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**The impact of diurnal precipitation over Sumatra Island,
Indonesia, on synoptic disturbances and its relation to
the Madden-Julian Oscillation**

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Abstract

The impact of diurnal precipitation over Sumatra Island, the Indonesian Maritime Continent (MC), on synoptic disturbances over the eastern Indian Ocean is examined using high-resolution rainfall data by the Global Satellite Mapping of Precipitation project and the Japanese 55-year Reanalysis data during the rainy season from September to April for the period 2000–2014. When the diurnal cycle is strong, the high precipitation area observed over Sumatra in the afternoon migrates offshore during night-time and reaches 500 km off the coast on average. The strong diurnal events are followed by the development of synoptic disturbances over the eastern Indian Ocean for several days, and apparent twin synoptic disturbances straddling the equator develop only when the convective center of the Madden-Julian Oscillation (MJO) lies over the Indian Ocean (MJO-IO). Without the MJO, the synoptic disturbances develop mainly south of the equator. The differences in the locations and behaviors of active synoptic disturbances are related to the strength of mean horizontal winds in the lower troposphere. During the MJO-IO, the intensification of mean northeasterly winds in the northern hemisphere blowing into the organized MJO convection in addition to mean southeasterly winds in the southern hemisphere facilitate the formation of the twin disturbances. These results suggest that seed disturbances arising from the diurnal offshore migration of precipitation from Sumatra develop differently depending on the mean states over the eastern Indian Ocean. Furthermore, it is shown that the MJO events with the strong diurnal cycle tend

50 to have longer duration and continuing eastward propagation of active convection across
51 the MC whereas the convective activities of the other MJO events weaken considerably
52 over the MC and develop again over the western Pacific. These results suggest that the
53 strong diurnal cycle over Sumatra facilitates the smooth eastward propagation of the
54 intraseasonal convection across the MC.

55

56 **Keywords** the Maritime Continent; diurnal cycle; synoptic disturbances; the Madden-
57 Julian Oscillation

58

59 1. Introduction

60 The Indo-Pacific Maritime Continent (MC) consists of a number of islands and seas
61 around the equator and is located in the center of the tropical warm pool. It has been known
62 as the greatest energy source for global atmospheric circulation because significant
63 amounts of heat are released through heavy rainfall (e.g., Ramage 1968). A large-scale
64 ascending air current associated with active convection over the MC constitutes an essential
65 part of the Walker circulation, linking to the El Niño southern oscillation (ENSO) variability
66 (e.g., Yamanaka 2016).

67 The diurnal cycle is a fundamental mode of tropical atmospheric variability especially over
68 land primarily driven by insolation. Previous studies have shown that precipitation maxima
69 tend to occur in the late afternoon over land and in the early morning over the open oceans,
70 via analyses of in situ observation data (e.g., Gray and Jacobson 1977), geostationary
71 satellite data (e.g., Albright et al. 1985; Murakami 1983; Nitta and Sekine 1994), and space-
72 borne precipitation radar data (e.g., Takayabu 2002; Nesbitt and Zipser 2003; Kikuchi and
73 Wang 2008) whereas there are some dependencies on regional, topographical, and
74 interannual conditions. Whereas anomalous dry (wet) conditions are found during El Niño
75 (La Niña) over the MC as a whole, dependency of the strength of the diurnal cycle of
76 precipitation on ENSO has regional differences due to topography (e.g., Qian et al. 2010;
77 Rauniyar and Walsh 2013; Qian et al. 2013).

78 The strong diurnal signal over land in the late afternoon has been observed to be spread

79 out over the adjacent oceans during the night (e.g., Yang and Slingo 2001), but a mechanism
80 of the nighttime offshore migration remains elusive. Several studies have focused on a role
81 of gravity waves emanating from convective system or nighttime radiative cooling over land
82 (e.g., Mapes 2003; Love et al. 2011; Yokoi et al. 2017); others have proposed gravity
83 currents as a cause of the offshore convection through horizontal convergence associated
84 with environmental winds (e.g., Houze et al. 1981; Mori et al. 2004; Yokoi et al. 2019). Yokoi
85 et al. (2017, 2019) examined the diurnal cycle using the data during the two field campaigns
86 in the western coastal region of Sumatra as part of the Years of the Maritime Continent
87 (YMC) project and showed that gravity waves are important to destabilize the lower
88 troposphere before the arrival of the precipitation area whereas vertical shear of horizontal
89 wind near the surface over the coastal waters is another important factor for the migration.
90 In the MC, outstanding diurnal signals accompanied by the nighttime offshore migration
91 were found in the western coast of Sumatra Island (e.g., Mori et al. 2004; Sakurai et al.
92 2005), in the northwestern coast of Borneo Island (e.g., Houze et al. 1981; Ichikawa and
93 Yasunari 2006), and in New Guinea Island (e.g., Liberti et al. 2001; Zhou and Wang 2006;
94 Ichikawa and Yasunari 2008). Among them, this study focuses on the diurnal signals over
95 and around Sumatra Island.

96 Because the MC convection and its variability play essential roles in the global climates
97 as mentioned above, it seems important for general circulation models (GCMs) to
98 realistically simulate precipitation and convection over the MC. However, the models suffer

99 from significant errors and cannot reproduce the observed diurnal cycle, partly due to their
100 coarse resolution (e.g., Neale and Slingo 2003; Love et al. 2011). The complex topography
101 including small islands and semi-enclosed seas of the MC cannot be resolved by the GCMs,
102 leading to unrealistic simulations. The difficulty in simulating the diurnal cycle over the MC
103 may influence the reproducibility of another dominant atmospheric mode at intraseasonal
104 time scales in the tropics, which is called the Madden-Julian Oscillation (MJO; e.g., Madden
105 and Julian 1971, 1972, 1994; Zhang 2005). The MJO has been known to propagate
106 eastward around the equator with a period of 30-40 days accompanied by deep cumulus
107 convection over the warm pool. Although the MJO has been recognized as a planetary scale
108 disturbance, it has a hierarchal structure composed of eastward-propagating cloud clusters
109 and westward-propagating synoptic disturbances (e.g., Nakazawa 1988). The GCMs have
110 particular difficulty in reproducing the MJO propagation around the MC in addition to their
111 poor representation of the MJO itself. Observational studies have shown that the MJO often
112 weakened and sometimes ceased when it passed over the MC (e.g., Wang and Rui 1990;
113 Matthews 2008). This disruption of the MJO propagation over the MC is known as a barrier
114 effect of the MC and is exaggerated in many GCMs, making the MJO prediction increasingly
115 difficult (e.g., Kim et al. 2009; Seo et al. 2009; Vitart and Molteni 2010). Previous studies
116 have suggested several possible reasons for the MC barrier effect such as the topographic
117 blocking and moisture advection (e.g., Inness and Slingo 2006; Wu and Hsu 2009; Kim et
118 al. 2017; Zhang and Ling 2017). Another possible reason for the MC barrier effect is the

119 interaction between the diurnal cycle and the MJO. Hagos et al. (2016) has shown using a
120 cloud-permitting regional model that the MJO convective signals propagating the MC are
121 stronger in runs without the diurnal forcing compared to those in runs with the diurnal forcing.
122 Majda and Yang (2016) suggested that the diurnal cycle might cause the stalled or
123 suppressed propagation of the MJO across the MC using a simple multiscale model.

124 It is well known that the MJO acts to modulate the diurnal cycle. However, which phases
125 accompany the strong diurnal cycle over the MC is still controversial. Several studies have
126 shown that the diurnal cycle over the MC is enhanced during the convectively active phase
127 of the MJO (e.g., Tian et al. 2006; Ichikawa and Yasunari 2007), whereas others have found
128 pronounced diurnal cycle over the MC during the suppressed phase, just before the arrival
129 of the active phase (e.g., Fujita et al. 2011; Peatman et al. 2014; Birch et al. 2016). Rauniyar
130 and Walsh (2011) has also indicated that mean and diurnal rainfall over the MC land regions
131 reach their peaks during the suppressed phase but those over surrounding seas attain their
132 maxima during the active phase.

133 Whereas many previous studies so far have investigated the modulation of the diurnal
134 cycle over the MC by the MJO, upscale effects of the diurnal cycle remain largely unexplored,
135 especially the effects on synoptic scale disturbances. Since the MJO has the hierarchal
136 structure, as mentioned above, such effects on the synoptic disturbances embedded in the
137 MJO might modulate the MJO itself. In this study, the impact of diurnal precipitation over
138 Sumatra Island on synoptic disturbances over the eastern Indian Ocean, and its relation to

139 the MJO will be examined using global precipitation datasets.

140 The rest of this paper is organized as follows. The datasets and methodology are
141 described in Section 2. Section 3 provides general features of the diurnal events over
142 Sumatra detected in this study. In Sections 4 and 5, we investigate the development of
143 synoptic disturbances over the Indian Ocean during the diurnal events and its relationship
144 to the MJO phase and intensity. The mechanisms responsible for the development of
145 synoptic disturbances are examined in Section 6. The influence of the diurnal events on the
146 MJO propagation is discussed in Section 7. The final section provides a summary.

147

148 2. Data and methodology

149 High-resolution hourly rainfall data with a horizontal resolution of 0.1° obtained from
150 satellite-borne microwave radiometers by the Global Satellite Mapping of Precipitation
151 (GSMaP) project (Kubota et al. 2007; Aonashi et al. 2009) are used to investigate the diurnal
152 cycle for the period March 2000–February 2014. We use the reanalysis version
153 (GSMaP_RNL), which is processed same as the standard version (GSMaP_MVK ver. 7) but
154 available for a longer period (Kubota et al. 2020). We focus on the Indonesian rainy season
155 from September to April, defined broadly since there are regional differences within the MC
156 (e.g., Hamada et al. 2002).

157 Synoptic disturbances and mean states are examined using mean-daily reanalysis data
158 recorded four times daily on $1.25^\circ \times 1.25^\circ$ grids obtained from the Japanese 55-year

159 Reanalysis (JRA55; Kobayashi et al. 2015) provided by the Japan Meteorological Agency.
160 Zonal and meridional winds (u and v), vertical velocity (ω), pressure (p), temperature (T),
161 and geopotential (Φ) at pressure levels from 1000 to 100 hPa are used. In addition, daily
162 optimum interpolation SST high-resolution datasets, provided by the National Oceanic and
163 Atmospheric Administration (NOAA)/Office of Oceanic and Atmospheric Research/Earth
164 System Research Laboratory, Physical Sciences Division, on $0.25^\circ \times 0.25^\circ$ grids (Reynolds
165 et al. 2007) are used.

166 As a proxy for large-scale convective activities associated with the MJO, NOAA daily
167 outgoing long-wave radiation (OLR) data on $2.5^\circ \times 2.5^\circ$ grids (Liebmann and Smith 1996)
168 are used. For the MJO index, an all-season real-time multivariate MJO (RMM) index
169 (Wheeler and Hendon 2004) is applied, which is composed of a leading pair of empirical
170 orthogonal functions combining the fields of near-equatorial OLR and zonal winds in the
171 lower and upper troposphere. The amplitude and phases of the MJO are determined using
172 the pair of principal component time series, RMM1 and RMM2. The MJO events in this study
173 are identified when the amplitude of the RMM index exceeds 1.0 for consecutive 15 days.
174 The average amplitude of the RMM index over the rainy season (September–April) of the
175 study period (March 2000–February 2014) is 1.29 and the standard deviation is 0.66.
176 Therefore, we can say that the MJO is inactive when the amplitude of the RMM index is less
177 than 0.63 corresponding to mean minus one standard deviation.

178 In this study, statistical significances of the differences of various composite means from

179 respective averages over the rainy season of the study period, and those of the difference
180 of composite anomalies from zero, are examined using the Student's t test.

