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1 **Selected years of monsoon variations and**
2 **extratropical dry-air intrusions compared**
3 **with the Sumatran GPS Array observations**
4 **in Indonesia**

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Abstract

21
22 Using data from the Sumatran GPS Array in Indonesia—a hero network in
23 tectonic and earthquake studies—we study the summer intra-seasonal vari-
24 ability of precipitable water vapor (PWV) over Sumatra in years without
25 strong inter-annual variability. Unlike most other studies that use external
26 meteorological data to derive PWV from GPS (Global Positioning System)
27 signal delays, we use the zenith wet delay (ZWD) time series estimated from
28 a regular geodetic-quality processing routine as a proxy for PWV varia-
29 tions without using auxiliary meteorological data. We decompose the ZWD
30 space-time field into modes of variability using rotated Empirical Orthog-
31 onal Function (EOF) analysis, and investigate the mechanisms behind the
32 two most important modes using linear regression analysis both with and
33 without lags. We show that the summer intra-seasonal variability of daily
34 ZWD over Sumatra in 2008, 2016, and 2017 is dominated by the South
35 Asian Summer Monsoon, and further influenced by dry-air intrusions asso-
36 ciated with Rossby waves propagating in the Southern Hemisphere midlati-
37 tudes. Both active South Asian monsoons and dry-air intrusions contribute
38 to the dryness over Sumatra during northern summer. Our results indicate
39 an intra-seasonal connection between the South Asian and western North
40 Pacific Summer Monsoons: when the South Asian monsoon is strong, it
41 pumps atmospheric water vapor over the eastern Indian Ocean to feed into

42 the western North Pacific monsoon. We also show a tropical-extratropical
43 teleconnection where PWV over the southern Maritime Continent can be
44 modulated by the activity of eastward-traveling Rossby waves in the south-
45 ern midlatitudes. Our case study demonstrates the use of regional con-
46 tinuously operating GPS (cGPS) networks for investigating atmospheric
47 processes that govern intra-seasonal variability in atmospheric water vapor.

48 **Keywords** Precipitable water vapor; intra-seasonal variability; monsoon;
49 dry-air intrusion; Sumatra

50 **1. Introduction**

51 The Global Positioning System (GPS) was originally designed for the
52 purposes of positioning, navigation, and timing, yet it has emerged as a
53 powerful tool for atmospheric water vapor sensing in ground-based GPS
54 meteorology (Bevis et al. 1992). When GPS radio signals travel from satel-
55 lites to ground receivers, they are refracted by the Earth’s atmosphere,
56 delaying their travel time. A significant portion of the delay is introduced
57 by the permanent dipole moment of water vapor in the neutral atmosphere.
58 This specific delay is referred to as the “wet delay” (Davis et al. 1985) or
59 “tropospheric wet delay” as the troposphere contains nearly all atmospheric
60 water vapor. The wet delay is determined primarily by the amount of wa-
61 ter vapor integrated along the signal path (Askne and Nordius 1987), thus
62 containing valuable information about the amount and distribution of at-
63 mospheric water vapor. The wet delay along any arbitrary path is typically
64 modeled as zenith wet delay (ZWD), combined with mapping functions that
65 account for the dependence of the satellite elevation angle (Niell 1996) and
66 horizontal gradients that account for the azimuthal variability of the at-
67 mosphere (Davis et al. 1993). In order to achieve precise positioning that

68 requires millimeter accuracy, ZWD must be estimated along with station
69 coordinates and other geodetic parameters of interest. Thus, ZWD time
70 series have long been produced as by-products of GPS position time series;
71 however, such information is often disregarded by geodesists as noise.

