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Description and attribution analysis of the 2017 spring anomalous high temperature causing

floods in Kazakhstan

Shan ZOU^{1, 2, 3, 4, 5, 6, 7}

Jilili ABUDUWAILI^{1, 2, 3}

Jianli DING⁴

Weili DUAN^{1, 3}

Philippe DE MAEYER^{1,3, 5, 6, 7}

and

Tim VAN DE VOORDE^{5, 6,7}

¹ *State Key Laboratory of Desert and Oasis Ecology, Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China;*

² *Research Center for Ecology and Environment of Central Asia, Chinese Academy of Sciences, Urumqi 830011, China*

³ *University of Chinese Academy of Sciences, Beijing 100049, China*

⁴ *College of Resource and Environment Sciences, Xinjiang University, Urumqi 830046, China*

⁵ *Department of Geography, Ghent University, 9000 Ghent, Belgium*

⁶ *Sino-Belgian Joint Laboratory of Geo-Information, 9000 Ghent, Belgium*

⁷ *Sino-Belgian Joint Laboratory of Geo-Information, Urumqi 830011, China*

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1) Corresponding author: Weili DUAN, State Key Laboratory of Desert and Oasis Ecology, Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China;

Email: duanweili@ms.xjb.ac.cn

Tel: +86-991-7823172

Fax: +86-991-7823174

Abstract

33 It is speculated that floods in many areas of the world have become more severe with global
34 warming. This study describes the 2017 spring floods in Kazakhstan, which, with about six people
35 dead or missing, prompted the government to call for more than 7,000 people to leave their homes.
36 Then, based on the Climatic Research Unit (CRU), the NCEP/NCAR Reanalysis 1, and the
37 Coupled Model Intercomparison Project 5 (CMIP5) simulations, the seasonal trends of
38 temperature were calculated using the linear least-squares regression and the Mann–Kendall trend
39 test. The correlation between the surface air temperature and atmospheric circulation was explored,
40 and the attributable risk of the 2017 spring floods was evaluated using the conventional fraction
41 of the attributable risk (FAR) method. The results indicate that the north plains of Kazakhstan had
42 a higher (March–April) mean temperature anomaly compared to the south plains, up to 3 °C,
43 relative to the 1901–2017 average temperature. This was the primary cause of flooding in
44 Kazakhstan. March and April were the other months with a higher increasing trend in temperature
45 from 1901 to 2017 compared with other months. In addition, a positive anomaly of the geopotential
46 height and air temperature for the March–April 2017 period (based on the reference period 1961–
47 1990) was the reason for a warmer abnormal temperature in the northwest region of Kazakhstan.
48 Finally, the FAR value was approximately equal to 1, which supported the claim of a strong
49 anthropogenic influence on the risk of the 2017 March–April floods in Kazakhstan. The results
50 presented provide essential information for a comprehensive understanding of the 2017 spring
51 floods in Kazakhstan and will help government officials identify flooding situations and mitigate

52 damage in future.

53 **Keywords** 2017 spring floods; Kazakhstan; attribution analysis; CMIP5; atmospheric

54 circulation; Central Asia

55

56 Introduction

57 In 2017, a rapid spring thaw caused heavy flooding in the northern and central regions in
58 Kazakhstan (Figure 1a), which swept away cars, submerged cities, as well as destroyed homes,
59 schools, roads, bridges, and other infrastructure. The flood had about six people dead or missing and
60 prompted the government to call for more than 7,000 people to leave their homes (Davies, 2017;
61 RFE/RL's Kazakh Service, 2017). These floods were primarily attributed to the rapid increase in
62 temperature in Spring 2017, which caused the rapid melting of snow and ice. The resulting water
63 runoff quickly accumulated, resulting in rivers overflowing their banks and inundating riverside
64 traffic arteries (e.g., railways) and cities and districts, especially Karaganda, Atbasar, Tselinograd,
65 Sandyktau, Aktobe, and Beskaragay (see Fig. 1b).

66 Kazakhstan, located in Central Asia, is the world's largest landlocked country, the climate of
67 which is typically continental with warm summers and very cold winters (Salnikov et al., 2015). It is
68 highly prone to river floods (Plekhanov, 2017), droughts (Zhang et al., 2017a), earthquakes
69 (Campbell et al., 2015), and landslides (Havenith et al., 2015). As per the statistics of the Global
70 Emergency Disaster Database (EM-DAT), a significant number of floods occurred (58.8% of all
71 disasters) during the 1990–2014 period, causing significant casualties, economic losses, and
72 environmental pollution (Heaven et al., 2000; Plekhanov, 2017). On the basis of the water regime of
73 rivers in Kazakhstan, all floods could be divided into four types, namely, the Kazakhstan type, Tien
74 Shan type, Altai type, and “No outflow” type (Plekhanov, 2017). Kazakhstan type flooding occurred
75 in the steppe and semidesert rivers located in the northwestern, northern, and central regions mainly

76 due to the melting of seasonal snow cover on the plains and low mountain areas. Tien Shan type
77 flooding is typical for rivers (e.g., Syr Darya River) of southeastern and southern Kazakhstan mainly
78 because of the intensive melting of seasonal snow or glacial cover in mountainous areas (Aizen et al.,
79 1996). Altai-type flooding is typical for rivers (e.g., Irtysh River) of the mountain regions of eastern
80 Kazakhstan in which rivers were characterized by spring floods that lasted for 1–2 months. “No
81 outflow”-type flooding happens in small rivers in the central and western desert and semidesert parts
82 of the country mainly due to the strong, intensive rainfalls. It is obvious that considerable melting of
83 seasonal snow and glaciers is the primary reason for flooding in Kazakhstan, which will probably
84 become more frequent and serious under global warming (Pollner et al., 2010). For example, future
85 anthropogenic climate change possibly will lead to (1) additional intense precipitation events (Zhang
86 et al., 2017a); (2) accelerated melting of snow and glaciers (Sorg et al., 2012); and (3) increased soil
87 aridity because of high rates of evaporation (Lioubimtseva et al., 2005), resulting in the upper layer
88 of soil washing away more readily. All these changes tend to increase flood losses because of increase
89 in exposure linked to ongoing economic development (Thurman, 2011).

90 The evidence for the impact of climate change on both hydro-climatology and water-related
91 disasters of Kazakhstan is considerable (Salnikov et al., 2015; Shivareva and Bulekbayeva, 2017;
92 Zou et al., 2019). The annual bulletin of climate change (issued by the Ministry of Environmental
93 Protection of the Republic of Kazakhstan) indicates that the country’s average annual temperature
94 increased by 0.27 °C/decade during the 1941–2014 period and that the biggest increase, up to
95 0.38 °C/decade, was detected in spring in the northern, central, and eastern regions. The annual

precipitation slightly decreased by 0.8 mm/decade from 1941 to 2014 and increased during winter, whereas it decreased during the other three seasons. Furthermore, climate change already increased the frequency of extreme precipitation and temperature over Central Asia (Zhang et al., 2017b), thus causing additional water-related disasters in Kazakhstan (Salnikov et al., 2015; Thurman, 2011).