181

182 3. Strong diurnal events over Sumatra Island

183 To examine the diurnal cycle of precipitation, precipitation r at each grid point is fitted to a
184 curve of the form

$$185 \quad r = \bar{r} + A \cos \left\{ \frac{2\pi}{\tau} (t - t_0) \right\}$$

186 where \bar{r} is the daily mean precipitation, A is the amplitude of the diurnal harmonic, $\tau = 24$
187 hours is the time period of the diurnal harmonic, and t_0 is a time offset (Love et al. 2011;
188 Peatman et al. 2014). The t_0 is assumed to be constant and determined for each grid point
189 as the time with the maximum in mean diurnal cycle of precipitation averaged over the rainy
190 season of the study period. Figure 1 (upper) shows the mean amplitude of the diurnal
191 harmonic A averaged over the rainy season. Strong diurnal signals of precipitation are found
192 in the western coast of Sumatra Island, the southern coast of Java Island, the northwestern
193 coast of Borneo Island, and the southeastern coast of Sulawesi Island, consistent with
194 previous studies (e.g., Mori et al. 2004; Ichikawa and Yasunari 2006; Love et al. 2011).
195 Figure 1 (lower) shows the local time of mean maximum precipitation, t_0 . The maximum
196 precipitation is found from midafternoon to night over land, and extends toward coastal sea
197 regions from midnight to early morning. Whereas the peak time is uniform and parallel to the
198 coastline over land and coastal regions, the distributions over the open ocean are scattered,

199 indicating that this analysis is applicable only for land and coastal regions.

200 To examine influence of the diurnal cycle, we compare days with vigorous diurnal cycle
201 with those without it. For this purpose, we define strong (weak) diurnal events over Sumatra
202 Island as days when the three-day running mean amplitude of the diurnal harmonic A
203 averaged over the Sumatra area (95°E – 105°E , 5°N – 5°S) represented by a square in Fig. 1
204 becomes more (less) than mean plus (minus) one standard deviation. A sequence of the
205 diurnal events is counted as one event, and the first day is used for the reference day of the
206 events in a following composite analysis. The number and average duration of the strong
207 (weak) diurnal events is 132 (78) and 2.84 (3.36) days, respectively. We focus mainly on the
208 strong diurnal events to elucidate its impact on the larger scale phenomena.

209 Figure 2 shows the monthly number of the strong diurnal events over the Sumatra area
210 (black bars) and accumulated days of the strong diurnal events (gray bars) on the left
211 ordinate. The diurnal events occur most frequently in October and November and decrease
212 drastically from December to January. The frequency of the diurnal events varies in parallel
213 with monthly mean precipitation amount averaged over the Sumatra area (solid line), with a
214 correlation coefficient of 0.90. In addition, the ratio of precipitation amount during the strong
215 diurnal events to the total amount (dashed line) reaches almost 40% in October and
216 November when the strong diurnal events frequently occur. On the other hand, mean
217 amplitude of the diurnal events (dotted line) shows almost the same value among months.
218 These results indicate that the diurnal precipitation is the dominant contributor to the mean

219 precipitation, consistent with previous studies (e.g., Peatman et al. 2014). In December, the
220 number of the diurnal events and the ratio of the diurnal precipitation amount decrease
221 drastically compared to October–November, whereas the mean precipitation values are
222 comparable. This may indicate that the contribution of precipitation related to synoptic or
223 intraseasonal disturbances such as the MJO to the mean precipitation is relatively large in
224 December and small in October. In fact, the MJO is most active in December (e.g., Madden
225 1986; Zhang and Dong 2004).

226 First, we compare the daily mean precipitation between the strong and weak diurnal
227 events (Fig. 3). Much higher precipitation amounts, corresponding to large condensation
228 heating, around the western coast of Sumatra are found during the strong diurnal events,
229 consistent with results in Mori et al. (2004) and Love et al (2011). During the strong (weak)
230 diurnal events, the precipitation amount averaged over the Sumatra area starts to increase
231 (decrease) on day -2 and reaches the maximum (minimum) on day $+1$. Note that the first
232 day, not the day of the maximum amplitude, is used for the reference day as mentioned
233 previously.

234 Figure 4 depicts the composite diurnal precipitation over and around Sumatra Island for
235 the strong diurnal events on day 0, while Fig. 5 shows the composite diurnal cycle of
236 precipitation as a function of distance from the coastline. Precipitation increases over the
237 western coast of Sumatra in the evening from 15 LT to 21 LT. Although precipitation amounts
238 over coastal land areas are relatively small compared with the results in Mori et al. (2004),

239 this difference may result from the datasets analyzed; Mori et al. (2004) used the TRMM
240 precipitation radar data while the GSMaP data mainly uses microwave radiometer data.
241 However, further analyses are needed to validate the differences quantitatively. The heavy
242 precipitation area then migrates offshore during nighttime, reaching approximately 500 km
243 off the coast in the next morning. Note that, based on the argument in Ogino et al. (2016),
244 the oceanic area 500 km off the coast can be regarded as the open ocean. The distance of
245 the migration is longer than the results of climatology (Mori et al. 2004), probably because
246 here we examine the strong diurnal events only. There also exists a northeastward migration,
247 but its amplitude is weak and has been known to have strong seasonality (Sakurai et al.
248 2005). In the next section, we investigate the relationship between the offshore migration of
249 precipitation reaching the open ocean and synoptic disturbances developed over the Indian
250 Ocean.

251

252 4. Synoptic disturbances associated with the diurnal events over Sumatra

253 In the previous section, we found that the diurnal signals of precipitation propagating from
254 Sumatra reach the open ocean. We now consider upscale effects of the strong diurnal cycle
255 on synoptic disturbances over the eastern Indian Ocean. The activity of synoptic
256 disturbances is represented by the eddy kinetic energy (K')

$$257 \quad K' = \frac{1}{2}(\overline{u'^2} + \overline{v'^2}),$$

258 where u' and v' represent eddy zonal and meridional winds, respectively, defined as the

259 deviation from the 11-day running-mean. Hereafter, the eddy components with a prime
260 represent synoptic time scales and shorter. The mean components, represented with an
261 overbar, are defined as an 11-day running mean indicating intraseasonal time scales and
262 longer. Figure 6 (left) shows the composite K' at 850 hPa for the strong diurnal events. The
263 K' values are generally higher over the open oceans such as the Indian Ocean, the South
264 China Sea, and western north Pacific and lower over the MC, especially over land. Over the
265 eastern Indian Ocean, the K' further increases off Sumatra as time proceeds, which is
266 depicted more clearly in the composite tendency of K' (dK'/dt) at 850 hPa (Fig. 6, right).
267 When the diurnal signal is strong over Sumatra (day 0), the strongest generation of K' is
268 found south of the equator off the western coast of Sumatra. The high K' values extend
269 southwestward and reach the central south Indian Ocean on day +4. A large increase in K'
270 is also found west of the northern edge of Sumatra around 5°N on day 0. Cyclonic eddies
271 straddling the equator off Sumatra are found corresponding to the area of high K' generation
272 (Fig. 7). This result indicates that large values of K' consist of the energy of cyclonic eddies.
273 Time-longitude sections of composite dK'/dt for the strong events (Fig. 8, left) show clear
274 westward migration of the K' increase from the coastline from day 0 in both hemispheres,
275 suggesting the influence of the diurnal cycle on the development of synoptic disturbances.
276 Whereas the dK'/dt before day 0 is negligible in the northern Indian Ocean, the moderate K'
277 increase is found in the southern Indian Ocean around 70°E and 95°E from day -4 . Slow
278 eastward propagation of a large-scale envelope of the K' increase suggests some

279 relationship with the MJO consisting of synoptic disturbances, which is examined in detail in
280 the next section. In summary, synoptic disturbances with cyclonic flows develop off the
281 western coast of Sumatra both north and south of the equator and are enhanced over the
282 eastern Indian Ocean with westward and/or poleward migration within several days after the
283 day of the strong diurnal cycle over Sumatra.

284 To elucidate the impact of the diurnal cycle, the same composites but for the weak diurnal
285 events are shown in the right panel of Fig. 8. The strong K' generation off Sumatra found in
286 the strong events is not observed in the weak events, instead a K' decrease dominates over
287 the eastern Indian Ocean from day -4 . Differences of dK'/dt between the strong and weak
288 diurnal events (Fig. 9) are statistically significant especially over the eastern Indian Ocean
289 from day 0 and large values shift westward as time proceeds, corresponding to the K'
290 increase during the strong diurnal events. Given the diurnal offshore migration of
291 precipitation reaching 500 km off the coast (Fig. 5), these differences between the strong
292 and weak diurnal events indicate that the enhancement of synoptic disturbances off Sumatra
293 is connected to the diurnal cycle of precipitation over Sumatra.

294

295 5. Interaction with the MJO

296 As mentioned in the Introduction, the MJO can act to modulate the diurnal cycle over the
297 MC. The diurnal events detected in the previous sections might have dependency on the
298 MJO phases. Figure 10 (left) shows accumulated days of the diurnal events in each MJO

299 phase. Strong diurnal events occur preferentially in the MJO phases 2 and 3 when the active
300 MJO convection is located over the Indian Ocean, consistent with previous studies (e.g.,
301 Fujita et al. 2011; Peatman et al. 2014). In addition, a number of the diurnal events are found
302 when the MJO is inactive (Non-MJO). During the phase 1, there are many days when the
303 MJO amplitude exceeds 1.0 (black bars) but most of them did not last for 15 days, resulting
304 in a smaller number of the MJO events (gray bars) compared to the phases 2 and 3. The
305 diurnal events decrease in phase 4 when the MJO convection lies over the MC, which might
306 be related to the weakening of solar radiation by a large amount of clouds. In comparison to
307 the climatology (Fig. 10, right), drastic reductions during the phases 4–8 when the MJO is
308 located over the MC or the Pacific stand out.

309 One concern arising from the strong dependency of the diurnal cycle on the MJO phases
310 is that the active synoptic disturbances during the strong diurnal events shown in Fig. 6, 7,
311 and 8 (left) include internal synoptic eddies within the MJO convective envelope over the
312 Indian Ocean and may not be triggered solely by the diurnal cycle over Sumatra. Therefore,
313 we classify the diurnal events into three groups according to the MJO amplitude and phases
314 (see section 2 for the MJO definition). The diurnal events when the MJO phases are from 2
315 to 3 (from 5 to 7) are classified into the MJO-IO (MJO-Pac). The other group is composed
316 of the events when the MJO is inactive (Non-MJO). The numbers of the strong diurnal events
317 during the MJO-IO, MJO-Pac, and Non-MJO are 36, 5, and 20, respectively. The contrast in
318 the number between MJO-IO and MJO-Pac is consistent with the strong dependency of the

319 diurnal cycle on the MJO phase as shown in Fig. 10. Hereafter, composite results for the
320 MJO-IO and Non-MJO are shown since a large part of composite results for the MJO-Pac
321 is not statistically significant due to its few degrees of freedom. This classification is intended
322 to investigate the impact on synoptic disturbances solely by the diurnal signals over Sumatra
323 during the Non-MJO and the interaction between MJO and the diurnal signals through
324 synoptic disturbances during the MJO-IO. Comparison of generation rates of K' between the
325 MJO-IO and Non-MJO is shown in Figs. 11 and 12. Thick black lines represent proxy for the
326 intraseasonal convective envelope. During the Non-MJO (Fig. 11, right), the K' is first
327 generated south of the equator on day 0 and spread both north and south of the equator
328 over several days. Although the strong K' generation is found across the equator off Sumatra,
329 its westward and/or poleward migrations are unclear (Fig. 12, right). In the MJO-IO (Figs. 11
330 and 12, left), on the other hand, twin disturbances straddling the equator develop off Sumatra
331 and migrate poleward and/or westward rapidly from day 0, resulting in negative values
332 around the equator. The apparent twin synoptic disturbances straddling the equator during
333 the MJO-IO implies the enhancement of the Rossby wave response within the MJO. In
334 addition to the westward migration found from day 0 to +4 off Sumatra, the large-scale K'
335 generation propagates eastward from the central Indian Ocean to the western Pacific (Fig.
336 12, left), corresponding to the large-scale intraseasonal convection across the MC shown
337 by black lines. The influence of the diurnal cycle on the eastward-propagation of the MJO
338 will be discussed in Section 7.

