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2 **Formation Mechanism of a Stationary Line-shaped**

3 **Precipitation System in the Kinki District, Japan**

4 **-Case Study on 1 September 2015 event-**

5

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23 **Abstract**

24 A stationary line-shaped precipitation system (SLPS), which is one type of mesoscale
25 convective systems (MCSs), is a typical heavy-rain-producing weather system formed during
26 warm seasons in Japan. Although the Kinki district, western Japan, is known as a frequent
27 occurrence region for SLPSs, their formation mechanisms in the region have not been
28 sufficiently clarified yet because of their complex formation processes. This study investigated
29 a SLPS event that occurred on 1 September 2015, using observational data and high-resolution
30 numerical experiments. We also carried out numerical sensitivity experiments with regard to
31 the orography and initial time.

32 The observational data showed that the relative humidity at lower levels was high during
33 the SLPS event. The southwesterly was dominant at middle levels over the Kinki district during
34 the formation of the SLPS. The formation of the SLPS was associated with neither a mesoscale
35 low-pressure system nor a synoptic-scale cold front, demonstrating that these were not
36 necessary conditions for the formation of the SLPS.

37 In the numerical experiments, we found that the SLPS was formed in a low-level
38 convergence zone of the westerly with the warm and moist south-southwesterly from the Kii
39 Channel. New convective cells formed over the north of Awaji Island and are propagated
40 northeastward by the middle-level southwesterly. This cell formation process was repeated and

41 resulted in the formation of the SLPS. The sensitivity experiments for the orography around
42 the occurrence area of the SLPS indicated that the orography was not a significant factor for
43 the formation of the SLPS in this event. The orography can modify the location of the SLPS.

44

45 **Keywords:** mesoscale convective systems; stationary line-shaped precipitation system; cloud-
46 resolving model

47 **1. Introduction**

48 During warm seasons in Japan, heavy rainfall events are occasionally caused by various
49 meteorological phenomena such as typhoons, extratropical cyclones, and mesoscale convective
50 systems (MCSs). Among these, MCSs frequently cause heavy rainfall events over Japan.
51 Although they develop in several different forms, line-shaped precipitation systems caused
52 most of heavy rain events during warm seasons in cases without typhoons (Ogura 1991; Tsuguti
53 and Kato 2014). When a line-shaped precipitation system stagnates at almost the same place,
54 a large amount of rainfall is brought there. In this study, we refer to this type of precipitation
55 system as a stationary line-shaped precipitation system (SLPS). Kato (2020) defined that
56 SLPSs consist of several convective cells or clusters aligned linearly with a width of 20–50 km
57 and a length of approximately 50–300 km. These systems occasionally remain stationary for
58 several hours. SLPSs formed in environments of various weather systems such as the Baiu
59 front (also known as the Changma-front in Korea and the Meiyu-front in China and Taiwan), a
60 convergence line in the lower troposphere, and a cold front (Kato 2020). As SLPSs frequently
61 cause disasters in Japan, improving of their forecast accuracy is necessary for the disaster
62 reduction (Tsuguti 2016). Toward this goal, the formation mechanism of SLPSs must be
63 clarified and their conceptual model should be established.

64 Previous studies have classified the patterns of line-shaped MCSs, including those of
65 SLPSs. Bluestein and Jain (1985) studied the squall-line formation mechanism over Oklahoma,
66 United States, during spring seasons. They classified the formation patterns of linear MCSs
67 into four types; broken-line (BL), back-building (BB), broken-areal (BA), and embedded-areal
68 (EA) types. They found that line-shaped MCSs formed under unstable environmental
69 conditions with large convective available potential energy (CAPE). Parker and Johnson (2000),
70 using weather radar observations, proposed three conceptual models of line-shaped MCSs with
71 the stratiform precipitation occurring in the United States; trailing-stratiform (TS), leading-
72 stratiform (LS), and parallel-stratiform (PS). They also found that each type of these line-
73 shaped MCSs formed in different environments and that these environments affected the travel
74 speed and duration of the MCSs. Schumacher and Johnson (2005) studied the organization and
75 environmental properties of SLPSs in the area east of the Rocky Mountains, United States, by
76 using radar data and a numerical model. They proposed a training line/adjoining stratiform
77 (TL/AS) as a new type of line-shaped MCS in addition to the BB type. They reported that BB
78 type MCSs depend on small-scale processes such as the storm-scale (2–20 km) or meso-scale
79 (20–several hundred km) processes rather than the large-scale ones, and that clarifying their
80 environmental conditions is more difficult than that for other linear MCSs. Using the
81 classifications of the above studies, Gallus et al. (2008) classified convective storms that

82 occurred over the central United States in the warm season of 2002. They found that linear-
83 type MCSs had a higher threat of causing severe weather event such as hail, flooding, and
84 tornados than isolated cells or convective clusters.

85 In Japan, previous studies considered that low and middle-level winds were related to the
86 formation mechanism of SLPSs. Seko and Nakamura (2003) proposed a back-and-side-
87 building (BSB) type for the SLPS formation, in addition to the BB type. From numerical
88 experiments, they confirmed that the type of SLPSs was determined by wind directions at low
89 and middle levels. Under the condition in which the low and middle-level wind directions are
90 almost parallel, BB type MCSs are formed by the successive formation of convective cells and
91 their leeward movements. In contrast, BSB type MCSs produce a carrot-shaped precipitation
92 area, and the low-level inflow comes from the right-hand side of the middle-level flow. Seko
93 and Nakamura (2003) also conducted sensitivity experiments on middle-level humidity and
94 found that the SLPS type was not affected by middle-level humidity. In contrast, Kato (2006)
95 studied the structure of an SLPS that occurred over northern Kyushu, western Japan, in 1999
96 and found that the moist southwesterly at low levels and the dry westerly at middle levels
97 enhanced convective instability. He also found that the top height of cumulonimbus clouds was
98 controlled by the inflow amounts of dry air at middle levels that suppressed the further
99 development of cumulonimbus clouds. A large intrusion of middle-level dry air into convective

100 cells decreased their buoyancy owing to evaporative cooling. Kurihara et al. (2009) studied an
101 SLPS and environmental airflow over Hiroshima, western Japan, by conducting numerical
102 experiments. They found that the SLPS was formed by the convergence of the low-level warm
103 and moist southerly with the southeasterly on a warm front of a low-pressure system. They
104 indicated that the middle-level dry inflow and orography over western Japan were essential
105 factors in the SLPS formation. In the SLPS formation, the increase of the low-level
106 convergence by the orography (Takasaki et al. 2019) and near-surface advection of warm air
107 (Kato and Goda 2001) have been also suggested as important factors. According to Kato (2020),
108 the formation mechanism of SLPSs is categorized into two types: BL and BB type. He proposed
109 six favorable occurrence conditions for SLPSs; large water vapor flux amounts (FLWV) at low
110 levels, short distances to the level of free convection (dLFC), high relative humidity (RH) at
111 middle levels, large storm-relative environmental helicity (SREH) estimated due to the large
112 vertical wind shear, upward motion of synoptic-scale environment, and the exclusion of warm
113 air advection frequently appearing at 700–800 hPa and inhibiting the development of
114 convection. As described above, SLPSs form under complex conditions.

115 The Kinki district (see lower panel in Fig. 1, except Shikoku Island), western Japan, is a
116 region where SLPSs are frequently observed. Higashi et al. (2010) studied the SLPS formation
117 mechanisms in the Kinki district during the post-Baiu season in August and September. They

Fig. 1

118 proposed that several mesoscale factors facilitated the formation of SLPSs. Among them, the
119 orographic effect on the windward side of Awaji Island was found to play a key role in
120 triggering the formation of convection cells.

121 Ishihara and Takara (2018) showed a new formation mechanism of SLPSs in the Kinki
122 district, which was not related to a cold front. They highlighted the importance of the warm
123 and humid southerly inflow through the Kii Channel in the lower layers and the orography of
124 Mount Rokko. The study suggested that the SLPSs observed in the Kinki district are caused by
125 several factors under complex mechanisms at various horizontal scales. However, the effect of
126 individual orography surrounding Osaka Bay has not yet been clarified. Therefore, it is
127 necessary to investigate the formation mechanisms of SLPSs in the Kinki district during warm
128 seasons and to explore the influence of various factors such as synoptic conditions, the local
129 convergence, and the orography.

130 In another study, a mesoscale low-pressure system and the horizontal shear associated with
131 the Baiu front were found to be important for the formation of SLPSs (Meteorological Research
132 Institute (MRI) 2010). The study found the convergence of the southerly through the Kii
133 Channel with the westerly over Osaka Bay, although the formation mechanism of the westerly
134 was not understood in detail.

135 In this study, we focused on the SLPS formation mechanisms over the Kinki district during
136 warm seasons and performed a detailed analysis of an SLPS event observed on 1 September
137 2015. First, we examined observational data including radar observations, upper-air soundings,
138 and surface weather data to clarify the environmental conditions and characteristics of the
139 SLPS. Second, we conducted high-resolution numerical experiments using the Cloud
140 Resolving Storm Simulator (CReSS) (Tsuboki and Sakakibara, 2002) to study the SLPS
141 formation mechanisms. Third, we conducted sensitivity experiments to explore the effects of
142 the orography surrounding Osaka Bay.

143 In Section 2 we describe the data and methods in this study. In Section 3, observational
144 aspects of the SLPS event on 1 September 2015 are summarized. Sections 4 and 5 show the
145 results of the control experiment and sensitivity experiments, using CReSS. In Section 6, we
146 discuss essential factors of the SLPS formation mechanism on 1 September 2015. Finally, we
147 summarize this study in section 7.

148 **2. Data and method**

149 *2.1 Data*

150 The observational data used in this study were the weather radar data, surface data of
151 automated meteorological data acquisition systems (AMeDAS), and upper-air sounding data,
152 provided by the Japan Meteorological Agency (JMA), on 1 September 2015. The JMA radar
153 (C-band Doppler, 5.3 GHz) network covering the Japanese islands observes the precipitation
154 intensity every 5 minutes. In this study, a constant-altitude plan position indicator (CAPPI) at
155 an altitude of 2 km was used to examine the temporal change of the target SLPS. The AMeDAS
156 data include wind speed and direction, rainfall amount, and sunshine duration. We used the
157 hourly accumulated precipitation data at the Nose (34.948° N, 135.455° E, Fig. 1), which is the
158 nearest AMeDAS station to the point with the maximum accumulated precipitation amount in
159 the SLPS observed by the JMA radar from 1000 UTC to 1200 UTC on 1 September 2015. The
160 upper-air sounding data at the Shionomisaki (33.45167° N, 135.7617° E) at 1200 UTC on 1
161 September 2015 were used to examine the atmospheric conditions in the occurrence of the
162 SLPS, such as CAPE, convective inhibition (CIN), and total precipitable water (TPW).

163 To understand the synoptic conditions when the SLPS formed, we used the JMA weather
164 charts at the surface and upper levels (850 and 500 hPa) at 1200 UTC on 1 September 2015.

165 *2.2 Numerical model*

166 The cloud-resolving model, CReSS was used to simulate the structure and time evolution
167 of the SLPS with a high resolution. CReSS is a non-hydrostatic three-dimensional numerical
168 model with a bulk-type cold rain scheme. The prognostic variables are three-dimensional
169 velocity components, pressure perturbation, potential temperature perturbation, water vapor
170 mixing ratio, sub-grid scale turbulent kinetic energy, number densities of solid hydrometers
171 (cloud ice, snow, and graupel) and mixing ratios of hydrometeors (cloud water, rain, ice, snow,
172 and graupel). A more detailed description of CReSS is given by Tsuboki and Sakakibara (2002,
173 2007).

174 To simulate the SLPS event on 1 September 2015, we conducted several numerical
175 experiments. Figure 2 shows the computational (solid box) and analysis (dashed box) domains. Fig. 2
176 The horizontal grid spacing is 1 km, and sixty stretched layers with the model top of 26.6 km
177 were set in a vertical direction. Seven layers are included below 1 km: 25 m, 95 m, 204 m,
178 346.3 m, 521 m, 726 m, and 958 m. The time step of all experiments is 0.5 seconds. The initial
179 and boundary conditions are provided by the JMA mesoscale analysis (JMA-MA, JMA 2013),
180 and the land use data are provided by the United States Geological Survey (USGS) 30-s data.
181 The JMA-MA is produced eight times daily at 0000, 0300, 0600, 0900, 1200, 1500, 1800, and
182 2100 UTC by using a four-dimensional validation technique in which observation data from

183 various systems such as weather radar, satellites, and a ground-based global navigation satellite
184 system are assimilated. The horizontal grid system of the JMA-MA is the Lambert projection
185 with a horizontal resolution of 5 km at 30° N and 60° N with grid numbers of 721 × 577
186 (Table 1).