72 Yet, a geodesist's noise is an atmospheric scientist's signal. Provided
73 there is ancillary pressure and temperature information, ZWD can be con-
74 verted to an estimate of precipitable water vapor (PWV), that is, the height
75 of liquid water if all atmospheric water vapor in a vertical column were
76 condensed to liquid (Bevis et al. 1994). Although the basic concept of
77 GPS-PWV technique was introduced as early as 1992 (Bevis et al. 1992),
78 its applications have continuously expanded since then, owing to the ex-
79 ponential growth of national, regional, and local networks of continuously
80 operating GPS (cGPS) stations over the past few decades. Published GPS-
81 PWV studies have mostly focused on developing and refining the technique
82 itself, comparing it with other techniques, calibrating other instruments,
83 and improving numerical weather prediction and reanalysis models through
84 validation or assimilation (Guerova et al. 2016). More recently, GPS-PWV
85 has been applied in climate studies largely to two extreme ends of the broad
86 time scale that GPS observes, either long-term trends (e.g., Nilsson and El-
87 lgered 2008; Wang et al. 2016) or diurnal and subdiurnal cycles (e.g., Dai
88 et al. 2002; Pramualsakdikul et al. 2007). The intra-seasonal variability

89 of GPS-PWV has been tackled only in a few studies, either being analyzed
90 among a broad range of temporal scales or used to support results from other
91 PWV datasets (Bock et al. 2007, 2008; Poan et al. 2013). Such a bimodal
92 distribution of GPS-PWV studies in time scale is not surprising as the most
93 important advantages of the GPS-PWV technique, in comparison to other
94 PWV-sensing techniques such as radiosondes and satellite-borne sensors,
95 are high temporal resolution and long-term stability. However, in order to
96 fully exploit the continuous records of high-resolution GPS-PWV data over
97 long periods of time, the understanding and isolation of the intermediate-
98 frequency signals such as intra-seasonal variability contained therein is also
99 essential.

100 Therefore, in this study, we present an approach of analyzing ZWD
101 data from a regional cGPS network—the Sumatran GPS Array (SuGAR)—to
102 demonstrate that such networks, with the help of reanalysis datasets, can be
103 useful for investigating the intra-seasonal variability of PWV as well as its
104 driving mechanisms. We use the ZWD time series that are by-products of a
105 regular geodetic-quality processing routine as a proxy for PWV variations
106 so that auxiliary meteorological data are not required to derive PWV from
107 ZWD. Our approach is particularly cost effective if applied to the large
108 number of existing cGPS networks that were not originally established for
109 atmospheric purposes (Blewitt et al. 2018).

110 The SuGAR was initially established in 2002 for tectonic and earthquake
111 studies, and thus has been well known and mostly used for studying defor-
112 mation related to a series of recent great earthquakes along the Sumatran
113 subduction zone (e.g., Feng et al. 2015). The network spans latitudinally
114 from 5°N to 6°S, straddling the equator, with the majority of the GPS sta-
115 tions located on the Sumatran forearc islands and the west coast of Sumatra
116 (Fig. 1). Coincidentally, Sumatra and its forearc islands lie along the west-
117 ern periphery of the Maritime Continent (Ramage 1968)—the “boiler box”
118 of the atmosphere that produces the world’s largest regional rainfall (e.g.,
119 Qian 2008; Yamanaka 2016; Yamanaka et al. 2018). Although the uneven
120 spatial distribution of the SuGAR might not be optimal for atmospheric
121 observations, the longitudinal location, long latitudinal span, and minute-
122 scale high temporal resolution collectively make the SuGAR a valuable and
123 cost-effective moisture-sensing network for investigating multi-scale atmo-
124 sphere processes that impact the western Maritime Continent. Thus far,
125 the SuGAR and other GPS stations in Sumatra and its forearc islands have
126 been mainly used to study diurnal cycles (Wu et al. 2003, 2008; Fujita et al.
127 2011; Torri et al. 2019); to the best of our knowledge, no one has yet used
128 these stations to study the intra-seasonal variability. Both the global and
129 regional lack of GPS-PWV intra-seasonal studies motivate us to focus on
130 the intra-seasonal variability in this paper.