Many studies have examined the impact of climate change on global floods (Blöschl et al., 2017; Iwami et al., 2017; Winsemius et al., 2016). Seasonal floods are the norm in many rivers (Wirth et al., 2013), of which spring floods are usually attributed to enough snow accumulation in winter and warm temperatures in spring (Prowse et al., 2010). Heavy snow accumulation in many parts of the middle- to high-latitude regions indicates an increased risk of flooding if the weather turns to spring too quickly (Frolova et al., 2015; Mazouz et al., 2012), which has become increasingly common under climate change (Blöschl et al., 2019; Veijalainen et al., 2010). However, only a few relevant studies examined the causes and contributors to spring floods in Kazakhstan, especially for the investigation of temperature.

Therefore, the aim of this study is (1) to investigate the changes in the March–April temperature in Kazakhstan from 1901 to 2017 because the increasing temperature was the primary driver for the 2017 spring floods; (2) to evaluate the relation between the warming temperature and atmospheric circulation; and (3) to explore how human-induced climate change causes a warmer temperature and increased spring flood events in Kazakhstan. This study is structured as follows: the datasets and methods are briefly described in Section 2. The results of changes in temperature, correlation analysis, and contribution analysis are elaborated in Section 3, followed by the conclusions in Section 4.

116 Datasets and methods

117 *2.1. Datasets*

118 In Central Asia, because of the lack of long-term ground-based observation data, the Climatic
119 Research Unit (CRU, TS v.4.03) was used to calculate the monthly, seasonal, and yearly temperature
120 and precipitation in Kazakhstan from 1901 to 2018. In May 2019, this dataset was produced and
121 issued by CRU at the University of East Anglia, England, with a resolution of $0.5^{\circ} \times 0.5^{\circ}$ and using
122 the same method as for an earlier version (Harris et al., 2014). Furthermore, the CRU dataset has been
123 extensively used in many previous studies (Nakaegawa et al., 2015) and has been confirmed to be
124 reasonable for Central Asia (Malsy et al., 2015; Zou et al., 2019).

125 To fully understand the atmospheric processes leading to the 2017 spring floods in Kazakhstan,
126 the data of the NCEP/NCAR Reanalysis 1 (Kalnay et al., 1996) were used to understand the large-
127 scale atmospheric circulation from the surface to upper layers. On the basis of the data from 1948 to
128 present, a state-of-the-art analysis/forecast system was used to perform data assimilation in the
129 NCEP/NCAR Reanalysis 1 project, which has been extensively applied in multiple studies (Basu and
130 Sauchyn, 2019; Romanic et al., 2018). In this study, parameters, including the air temperature,
131 geopotential height, and wind, were used to evaluate the relation between atmospheric circulation and
132 2017 spring floods.

133 To assess the contribution of human influence on increase in temperature in Kazakhstan,
134 temperature simulations from about 40 global climate models (GCMs) from the Coupled Model
135 Intercomparison Project Phase 5 (CMIP5; see Taylor et al., 2012) were employed. These CMIP5

models provided 13 temperature simulations (one member run “r1i1p1”) with a preindustrial control setting, natural forcing only (NAT), and all forcing (ALL). Then, two evaluation methods were applied to identify and select models. One is the positive spatial correlation coefficient for the interannual March–April mean temperature between the CRU and the CMIP5 ALL simulations in Kazakhstan. Furthermore, the criterion is that the coefficient should be larger than or equal to 0. The other method is the Kolmogorov–Smirnov (K–S) test (Nakaegawa and Kanamitsu, 2006; Nakaegawa and Nakakita, 2012) between the CRU and the CMIP5 ALL simulations; the p value should be <0.05 . Finally, 10 models were selected to analyze the attribution (Table 1). For each CMIP5 model, only one member run (“r1i1p1”) was employed. The ALL simulations of most models ended in 2005. To compare the observations from 1961 to 2017 better, the March–April annual mean temperature projections from the Representative Concentration Pathways 8.5 (RCP8.5) scenario were used to extend the time series of ALL simulations through 2017 based on the method proposed by Zhou et al. (2014).

2.2. Methodology

Linear least-squares regression (Hess et al., 2001) was applied to estimate the trend of the monthly and yearly temperatures at the grid and the national scales for Kazakhstan, and their significance in each time series was evaluated using the Mann–Kendall trend test (Kendall, 1975). The national temperature time series were calculated from the average of all grid points.

To understand the temperature variations in different subperiods better, we divided the period into four subperiods, namely, 1901–1930, 1931–1960, 1961–1990, and 1991–2017, as well as

156 calculated the probability distribution functions for the March–April annual mean temperature for all
157 four subperiods.

158 When evaluating the contribution of the human influence on the increasing temperature in
159 Kazakhstan, three temperature indices were measured namely, TNn (monthly minimum value of the
160 daily minimum temperature), TXx (monthly maximum value of the daily maximum temperature),
161 and the mean temperature.

162 The conventional fraction of the attributable risk (*FAR*) method was used to quantify the
163 attributable risk of the 2017 spring floods in the model analysis (Stone and Allen, 2005; Stott et al.,
164 2004). The *FAR* value could be calculated using the following equation:

$$165 \quad FAR = 1 - \frac{P_{NAT}}{P_{ALL}} \quad (1)$$

166 where *FAR* is the fraction of the risk for the occurrence of the 2017 spring floods in Kazakhstan that
167 is attributed to the inclusion of additional forcing from one scenario to the next, P_{ALL} is the
168 probability of the event under ALL forcing, and P_{NAT} is the probability under the NAT forcing. Both
169 P_{ALL} and P_{NAT} could be computed based on the CMIP5 ALL and NAT simulations. Based on the
170 definition of the calculating process of *FAR* and the CMIP5 ALL and NAT simulations, we first
171 compared the real temperature and ALL and NAT simulations, and then calculated P_{ALL} and P_{NAT} .
172 The *FAR* values provide a quantification of the change in probability of the defined event occurring
173 (here, the occurrence of the 2017 spring floods in Kazakhstan) that can be attributed to a particular
174 cause, particularly the difference between model experiments (i.e., anthropogenic climate forcings).
175 For instance, a value of $FAR = 0.5$ suggests that the risk of an extreme event is doubled over natural

176 conditions because of the anthropogenic climate change. Because of the lack of the observed TXx
177 and TNn, we only compared the probability of the observed 2017 March–April mean temperature
178 occurring in the ALL forcing (P_{ALL}) and the NAT forcing (P_{NAT}) simulations to determine the
179 contribution of anthropogenic climate change.

180 Furthermore, to estimate the *FAR* uncertainty, the bootstrapping method (with replacement)
181 was applied in this CMIP5-based study. For determining the *FAR* values associated with the 2017
182 March–April mean temperatures in Kazakhstan, each distribution of temperature was bootstrap
183 resampled 1,000 times (using in each iteration subsamples of all years from only 50% of available
184 model simulations) to produce a distribution of *FAR* values (Lewis and Karoly, 2013). This
185 distribution of 1,000 *FAR* values represents the uncertainty associated using different models and
186 provides a basis for communicating *FAR* ranges. In this study, e.g., both the median and 10th
187 percentile *FAR* values indicate that they are exceeded by 90% of values in the bootstrapped *FAR*
188 distributions; moreover, they can be described as “best estimate” and “very likely” values,
189 respectively.

190 Results and discussions

191 3.1. Changes in temperature

192 Figure 2a shows the distribution of mean temperature in the March–April 2017 period
193 (Kazakhstan), suggesting that the temperature was high in most regions except for northern
194 Kazakhstan and high mountains. The south plains had a higher temperature than the north plains;
195 moreover, both Tien Shan and Altai Mountains showed a lower temperature than other plains.