Table 1

187 The control experiment (CTL) and sensitivity experiments for the orography were
188 conducted for the period from 0600 to 1800 UTC on 1 September 2015. Another sensitivity
189 experiment for the environmental conditions was initiated from 0000 UTC on 1 September
190 2015 (EXP_00). To examine the effects of orography and land-use in the Kinki district, we
191 conducted sensitivity experiments in which the land elevation and land-use were changed. In
192 the experiment of ROKKO_100M, the terrain heights of Mount Rokko higher than 100 m were
193 reduced to 100 m. In the experiments of AWAJI_0M and AWAJI_NONE, the terrain heights of
194 Awaji Island were reduced to 0 m and Awaji Island was replaced by sea, respectively.
195 Furthermore, to examine the effect of Shikoku Island, in the experiments of SHIKOKU_NONE
196 and SHIKOKU_0M, the terrain heights of Shikoku Island was also reduced to 0 m and Shikoku
197 Island was replaced by sea, respectively. We conducted all of sensitivity experiments on the
198 orography with the same experimental settings as those in CTL.

199 **3. Observation of the SLPS event on 1 September 2015**

200 Figure 3 shows accumulated precipitation amounts (mm) and precipitation intensity (mm
201 h⁻¹) of CAPPIs at a height of 2 km, observed by the JMA radar network on 1 September 2015
202 over the Kinki district. From 0940 UTC to 1200 UTC, the accumulated precipitation amounts
203 distributed in a linear shape from Osaka Bay to Lake Biwa, and the maximum amounts
204 exceeded 50 mm (Fig. 3a). According to Unuma and Takemi (2016), the orientation of the
205 SLPS in this event was frequently observed during warm seasons in Japan. The convective cell
206 C1 appeared over Osaka Bay at 0940 UTC and developed with traveling northeastward (Fig.
207 3b). New cells (C2 and C3) formed on the upstream (i.e., southwestern) side of the cell C1 and
208 also developed with traveling northeastward. This successive formation process of convective
209 cells repeated until 1200 UTC (C4, C5, and C6). Consequently, the SLPS formed to extend
210 from the west-southwest to the east-northeast. All of the convective cells formed near the
211 coastline. The formation process of the SLPS was similar to that of the BB type (Bluestein and
212 Jain 1985; Schumacher and Johnson 2005; Gallus et al. 2008). The observed total precipitation
213 amount at the Nose station was 59.5 mm during the period from 1000 UTC to 1200 UTC.

Fig. 3

214 The JMA weather chart at 1200 UTC on 1 September 2015 (Fig. 4) shows that an
215 extratropical cyclone with a central pressure of 1006 hPa and a North Pacific high-pressure
216 system sandwiched the Kinki district. Another extratropical cyclone with a central pressure of

Fig. 4

217 1008 hPa was located over the Shandong Peninsula (approximately 36° N, 120° E). The Kinki
218 district was influenced by the southwesterly on the west side of the North Pacific high-pressure
219 system and the westerly on the south side of the extratropical cyclone. This surface pressure
220 pattern was similar to those in the SLPS events studied previously (Seko et al. 2006; Higashi
221 et al. 2010; MRI 2010). However, the cold front associated with the extratropical cyclone was
222 located to the further west of the Kinki district during the formation of the SLPS. When the
223 SLPS had already dissipated at 1800 UTC, the cold front reached the Kinki district (not shown).
224 Therefore, the SLPS formed and dissipated in the warm sector between the cold and warm
225 fronts of the extratropical cyclone. Moreover, when the SLPS formed, there were no abrupt
226 changes in the temperature and wind direction at the AMeDAS observation points in the Osaka
227 Plain (not shown). According to Kato (2020), the present case was categorized to the case of
228 low pressure among the five types of synoptic fields in which SLPSs form. He also showed
229 that the ratio of this type corresponds 12 % of all SLPS cases analyzed in Japan from 1989 to
230 2015 warm seasons (April—November).

231 Figure 5 shows the upper weather charts at 850 and 500 hPa. The pattern of isohypse lines
232 at 850 hPa was similar to the surface pressure pattern. The Kinki district lay in a moist area
233 along dense isohypse lines. A wind stronger than 20 knots was observed at 850 hPa at
234 Shionomisaki. This strong wind crossed an 18 °C temperature line at 850 hPa, which resulted

Fig. 5

235 in the inflow of warm air to the Kinki district that was shown in Fig. 5 by a low dew point
236 depression area. Highly humid air was present over the Kinki district in this case. In the 500
237 hPa upper weather chart, a trough was present over the Shandong Peninsula, while a low-
238 pressure system located to the north of Japan was not clearly found. In front of the low-pressure
239 trough, the southwesterly with wind speed of approximately 40 knots was present over western
240 Japan.

241 Figure 6 shows the skew T-log P upper-air diagram at the Shionomisaki station at 1200
242 UTC on 1 September 2015. The lower layer below 846 hPa (1565 m height) was very humid
243 (relative humidity over 80 %) and the wind direction was south-southwesterly. The lifting
244 condensation level (LCL) and level of free convection (LFC) in an air parcel averaged from
245 the surface to 500 m height being lifted adiabatically were extremely low levels of 965.3 hPa
246 (approximately 420 m height) and 928.6 hPa (approximately 760 m height), respectively. The
247 CAPE and CIN estimated by lifting a parcel from a 500 m height were 2382.3 J kg⁻¹ and 0.1 J
248 kg⁻¹, respectively. The total precipitable water was 56 mm. These values indicate that the
249 atmosphere was conditionally unstable, and the occurrence possibility of thunderstorms was
250 high (Schultz et al. 2000). Under the conditions with a large CAPE and an extremely low LFC,
251 convective clouds can easily form by weak forcing at low levels.

Fig. 6

252 These observational data indicate that the atmosphere on the low-level upstream side of
253 the Kinki district was highly conditionally unstable and that weak forcing could easily initiate
254 convective cells. This unstable atmosphere was caused by the low-level inflow of the strong
255 south-southwesterly from the moist air mass over the Pacific Ocean. On the other hand, the
256 SLPS extended to the east-northeast by the southwesterly in the middle troposphere. However,
257 only these observational data are insufficient to explain the atmosphere condition in the present
258 case.

259 **4. Control experiment**

260 To verify the results in CTL, we compared the simulated distribution of accumulated two-
261 hour precipitation amounts with that observed by the JMA radar network. Figure 7 shows the
262 accumulated precipitation amounts and precipitation intensity in CTL from 1000 UTC to 1200
263 UTC. Compared with radar observations (Fig. 3), the SLPS location and the generation points
264 of convective cells in CTL were slightly shifted to the north (Fig. 7), and the linear shape of
265 the SLPS was wider than the observation. The formation of convective cells (Cs1, Cs2, and
266 Cs3 in Fig. 7b) that organized the SLPS was delayed by approximately 50 minutes from the
267 radar observations (CTL: 1030 UTC, observations: 0940 UTC). In comparison with the radar
268 observations, the precipitation intensity of the convective cells was slightly overestimated. New
269 convective cells (Cs3, Cs4, Cs5, and Cs6) formed on the upstream side of Cs1, Cs2, and Cs3.
270 Although there are some discrepancies between CTL and the observations, the SLPS was well
271 reproduced, particularly the orientation of the SLPS and the cell movements from the west-
272 southwest to the east-northeast. Therefore, the results in CTL were useful in studying the SLPS
273 formation mechanisms.

Fig. 7

274 Figure 8 shows the moisture flux convergence (MFC) and horizontal wind vectors over
275 the Kinki district at a height of 521 m from 1000 UTC to 1200 UTC. In this study, we used the
276 horizontal MFC defined by Banacos and Schultz (2005),

Fig. 8

$$\text{MFC} = -\left(\frac{\partial q u}{\partial x} + \frac{\partial q v}{\partial y}\right), \quad (1)$$

277 where u and v are wind components and q is the specific humidity. A large convergence zone
 278 ($> 3 \times 10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) was continuously simulated to extend from the northeast part of
 279 Shikoku Island to around Mount Rokko through the west coast of Awaji Island from 1000 UTC
 280 to 1200 UTC at a height of 521 m. This region corresponded to a horizontal wind shear zone
 281 of the westerly and the south-southwesterly. Similar to MRI (2010), in Fig. 8e, the westerly
 282 was found on the western side of the shear zone, on the other hand, the south-southwesterly
 283 was found on the eastern side. Figure 9 shows that the westerly (shaded region) developed in Fig. 9
 284 the lower layer to the west of Awaji Island (Figs. 9a, b, and c dotted circle), associated with the
 285 extratropical cyclone that traveled northeastward. Although the low-level convergence zone
 286 moved eastward associated with the development of the westerly, the south-southwesterly was Fig. 10
 287 maintained to the south of the Kinki district. In the vertical velocity field at a height of 958 m
 288 (Fig. 10), weak updrafts maintained over the west of Awaji Island and the south of Mount
 289 Rokko.

290 To examine the characteristics of the south-southwesterly and westerly that formed the Fig. 11
 291 low-level convergence zone, the equivalent potential temperature (EPT) distribution with wind
 292 vectors at a height of 521 m and two cross-sections along the low-level wind directions are
 293 shown by Fig. 11. High EPT (higher than 350 K) was found on the east side of Awaji Island

294 and above the Kii Channel (Fig. 11a). This indicates that warm and humid air is advected by
295 the south-southwesterly along the western part of the Pacific high-pressure system. The south-
296 southwesterly with high EPT along the east side of Awaji Island formed large MFC with the
297 westerly (Fig. 8). In the vertical cross-section on the lines AO and BO in Fig. 11a (Figs. 11b,
298 c), high EPT air reached the Kinki district below a height of 1 km. This indicates that the south-
299 southwesterly brought warm and humid air to the Kinki district and caused the convergence
300 zone with the westerly.

301 Figure 12 shows the vertical projections of the maximum values of total hydrometeor
302 mixing ratios (cloud water, rain, ice, snow, and graupel) in a northwest-southeast direction in
303 the dotted box in Fig. 7a during the formation of the SLPS from 1000 UTC to 1200 UTC. New
304 convective cells (from Cs1 to Cs6 in Fig. 12) formed successively on the upwind side of pre-
305 existing cells, and rapidly developed over a 12 km height and traveled northeastward by the
306 southwesterly above a height of 1 km (see Fig. 7). The continuous inflow of warm and moist
307 air promoted the formation of new cells (Houze 1993; Kato and Goda 2001). The new cells
308 organized the SLPS with pre-existing cells, which result in long-duration precipitation in
309 almost the same area. The wind also veering from the lower to upper layers was a condition
310 under which SLPSs favorably occur (not shown, Kato 2020).

Fig. 12

311 5. Sensitivity experiments

312 To clarify the essential factors of the SLPS formation, we conducted sensitivity
313 experiments with respect to environmental conditions and orography.

314 First, to understand the effects of environmental conditions, EXP_00 was performed with
315 an initial condition at 0000 UTC on 1 September 2015. Figure 13 shows the result of EXP_00 Fig. 13
316 and the difference of EPT at a height of 521 m from CTL at 1200 UTC. In EXP_00, no SLPS
317 formed over the Kinki district (Fig. 13a). Moreover, the convergence zone found in CTL
318 weakened, the southwesterly was dominant and the westerly was not present over the Kinki
319 district (Fig. 13b). The differences in EPT at a height of 521 m between CTL and EXP_00 were
320 negative in all areas of the Kinki district; especially, there were large negative values (over 9
321 K) from the Kii Channel to the Osaka Plain. This indicates that the inflow to the Kinki district
322 in EXP_00 was less warm and less humid than that in CTL, which did not make the atmosphere
323 unstable over the Kinki district. Consequently, the formation of convective cells was
324 suppressed in EXP_00.