131 The climate over Sumatra exhibits seasonal variations due to the Asian-
132 Australian monsoon (e.g., Chang et al. 2005). Monsoonal rainfall over
133 southern Sumatra peaks during the Australian summer monsoon season
134 (December to March), while northern Sumatra experiences a double-peak
135 rainfall seasonality, in northern fall (October to November) and northern
136 spring (March to May) (e.g., Hamada et al. 2002; Aldrian and Susanto
137 2003). Despite differing annual peaks, both northern and southern Sumatra
138 experience a concurrent dry season, when the Asian summer monsoon dom-
139 inates during northern summer (June to September) (e.g., Hamada et al.
140 2002; Aldrian and Susanto 2003). Here, we focus on the intra-seasonal vari-
141 ability of this dry season over Sumatra, as droughts tend to occur in this
142 dry season, leading to adverse socio-economic consequences such as water
143 shortages, crop reduction, and increased risk of fires and transboundary
144 haze. However, since intra-seasonal variability over Sumatra can be mod-
145 ulated by inter-annual variability driven predominantly by the El Niño-
146 Southern Oscillation (ENSO) (e.g., Hendon 2003) and Indian Ocean Dipole
147 (IOD) (Saji et al. 1999), we choose for our case study 2008, when the dry
148 season was not strongly influenced by either the ENSO or IOD.

149 In the rest of the paper, we first document the details of our methods
150 including GPS processing, ZWD estimation, PWV derivation and compar-
151 ison, rotated EOF analysis, and linear regression analysis in Section 2. We

152 then present and discuss our results for the 2008 northern summer in Sec-
153 tions 3 and 4. In Section 3, we show that the first mode of the intra-seasonal
154 ZWD variability is driven by the South Asian Summer Monsoon, confirming
155 that active South Asian monsoon spells lead to dry conditions over Suma-
156 tra. In Section 4, we show that the second mode is caused by extratropical
157 dry-air intrusions associated with eastward-traveling extratropical Rossby
158 waves, providing the first in-situ evidence for extratropical dry-air intru-
159 sions reaching equatorial latitudes within 5° south of the equator over the
160 Maritime Continent. In Section 5, we present our additional results for the
161 2016 and 2017 northern summers, which support our main conclusions for
162 2008.

163 **2. Methods**

164 *2.1 GPS data and processing for estimating ZWD*

165 We processed the daily GPS Receiver Independent Exchange Format
166 (RINEX) files using the GPS-Inferred Positioning System and Orbit Anal-
167 ysis Simulation Software (GIPSY-OASIS) version 6.2 developed at the Jet
168 Propulsion Laboratory (JPL) (Zumberge et al. 1997). GIPSY implements
169 the precise point positioning (PPP) approach in which carrier phase and
170 pseudorange data from a single receiver are used to estimate the parame-

171 ters specific for this receiver, while satellite orbit and clock parameters are
 172 held fixed at their values determined in a global solution. We used the JPL
 173 final precise satellite orbit and clock products, which are routinely gener-
 174 ated by the JPL as part of their International GNSS Service (IGS) global
 175 network analysis. GIPSY uses undifferenced data so that absolute ZWD
 176 values can be obtained for individual stations.

177 As full details of the GPS processing strategy have been provided in Feng
 178 et al. (2015), here we outline and highlight only the procedures central to
 179 the ZWD estimation, which are essentially described by Eq. (1) (Bar-Sever
 180 et al. 1998)

$$181 \quad \text{STD} = M_h(e)\text{ZHD} + M_w(e)[\text{ZWD} + \cot e(G_n \cos \gamma + G_e \sin \gamma)] \quad (1)$$

182 where STD is the slant total delay in the neutral atmosphere, ZHD is the
 183 zenith hydrostatic delay, e is the elevation angle measured from the local
 184 horizon to the line of sight, $M_h(e)$ and $M_w(e)$ are hydrostatic and wet
 185 mapping functions, G_n and G_e are north and east tropospheric horizontal
 186 gradients, and γ is the azimuth angle measured clockwise from north.