339 We also check the dependency on the MJO in the composites based on the weak diurnal
 340 events (not shown). The numbers of the weak diurnal events during the MJO-IO, MJO-Pac,
 341 and Non-MJO are 2, 35, and 10, respectively. Compared to the strong events, the
 342 percentage of the events during the MJO-Pac (MJO-IO) increases (decreases) drastically,
 343 implying the existence of the MJO over the Pacific has great influence on weakening the
 344 diurnal events over the MC through large-scale descending branch of the MJO. As shown
 345 in composite dK'/dt for all weak diurnal events in Fig. 8 (right), a K' decrease is dominant
 346 over the eastern Indian Ocean for the Non-MJO and MJO-Pac. Composite results during
 347 the MJO-IO are not statistically significant due to its very few samples.

348

349 6. The eddy kinetic energy budget for the strong diurnal events

350 To understand the mechanisms of the K' generation shown in the previous sections, we
 351 consider the budget for K' in the form used in Seiki and Takayabu (2007) and Seiki et al.
 352 (2018)

$$353 \frac{\partial K'}{\partial t} = \underbrace{-\overline{V'_h (V' \cdot \nabla) \overline{V'_h}}}_{\text{KmKe}} - \underbrace{\overline{V \cdot \nabla K'}}_{\text{AmKe}} - \underbrace{\overline{V' \cdot \nabla K'}}_{\text{AeKe}} - \underbrace{\frac{R}{p} \overline{\omega' T'}}_{\text{PeKe}} - \underbrace{\nabla \cdot (\overline{V' \Phi'})}_{\text{GKe}} + \underbrace{D}_{\text{D}},$$

354

355 where \mathbf{V} is the three-dimensional velocity vector, \mathbf{V}_h is the horizontal velocity vector, and R
 356 is the gas constant for dry air. The first term on the right-hand side indicates the barotropic
 357 energy conversion to K' from mean kinetic energy (KmKe). The second and third terms
 358 represent the advection of K' by the mean flow (AmKe) and the eddy flow (AeKe),

359 respectively. The fourth term is the conversion from eddy available potential energy to K'
360 through the rising or sinking motion of warm or cold air parcels (PeKe), which is related to
361 eddy convective activities. The fifth term denotes the divergence of the eddy geopotential
362 flux (GKe). The last term (D) corresponds to the residuals representing sub-grid-scale effects
363 and dissipation. Since the terms AmKe, AeKe, and GKe mainly describe the redistribution
364 of energy, they are not considered as real sources or sinks. Figure 13a shows the vertical
365 structure of composite means of these terms averaged over the southeastern Indian Ocean
366 area (1.25°S – 6.25°S , 92.5°E – 102.5°E) for all the strong diurnal events. The dominant
367 contributors are the PeKe, KmKe, GKe, and D terms, which is consistent with previous
368 results investigating synoptic disturbances over the tropical Pacific (e.g., Lau and Lau 1992;
369 Maloney and Dickinson 2003; Seiki and Takayabu 2007; Seiki et al. 2018). Note that similar
370 features are also observed in the composites for the MJO-IO and Non-MJO. The largest
371 energy generation is caused by PeKe around 250 hPa, corresponding to the level of
372 significant diabatic heating by cumulus convective system and ascending motion driven by
373 active synoptic convection (e.g., Nitta 1972; Lau and Lau 1992). This energy generation
374 around 250 hPa is largely offset by GKe, latter of which has positive values above 200 hPa
375 and in the boundary layer. This indicates that the generated K' through PeKe is redistributed
376 to the upper troposphere above 200 hPa and to the boundary layer by eddy divergent flows
377 through GKe. In the lower troposphere, KmKe and GKe around 900 hPa and PeKe around
378 800 hPa contribute to the large K' increase although the confidence level of PeKe in the

379 lower troposphere is low. The maximum KmKe found in the lower troposphere is related to
 380 convergence of mean horizontal winds and the existence of seed eddies, which is explained
 381 later. The residual term D is negative at all levels, and its negative maximum is found in the
 382 boundary layer at 900 hPa, indicating the large contribution by dissipation. The magnitude
 383 of the term D in the boundary layer is much smaller compared to results in previous studies
 384 that examine the synoptic disturbances in the generation of equatorial westerly wind bursts
 385 over the western Pacific (Seiki and Takayabu 2007; Seiki et al. 2018), suggesting that the
 386 synoptic disturbances off Sumatra are not matured.

387 We focus on the two dominant energy sources, KmKe in the lower troposphere at 850
 388 hPa and PeKe in the upper troposphere at 250 hPa, to compare composite for the MJO-IO
 389 with that for Non-MJO (Fig. 14). In the MJO-IO, strong K' generation by KmKe is found
 390 broadly in the southern Indian Ocean (Fig. 14a), with the highest value found in the central
 391 part. Additionally, a secondary peak of KmKe is apparent south of the equator off Sumatra.
 392 High KmKe values are also found in the northern Indian Ocean around 5°N and east of
 393 Malay Peninsula in the South China Sea. Similar horizontal patterns are also found in the
 394 Non-MJO (Fig. 14b), but the maximum in the northern Indian Ocean shifts northeastward,
 395 lying north of Sumatra. These distributions of high KmKe generally correspond to the dK'/dt
 396 maximum shown in Fig. 11. The KmKe can be decomposed into six terms

$$397 \quad -\overline{V'_h (V' \cdot \nabla) V'_h} = -\overline{u'u'} \frac{\partial \bar{u}}{\partial x} - \overline{u'v'} \frac{\partial \bar{u}}{\partial y} - \overline{u'\omega'} \frac{\partial \bar{u}}{\partial p} - \overline{u'v'} \frac{\partial \bar{v}}{\partial x} - \overline{v'v'} \frac{\partial \bar{v}}{\partial y} - \overline{v'\omega'} \frac{\partial \bar{v}}{\partial p} .$$

398 The dominant contributors to KmKe over the Indian Ocean are the first and second terms
399 on the right hand side (Figs. 15a,b,g,h), which represent barotropic wave accumulation
400 through mean zonal wind convergence (e.g., Webster and Chang 1988; Sobel and
401 Bretherton 1999) and energy conversion through meridional shear of mean zonal winds,
402 respectively. Both terms are associated with a horizontal distribution of mean zonal winds.
403 The $-\overline{u'u'}\partial\bar{u}/\partial x$ term (Figs. 15a,g) dominates the large KmKe values over the
404 northeastern and central south Indian Oceans, corresponding to strong zonal convergence
405 areas of mean westerly winds (Figs. 16a,b). The highest KmKe values found in the southern
406 Indian Ocean are explained as resulting from the $-\overline{u'v'}\partial\bar{u}/\partial y$ term (Figs. 15b,h). The mean
407 westerly winds around the equator drastically changed to the easterlies around 5°S in a
408 north-south direction (Figs. 16a,b). This strong meridional shear of mean zonal winds
409 induces the large K' generation around 5°S if seed disturbances exist. Over the South China
410 Sea, the high KmKe values are attributed to the fifth term (Figs. 15e,k), $-\overline{v'v'}\partial\bar{v}/\partial y$,
411 representing the wave accumulation through mean meridional wind convergence,
412 corresponding to the convergence areas of mean northeasterly winds. However, this energy
413 conversion is largely offset by the first term (Figs. 15a,g) to the east of 105°E, resulting in
414 the KmKe maximum east of the Malay Peninsula.

415 With respect to PeKe in the upper troposphere during the Non-MJO (Figs. 14d), PeKe has
416 larger values in the southern Indian Ocean than in the northern Indian Ocean although the
417 differences are statistically insignificant. PeKe values during the MJO-IO (Fig. 14c) are

418 generally higher than those during the Non-MJO and statistically significant over broad areas,
419 indicating that synoptic convective activities are high and robust. Higher values of PeKe are
420 located straddling the equator over the eastern Indian Ocean, representing twin convective
421 disturbances across the equator. Since convective activities depend on SST, composite SST
422 for the MJO-IO and Non-MJO are plotted in Fig. 16c and 16d, respectively. Over the area
423 west of Sumatra between 5°S – 5°N , SST is broadly high and partly exceeds 28°C , which is
424 sufficient for facilitating active convection, in both composites. This indicates that the
425 difference of PeKe between the two groups cannot be explained by the SST distribution. On
426 the other hand, horizontal convergence of near-surface winds might have a strong impact
427 on convective activities. Since widespread southeasterly winds in the southern Indian Ocean
428 converge around 5°S , synoptic convection can develop easily when seed disturbances are
429 provided by the offshore migration of convection from the western coast of Sumatra. In the
430 northern Indian Ocean, mean northeasterly winds from the South China Sea are much
431 stronger during the MJO-IO compared to those during the Non-MJO, corresponding to
432 drastic SST cooling over the South China Sea. These strong northeasterlies and equatorial
433 westerlies converge over the northeastern Indian Ocean around 5°N , resulting in the
434 convective development during the MJO-IO.

435 In summary, the eddy kinetic energy budget analysis shows that the K' generation in the
436 eastern Indian Ocean is apparent when the diurnal cycle over Sumatra is strong. This arises
437 from the barotropic energy conversion from the mean kinetic energy ($KmKe$) and active

438 synoptic convection (PeKe). The convergence of mean southeasterly winds around 5°S,
439 which provides a favorable condition for development of synoptic disturbances from the seed
440 disturbances excited by the diurnal cycle, is observed in both the MJO-IO and Non-MJO. In
441 contrast, clear differences between the two composites can be seen in the northern
442 hemisphere. In the MJO-IO, strong northeasterly winds blowing into the organized MJO
443 convection cause horizontal convergence in the northeastern Indian Ocean and contribute
444 to the development of the synoptic disturbance there, whereas such features are not
445 observed in the Non-MJO. Resultantly, twin synoptic disturbances straddling the equator are
446 observed only in the MJO-IO.

447

448 7. Discussion of the MJO propagation

449 So far in this study, the development of synoptic disturbances over the eastern Indian
450 Ocean is found when the diurnal cycle over Sumatra is strong. Especially when the MJO
451 convection is located in the Indian Ocean (MJO-IO), the twin cyclonic disturbances
452 straddling the equator develop, implying the enhancement of the Rossby wave response
453 within the MJO. Since the Rossby waves propagate westward, the enhancement of the
454 Rossby wave response may weaken or stop the eastward-propagation of the MJO. This
455 hypothesis is consistent with recent modeling studies indicating that the diurnal cycle tends
456 to hinder the propagation of the MJO across the MC (Hagos et al. 2016; Majda and Yang
457 2016). From the aspect of moisture budget view point, on the other hand, the intensified

458 Rossby wave response leads to anomalous drying of the equatorial lower troposphere to
459 the west of the MJO convection through meridional advection, resulting in the facilitation of
460 the eastward-propagation of the MJO (e.g., Maloney 2009). In this section, we will discuss
461 the influence of the diurnal cycle over Sumatra on the MJO propagation.

462 For this purpose, the MJO events (see the definition in section 2) with active convection
463 over the Indian Ocean (phase 2 and/or 3) during the rainy season are classified into two
464 groups: 30 events in which the strong diurnal events occur and 10 other events. The latter
465 consist of one MJO event in which weak diurnal events occur, and 9 MJO events in which
466 neither strong nor weak diurnal events occur. Note that one event in which both strong and
467 weak diurnal events occur is excluded. Three-quarters of the MJO events occur with strong
468 diurnal events, indicating that the strong diurnal cycle over Sumatra is favorable for the
469 development of the MJO. Table 1 shows the statistics of the MJO events with the strong
470 diurnal events and the others. It shows that the MJO with the strong diurnal cycle has longer
471 duration (30.4 days) compared to the others (24.6 days) on average, but the first and last
472 phases of the MJO are comparable. Average duration in each MJO phase (Table 2) indicates
473 that the phases 2, 3, and 5, corresponding to the MJO path over the Indian Ocean and the
474 western Pacific, contribute to the longer duration for the MJO with the strong diurnal events.
475 These statistics suggest that the strong diurnal cycle over Sumatra contributes to the longer
476 duration of the MJO around the MC. To examine convective activities during the MJO events,
477 time-longitude sections of composite OLR and intraseasonal OLR anomalies averaged for

478 10°S–10°N for the two MJO groups are shown in Fig. 17. Day 0 indicates the last day of the
479 phase 2 or the first day of the phase 3. Apparent and statistically-significant eastward
480 propagation of intraseasonal convective anomalies across the MC is detected only for the
481 MJO events with the strong diurnal events (Fig. 17, left) whereas intraseasonal convective
482 activities over the Indian Ocean are comparable. In addition, convective activities off the
483 western coast of Sumatra are enhanced rapidly after day 0. For the other MJO events (Fig.
484 17, right), the intraseasonal convection weakens over the MC. These results indicate that
485 the smooth propagation of the intraseasonal convective anomalies across the MC is
486 accompanied by the strong diurnal events. Interestingly, the intraseasonal convection for the
487 other MJO events develops again over the western Pacific and its signal tends to propagate
488 faster over the MC than that with the strong diurnal cycle, probably due to decoupling with
489 convection over the MC.