196 However, the north plains had a higher mean temperature anomaly (up to 3 °C) in March–April than
197 the south plains compared to the average temperature in 1901–2017 (Figure 2b), which shows that
198 abnormally high temperatures appeared in spring 2017 and probably accelerated the snow and ice
199 melting in Kazakhstan. The unusually warm temperatures engulfed a large part of Kazakhstan in
200 March–April 2017, which agreed with the trend of mean temperature in March–April from 1901 to
201 2017 (Figures 2c and 2d).

202 Figure 2c also clearly illustrates that all grids in Kazakhstan exhibited positive trends at the 95%
203 confidence level and that the southern regions had lower trends than the northern regions. Figure 2d
204 shows that a significant, increasing trend at 0.25 °C/decade was detected during the 1901–2017 period
205 for the entire Kazakhstan; moreover, the national mean temperature in March–April was greater than
206 7.50 °C since 2004. Of those, the most notable warm temperature anomalies were present across most
207 of Kazakhstan during March and April 2008, up to 6.77 °C, and the value amounted to 3.41 °C in 2017.
208 All these springs (with a warm temperature anomaly) had floods in the warm temperature and
209 dramatically accelerated the snow melting and ice disintegration in early spring. Figure 2e shows the
210 bivariate return periods for the current March–April mean temperature, which suggests that the 2017
211 March–April warm temperature was close to a 1-in-6-year event. Figure 2f shows that the March–
212 April temperature demonstrated a positive shift from the first time (1901–1930) to the fourth time
213 period (1991–2017), suggesting that the warm temperature anomaly has increasingly become
214 common and significant (the right tail of each time period). The increasing trend in temperature is
215 consistent with the analysis from Pilifosova et al. (1997) and Salnikov et al. (2015).

216 Furthermore, Figure 2d shows that certain other years had higher mean temperatures in March–
217 April compared with that in March–April in 2017. For example, the national mean temperature was
218 greater than 10 °C in March–April 2008, which was considerably higher than that in March–April
219 2017. However, the warm temperature in 2008 did not cause more floods than in 2017 because there
220 was not enough snow accumulation during this year. More concretely, there was additional winter
221 precipitation in 2017 over Kazakhstan (Figure 2g), and precipitation anomaly was greater than 10
222 mm in northern regions (Figure 2h). Figure 2i shows the spatial distribution of differences of winter
223 precipitation between 2008 and 2017, which suggests that winter precipitation in 2017 was
224 considerably higher than that in 2008; furthermore, the largest difference value was up to 20 mm in
225 the northern regions of Kazakhstan.

226 To compare temperature variations between March–April and the other months, the monthly
227 temperature was analyzed. Figure 3 shows the mean monthly temperature in Kazakhstan from 1901
228 to 2017, which shows that July had the highest mean temperature (approximately 23.14 °C), whereas
229 January had the lowest (approximately –12.55 °C). The mean temperature was greater than 0 °C in
230 April, May, June, July, August, September, and October; however, it was negative in November,
231 December, January, February, and March. Of those, the temperature during March and April is
232 extremely important for determining the spring melting and snow cover (see blue box plots in Figure
233 3b). For example, the increasing temperature could cause earlier spring melting and reduced snow
234 cover seasons and vice versa. Uneven spatial distributions are also found in Figure 3a. Generally, the
235 southern regions have a higher temperature than northern regions, and the temperature is greater than

236 30 °C in the southern regions in summer but less than −30 °C in the northern regions in summer.

237 Figure 4 shows the trends of mean monthly temperature in Kazakhstan from 1901 to 2017, which
238 shows that an increase was detected for all months ranging from 0.06 °C to 0.37 °C/decade. Note that
239 July had the lowest trend for the mean temperature (approximately 0.06 °C/decade), whereas March
240 had the highest trend for the mean temperature (approximately 0.37 °C/decade), followed by April
241 (approximately 0.26 °C/decade) and February (approximately 0.22 °C/decade). Obviously, in these
242 two months, the increase in (both March and April) temperature had significantly uplifted the mean
243 temperature (see Figure 3), probably causing earlier spring melting and shorter snow cover seasons
244 (Kaldybayev et al., 2016; Kitaev et al., 2005). Moreover, Figure 4 shows that an uneven spatial
245 distribution was detected for all months. The north had higher trends than the south in March and
246 April, and the largest increase amounted to 0.5 °C/decade in the north fringe in Kazakhstan. The
247 northern regions had higher trends than the southern regions in March and April, and the largest
248 increase was more than 0.5 °C/decade in the north fringe regions in Kazakhstan; however, in July and
249 September, the southern regions had higher trends than the northern regions, and the lowest increase
250 was reported in the north fringe regions in Kazakhstan, up to 0 °C/decade.

251 3.2. *Relation with the atmospheric circulation*

252 Generally, the anomalies of synoptic conditions have been confirmed to contribute to extreme
253 temperature and precipitation events (Lau and Kim, 2012; Milrad et al., 2015), particularly under
254 climate change. Therefore, to investigate the characteristics of flood occurrence in Kazakhstan,
255 composite analysis was calculated and contoured for the following atmospheric variables in the data

256 of the NCEP/NCAR Reanalysis 1: 500 and 850 hPa air temperature, geopotential height, and wind.
257 Figure 5 shows the contour maps of the anomalies in air temperature, geopotential height, and wind
258 vector at 500 and 850 hPa from March to April 2017 (based on the 1961–1990 reference period).

259 As can be seen from Figures 5a and 5b, a positive air temperature anomaly was detected in the
260 northwest and northeast regions at both 500 and 850 hPa but a negative one in the southeast mountains.
261 The anomalies of air temperature at 500 hPa show that the largest anomaly was up to +1°C in the
262 northern regions, which probably accelerated ice melting and caused a series of floods in the northern
263 regions of Kazakhstan because there are multiple small river networks in these areas (see Figure 1).
264 Figure 5c shows that the March–April 2017 period was characterized by a strong positive geopotential
265 anomaly at 500 hPa, based on the 1961–1990 reference period of ~30 gpm with a maximum (larger
266 than 40 gpm) in the northwest region and a minimum (less than 20 gpm) in the southeast corner of
267 Kazakhstan. Moreover, Figure 5c shows a blocking high in the east of Kazakhstan, which may be the
268 main cause of high temperatures in Kazakhstan. The 850 hPa geopotential anomaly reached about 20
269 gpm with a maximum (more than 30 gpm) in the southwest corner (Figure 5d). Compared with
270 Figures 5a and 5c, the occurrence of warm spring in Kazakhstan was accompanied by a positive
271 anomaly at 500 hPa. Moreover, large positive anomalies at 500 hPa played an important role in
272 maintaining prolonged extreme temperature spells and atmospheric blocking (Tomczyk et al., 2017).
273 Furthermore, Figures 5e and 5f show anomalies of the wind vector at 500 and 850 hPa (m/s) in
274 March–April 2017, thus revealing an anticyclonic system in eastern Kazakhstan for both pressure
275 layers.