187 GIPSY uses a model that does not require any surface meteorological
 188 data to calculate an *a priori* ZHD. We held this nominal ZHD fixed dur-
 189 ing the processing while estimating the time-varying ZWD as a stochastic
 190 random walk process with a sigma of $5 \times 10^{-8} \text{km sec}^{-\frac{1}{2}}$ ($= 3 \text{mm h}^{-\frac{1}{2}}$)
 191 using a Kalman filter technique (Tralli and Lichten 1990). To account

192 for the azimuthal variability of the atmosphere, we estimated tropospheric
193 horizontal gradients as random-walk parameters with their sigma as $5 \times$
194 $10^{-9} \text{ km sec}^{-\frac{1}{2}}$ ($= 0.3 \text{ mm h}^{-\frac{1}{2}}$) (Bar-Sever et al. 1998). To minimise the
195 effects of multipath and atmospheric propagation errors at low elevation an-
196 gles, we used the updated Vienna mapping functions in a grid file database
197 (VMF1GRID) (Boehm et al. 2006) to relate ZHD, ZWD, and horizontal
198 gradients in the zenith direction to slant delays at elevation angles down to
199 7° (Bar-Sever et al. 1998).

200 We estimated the time-varying ZWD every 5 or 10 minutes depending
201 on whether the GPS data were collected at a sampling rate of 15 seconds or
202 2 minutes. So the resulting ZWD time series have a temporal resolution of
203 either 5 or 10 minutes. With focus on intra-seasonal variabilities that have
204 a period longer than one day, we calculated daily averages, and removed
205 time-mean for all the ZWD time series. We disregarded stations that had
206 $>20\%$ missing data. For stations that missed a small number of values at
207 discrete times, we filled their gaps using linear interpolation.

208 *2.2 ZWD as a proxy for PWV*

209 As the utility of ground-based GPS stations for PWV studies is partially
210 hampered by the need for auxiliary meteorological data to convert from
211 ZWD to PWV, many efforts have been spent on developing optimal methods

212 of incorporating meteorological data to derive more accurate PWV (e.g.,
213 Wang et al. 2007). As opposed to these efforts, we use ZWD directly for
214 our analysis since our objective is to investigate the variability (not absolute
215 value) of PWV. We show in this section that the GIPSY-estimated ZWD
216 time series for SuGAR stations on a daily time scale are linearly related to the
217 PWV time series converted from ZWD using more sophisticated approaches
218 that incorporate auxiliary meteorological data.

219 ZWD typically accounts for $\sim 10\%$ of the zenith total delay (ZTD) in the
220 neutral atmosphere, so the accurate estimation of ZWD requires the precise
221 determination of the remaining delay—ZHD, which is caused by the induced
222 dipole moments of dry gases and water vapor (Davis et al. 1985). ZHD can
223 be accurately inferred from surface air pressure (P_s) measured with well-
224 calibrated barometers (Hopfield 1971), but pressure gauges collocated with
225 GPS stations are rare. In most cases, P_s has to be interpolated from nearby
226 meteorological measurements or model calculations with lower, albeit ade-
227 quate, accuracy. In practice, most GPS processing packages simply utilize
228 empirical models without P_s measurements to calculate an *a priori* ZHD so
229 that ZWD is estimated as a correction to this nominal value.

230 For the case of GIPSY, the *a priori* ZHD was computed using Eq.
231 (2) (Tralli et al. 1988)

$$232 \quad \text{ZHD} = 2.27P_s = 2.27 \times 1.013e^{-0.000116h} \quad (2)$$

233 where ZHD (in meters) is a linear function of surface atmospheric pressure
 234 P_s (in bars), and P_s is approximated as an exponential function of station
 235 height h (in meters). Because 1.013 bars is sea level pressure, h ideally
 236 should be the height above mean sea level or the geoid; however, in practice
 237 the height above the GRS80 ellipsoid is adopted for h . The GIPSY ZHD
 238 equation requires no surface pressure data and assumes the same gravity
 239 (thus the same linear slope) everywhere, so it is easy to implement and well
 240 suited for precise positioning, but meanwhile such simplification inevitably
 241 sacrifices some degree of accuracy. Any error in the *a priori* ZHD is absorbed
 242 into ZWD estimations.