325 Previous studies have shown that the formation of SLPSs in the Kinki district was caused Fig. 14
326 by orography effects such as Mount Rokko or Awaji Island (Higashi et al. 2010; Ishihara and
327 Takara 2018). To investigate the role of the orography surrounding Osaka Bay, we conducted
328 several sensitivity experiments for the orography. Figure 14 shows the differences in

329 accumulated two-hour precipitation amounts from 1000 UTC to 1200 UTC between CTL and
330 the sensitivity experiments. The area with the maximum precipitation amount in all of the
331 sensitivity experiments shifted from that in CTL. The ROKKO_100M (Fig. 14d) showed weak
332 precipitation amounts of 5-10 mm over Mount Rokko. In particular, the precipitation amounts
333 on the leeward side (dashed circle) of Mount Rokko was higher than those in CTL. In
334 AWAJI_NONE and AWAJI_0M (Figs. 14b, c), the SLPS shifted slightly to the west; however,
335 the shape of the SLPS was similar to that in CTL. In contrast, in SHIKOKU_NONE and
336 SHIKOKU_0M (Figs. 14e, f) the SLPS shifted to the southeast relative to CTL, and the total
337 precipitation amounts became larger.

338 The MFC and horizontal wind vectors at a height of 521 m in the sensitivity experiments
339 at 1000 UTC 1 September 2015 are compared with those in CTL (Fig. 15). The shape and
340 intensity of convergence zones along the west of Awaji Island in AWAJI_NONE, AWAJI_0M
341 and ROKKO_100M (Figs. 15b, c, and d, respectively) were similar to those in CTL (Fig. 15a).
342 In AWAJI_NONE (Fig. 15b), the MFC in the convergence zone became slightly smoother, and
343 the linear shape was clearer in comparison with CTL. In AWAJI_0M (Fig. 15c), the MFC
344 became weaker in the north of Awaji Island and over Awaji Island. In SHIKOKU_NONE and
345 SHIKOKU_0M (Figs. 15e, f) a large convergence area found on the northwest side of Awaji
346 Island in the other experiments shifted to the southwest of Awaji Island, and the horizontal

Fig. 15

347 velocity field was also different in the Kinki district. These results indicate that Shikoku Island
348 altered the low-level wind field to determine the location of the SLPS formation.

349 Table 2 summarizes the results in CTL and the sensitivity experiments. Noted that the EPT
350 and MFC values were averaged within the dashed square in Fig. 15a at a height of 521 m.
351 Accumulated two-hour precipitation amounts from 1000 UTC to 1200 UTC on 1 September
352 2015 were also averaged within the dashed square in Fig. 14a. All of the experiments except
353 EXP_00 reproduced the SLPS, in which the EPT at a height of 521 m (EPT521m) exceeded
354 352K. In EXP_00, the MFC at a height of 521 m (MFC521m) was significantly smaller than
355 the other experiment, which was one of the reasons why the SLPS was not reproduced. In
356 SHIKOKU_NONE and SHIKOKU_0M (Figs. 15e, f), the MFC521m became higher than that
357 in CTL. It indicates that if Shikoku Island was removed, more water vapor would flow into the
358 Osaka Bay, which could result in large precipitation amounts. Consequently, the large
359 precipitation would generate strong convergence than that in CTL. The MFC in
360 ROKKO_100M was similar to that in CTL, while in AWAJI_0M and AWAJI_NONE, the MFC
361 was slightly weaker over Awaji Island. These results indicate that Awaji Island slightly affected
362 the intensity of the convergence zone; however, it did not largely change the precipitation
363 amounts.

Table 2

364 These sensitivity experiments indicate that the orography surrounding Osaka Bay,
365 particularly Mount Rokko (931 m height) and Awaji Island (608 m height), can modify the
366 low-level convergence, as well as the orography in Shikoku Islands. In particular, the location
367 of the convergence zone shifted depending on the roughness of Awaji Island. AWAJI_0M and
368 AWAJI_NONE showed that the difference in roughness due to land-use changes influences the
369 MFC more than the orography, as presented by Tsuguti and Kato (2014).

370 As mentioned above, the orography surrounding Osaka Bay slightly altered the location
371 and precipitation amounts of the SLPS, although it was not the essential formation factor of the
372 SLPS over the Kinki district on 1 September 2015. Nevertheless, Shikoku Island altered the
373 winds in the lower layer and the convergence zone caused by the westerly and south-
374 southwesterly.

375 **6. Discussion**

376 On the basis of CTL and the sensitivity experiments, we investigated the formation
377 mechanisms of the SLPS that occurred on 1 September 2015 in the Kinki district. In the SLPS
378 formation mechanisms, previous studies often considered the following two essential factors
379 for the development of convective cells; the orographic effect and large-scale forcing. This
380 event could be caused by different formation factors from those two factors.

381 First, the orographic effect, which lifts low-level air (Ishihara and Takara 2018) or forms
382 a low-level convergence zone (Kurihara et al. 2009; Takasaki et al. 2019), has been considered
383 to be one of the essential factors in the formation of SLPSs. As shown in Fig. 1, the average
384 heights of Mount Rokko and Awaji Island were lower than the LFC (958 m in height) of the
385 air parcel lifted from a height of 521 m. This LFC was higher than that in the SLPS event
386 studied by Ishihara and Takara (2018) (approximately 470 m in height). Moreover, Awaji Island
387 did not trigger for the SLPS formation in this event. According to these results, the orography
388 surrounding Osaka Bay was not an essential factor for the SLPS formation in this event.
389 However, SHIKOKU_NONE and SHKOKU_0M altered the pattern of low-level winds, i.e.,
390 the shape and location of the convergence zone. These results indicate that Shikoku Island
391 blocks the low-level southwesterly.

392 Second, other previous studies have suggested that large-scale forcing, such as a cold front,
393 was an essential factor for generating a convergence zone (Kato and Goda 2001; Kato 2006;
394 Seko et al. 2006; Higashi et al. 2010; MRI 2010; Kato 2020). However, no large-scale forcings
395 were found in the observation data and numerical experiments in this study. Figure 16 shows
396 the EPT and horizontal wind vectors at a height of 958 m in CTL at 1030 UTC and 1130 UTC. Fig. 16
397 The horizontal shear zone between the extratropical cyclone with relatively low EPT and the
398 Pacific high-pressure system with high EPT was stagnant to the north of the Kinki district (Fig.
399 16a, dotted circle). It indicates that the large-scale shear was not essential for the SLPS
400 formation mechanism in this case.

401 In this event, the low-level convergence between the westerly and south-southwesterly
402 played the role of the weak forcing that led to the generation of convective cells, as in the case
403 studied by MRI (2010). To understand the essential factors of the SLPS formation in this event,
404 we further investigated the characteristics of the south-southwesterly and westerly at low levels.

405 The Pacific high-pressure system located to the south of the Kinki district caused the warm
406 and humid inflow to the Kinki district through the Kii Channel, which made the atmosphere
407 conditionally unstable in the Kinki district. The water vapor mixing ratio in Osaka Bay below
408 a 203 m height (34.5° N 135.2° E) was larger than 20 g kg⁻¹ (not shown). Under such a highly
409 humid condition in the lower layer, weak forcing can trigger the formation of convective cells.

410 The weak forcing maintained an average of 0.2 m s^{-1} in vertical velocity at a height of 958 m
411 within the dashed square in Fig. 15a over the convergence zone from 1000 UTC to 1200 UTC.

412 To investigate the relationship between the westerly region associated with the
413 extratropical cyclone and the warm and humid south-southwesterly in the Kinki district, we
414 compared the pressure and winds at a height of 521 m in CTL between 1000 UTC and 1200
415 UTC on 1 September 2015 (Fig.9) with those in EXP_00 (Fig. 17). In EXP_00, the northeast
416 movement of the extratropical cyclone center (blue and orange points) was slower than that in
417 CTL (red marks). In addition, the eastward extension of the westerly region associated with the
418 extratropical cyclone was delayed in comparison with that in CTL (Figs. 17a, b, and c dotted
419 circle). After four hours later (1600 UTC), a convergence zone also formed on the east coast of
420 Awaji Island in EXP_00 when the westerly region reached Awaji Island, but the EPT521m in
421 the south-southwesterly inflow was lower than that in CTL (around 345 K, not shown).
422 Consequently, the atmosphere was conditionally stable, the SLPS did not form even at that time
423 (not shown). This result indicates that not only large MFC but also high EPT521m was
424 important for the SLPS formation.

425 To evaluate the environmental conditions for the SLPS formation, we used six favorable
426 occurrence conditions proposed by Kato (2020) and examined them in all of the numerical
427 experiments (Table 3). Parameters for the six conditions are the water vapor flux amount at a

Fig. 17

Table 3

428 521 m height (FLWV), distances to the level of free convection from the originating level of
429 lifted air (dLFC), relative humidity at 500 and 700 hPa (RH500 and RH700), storm relative
430 environmental helicity (SREH), synoptic-scale upward velocity (400km mean field at 700 hPa,
431 W700), and equilibrium level estimated from 521 m height data (EL). Detailed descriptions of
432 the six conditions are given in Kato (2020). This study used a 521 m vertical layer of numerical
433 experiments, instead of a height of 500 m used in Kato (2020). The average values of these
434 conditions were evaluated within the square of 50 km × 50 km in Fig. 15a.

435 All of the conditions were satisfied for the SLPS formation at 1200 UTC on 1 September
436 2015 in the numerical experiments, except for EXP_00. On the other hand, the SLPS could not
437 be reproduced in EXP_00 because the conditions related to FLWV, dLFC, RH500, RH700, and
438 EL were not satisfied. This ascertains that the water vapor at low and middle levels were more
439 important than other factors in the present case.

440 7. Summary

441 To study the formation mechanisms of the SLPS observed on 1 September 2015 in the
442 Kinki district, we conducted an observational data analysis and numerical experiments.

443 The upper-air sounding observed low-level upstream of the SLPS occurrence area showed
444 that CAPE and LFC were respectively large and extremely low, meaning that the SLPS formed
445 in an unstable atmosphere. The wind direction at middle levels almost corresponded with the
446 SLPS orientation. High and low pressure systems were located south and north of the Kinki
447 district, respectively. Consequently, the strong southwesterly was present in the middle layer
448 over the Kinki district. Unlike previous studies, a large-scale frontal system such as a cold front
449 or a stationary front, was not observed in the Kinki district.

450 From the numerical experiments, we found that the SLPS was formed by the low-level
451 convergence of the westerly with the warm and moist south-southwesterly in the Kinki district.
452 New cells successively formed over the north of Awaji Island traveled by the middle-level
453 southwesterly, and consequently the SLPS formed in the Kinki district. The sensitivity
454 experiments for the orography showed that Mount Rokko and Awaji Island were not essential
455 for the SLPS formation, although they modulated the location of the SLPS. From the sensitivity
456 experiments for the initial time, we found that one of the essential factors for the SLPS
457 formation was the abundant supply of water vapor transported by the south-southwesterly

458 through the Kii Channel. This south-southwesterly reached Osaka Bay, and converged with the
459 westerly associated with the extratropical cyclone. In addition, the sensitivity experiment for
460 Shikoku Island showed that the topography of Shikoku Island dammed the south-southwesterly,
461 and consequently the location and precipitation amounts of the SLPS were modulated.

462 The SLPS formation mechanisms on 1 September 2015 are summarized as follows. First,
463 a low-level convergence zone formed between the westerly and the warm and humid south-
464 southwesterly in the Kinki district. The south-southwesterly flowed along the edge of the
465 Pacific high-pressure system through the Kii Channel. On the other hand, the westerly region
466 was found associated with an extratropical cyclone located in the north of Japan. Second,
467 convective cells successively formed in the convergence zone where the atmosphere was
468 conditionally unstable due to the inflow of the low-level warm and moist south-southwesterly.
469 Third, the successively formed convective cells traveled to the downstream (northeast) by the
470 middle-level southwesterly. The second and third processes were repeated, and consequently
471 the SLPS formed in the Kinki district.

472 In this study, we investigated one case of SLPSs in the Kinki district. For more
473 comprehensive understanding of the formation mechanisms of SLPSs in the Kinki district,
474 analyses and simulations of other SLPS events are necessary. While focusing on the
475 mechanisms of the SLPS formation in this study, it is necessary to further study the detailed

476 effect of the surrounding orography, such as Awaji Island or Mount Rokko, on the distribution
477 and intensity of precipitation. These are our future issues.