276 Figure 6 shows that the anomalies of the geopotential height and air temperature were calculated
277 and contoured in the vertical cross-sections of the troposphere. Generally, the occurrence of the
278 anomalies in the March–April 2017 period was related to the positive anomalies of geopotential
279 height on all isobaric levels (100–1000 hPa) throughout the troposphere. On the basis of the 1961–
280 1990 reference period, the largest anomalies of geopotential heights occurred at the level of ~250 hPa,
281 with the maximum along the meridian of 100 °E (>120 gpm) (Figure 6d). Figure 6d also shows that
282 the positive air temperature anomalies occurred with the highest values exceeding 4 °C on the 1000–
283 750 hPa geopotential levels. Moreover, in Figures 6a and 6b (40 °N, 45 °N), there were negative air
284 temperature anomalies from 60 °E to 80 °E in the lower troposphere (below the level of 300 hPa)
285 probably because most of these regions are high mountains and the surface air temperature is
286 extremely low. In the upper troposphere (above the level of 200 hPa), however, there were negative
287 air temperature anomalies in Figures 6c and 6d (50 °N, 55 °N), which shows a characteristic circulation
288 of air masses within high-pressure areas. That is, the horizontal convergence of air masses in the
289 upper part of the high-pressure area causes adiabatic cooling, leading to negative air temperature
290 anomalies, whereas the positive anomalies in its lower part are a consequence of the settlement of air
291 masses activating adiabatic heating (Tomczyk, 2018).

292 The spatial patterns of the 1948–2017 trends constructed with air temperature and geopotential
293 height at 500 hPa are plotted in Figure 7, suggesting an increasing trend over Kazakhstan. The trends
294 both show an overall increase at 500 hPa and display negative trends in certain regions for both air
295 temperature and geopotential height. The spatial patterns of trends may trigger a dynamical climatic

296 response via changes in circulation, whereas increased geopotential height at 500 hPa may contribute
297 to the occurrence of warm spells weather through direct and indirect effects (Black et al., 2004;
298 Freychet et al., 2017). Here, the relative increase in geopotential height at 500 hPa around Kazakhstan
299 (Figure 7b) may enhance the downward solar radiation and subsidence warming and moderate cold
300 flow from the Siberia and the Arctic Ocean, which consequently increased the surface air temperature.

301 From the above analysis, therefore, we can possibly conclude that the northeastward shift of the
302 anticyclonic high-pressure system reduced the northerly wind transporting cold air from the Siberia
303 and the Arctic Ocean to Kazakhstan, thus favoring a positive air temperature anomaly. The result is
304 consistent with the interdecadal variation in the Central Asia pattern from Yu et al. (2019): that is, a
305 positive 500-hPa height anomalies and an anomalous anticyclonic circulation over the northwest of
306 the region, corresponding to the increasing occurrence of warm spells weather in Central Asia.

307 *3.3. Contribution analysis*

308 To conduct the attribution analysis of the 2017 spring floods in Kazakhstan, we calculated and
309 compared the probability of the event occurrence under the CMIP5 ALL and NAT simulations. Figure
310 8 shows the kernel curves of the TNn, TXx, and the mean temperature for CMIP5 ALL and NAT
311 simulations.

312 As shown in Figure 8a, the TNn probability density curves shifted to the right from the NAT
313 simulations to ALL simulations with a corresponding mean value at -18.47°C and -17.99°C ,
314 respectively, which suggests an increase in the mean value of the TNn and a decrease in the
315 occurrence of cold weather in spring in Kazakhstan. Similarly, the March–April TXx probability

316 density curves (Figure 8b) shifted to the right from NAT simulations to ALL simulations with a
317 corresponding mean value at 22.72°C and 22.96°C, respectively. This indicates an augmentation in
318 the occurrence of hot weather in spring in Kazakhstan under the influence of anthropogenic forcing.

319 Similar to the case of TNn and TXx, the probability density curves regarding the mean
320 temperature in March–April tended to shift from the NAT distributions to the right direction in ALL
321 simulations with a corresponding mean value at 2.34°C and 2.43°C, respectively, which indicates that
322 the average temperature increased by 0.09°C because of the natural forcing. Correspondingly, the
323 contribution of the anthropogenic forcing to the observed spring floods 2017 in Kazakhstan was 100%
324 ($FAR = 1$, Figure 8c), thus supporting the claim of a strong anthropogenic influence on these floods.

325 Furthermore, we note that although CMIP5 models' outputs are suitable for estimating FAR ,
326 the FAR values are arguably uncertain because of the complexity of extreme climate events and the
327 intrinsic uncertainty that arises from model deficiencies (Bellprat and Doblas Reyes, 2016; National
328 Academies of Sciences, Engineering, and Medicine, 2016). To reduce uncertainties from the
329 limitations of climate model resolution and erroneous representation relevant physical mechanisms,
330 previous studies have to date attempted to use multimodel ensembles (Duan et al., 2019; Fischer and
331 Knutti, 2015) or multimethod approaches (Otto et al., 2015). However, unreliable climate models are
332 still prone to overestimating FAR because of overconfident ensemble spread and model deficiencies;
333 furthermore, the FAR may affect the interannual and decadal variabilities with different phases in
334 different model simulations (Bellprat and Doblas Reyes, 2016; National Academies of Sciences,
335 Engineering, and Medicine, 2016; Slingo and Palmer, 2011). Therefore, contribution studies in future

336 should increasingly consider model correction approaches and larger ensembles to reduce sampling
337 uncertainty and account for model uncertainties, respectively (Bellprat and Doblas Reyes, 2016; Otto
338 et al., 2016).

339 Conclusions

340 In this study, the spring floods in Kazakhstan were first described in 2017, which indicates that
341 a rapid spring thaw caused heavy flooding in the northern and central regions in Kazakhstan, resulting
342 in rivers overflowing their banks and inundating the riverside cities. Then, on the basis of the CRU
343 datasets and NCEP/NCAR Reanalysis 1, the trends of monthly and yearly temperatures at the grid
344 and national scales (for Kazakhstan) were calculated; moreover, their correlation with the
345 atmospheric circulation was assessed. The contribution from the influence of the anthropogenic force
346 was estimated by calculating three temperature indices, namely, TXx, TNn, and mean temperature,
347 for the CIMP5 NAT and ALL simulations. The results could be summarized as follows:

348 (1) The warmer abnormal temperature in March–April 2017 was the primary cause of flooding
349 in Kazakhstan. The north plains had a higher March–April mean temperature anomaly compared to
350 southern regions, up to 3 °C, relative to the 1901–2017 average temperatures, thus accelerating the
351 snow and ice melting in Kazakhstan, which was consistent with the trend of the mean March–April
352 temperature during the 1901–2017 period. Compared with other months, both March and April
353 demonstrated a higher trend from 1901 to 2017, with the value at approximately 0.37 °C/decade and
354 0.26 °C/decade, respectively. This probably caused earlier spring melting and shorter snow cover
355 seasons.

356 (2) A blocking high in the east of Kazakhstan directly caused a positive anomaly of the
357 geopotential height and air temperature in the March–April 2017 period (based on the reference
358 period 1961–1990), eventually leading to a warmer abnormal spring temperature in Kazakhstan. The
359 largest geopotential height and air temperature anomalies at both 500 and 850 hPa were up to 40 gpm
360 and +1°C, respectively, in the northwestern part of Kazakhstan. This explained why the warmer
361 abnormal temperature in the northwest region was higher than that in the southeast region. Moreover,
362 the northeastward shift of the anticyclonic high-pressure system reduced the northerly wind
363 transporting cold air from the Siberia and Arctic Ocean to Kazakhstan, thus favoring a positive air
364 temperature anomaly.