243 In order to assess the impact of the *a priori* ZHD value, we calculated
 244 daily ZHD time series for our study period using the more involved Saas-
 245 tamoinen model (Saastamoinen 1972) that accounts for the slight variation
 246 in gravity with station latitude ϕ (in degrees) and height h (in meters)

$$247 \quad \text{ZHD} = \frac{2.2768P_s}{1 - 0.00266 \cos 2\phi - 2.8 \times 10^{-7}h} \quad (3)$$

248 Because no pressure measurements were made at the SuGAR stations, we
 249 obtained daily averaged P_s for each station using the nearest grid point
 250 from the National Centers for Environmental Prediction (NCEP) Climate
 251 Forecast System Reanalysis (CFSR) 6-hourly $0.5^\circ \times 0.5^\circ$ reanalysis prod-
 252 ucts (Saha et al. 2010), and the European Centre for Medium-Range
 253 Weather Forecasts (ECMWF) ERA-Interim 6-hourly $0.5^\circ \times 0.5^\circ$ reanaly-

254 sis products (Dee et al. 2011). The differences between the GIPSY *a priori*
 255 ZHD value and the CFSR or ERA-Interim ZHD time series were then used
 256 to correct the GIPSY ZWD estimations to obtain the CFSR-corrected or
 257 ERA-Interim-corrected ZWD time series.

258 ZWD can be converted to PWV via a dimensionless conversion factor
 259 Π (Bevis et al. 1994)

$$260 \quad \text{PWV} = \Pi \times \text{ZWD} \quad (4)$$

261 where PWV and ZWD are in the same unit of length, and Π is given by
 262 Askne and Nordius (1987)

$$263 \quad \Pi = \frac{10^6}{\rho_1 R_v \left(\frac{k_3}{T_m} + k'_2 \right)} \quad (5)$$

264 where ρ_1 ($= 1000 \text{ kg m}^{-3}$) is the density of liquid water, R_v ($= 461.5 \text{ J kg}^{-1} \text{ K}^{-1}$)
 265 is the specific gas constant for water vapor, k_3 ($= 3739 \pm 12 \text{ K}^2 \text{ Pa}^{-1}$) and k'_2
 266 ($= 0.221 \pm 0.022 \text{ K Pa}^{-1}$) are the refractivity constants (Bevis et al. 1994),
 267 and T_m is the water-vapor-weighted mean temperature of the atmosphere,
 268 which is defined based on the mean value theorem in Davis et al. (1985) as

$$269 \quad T_m = \frac{\int_h^\infty \frac{p_v}{T} dz}{\int_h^\infty \frac{p_v}{T^2} dz} \quad (6)$$

270 where h is the station height, p_v is the partial pressure of water vapor and
 271 T (in degrees Kelvin) is the absolute temperature.

272 With the values of ρ_1 , R_v , k_3 and k'_2 given as constants, T_m becomes
 273 the only changing parameter that affects the value of Π . We calculated

274 daily T_m for each station through direct integration of Eq. (6) using the
275 daily averaged humidity and temperature profiles of the nearest grid point
276 obtained from the same CFSR and ERA-Interim products that were used
277 for obtaining P_s . We made no adjustments to correct the distance or height
278 difference between GPS stations and their corresponding grid points. We
279 then combined the CFSR-derived or ERA-Interim-derived T_m time series
280 with the CFSR-corrected or ERA-Interim-corrected ZWD time series that
281 were obtained earlier to compute the corresponding PWV time series.