478

479

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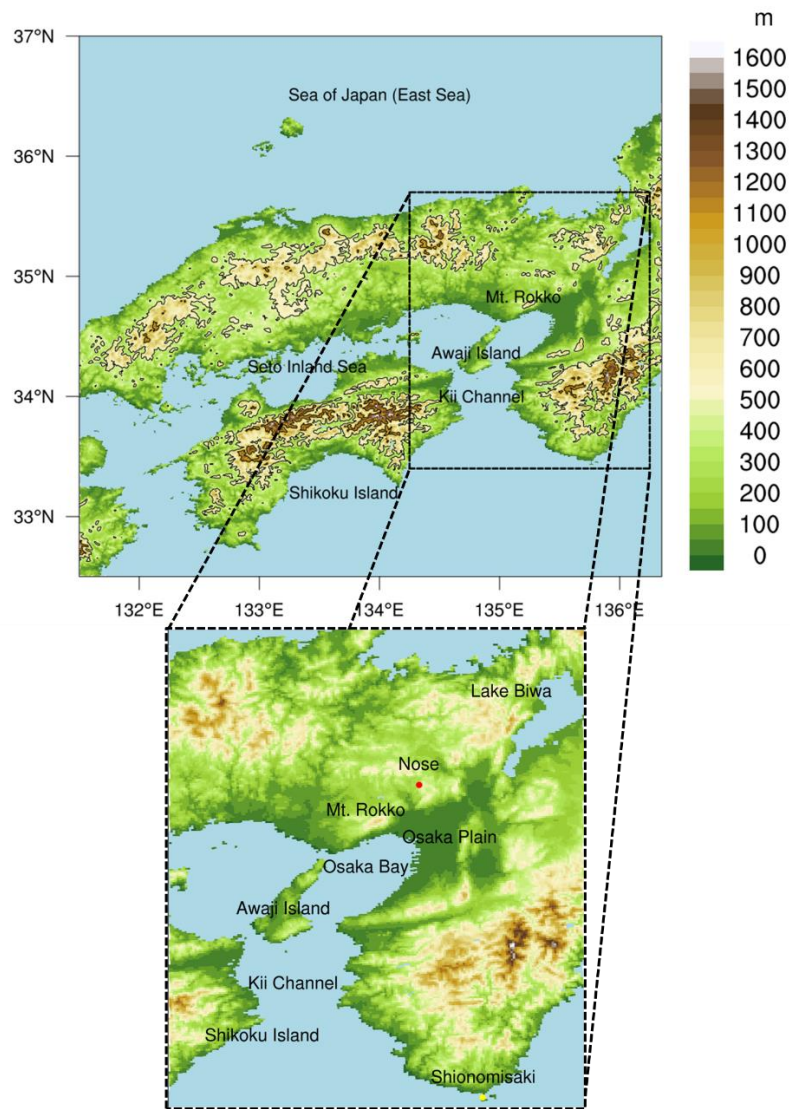
606 region shown in Table 2.

607 Fig. 15. Moisture flux convergence (MFC, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and horizontal wind vectors in
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615 the time of each plot. The red dots indicate the path of the extratropical cyclone center in
616 CTL shown in Fig. 9.

617 Fig. 1



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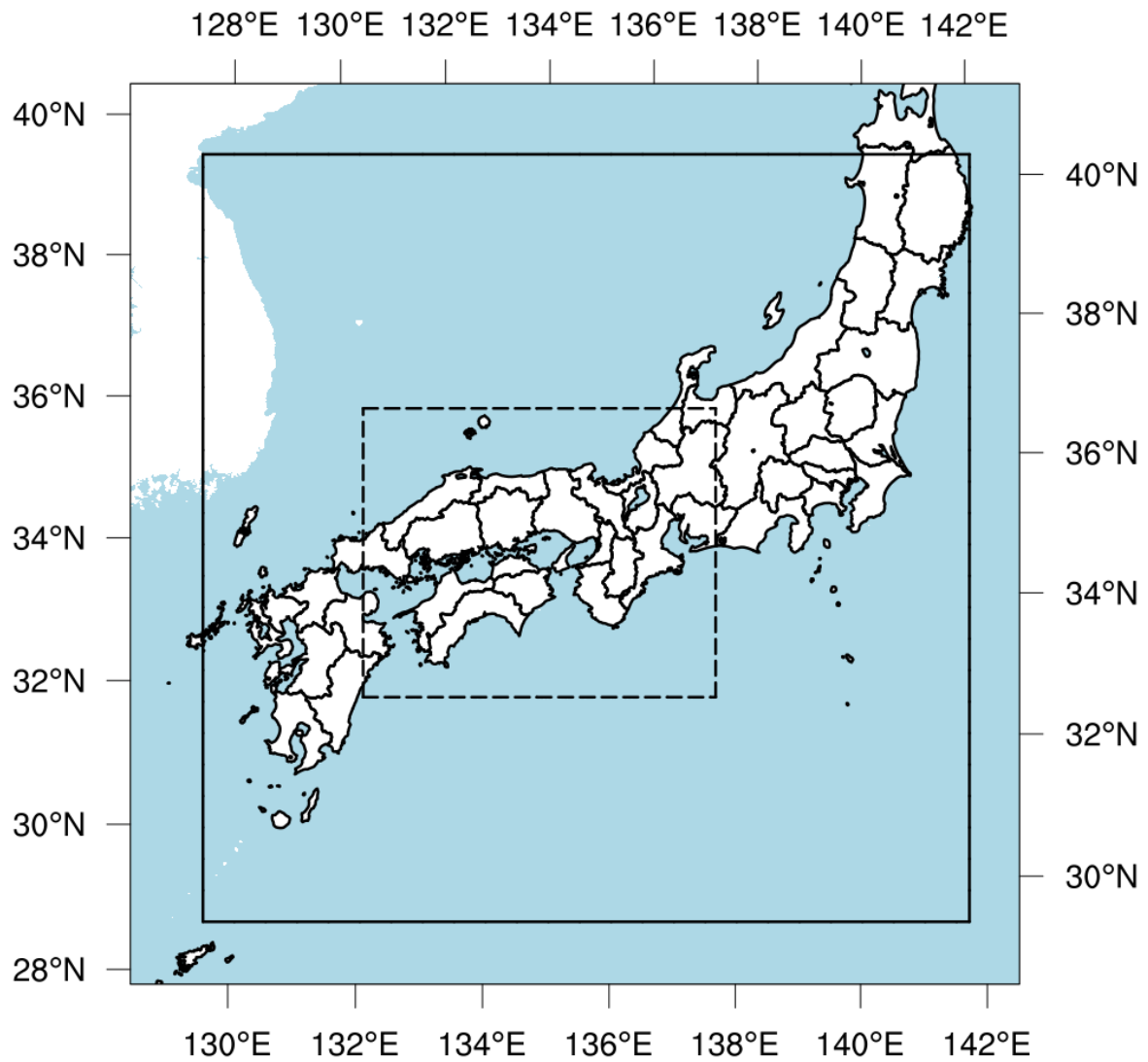
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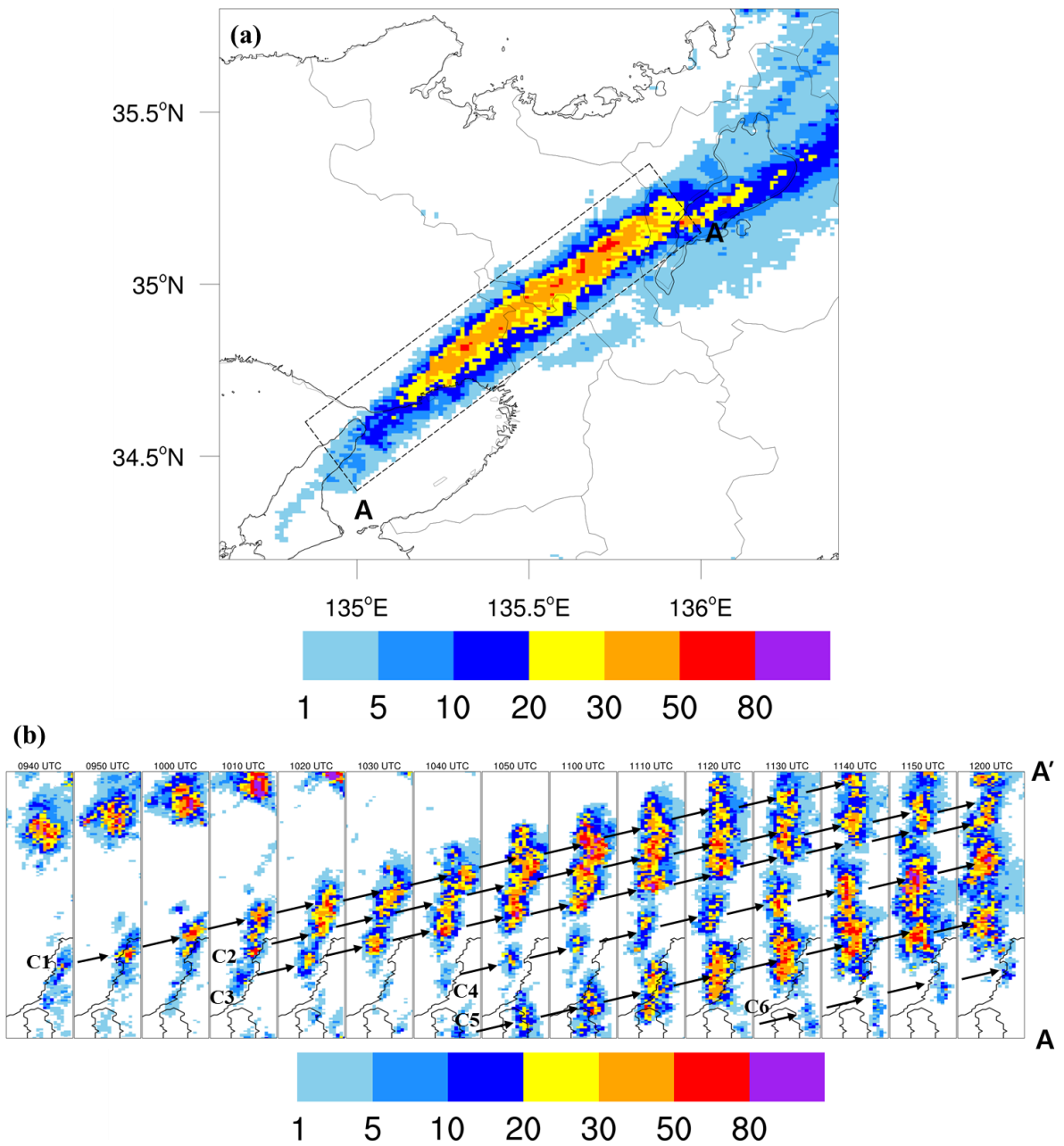
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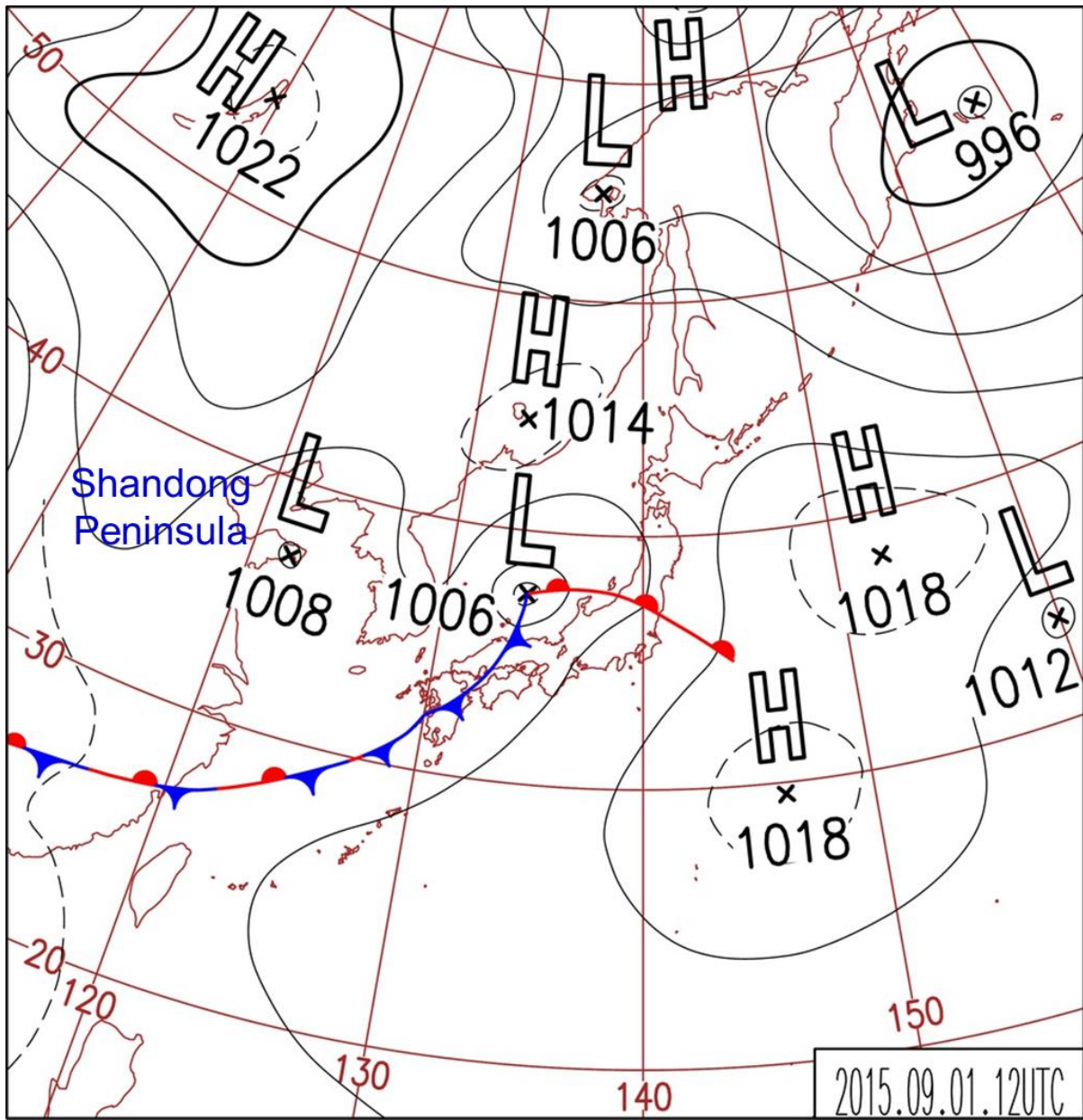
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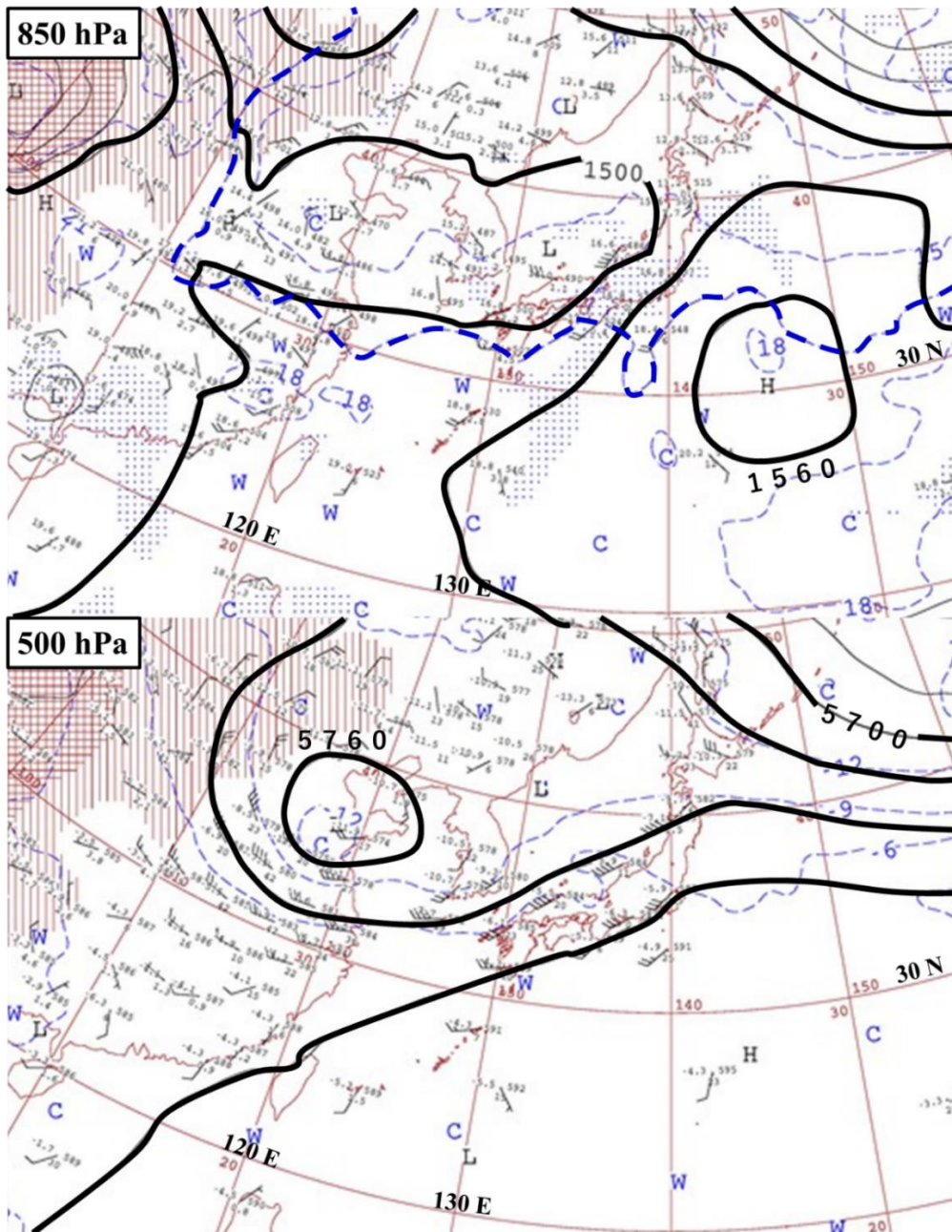
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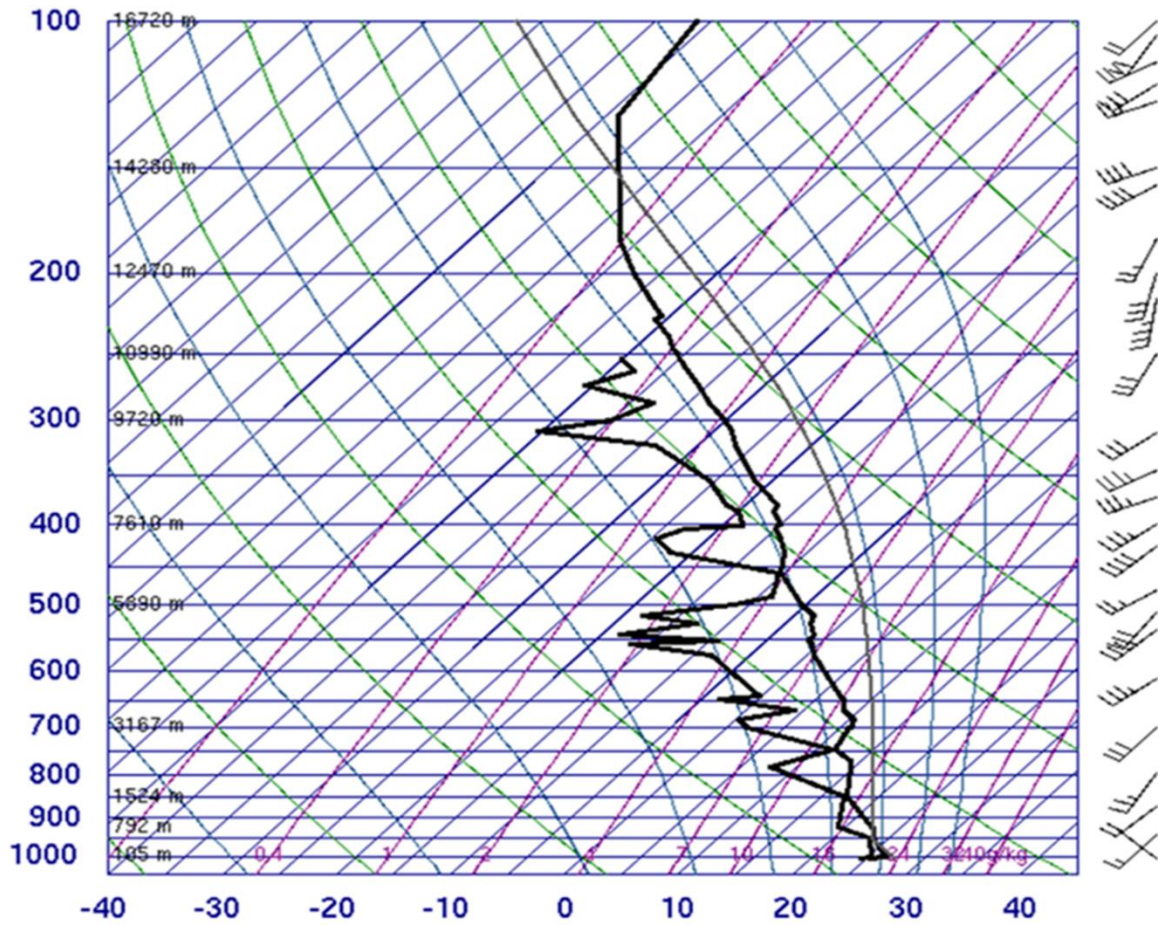
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Fig. 6