365 (3) The attribution analysis indicated that the risk of the 2017 March–April floods in Kazakhstan
366 could be attributed to anthropogenic forcing. The kernel curves of the March–April TNn, TXx, and
367 mean temperature shifted to the right from the CMIP5 NAT simulations to the CMIP5 ALL
368 simulations. Moreover, the contribution of anthropogenic forcing to the observed 2017 spring floods
369 in Kazakhstan was 100% (FAR = 1), thus supporting the claim of a strong anthropogenic influence
370 on 2017 spring floods. However, additional contribution studies should increasingly consider model
371 correction approaches and larger ensembles to reduce sampling uncertainty and account for model
372 uncertainties, respectively.

373

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383

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Fig. 2 (a) The mean temperature in March and April 2017 in Kazakhstan. (b) Spatial distribution of the March–April mean temperature anomaly in 2017, based on the average from 1901 to 2017. (c) Spatial distribution of the trend (°C/decade) of the March–April mean temperature from 1901 to 2017, and areas with red dots indicate p values less than 0.05. (d) Time series of the regional mean for the March–April temperature from 1901 to 2017 in Kazakhstan. (e) Bivariate return periods for the current March–April mean temperature. (f) Probability distribution functions for the mean March–April temperature (mean value of the grid temperature all over Kazakhstan) between 1901 and 2017 for the four time periods: 1901–1930, 1931–1960, 1961–1990, and 1991–2017. (g) Spatial distribution of winter precipitation (mm) in 2017. (h) Spatial distribution of the winter precipitation anomaly in 2017, based on the average from 1961 to 1990. (i) Spatial distribution of differences of winter precipitation between 2008 and 2017 and, here, 2017 winter precipitation minus 2008 winter precipitation.

Fig. 3 Spatial distribution (a) and box plot (b) of the mean monthly temperature (°C) in Kazakhstan from 1901 to 2017. Boxes indicate the interquartile model spread (25th and 75th quartiles), with the horizontal line indicating the medium monthly temperature. The red dot represents the mean monthly temperature, the values of which are shown for each month in the figure.

Fig. 4 Spatial distribution (a) and box plot (b) of the trends in the mean monthly temperature in

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592 Fig. 5 Anomalies of the air temperature (a and b), geopotential height (c and d), and wind (e and f) at
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595 Fig. 6 A vertical cross section along the latitude of 40 °N (a), 45 °N (b), 50 °N (c), and 55 °N (d) of the
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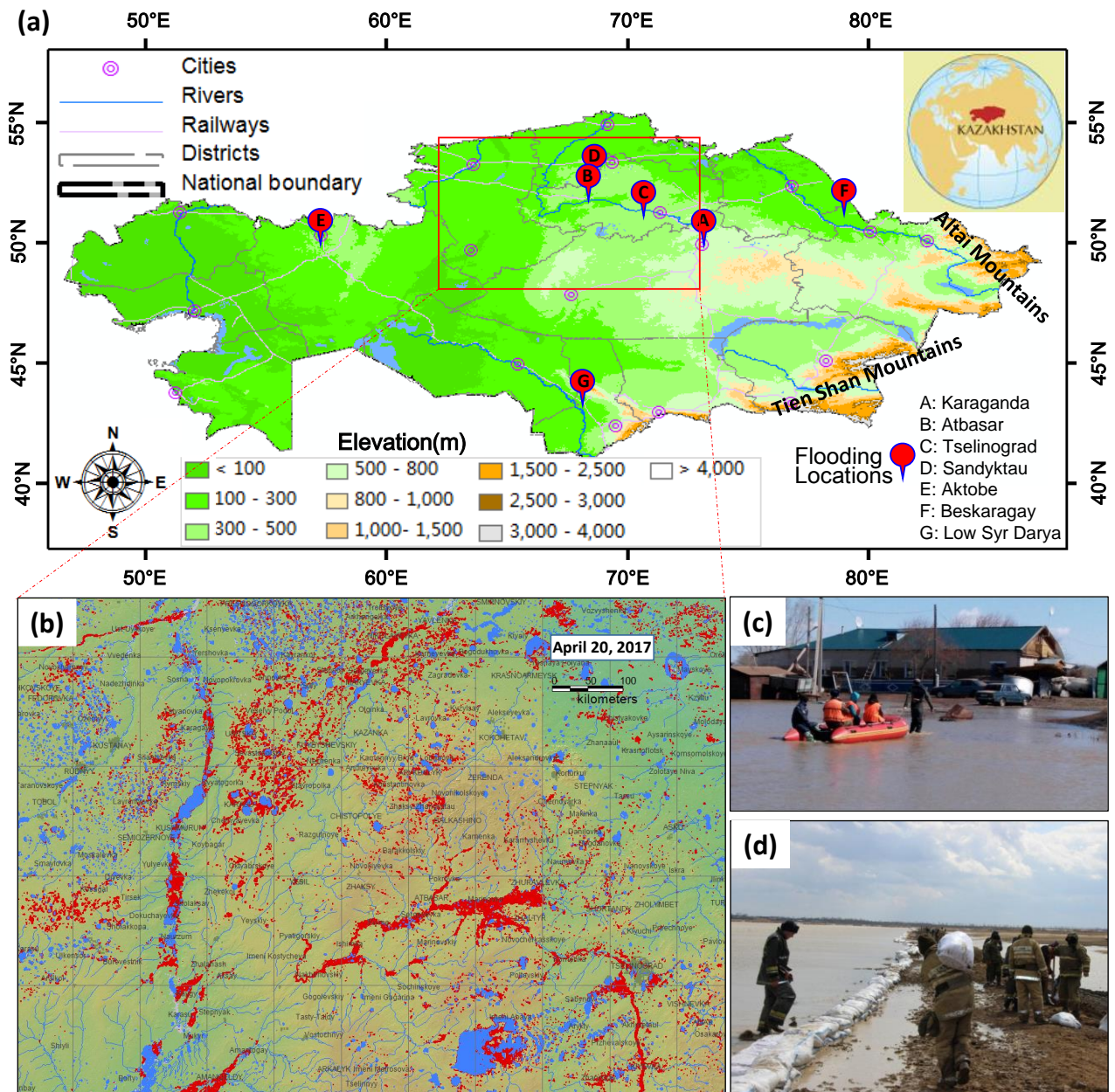