490 It has been known that the behavior of the MJO is distinct between boreal summer and
491 winter. During boreal summer, intraseasonal convection shows not only eastward but also
492 northward propagation, called the boreal summer intraseasonal oscillation (BSISO; e.g.,
493 Wang and Rui 1990; Kikuchi et al., 2012; Kiladis et al., 2014). Since this study focuses on
494 the rainy season from September to April, the intraseasonal convection during September–
495 November (SON) may have characteristics of a summer-type MJO compared to that during
496 December–February (DJF). Therefore, we check seasonal dependences of the MJO events
497 (Table 3). Whereas the MJO events with strong diurnal events have weak seasonality, the

498 other MJO events are frequently observed from March to April (MA). Composite OLR and
499 intraseasonal OLR anomalies for the MJO events during SON, DJF, and MA (Fig. 18) shows
500 that the MJO convection with strong diurnal events both during SON and DJF (Fig. 18a, c)
501 shows the smooth eastward propagation over the MC although that over the western Pacific
502 are somewhat stronger during DJF. For the other MJO events during SON (Fig. 18b), the
503 eastward-propagating convection is substantially suppressed over the MC. These results
504 are consistent with those of total composites (Fig. 17). During MA (Fig. 18d, e), on the other
505 hand, convective activities for both the MJO events weaken over the MC and reactivated
506 convection over the western Pacific are stronger for the other MJO events. These results
507 indicate that the influence of the diurnal cycle on the MJO behavior is strong both during
508 SON and DJF but weak during MA. Small differences in the MJO behavior and its
509 dependence on the diurnal events between SON and DJF infer that the difference of the
510 MJO types, i.e., the summer and winter types, does not affect the results of this study. The
511 different relationship between the MJO and the diurnal cycle during MA is left for future work.

512 The results shown in this paper indicate statistical relationship between the diurnal cycle
513 and the eastward propagation feature of the MJO. However, further studies are needed to
514 clarify the physical mechanism how the diurnal cycle and associated synoptic disturbances
515 over the eastern Indian Ocean affect the MJO propagation, which remains for our future
516 study. The sensitivity experiment by numerical models with and without the diurnal forcing
517 may be one of the promising approaches. In particular, our results indicate that the diurnal

518 cycle only during the MJO phases 2 and 3 affects subsequent behavior of the MJO.
519 Therefore, it seems interesting to enhance or suppress the diurnal forcing in particular MJO
520 phases, in addition to doing so over the whole simulation period irrespective of the phases
521 as done in previous studies such as Hagos et al. (2016).

522

523 8. Summary and discussion

524 In this study, we examine the impact of the diurnal cycle of precipitation over and around
525 Sumatra Island on synoptic disturbances over the eastern Indian Ocean and its relation to
526 the MJO phases and amplitude. A sequence of days when the diurnal cycle of precipitation
527 over the Sumatra area are strong (weak) is defined as a strong (weak) diurnal event. Much
528 higher precipitation amounts around the western coast of Sumatra are confirmed during the
529 strong diurnal events, indicating that the strong diurnal cycle provides large condensation
530 heating. The strong diurnal events are found most frequently in October and November,
531 generally corresponding to the peak rainy season (e.g., Hamada et al. 2002). In addition to
532 the seasonal dependency, the strong diurnal events preferentially occur during the MJO
533 phases 2 and 3 when the MJO convection lies over the Indian Ocean, consistent with
534 previous analysis (e.g., Fujita et al. 2011; Peatmann et al. 2014). We also confirm the
535 nighttime offshore migration of precipitation (e.g., Mori et al. 2004) reaching 500 km off the
536 coast, located in the open ocean, when the strong diurnal events occur.

537 Although the modulation of the diurnal cycle by the MJO has been studied intensively in

538 recent years (e.g., Tian et al. 2006; Ichikawa and Yasunari 2007; Rauniyar and Walsh 2011;
539 Fujita et al. 2011; Peatman et al. 2014), the upscale effects of the diurnal cycle on synoptic
540 and intraseasonal disturbances remain elusive. Therefore, we examine the activities of
541 synoptic disturbances over the eastern Indian Ocean by the eddy kinetic energy budget
542 analysis based on the diurnal events considering the MJO phases and amplitude. The K'
543 generation in the southeastern Indian Ocean is apparent when the diurnal cycle over
544 Sumatra is strong regardless of the MJO phases and amplitude. It is related to the
545 development of synoptic disturbances from the seed disturbances arising from the diurnal
546 cycle by virtue of the favorable mean state such as the convergence of mean southeasterly
547 winds around 5°S. In contrast, synoptic disturbances in the northern Indian Ocean off
548 Sumatra develop only in the MJO-IO, which can result from convergence of the mean
549 equatorial westerly winds over the Indian Ocean and the mean northeasterly winds from the
550 South China Sea blowing into the convective center of the MJO in the Indian Ocean. Note
551 that the intensified northeasterly winds are likely associated with strong cold surges over the
552 South China Sea that are known to be observed frequently when the MJO convection is
553 located over the Indian Ocean or the MC (Jeong et al. 2005 and Chang et al. 2005). As a
554 result, the twin disturbances straddle the equator and migrate poleward and/or westward
555 rapidly only during the MJO-IO, suggesting that the Rossby wave response within the MJO
556 is intensified. In the Non-MJO, on the other hand, the synoptic disturbances develop mainly
557 over the southern Indian Ocean and do not migrate in any direction. This indicates that

558 although the strong diurnal cycle over Sumatra trigger the synoptic disturbances over the
559 eastern Indian Ocean regardless of the MJO phase and existence, the twin cyclonic
560 disturbances across the equator can develop only when the MJO convection is located over
561 the Indian Ocean. When the diurnal cycle is weak over Sumatra, the K' decrease is found
562 over the eastern Indian Ocean, showing the suppression of the synoptic disturbances.

563 To examine impact of the diurnal cycle on the MJO behavior, a comparison of the MJO
564 events having the phase 2 and/or 3 with the strong diurnal events with such MJO events but
565 without the strong diurnal events is presented. The MJO events with the strong diurnal
566 events tend to have longer duration and stronger convective activities around the MC
567 compared to the other MJO events, indicating that the strong diurnal cycle of precipitation
568 over Sumatra facilitates the smooth propagation of the intraseasonal convection across the
569 MC. For the MJO events without the strong diurnal events, whereas the intraseasonal
570 convective anomalies weaken over the MC, the overall MJO signals tend to propagate
571 across the MC faster than the MJO events with the strong diurnal events, and the
572 intraseasonal convection strengthens again over the western Pacific. Note that the MJO
573 propagation speed may be important for forecasting when teleconnection patterns are
574 excited by the MJO convection over the western Pacific, which cause significant impacts
575 even in the mid-latitudes (e.g., Zhang 2013).

576 Whereas an averaged MJO event has been known to propagate eastward with a mean
577 speed of $\sim 5 \text{ ms}^{-1}$ over the Ind-Pacific warm pool (e.g., Zhang 2005), the behavior of

578 individual MJO events such as the amplitude and propagation speed differs considerably
579 from event to event (e.g., Kerns and Chen 2016; Wang et al. 2019). The presence or
580 absence of the strong diurnal events focused in this study may play a role in understanding
581 the MJO diversity. In addition, only one MJO index (the RMM index) is used to identify the
582 MJO events and to determine its amplitude and phases in this study. The statistics of the
583 MJO events in Table 1-3 may have sensitivity to the MJO indices (Kiladis et al. 2014).

584 In this study, strong and weak diurnal events are identified first. The factors determining
585 the amplitude of the diurnal cycle remain unclear. The diurnal cycle in the tropics is primarily
586 driven by insolation. However, the number of the strong diurnal events in the equinox months
587 (September and March) are smaller than that in October and November (Fig. 2). The
588 favorable MJO phases for the diurnal cycle (the phases 2 and 3) correspond to the
589 convective suppressed condition over the MC, linked to high insolation (Fig. 10 and previous
590 studies). However, the suppressed phases are also observed in the rear side of the MJO
591 convection (i.e., the phases 6 and 7). Another factor such as moisture condition may
592 influence on the diurnal cycle of precipitation. From large-scale viewpoint, ENSO might be
593 the factor. The strong diurnal events identified in this study are classified into El Niño (2002,
594 2004, 2006, and 2009), La Niña (2000, 2005, 2007, 2008, 2010, and 2011), and neutral
595 years (2001, 2003, 2012, and 2013) based on the ENSO index derived by NOAA. There are
596 no clear differences among the numbers of the strong diurnal events per year during the El
597 Niño (9), La Niña (13), and neutral years (10). This is consistent with previous studies (e.g.,

598 Rauniyar and Walsh 2013) indicating that dependency of the strength of the diurnal cycle
599 on ENSO has regional differences due to topography. There are multiple factors influencing
600 the strength of the diurnal cycle such as insolation, moisture condition, topography, and
601 others. Further studies are needed to detect all factors and estimate their contribution rate.

602 Our results show the impact of the strong diurnal events over Sumatra on the development
603 of the synoptic disturbances over the eastern Indian Ocean. However, the importance of
604 diurnal fluctuations or migration of precipitation still remains unclear. The composite analysis
605 based on the strong diurnal cycle of precipitation over Sumatra also shows a high amount
606 of daily-mean precipitation simultaneously. Therefore, it is uncertain whether diurnal or
607 sustained heat release by precipitation off the coast has a large effect on triggering synoptic
608 disturbances over the Indian Ocean, which possibly link to the MJO propagation. To clarify
609 the aforementioned question, we might have to focus on mesoscale convective systems to
610 understand how diurnal convection near the coast leads to the synoptic disturbances in the
611 open ocean. However, the time and horizontal resolutions of the reanalysis data are too
612 coarse, and the intensive observations have covered a limited area. Sensitivity experiments
613 by numerical models might be useful but there are few models that can reproduce multiscale
614 phenomena including the diurnal offshore migration, synoptic disturbances, and the MJO.
615 Recently, the global cloud resolving models have been developed internationally. For
616 example, Miura et al. (2007) have simulated the MJO successfully using the global cloud-
617 resolving Nonhydrostatic ICosahedral Atmospheric Model (NICAM; Tomita and Satoh 2004).

618 The analysis focusing on the offshore regions using these high-resolution cloud resolving
619 models might be useful for future works.