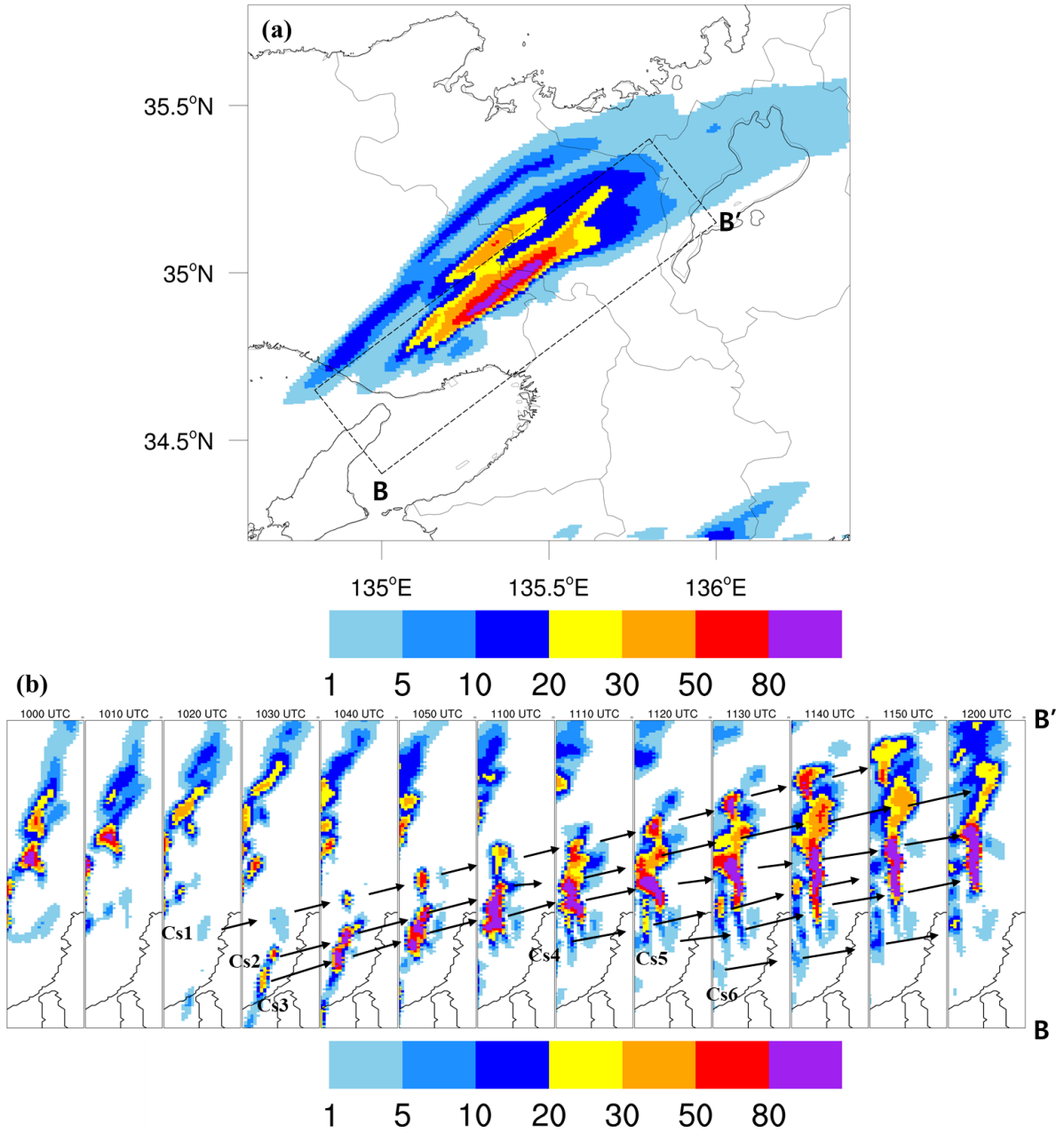
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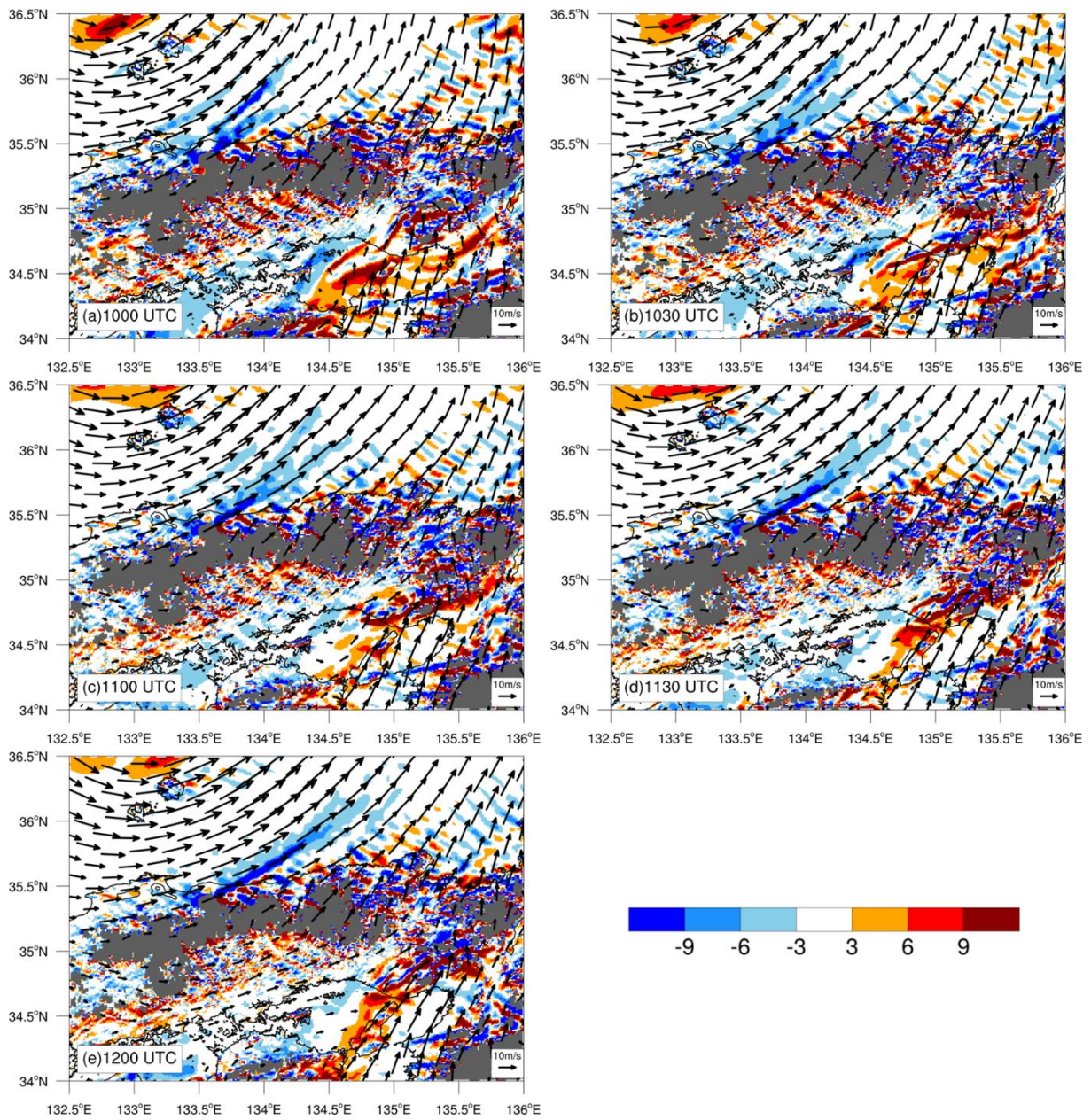
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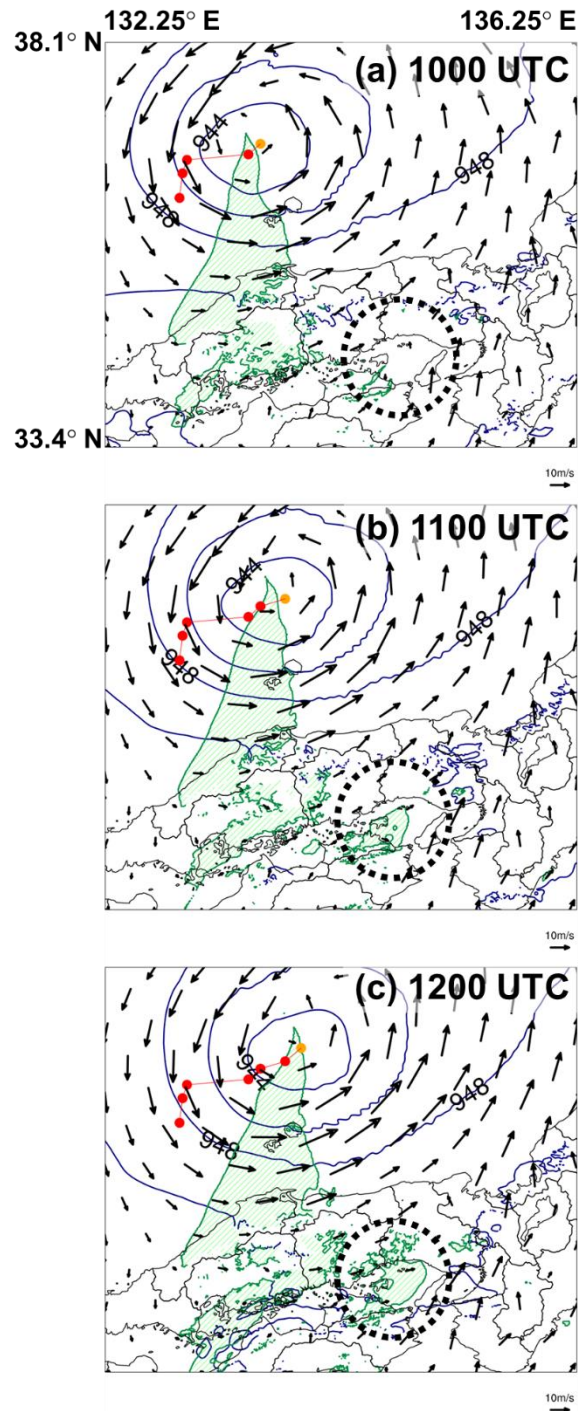