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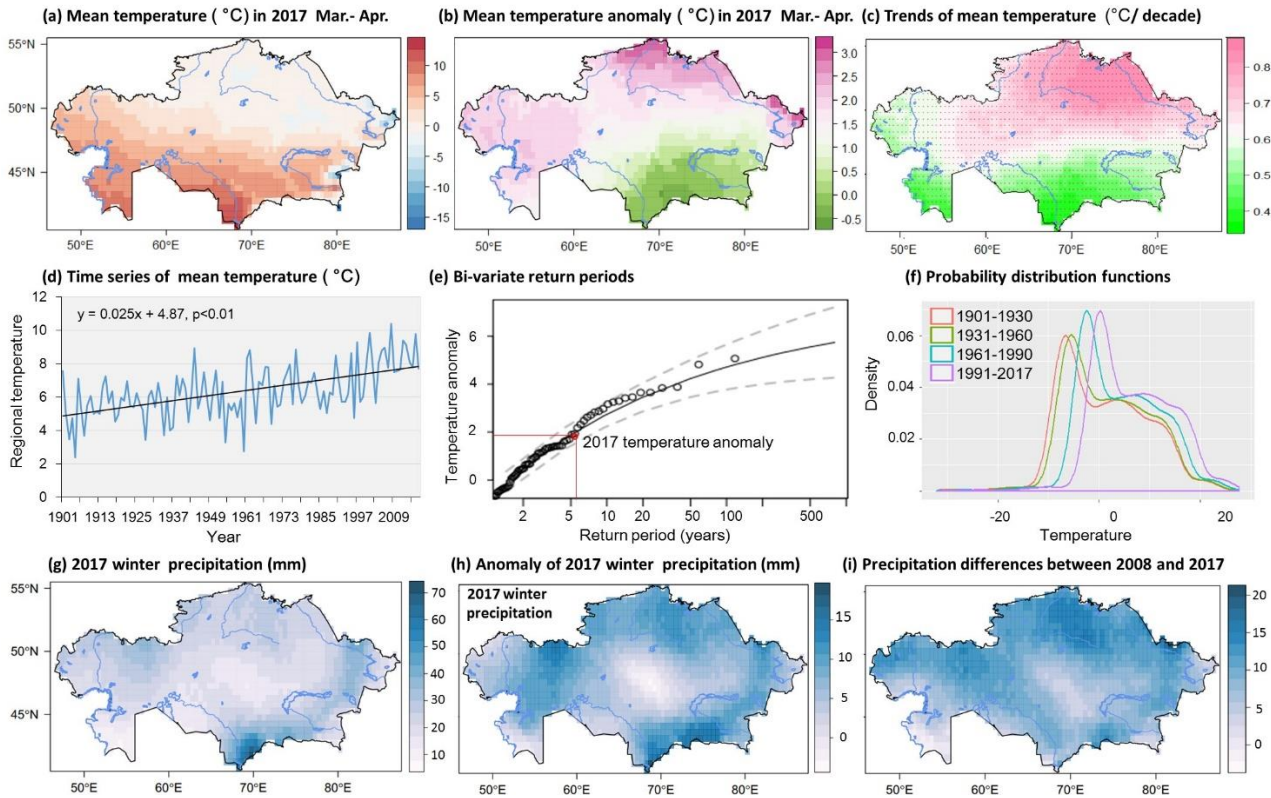
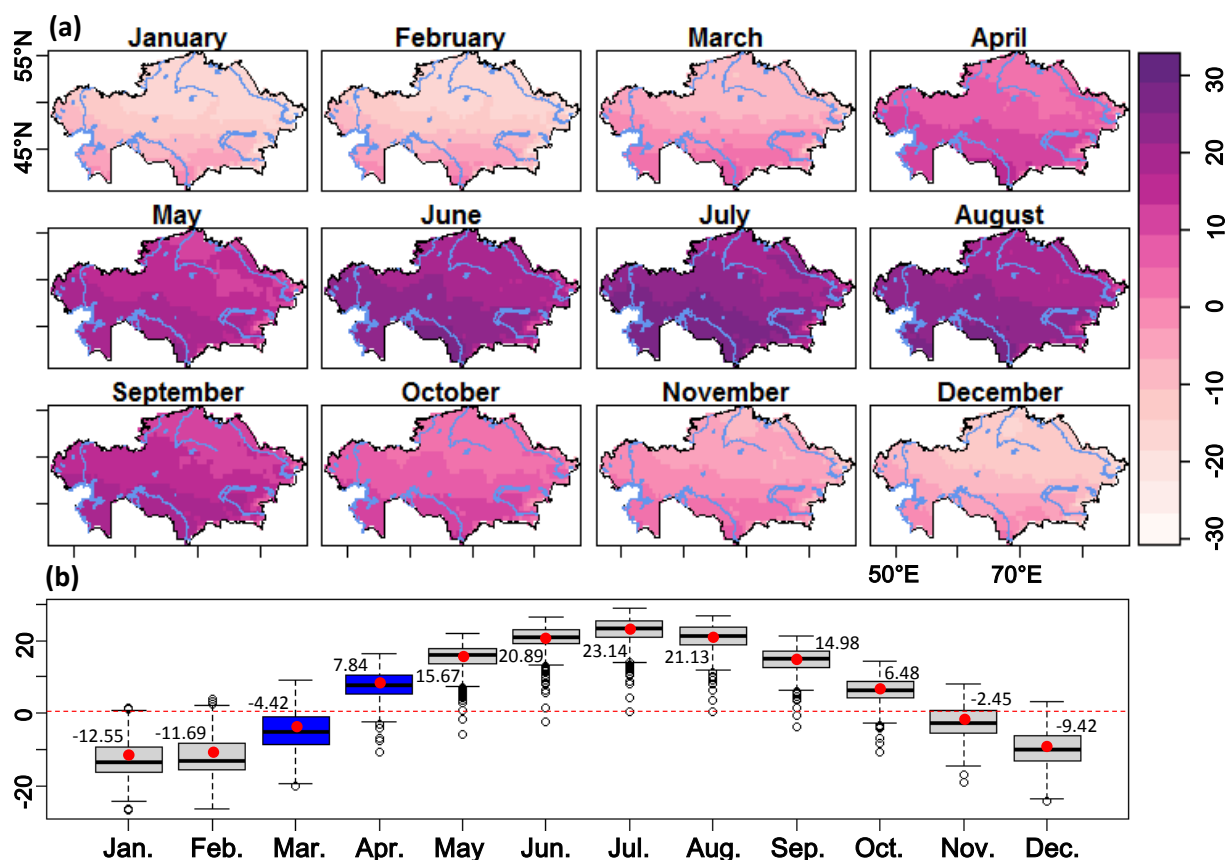