282 As Π values for tropical stations stay almost constant throughout all
283 years (Manandhar et al. 2017), we also multiplied the GIPSY ZWD es-
284 timations by a constant Π of 0.163 to derive PWV directly without any
285 additional corrections. These GIPSY-derived PWV time series show the
286 same variations as the CFSR-corrected and ERA-Interim-corrected PWV
287 time series, despite their differences in magnitude (Fig. 2). The correlations
288 of the GIPSY-estimated ZWD time series with either the CFSR-corrected
289 or ERA-Interim-corrected PWV time series are >0.99 for all our stations,
290 suggesting that the ZWD we estimated with GIPSY can be directly used
291 as a proxy for PWV.

292 2.3 Comparisons of PWV with other datasets

293 Besides the ground-based GPS approach, many other techniques have
294 been developed to determine PWV, either in situ using balloon-borne ra-
295 diosondes or remotely from both ground and space using various types of
296 passive or active sensors (e.g., Kämpfer 2013; Wulfmeyer et al. 2015). In
297 order to validate our GIPSY-derived PWV time series, we compared them
298 with daily PWV from two other datasets that are available. The first dataset
299 is the Moderate Resolution Imaging Spectroradiometer (MODIS) Level-3
300 Atmosphere Daily $1^\circ \times 1^\circ$ Global Gridded Product Collection 6.1 for Terra
301 and Aqua satellites (King et al. 2003). We averaged the Terra-MODIS
302 and Aqua-MODIS PWV thermal infrared retrievals at grid points closest
303 to the SuGAR stations to obtain the MODIS-derived PWV for comparison.
304 The second dataset is the Remote Sensing Systems (RSS) Version 7 daily
305 $0.25^\circ \times 0.25^\circ$ binary products retrieved from a series of satellite passive
306 microwave radiometers using a unified, physically based algorithm (Wentz
307 1997, 2013). We used the products of three radiometers that were in orbit
308 during our study period in 2008, including the Special Sensor Microwave
309 Imager (SSM/I) onboard the United States Air Force Defense Meteorolog-
310 ical Satellite Program (DMSP) satellite F13, and the Special Sensor Mi-
311 crowave Imager Sounder (SSMIS) onboard DMSP satellites F16 and F17.
312 We averaged the F13-SSM/I, F16-SSMIS, and F17-SSMIS PWV microwave

313 retrievals at grid points closest to the SuGAR stations to obtain the RSS-
314 derived PWV for comparison.

315 While thermal infrared retrievals are affected by the presence of clouds (e.g.,
316 Susskind et al. 2003), passive microwave retrievals work under almost all
317 weather conditions except for heavy precipitation, but their accuracy is high
318 only over ice-free oceans, and degrades appreciably over land due to larger
319 and more variable surface emissivities (e.g., Mears et al. 2015). In contrast,
320 ground-based GPS is a 24-hour all-weather system because GPS satellites
321 transmit L-band microwave signals that pass through the atmosphere with-
322 out much signal attenuation (Spilker 1996). Therefore, land-based GPS
323 networks complement perfectly satellite-borne passive microwave sensors
324 that perform well only over the oceans.

325 Our GIPSY-derived PWV time series show general agreement in large-
326 amplitude variations with both the MODIS-derived and RSS-derived PWV;
327 however, they have differences in small fluctuations (Fig. 3). Because clouds
328 are the norm in the tropics, the MODIS thermal infrared technique missed
329 more days than the two microwave-based techniques, except for an inland
330 SuGAR station JMBI (Fig. 1) where the RSS-derived PWV had more data
331 gaps than the MODIS-derived PWV (Fig. 3). The RSS grid points used for
332 JMBI were located east of Sumatra in a sea area partially surrounded by
333 islands. The land contamination degraded the accuracy of the RSS retrieval

334 algorithm (Mears et al. 2015), likely causing the many missing data of the
335 RSS-derived PWV for JMBI. The MODIS thermal infrared retrievals seem
336 to overestimate high values compared to the GIPSY-derived PWV (Fig.
337 S1), while the RSS-derived retrievals show no clear bias relative to the
338 GIPSY-derived PWV (Fig. S2). For all the stations, the GIPSY-derived
339 PWV are more consistent with the RSS-derived PWV than the MODIS-
340 derived PWV (Figs 3, S1 and S2), suggesting that the two microwave-based
341 techniques are relatively consistent in coastal areas. The overall agreement
342 between GPS-PWV and microwave PWV retrievals has also been shown for
343 small islands in the open ocean (Mears et al. 2015). Note that the absolute
344 values of our GIPSY-derived PWV may contain biases as we did not apply
345 any height and distance adjustments, and MODIS-derived and RSS-derived
346 PWV may also have their own biases (e.g., Prasad and Singh 2009; Mears
347 et al. 2015). A careful comparison of PWV datasets over Sumatra is a
348 subject of a future paper.