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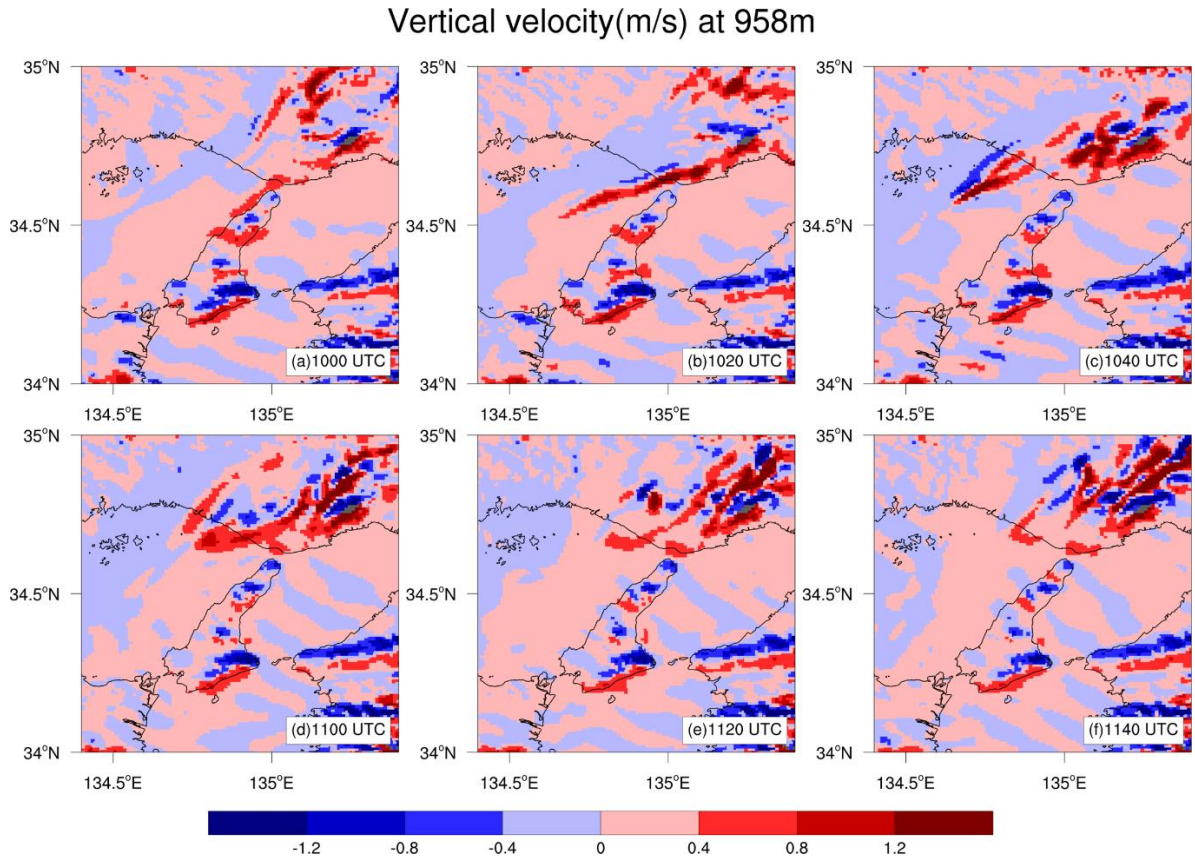
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Fig. 10

