “E” represents the cyclonic vortex center of the easterly wave,  
 736 and “J” represents the outflow jet core. Bottom panels: the -850 hPa circulation (vectors)  
 737 and the accumulated rainfall distribution (mm) around the jet core region for (c) CTL  
 738 and (d) ALL.

739