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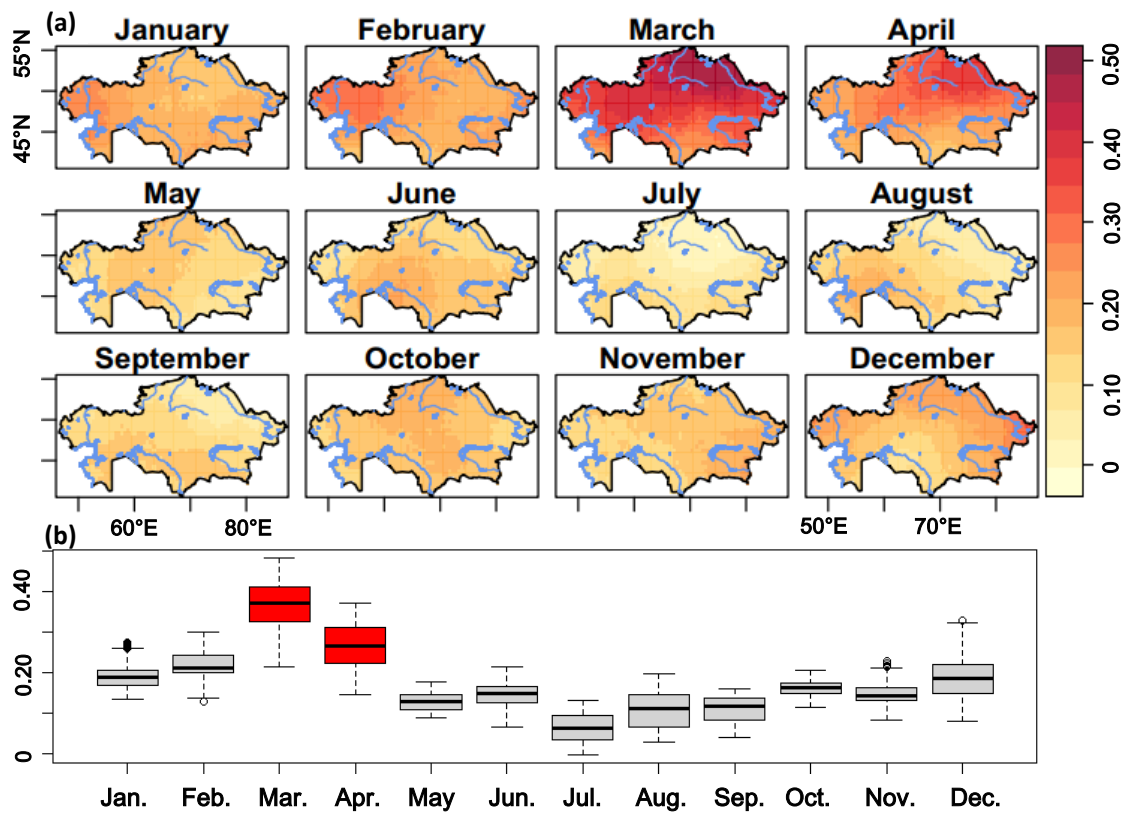


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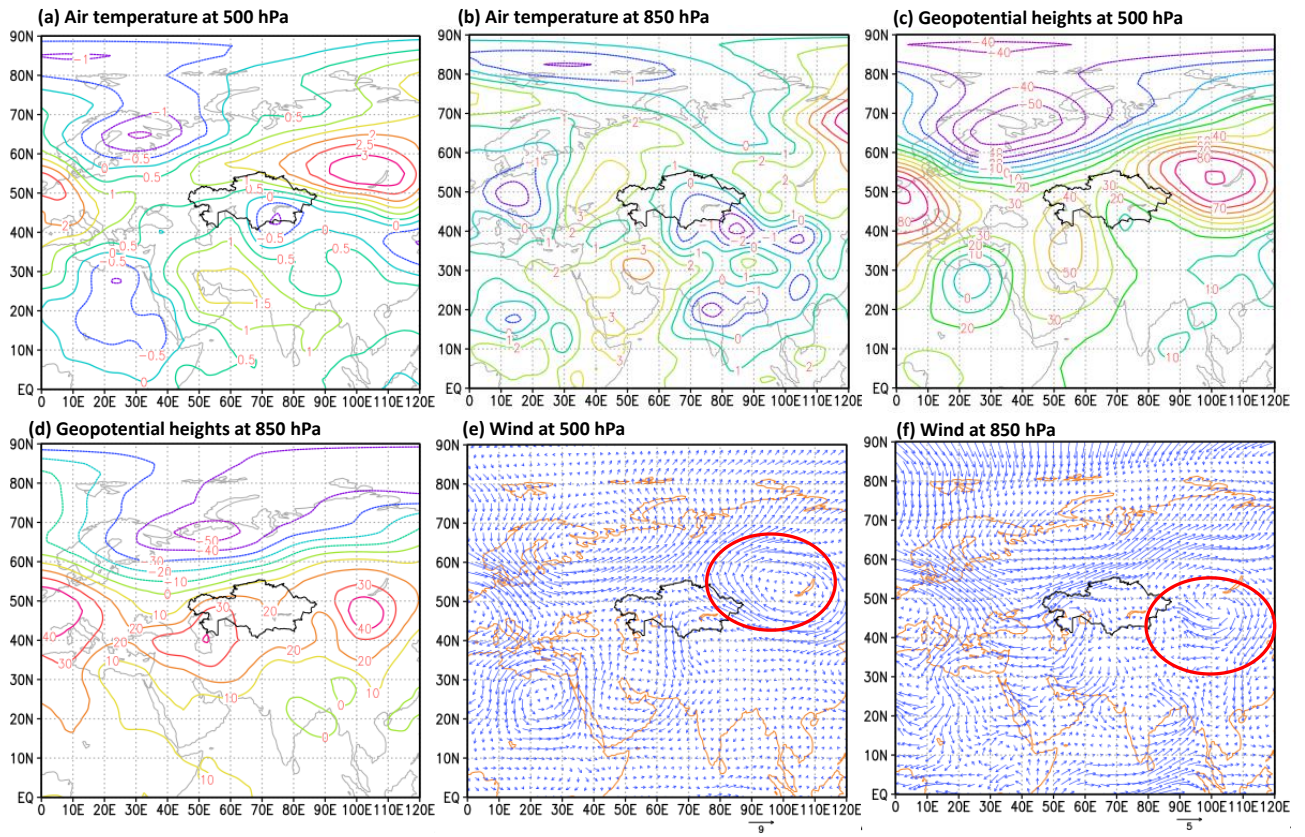
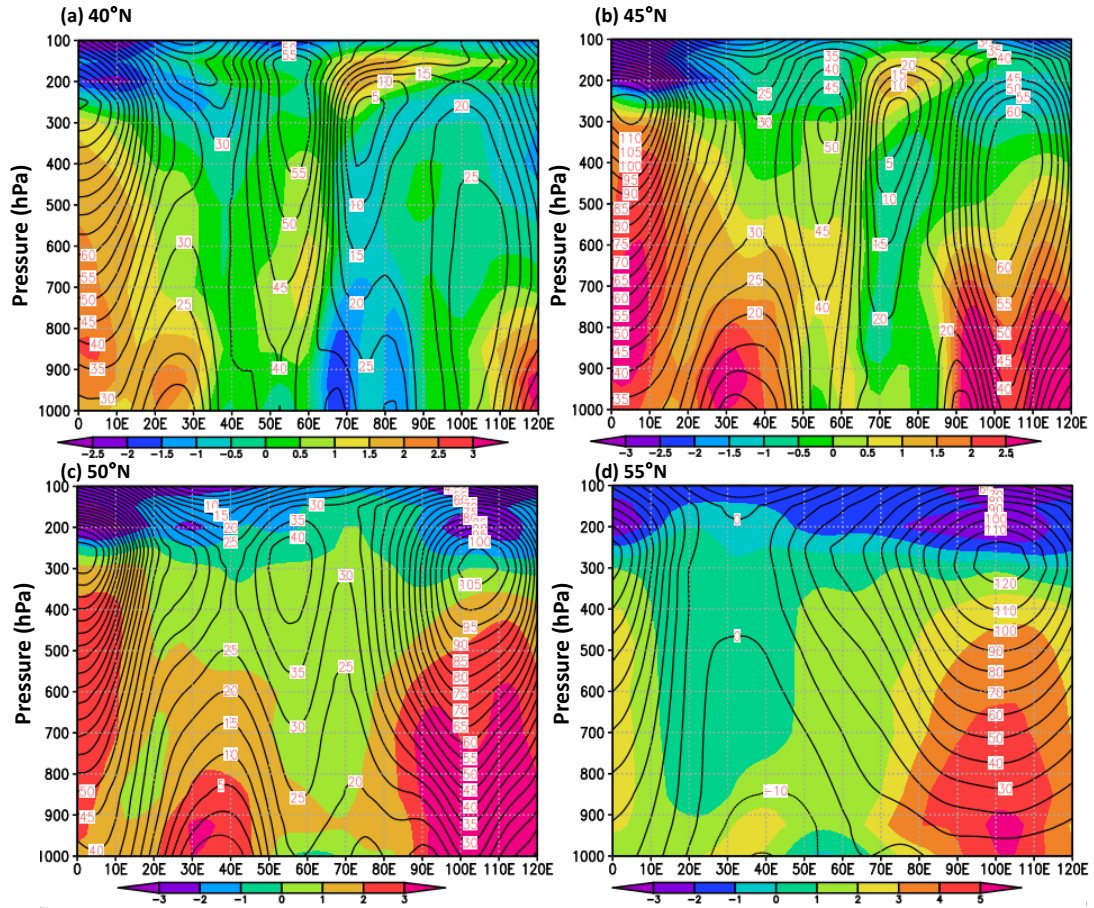