620

621

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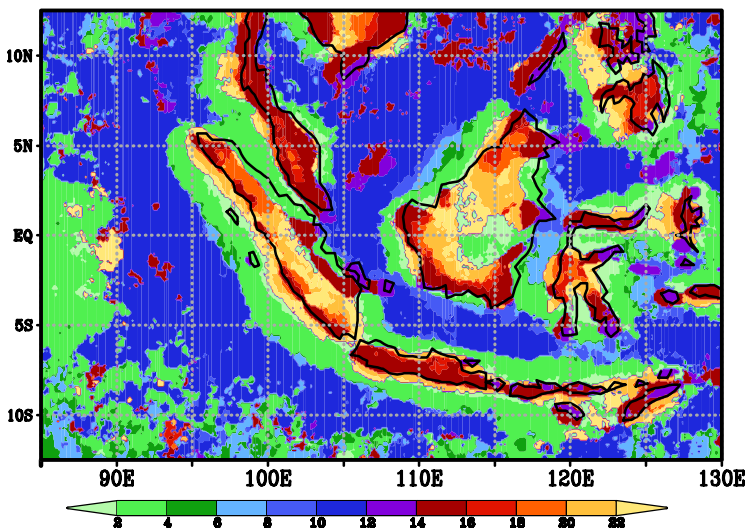
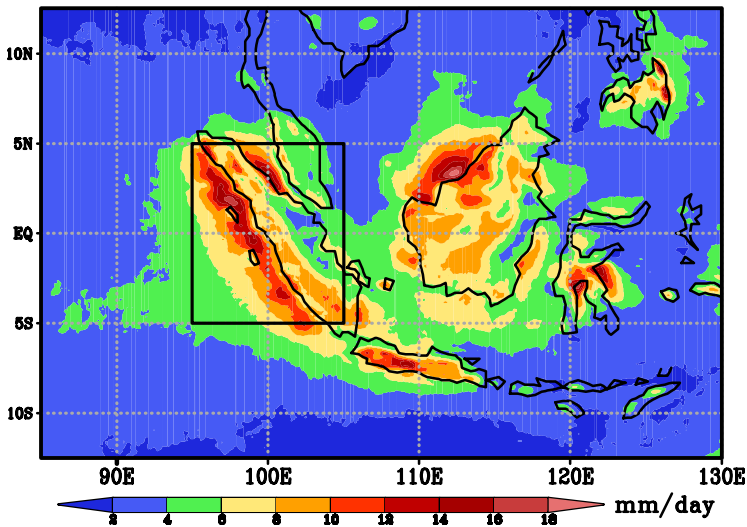
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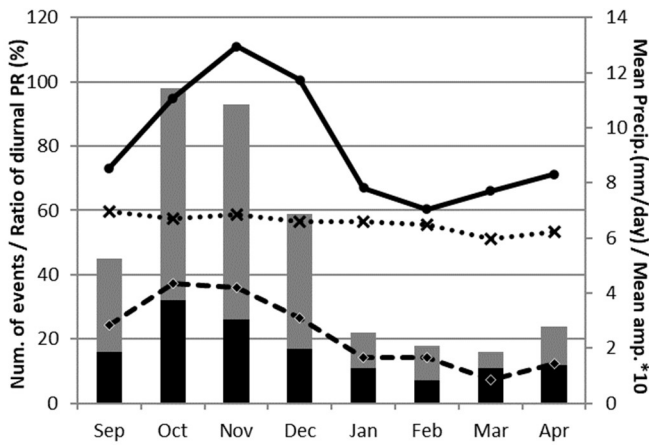
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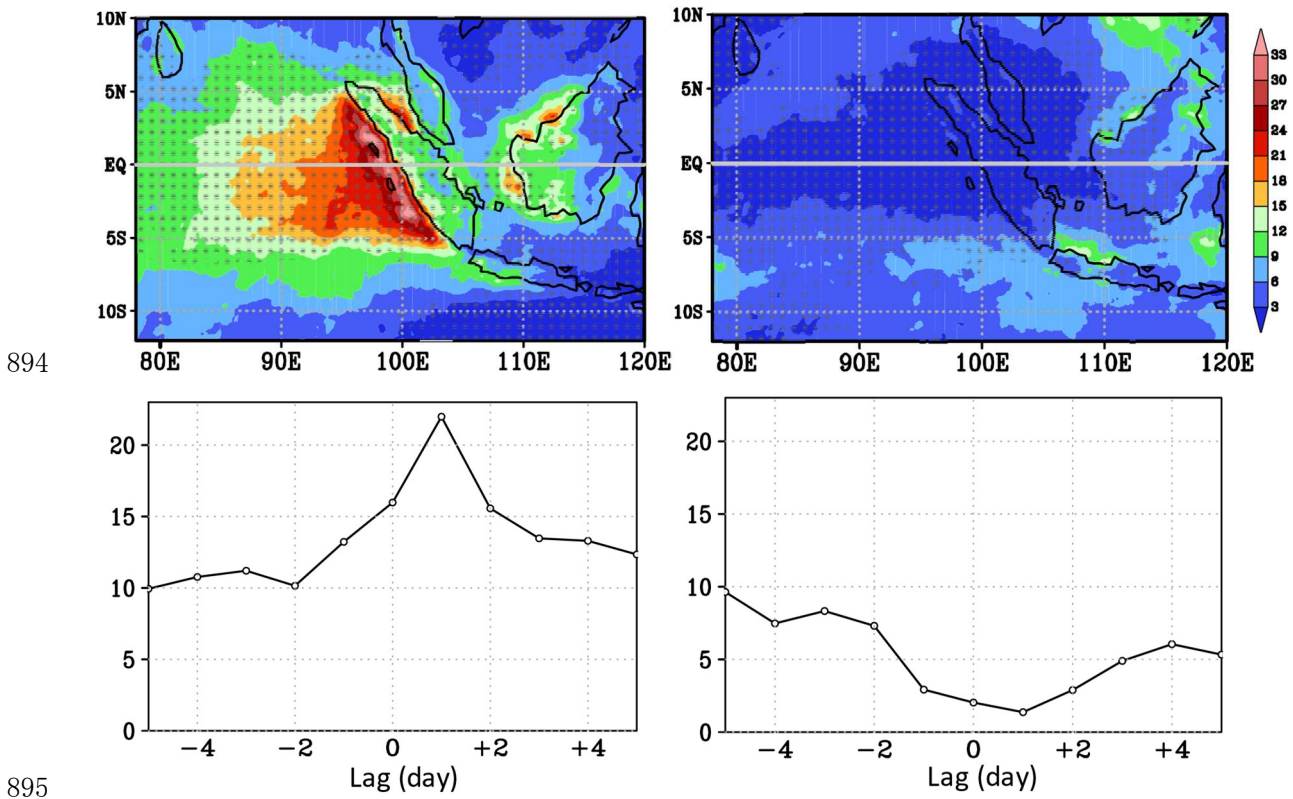
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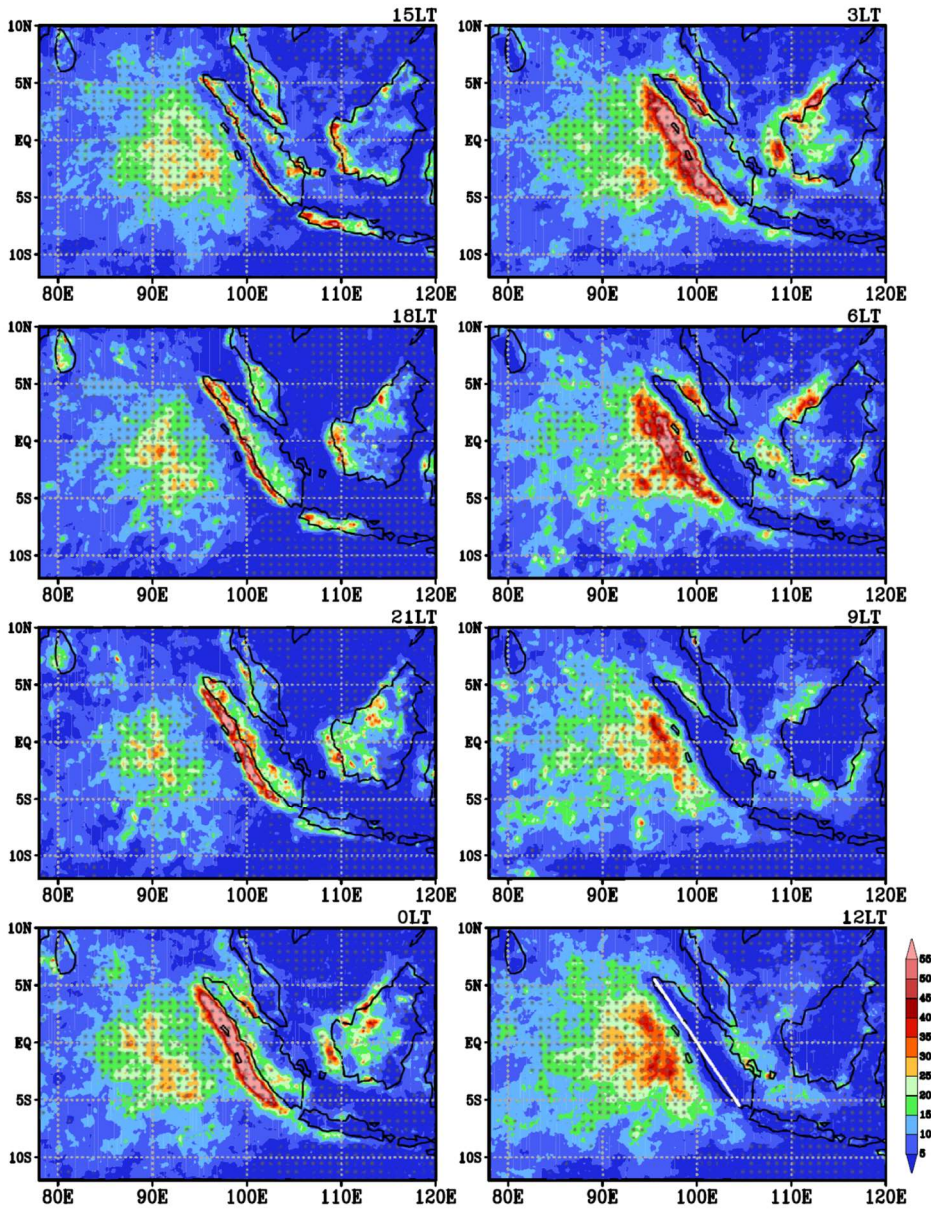
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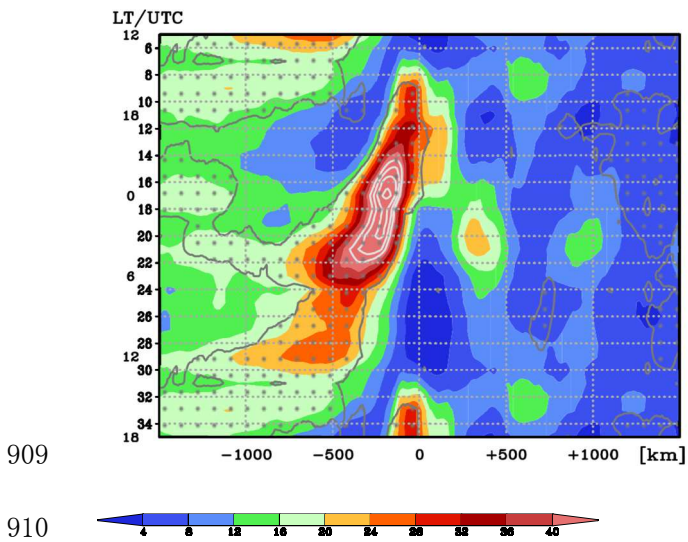