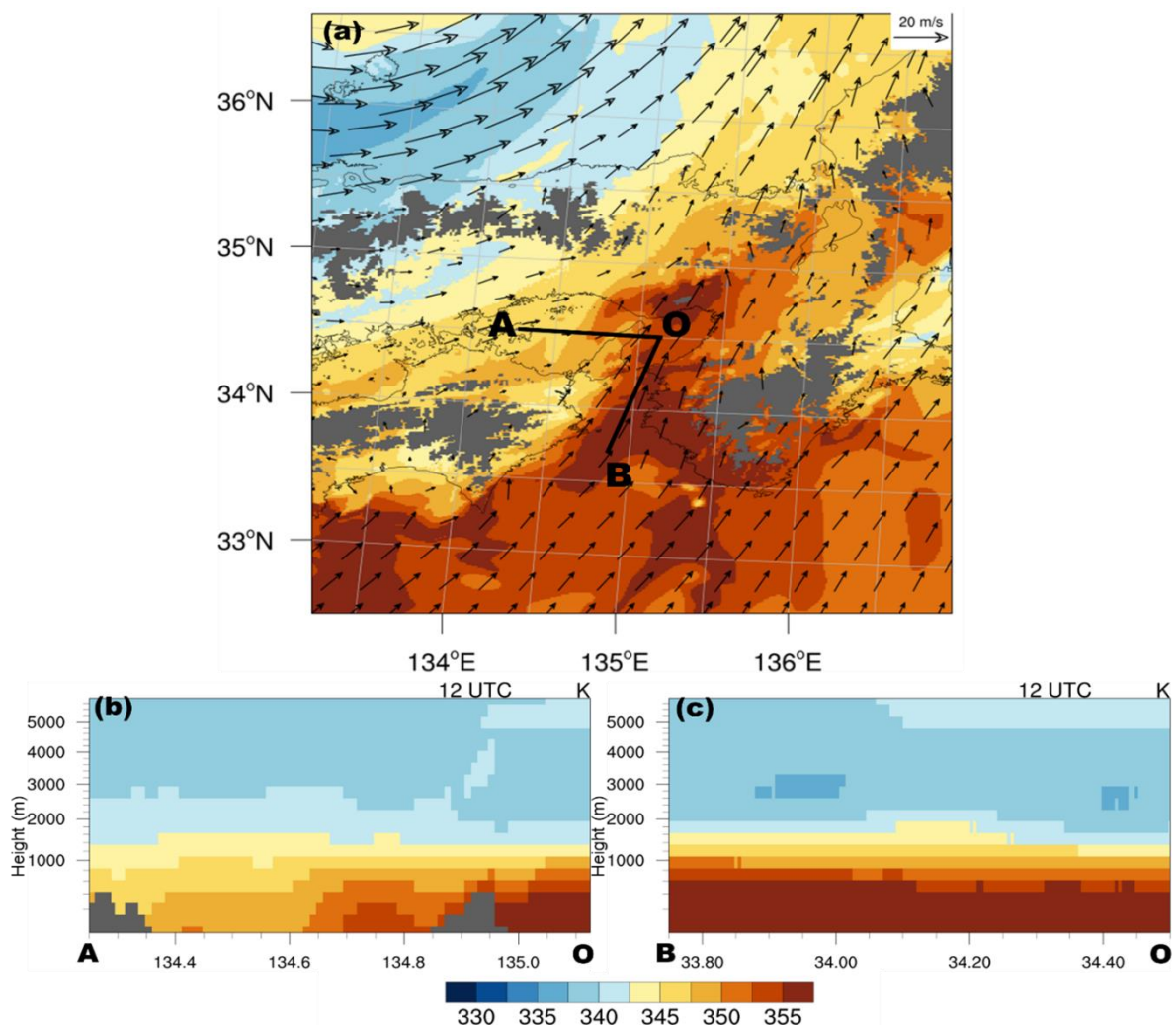


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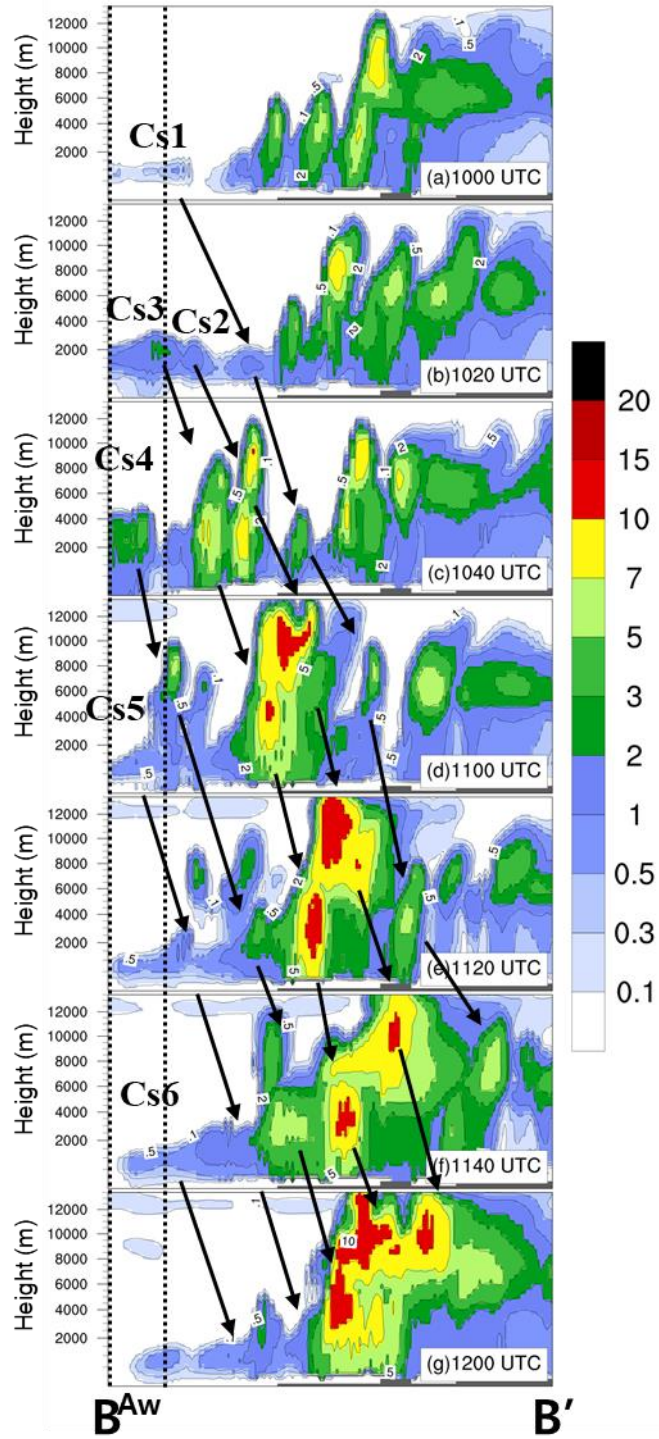


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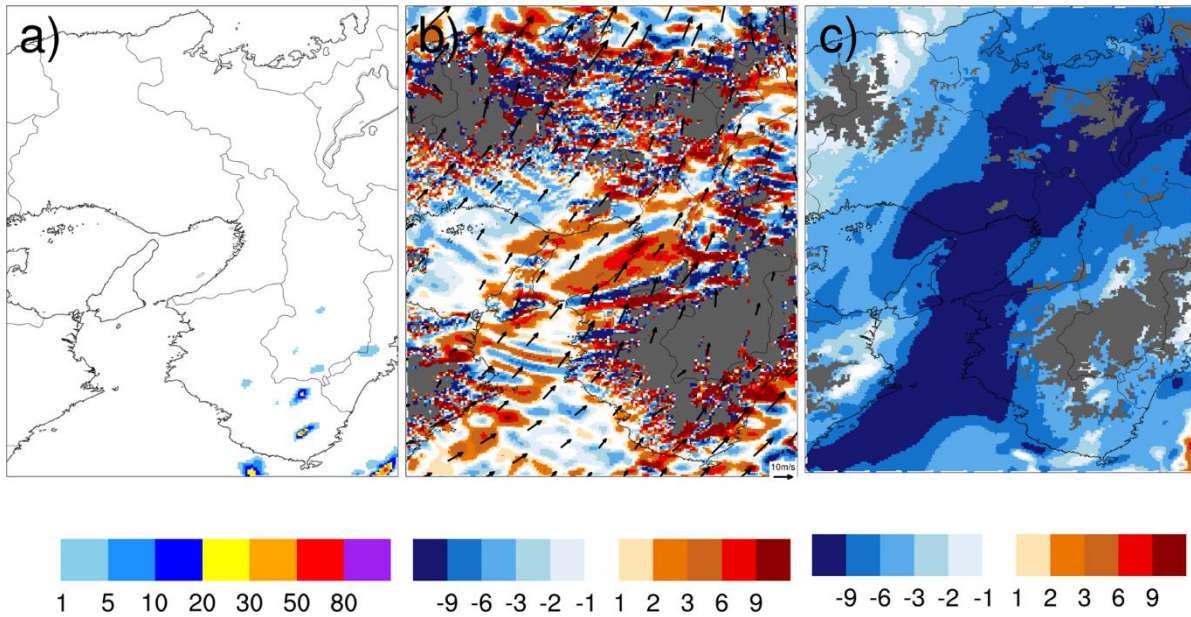
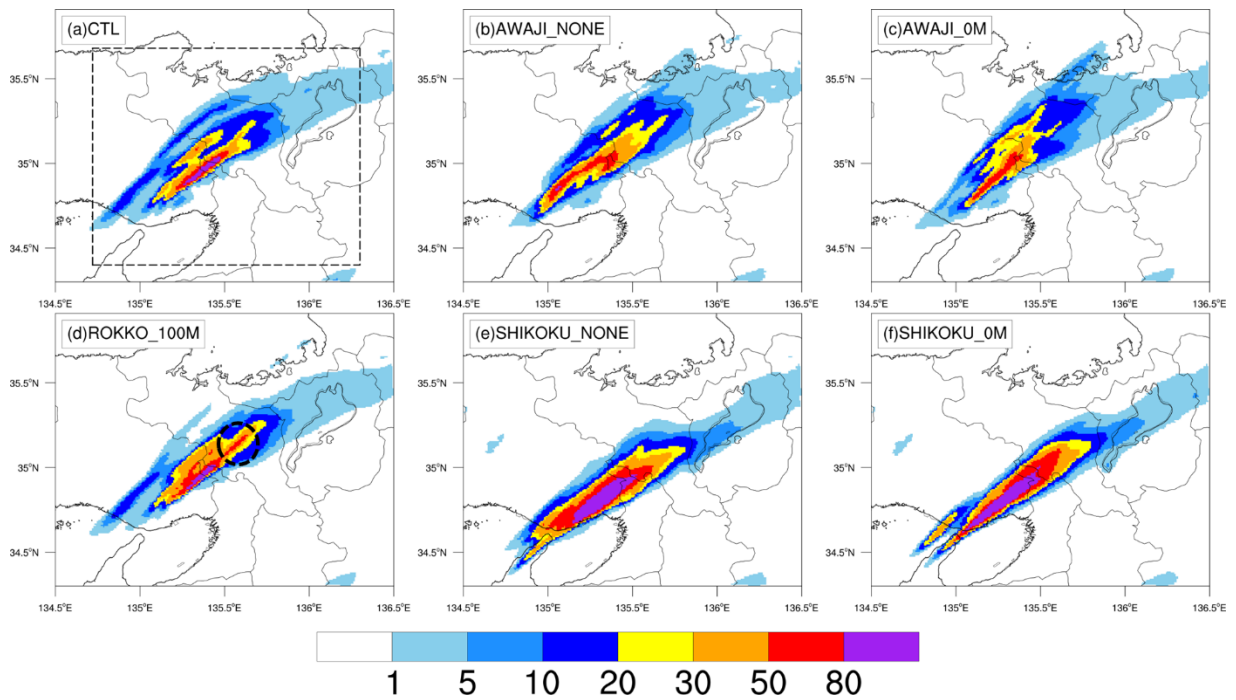


Fig. 13. (a) Precipitation intensity (mm h^{-1}), and (b) moisture flux convergence (MFC,

$10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and horizontal wind at a height of 521 m in EXP_00 at 1200 UTC 1

September 2015. Areas below a height of 521 m are masked by gray color. (c) Same as (b), but

the differences of EPT (K) between EXP_00 and CTL.



695

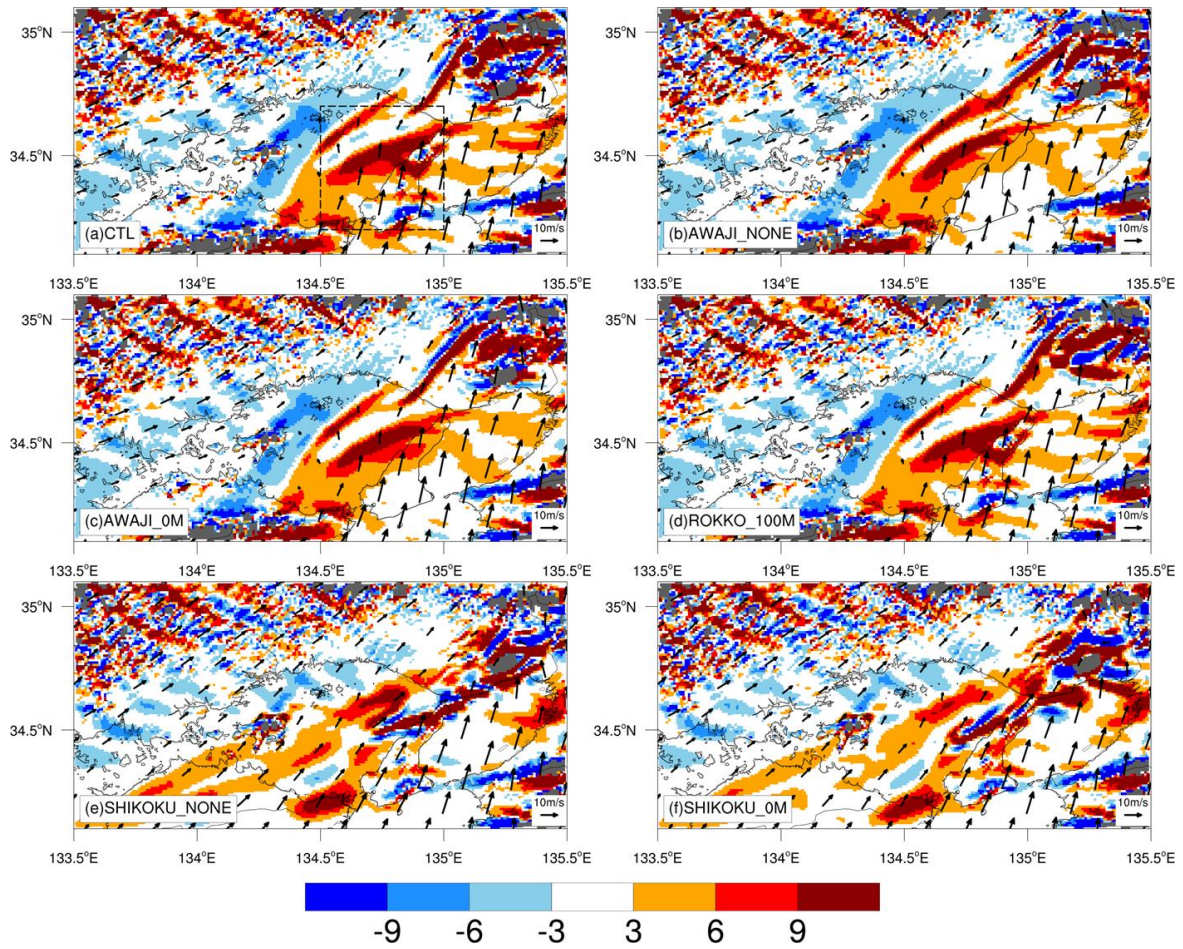
696 Fig. 14. Accumulated two-hour precipitation amounts in (a) CTL, (b) AWAJI_NONE, (c)

697 AWAJI_0M, (d) ROKKO_100M, (e) SHIKOKU_NONE, and (f) SHIKOKU_0M from 1000

698 UTC to 1200 UTC 1 September 2015. The dashed rectangle in (a) is the averaged region shown

699 in Table 2.