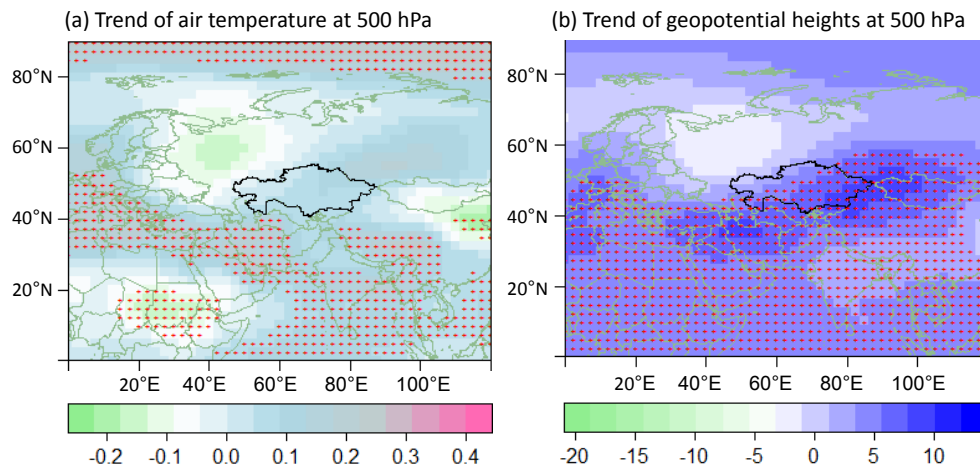
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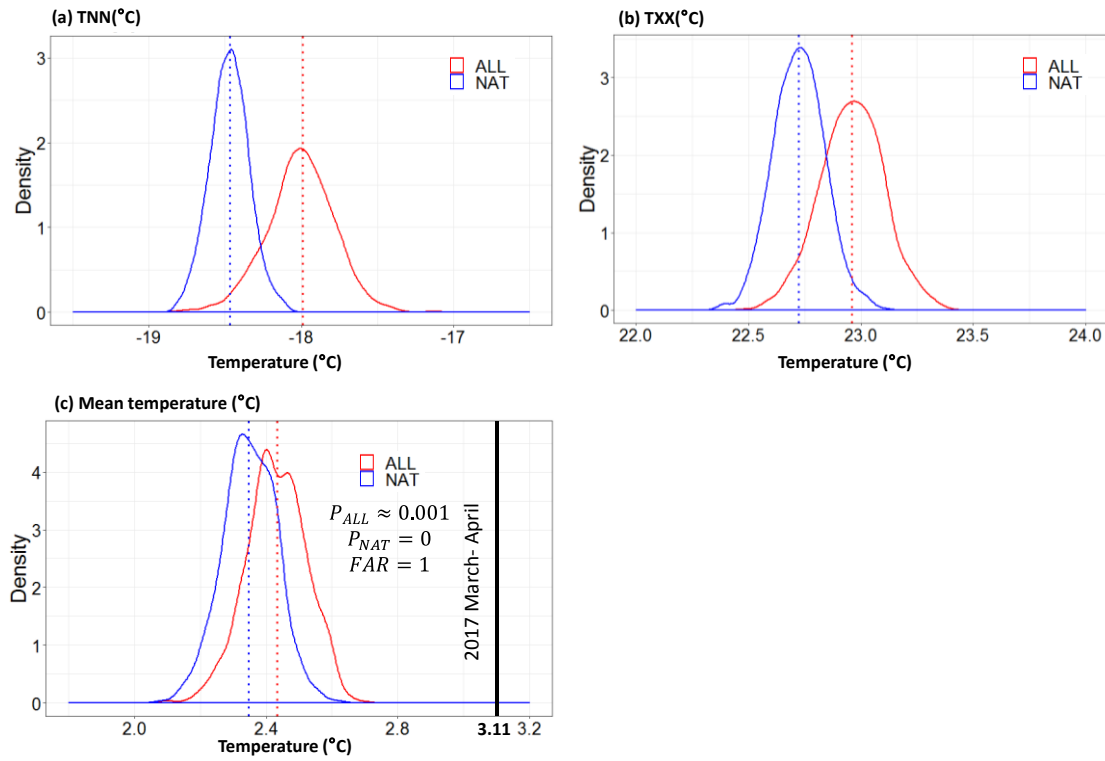


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Table 1 List of the CMIP5 models used in this study. The spatial correlation coefficients between the observed spatial pattern and the models were computed for the entire Kazakhstan from 1901 to 2017, and the criterion is that the coefficient should be larger than or equal to 0. Compared with the observations, the variability of the March–April annual mean temperature model simulations should pass the Kolmogorov–Smirnov (K-S) test, with $p < 0.05$. Ten models were selected to analyze the attribution. For each CMIP5 model, only one member run (“r1i1p1”) was employed here.

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673 Table 1 List of the CMIP5 models used in this study. The spatial correlation coefficient between
674 the observed spatial pattern and the models were computed for whole Kazakhstan from 1901 to 2017
675 and the criterion is that the coefficient should be larger than or equal to zero. Compared with the
676 observations, the variability of the March- April annual mean temperature model simulations should
677 pass the Kolmogorov–Smirnov (K-S) test with $p < 0.05$. Ten models were selected so as to analyze
678 the attribution. For each CMIP5 model, only one member run (‘r1i1p1’) was employed here.

Model ID	Name of GCM	Abbr. of GCM	Institute ID	Country
1	CanESM2	CaE	CCCMA	Canada
2	CNRM-CM5	CM5	CMCC	France
3	CSIRO-Mk3.6.0	CSI	CSIRO-QCCCE	Australia
4	GFDL-CM3	GF2	NOAA GFDL	USA
5	GFDL-ESM2M	GF4	NOAA GFDL	USA
6	HadGEM2-ES	Ha2	NIMR/KMA	Korea
7	IPSL-CM5A-MR	IP1	IPSL	France
8	MIROC-ESM	MI3	MIROC	Japan
9	MIROC-ESM-CHEM	MI4	MIROC	Japan
10	MRI-CGCM3	MR3	MRI	Japan

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