349 *2.4 Spatiotemporal analysis using EOF and rotated EOF*

350 We used EOF analysis, also known as Principal Component Analysis,
351 to decompose the ZWD space-time field into a set of mutually orthogonal
352 spatial patterns along with their associated mutually uncorrelated temporal
353 variations. While the spatial patterns and temporal variations have many

354 alternative names in various literatures, we refer to them as EOFs and
355 Expansion Coefficients (ECs), respectively. The elements of EOFs are called
356 loadings that represent the covariances between each GPS station and each
357 EOF (Richman 1986), whereas the elements of ECs indicate the strength
358 of the corresponding EOF on a given day. Because of the orthogonality
359 condition of EOFs, each pair of EOF and EC is regarded as a mode of
360 variability that explains a fraction of the total variance in the ZWD field.
361 We sorted the modes in descending order of their contribution so that the
362 lower the mode is the more variance it explains. We find that the first two
363 modes explain 66% and 19% of the total variance, respectively, totalling
364 85%, in contrast with 6% explained by the third mode, so we focus on
365 interpreting only the first two modes (Fig. 4). We further rotated the EOFs
366 using the Varimax criterion (Kaiser 1958), which finds a new orthonormal
367 basis that maximizes the spread of the variances along the axes of the basis
368 to achieve a simple structure (Richman 1986). The resulting rotated EOFs
369 (REOFs) remain orthogonal, but the corresponding rotated ECs (RECs)
370 have non-zero correlation. Note that flipping the signs of both EOF and
371 EC for a mode results in an alternative expression of the mode that also
372 satisfies the EOF solution. To be consistent with common sense, we used the
373 expression in which positive/negative (+/-) loadings represent wetter/drier
374 conditions.

375 The EOF1 is of one sign (−) across the whole network, while the EOF2
376 depicts a network-wide northwest-southeast (+ −) dipole pattern (Fig. 5a,b).
377 In comparison to the network-wide patterns obtained from the EOF analy-
378 sis, the rotated EOF analysis yields more localized patterns with the REOF1
379 and REOF2 influencing primarily the northern and southern stations, re-
380 spectively (Fig. 5). The REC1 (Fig. 6a) and REC2 (Fig. 6b) also seem
381 to separately capture the temporal evolution of ZWD at the northern and
382 southern stations (Fig. S3). Localized patterns are often more physically
383 meaningful than network-wide patterns (e.g., Hannachi et al. 2007); thus,
384 the rotated EOF results are used in the rest of the paper for the physical
385 interpretation of the ZWD variability, which in turn justifies the necessity
386 of rotation for our case.

387 2.5 *Linear regression analysis*

388 The rotated EOF analysis is a purely mathematical method without a
389 physical basis; therefore it does not provide direct insight into the physical
390 processes that drive the ZWD variability. In order to gain more insight, we
391 applied linear regression analysis both with and without lags to investigate
392 the relationships of our obtained RECs with various atmospheric quantities
393 in the NCEP CFSR 6-hourly $0.5^\circ \times 0.5^\circ$ products (Saha et al. 2010).