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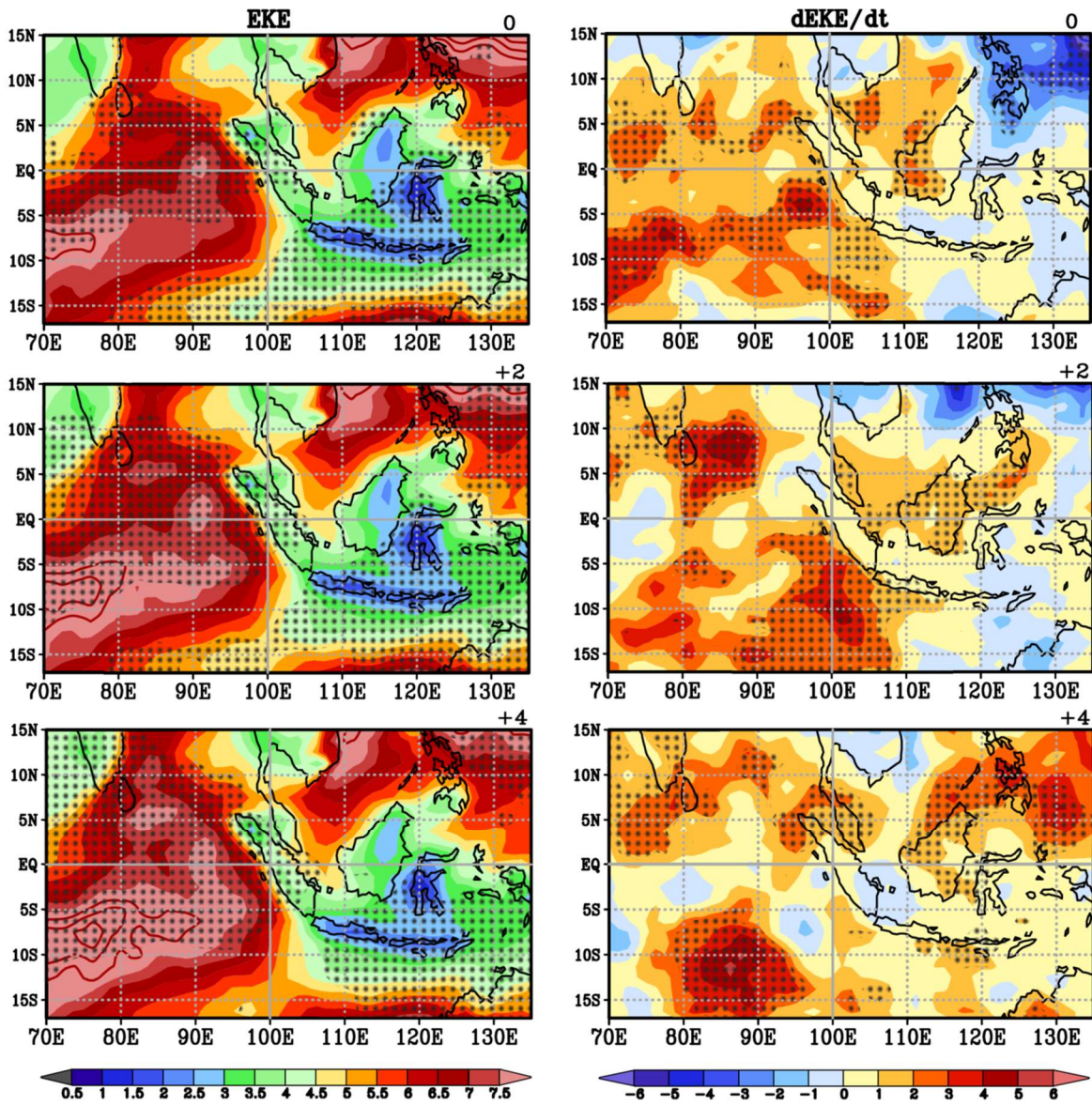
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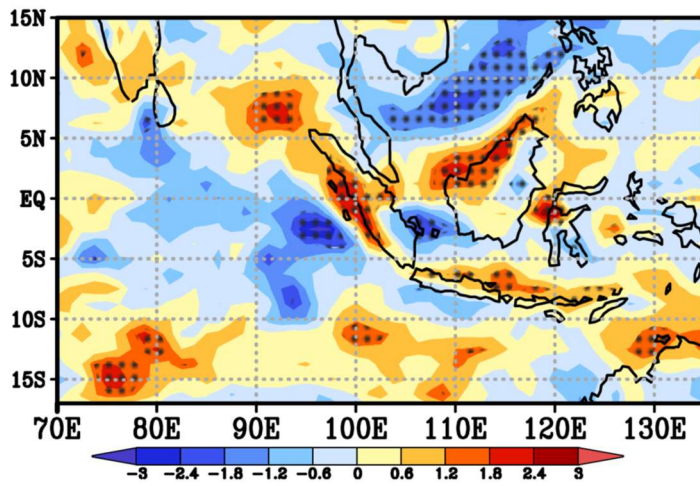
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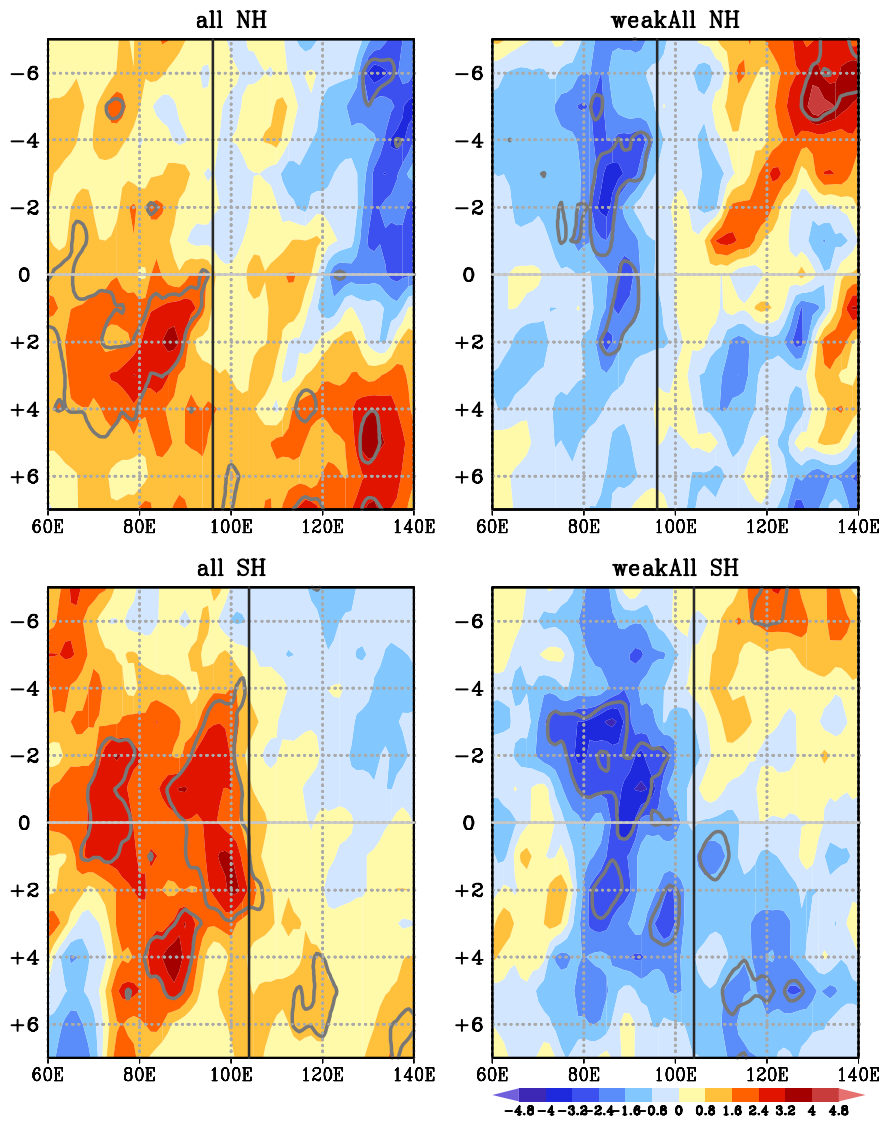
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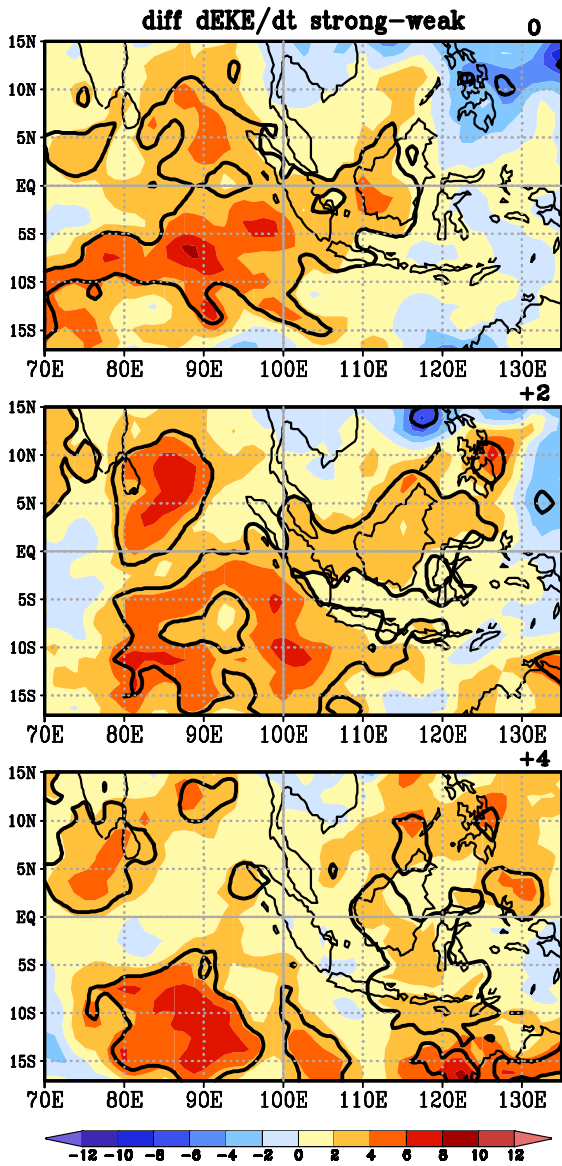
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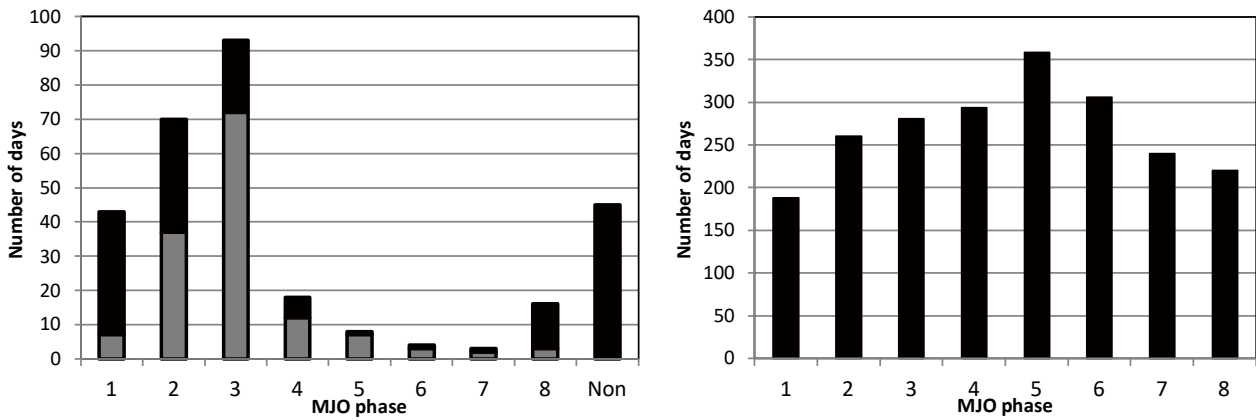
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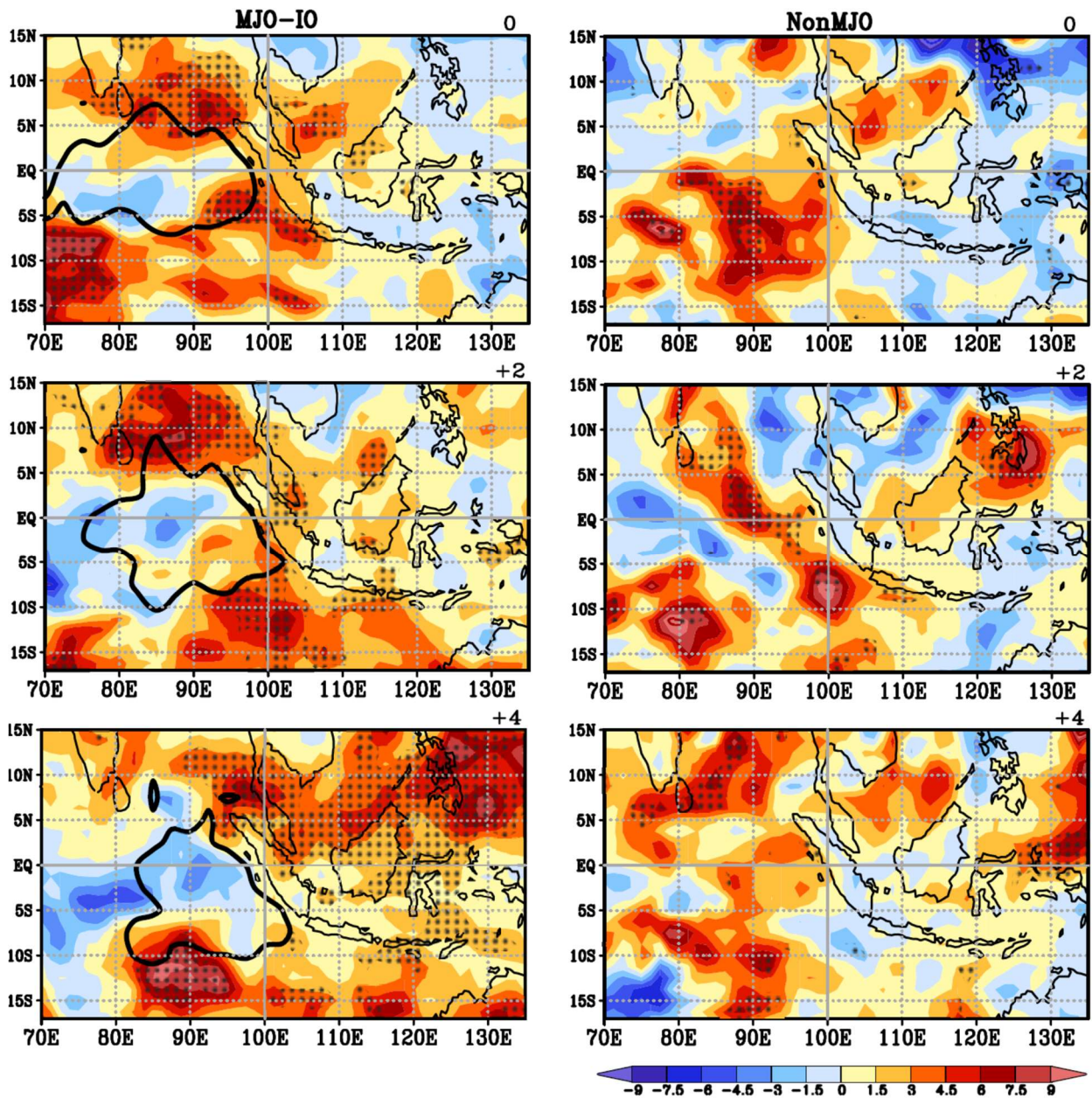