700



702

703 Fig. 15. Moisture flux convergence (MFC, $10^{-6} \text{ g kg}^{-1} \text{ s}^{-1}$) and horizontal wind vectors

704 in (a) CTL, (b) AWAJI_NONE, (c) AWAJI_0M, (d) ROKKO_100M, (e) SHIKOKU_NONE,

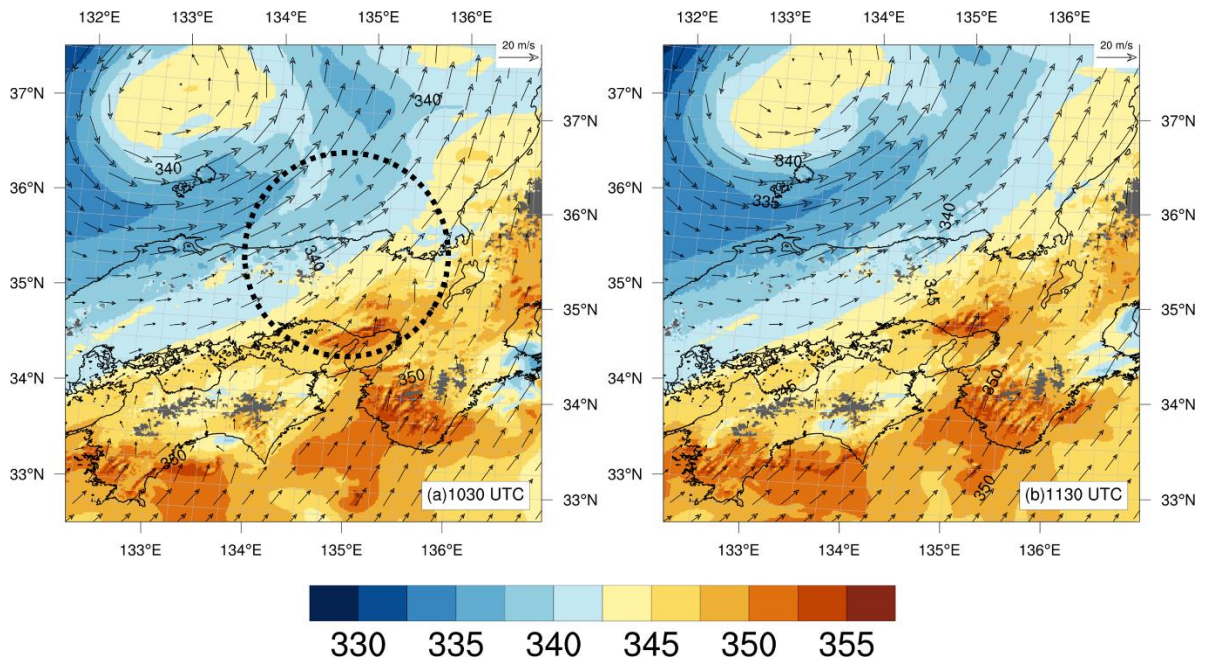
705 and (f) SHIKOKU_0M at a height of 521 m at 1000 UTC 1 September 2015. The dashed

706 rectangle in (a) is the averaged region for EPT and MFC shown in Table 2.

707

708

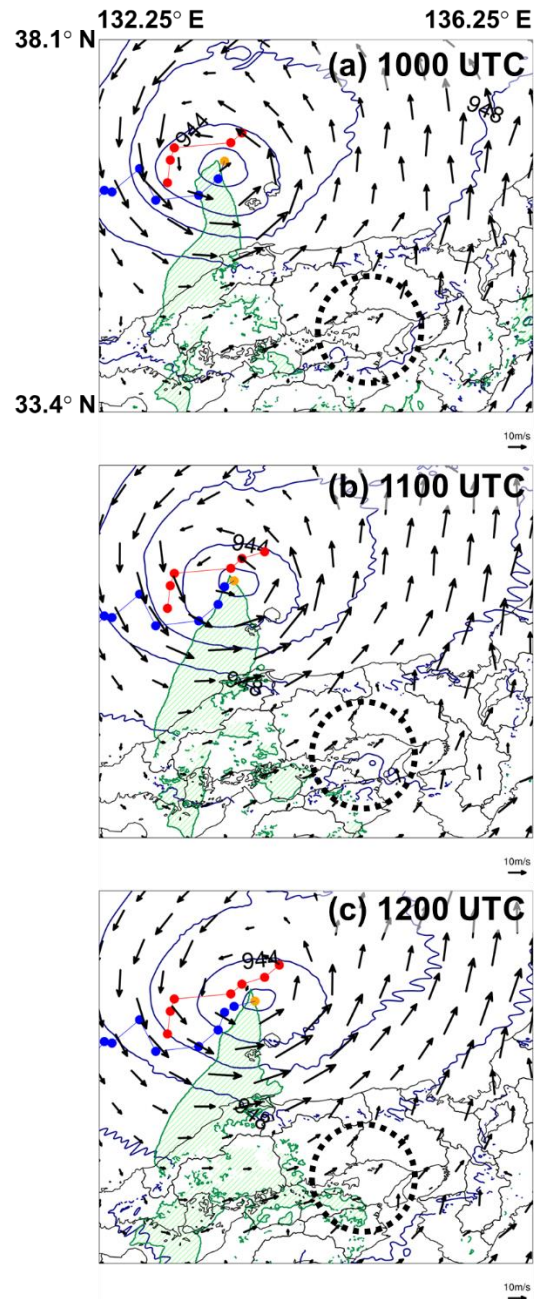
Fig. 16



709

710 Fig. 16. Distributions of EPT (K) at a height of 958 m in CTL at (a) 1030 UTC and (b)

711 1130 UTC 1 September 2015.



713

714 Fig. 17. Same as Fig. 9, but in EXP_00. The blue dots mark the past centers of the
 715 extratropical cyclone, and the orange point marks the center of the extratropical cyclone at the

716 time of each plot. The red dots indicate the path of the extratropical cyclone center in CTL
717 shown in Fig. 9.

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719

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725 AWAJI_0M, SHIKOKU_NONE, and SHIKOKU_0M) at 1200 UTC 1 September 2015.

726 Table 3 Six favorable occurrence conditions of SLPSs in CTL and sensitivity experiments

727 (EXP_00, ROKKO_100M, AWAJI_NONE, AWAJI_0M, SHIKOKU_NONE, and

728 SHIKOKU_0M) at 1200 UTC 1 September 2015. FLWV denotes the water vapor flux

729 amount at a 521 m height, dLFC denotes the distance to the level of free convection from

730 521 m for lifted air, RH500 and RH700 respectively denote the relative humidity at 500

731 and 700 hPa, SREH denotes the storm relative environmental helicity, W700 denotes the

732 synoptic-scale upward velocity (400km mean field at 700 hPa), and EL denotes the

733 equilibrium level estimated from a 521 m height. Detailed descriptions of the six

734 conditions are given in Kato (2020). The average values of these conditions were evaluated

735 within the square of 50 km \times 50 km in Fig. 15a (34.418° N, 134.7° E).

736

Table 1

737 Table 1 The configuration of the numerical experiments.

Cloud Resolving Storm Simulator Ver. 3.5.0		
	CTL	EXP_00
Horizontal grid spacing	1 km (1197 x 1197)	
Vertical grid spacing (Top / Bottom height)	450 m (vertically stretched grid, 60 layers) (26620 m / 25 m)	
Time step	0.5 s	
Initial data	JMA-MA data	
Boundary data	(3 Hourly, 5 km)	
Land-use data	USGS 30-s data	
Projection	Lambert Conformal (center at 140° E, secant at 30° and 60° N)	
Integration period	0600 UTC – 1800 UTC 1 September 2015	0000 UTC 1 – 0000 UTC 2 September 2015

738

739

Table 2

740 Table 2 SLPS reproduction, moisture flux convergence (MFC) and equivalent potential
 741 temperature (EPT) averaged within the dashed square in Fig. 15a at a height of 521 m, and
 742 accumulated two-hour precipitation amounts averaged within the dashed square in Fig. 14a
 743 for CTL and sensitivity experiments (EXP_00, ROKKO_100M, AWAJI_NONE,
 744 AWAJI_0M, SHIKOKU_NONE, and SHIKOKU_0M) at 1200 UTC 1 September 2015.

Experiment (12 UTC)	SLPS reproduction	Average MFC ($10^{-6} \times \text{g kg}^{-1} \text{s}^{-1}$)	Average EPT (K)	Average 2hour prep. amounts (mm)
CTL	Yes	3.48	353.9	3.2
EXP_00	No	0.75	341.3	-
ROKKO_100M	Yes	3.64	353.7	3.3
AWAJI_NONE	Yes	3.20	353.9	3.5
AWAJI_0M	Yes	3.10	354.0	3.1
SHIKOKU_NONE	Yes	5.77	352.9	5.8
SHIKOKU_0M	Yes	4.95	353.1	5.4

745

746

Table 3

747 Table 3 Six favorable occurrence conditions of SLPs in CTL and sensitivity experiments (EXP_00, ROKKO_100M, AWAJI_NONE,
 748 AWAJI_0M, SHIKOKU_NONE, and SHIKOKU_0M) at 1200 UTC 1 September 2015. FLWV denotes the water vapor flux amount at a 521
 749 m height, dLFC denotes the distance to the level of free convection from 521 m for lifted air, RH500 and RH700 respectively denote the
 750 relative humidity at 500 and 700 hPa, SREH denotes the storm relative environmental helicity, W700 denotes the synoptic-scale upward
 751 velocity (400km mean field at 700 hPa), and EL denotes the equilibrium level estimated from a 521 m height. Detailed descriptions of the
 752 six conditions are given in Kato (2020). The average values of these conditions were evaluated within the square of 50 km × 50 km in Fig.
 753 15a (34.418° N, 134.7° E).

Experiment (12 UTC)	FLWV (g m⁻²s⁻¹)	dLFC (m)	RH500 (%)	RH700 (%)	SREH (m²s⁻²)	W700 (m s⁻¹)	EL (m)
CTL	152.4	437	74.7	81.7	113.67	0.017	12102
EXP_00	113.2	-	39.7	72.3	122.45	0.018	-
ROKKO_100M	152.6	437	74.6	81.5	113.66	0.017	12102
AWAJI_0M	152.1	437	75.1	81.4	114.11	0.016	12102
AWAJI_NONE	149.3	437	76.1	82.1	111.08	0.016	12102
SHIKOKU_0M	175.2	95	72.7	83.6	110.52	0.012	12102
SHIKOKU_NONE	183.6	95	73.9	84.9	99.24	0.015	12541
Kato (2020)	> 150	< 1000	> 60	> 60	> 100	> 0	> 3000

754