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 944 consecutive 15 days. The “Non” refers to the MJO inactive days (see Section 2). (right) Total
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 946 season.

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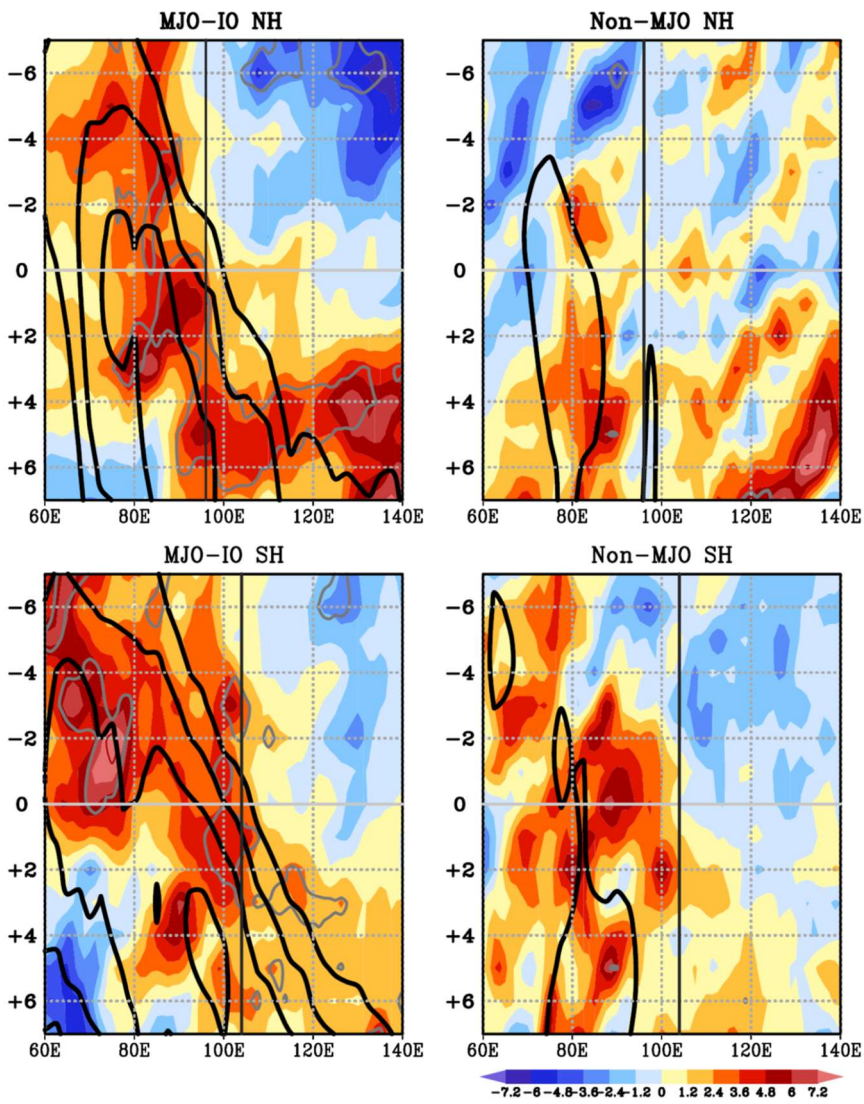
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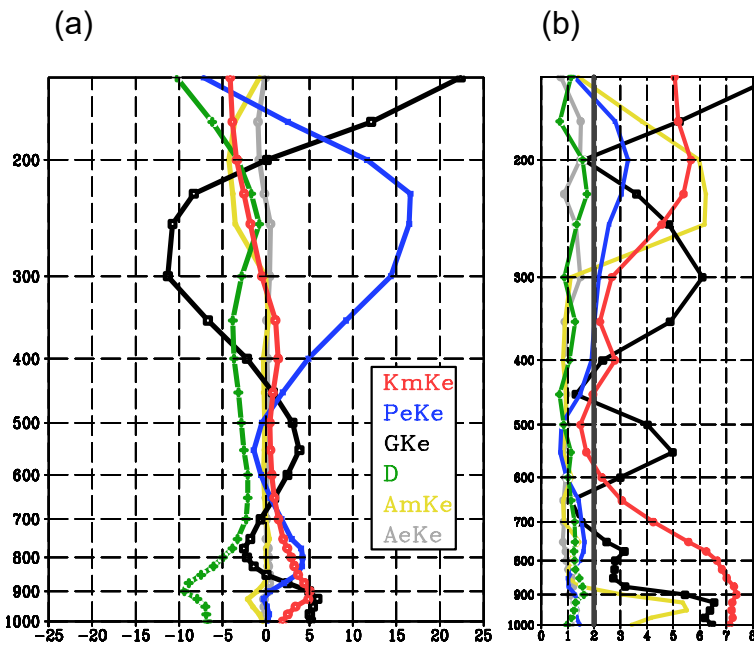
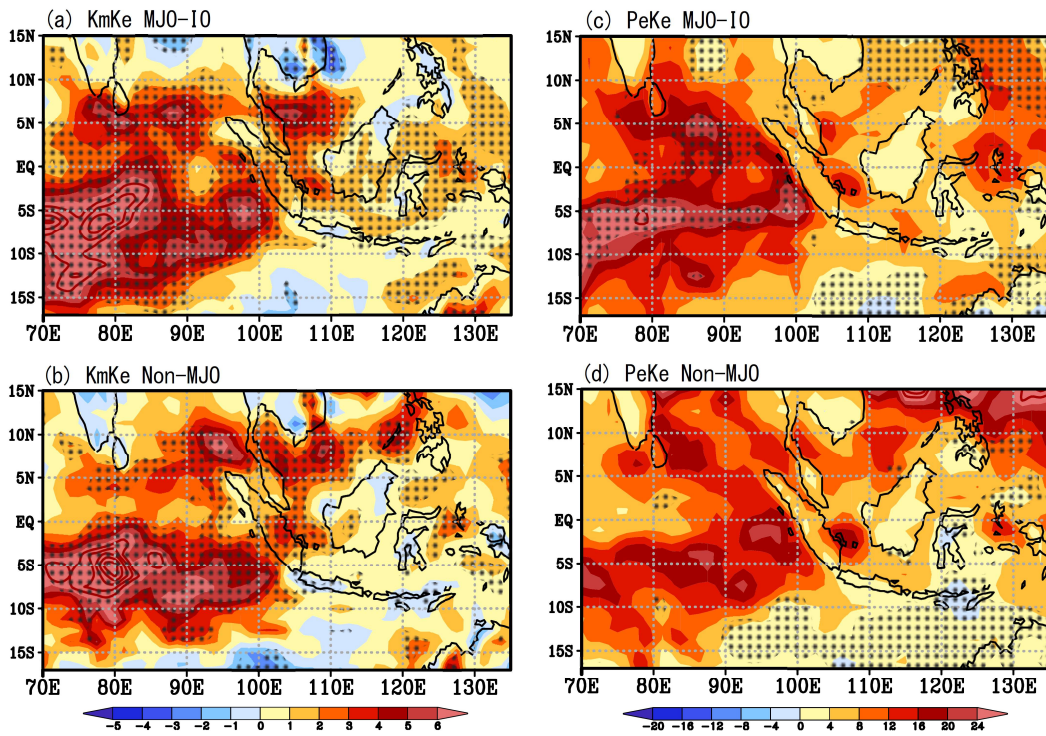


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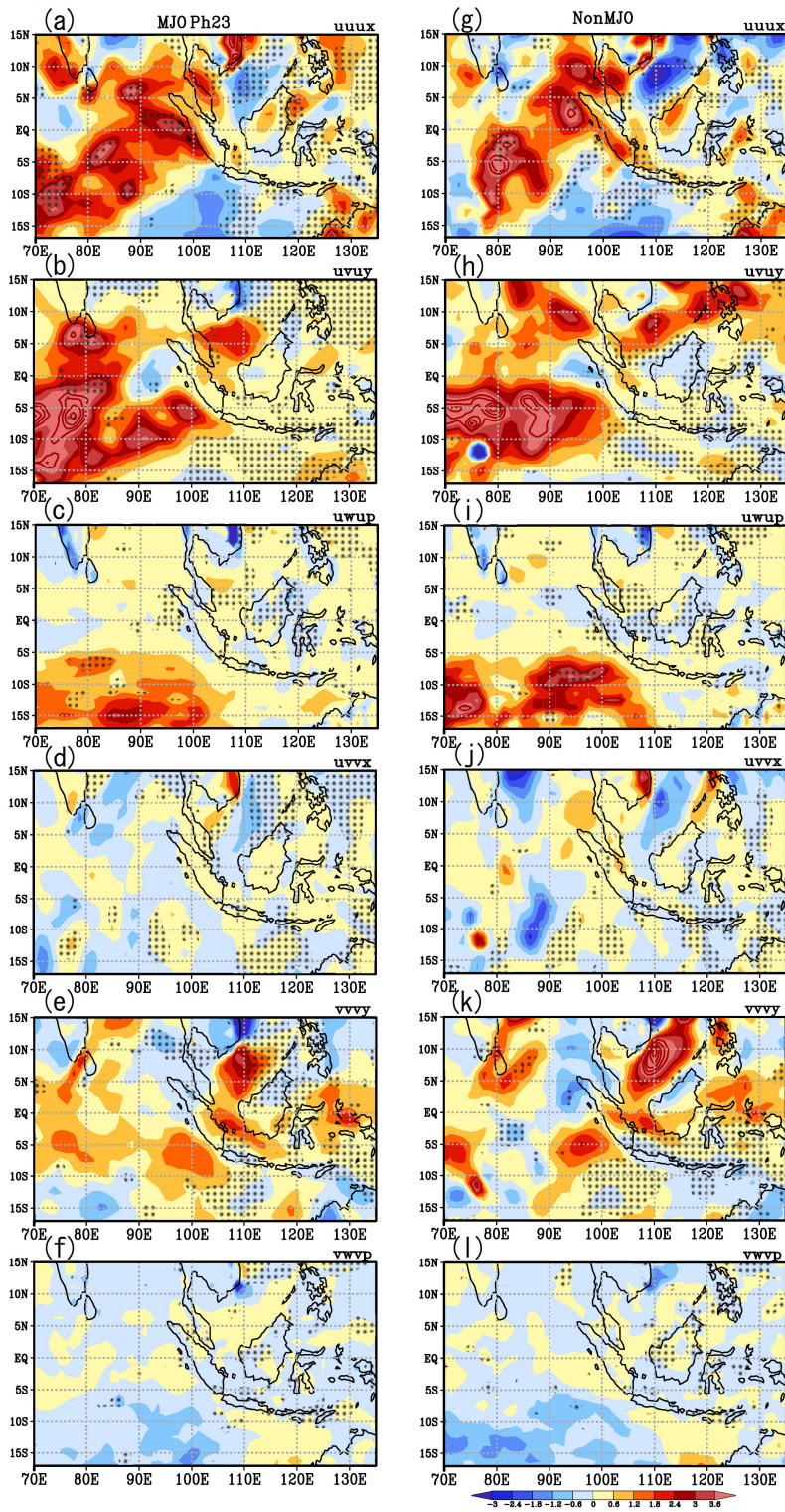
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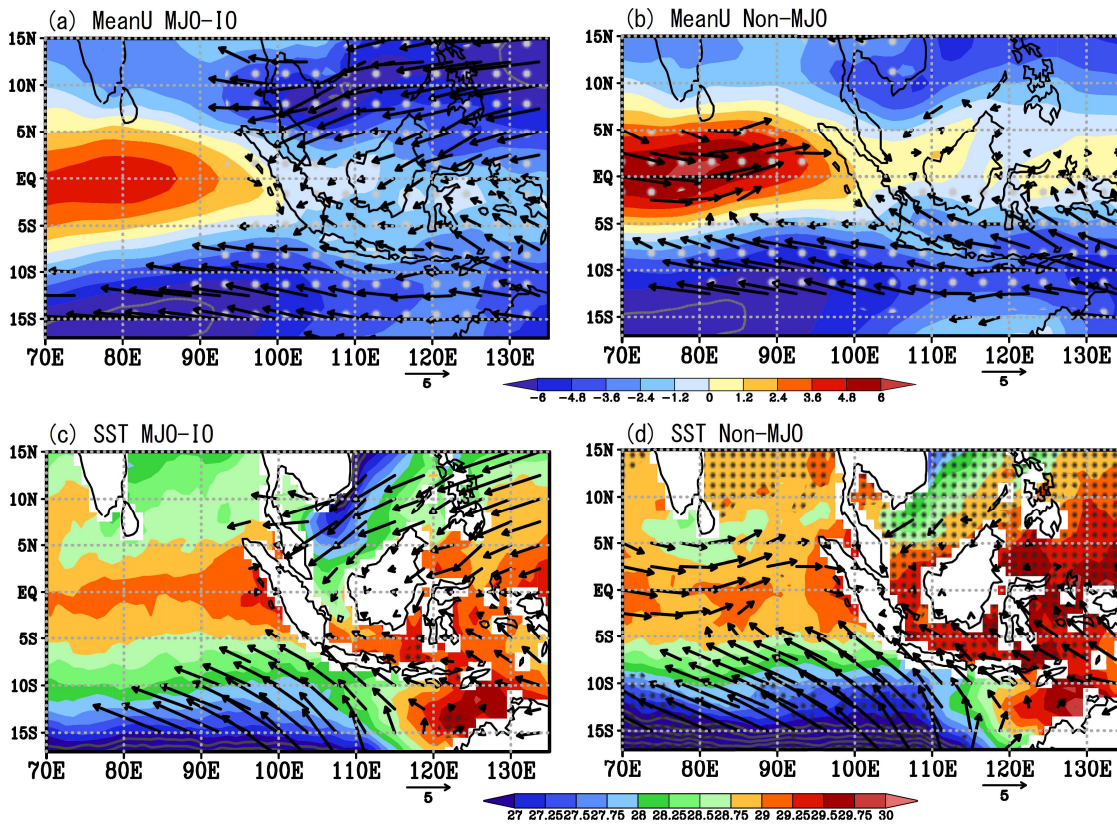
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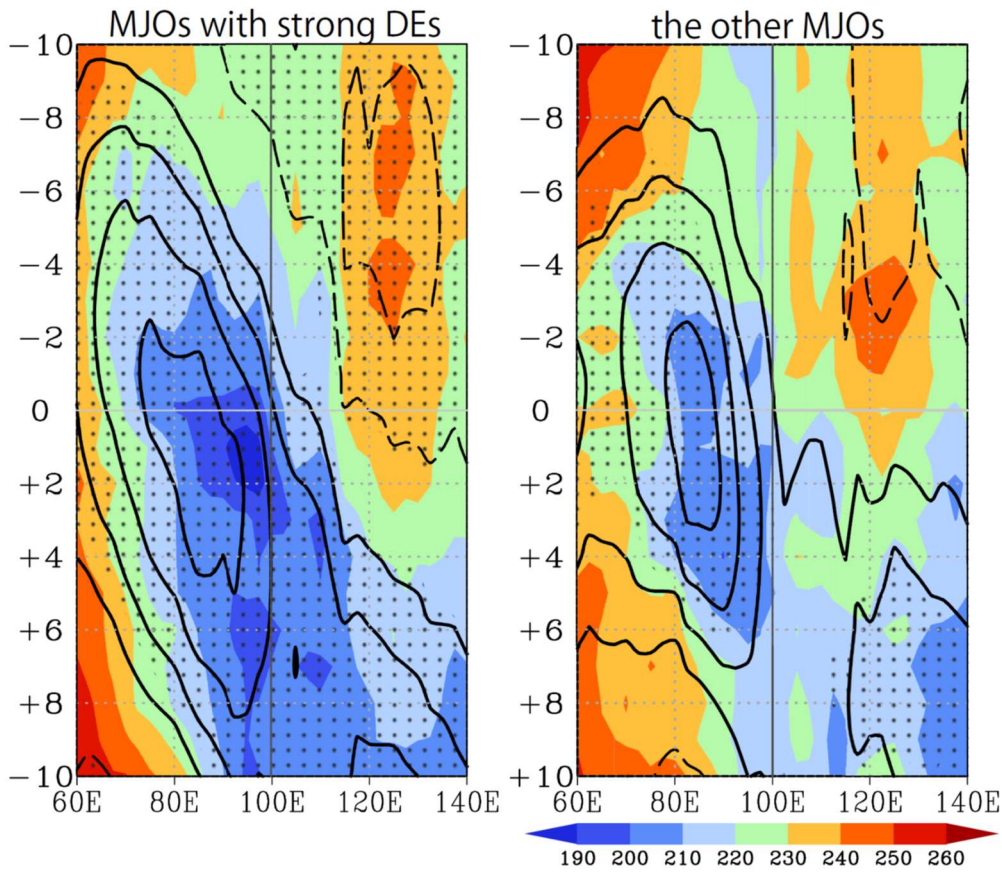
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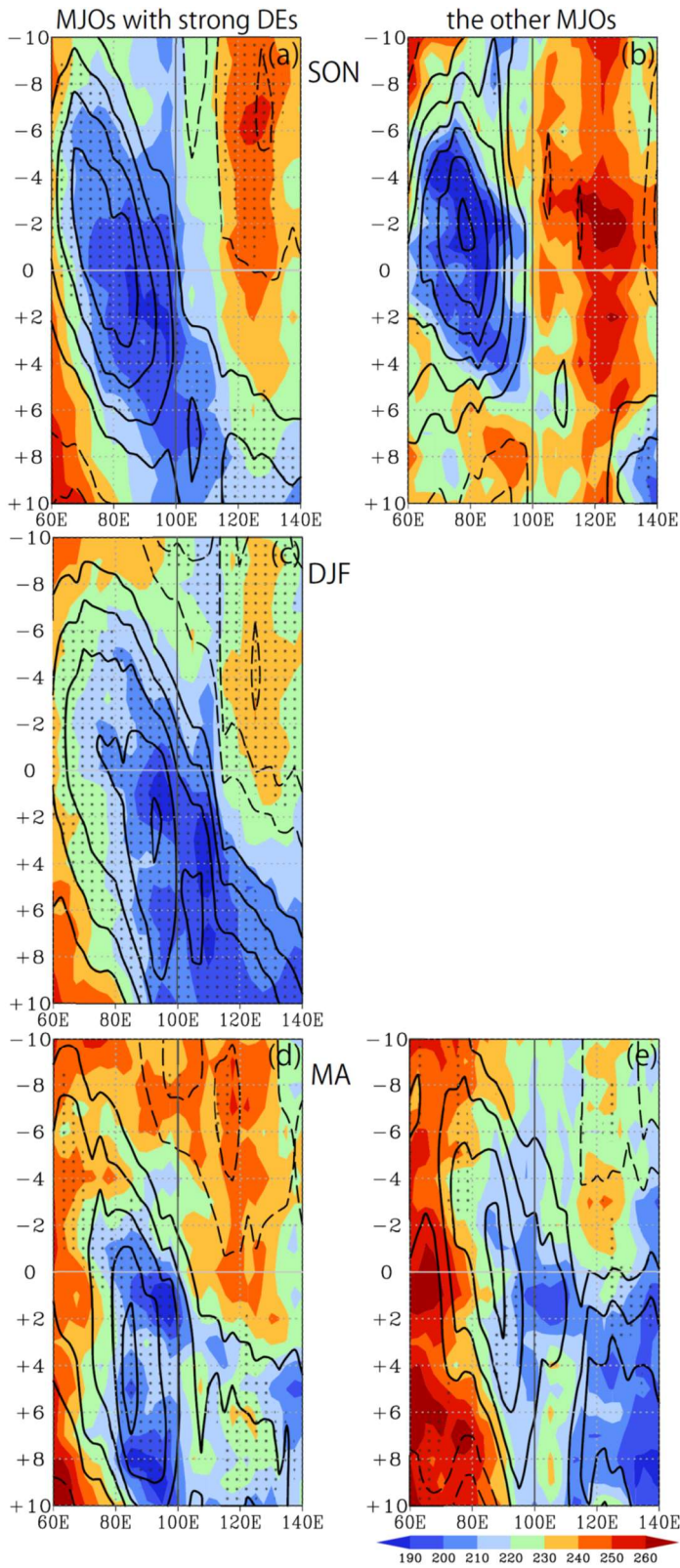


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1002 MA on day 0. Note that composites for the other MJO events during DJF are not shown due

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1009 have the phase 2 and/or 3. The duration indicates the number of days when the RMM
1010 index meets the definition of the MJO events in this study (see section 2 for the definition).

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1012 **Table 2** Average duration in each phase of the MJO events with the strong diurnal events
1013 and the others.

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1015 **Table 3** The numbers of the MJO events with the strong diurnal events and the others
1016 during SON, DJF, and MA. The averaged numbers per month are shown in parentheses.

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	Num.	Ave. Duration	Ave. first PH	Ave. last PH
W/ strong DEs	30	30.4	1.7	6.5
The others	10	24.6	1.9	6.1

1019

1020 Table 1 The number, averaged duration (days), averaged first phase, and averaged last
1021 phase of the MJO events with the strong diurnal events and the others. All MJO events have
1022 the phase 2 and/or 3. The duration indicates the number of days when the RMM index meets
1023 the definition of the MJO events in this study (see section 2 for the definition).

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	P1	P2	P3	P4	P5	P6	P7	P8
W/ strong DEs	2.0	5.1	6.0	4.6	5.0	3.3	2.4	2.0
The others	2.2	3.0	4.0	5.8	3.2	2.1	2.1	2.2

1026

1027 Table 2 Average duration in each phase of the MJO events with the strong diurnal events
1028 and the others.

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	SON	DJF	MA
W/ strong DEs	11 (3.7)	13 (4.3)	6 (3.0)
The others	3 (1.0)	1 (0.3)	6 (3.0)

1035

1036 **Table 3** The numbers of the MJO events with the strong diurnal events and the others
 1037 during SON, DJF, and MA. The averaged numbers per month are shown in parentheses.