394 We first calculated daily averages for each quantity of interest at each

395 grid point within a domain of interest, which is either a much wider re-
396 gion than the SuGAR network at a certain depth or a vertical profile. We
397 then constructed the linear regression between the time series of a physical
398 quantity $Y(t)$ and the REC1 or REC2 at any grid point i in the domain as
399 follows:

$$400 \quad Y_i(t) = a_i + b_i \text{ REC}(t) \quad (7)$$

401 where a_i is the regression constant and b_i is the regression coefficient. We
402 performed this linear regression for all grid points within the domain; how-
403 ever, we only retained the results for those with sufficiently low p-values
404 (<0.05) as only low p-values indicate statistically significant correlation of
405 $Y(t)$ with REC. If statistically significant linear correlations are found be-
406 tween a REC and a physical quantity at many locations within the domain,
407 we plotted values of b_i as regression maps or profiles to show the pattern
408 of anomalies in Y associated with a standard REOF event that has a unit
409 strength (REC=1).

410 **3. The first mode REOF1: Monsoon variations**

411 The obvious candidate responsible for the first mode is the monsoon.
412 The Asian-Australian monsoon system has been traditionally divided into
413 four interlinked subsystems including the East Asian monsoon, the South
414 Asian monsoon, the western North Pacific monsoon, and the Australian

415 monsoon (Wang and LinHo 2002; Yim et al. 2014). The last three monsoon
416 subsystems intersect at Sumatra; the ZWD variability over Sumatra is thus
417 more likely influenced by those three subsystems.

418 To quantify the large-scale variability of the South Asian monsoon,
419 the western North Pacific monsoon, and the Australian monsoon, we con-
420 structed monsoon circulation indices that measure low-level monsoon trough
421 vorticity in a unified approach (Yim et al. 2014). This approach uses the dif-
422 ference of 850-hPa zonal winds (U850) averaged over a domain equatorward
423 and another domain polarward of the monsoon trough to express a north-
424 south gradient of low-level zonal winds. We adopted U850 (5°N – 15°N ,
425 40°E – 80°E) minus U850 (20°N – 30°N , 70°E – 90°E) as the South Asian
426 monsoon index (Wang et al. 2001), U850 (5°N – 15°N , 100°E – 130°E) mi-
427 nus U850 (20°N – 35°N , 110°E – 140°E) as the western North Pacific mon-
428 soon index (Yim et al. 2014), and U850 (0°S – 15°S , 90°E – 130°E) minus
429 U850 (20°S – 30°S , 100°E – 140°E) as the Australian monsoon index (Yim
430 et al. 2014). We obtained the zonal winds from the NCEP CFSR 6-hourly
431 $0.5^{\circ} \times 0.5^{\circ}$ products to compute the three regional monsoon indices.

432 To determine which subsystem best explains the first mode, we smoothed
433 the REC1 and the three monsoon indices with a 5-day running mean,
434 and calculated Pearson product-moment correlation coefficients between
435 the REC1 and the indices for lags ranging from -30 to 30 days. We ob-

tain the highest correlation coefficient of 0.75 when the South Asia monsoon index leads the REC1 by three days (red curve in Fig. 6e). Slightly lower peak correlations of 0.53 and -0.64 are achieved when the REC1 leads the western North Pacific monsoon index by 3 and 19 days, respectively (blue curve in Fig. 6e). The lowest peak correlations are found between the Australian monsoon index and the REC1, with their lead-lag correlations without strong peaks (grey curve in Fig. 6e), showing an expected weaker association between the Australian monsoon, inactive during northern summer, and the REC1. In contrast, high peak correlations of the REC1 with the South Asia monsoon index and western North Pacific monsoon index suggest a strong association between the first mode and the Asian summer monsoon (Fig. 6f), though not necessarily implying immediate cause and effect relations. We thus conducted linear regression analysis for the REC1 derived from our ZWD data with the PWV, specific humidity, and winds taken from the CFSSR to further investigate the relationships between the REC1 and the South Asian Summer Monsoon and western North Pacific Summer Monsoon.

The resulting PWV regression map shows that Sumatra and its forearc islands experience drier-than-usual conditions during a standard REOF1 event (Fig. 7a), consistent with the network-wide negative loadings of the REOF1 (Fig. 5c). Centered over Sumatra, the dry anomaly extends west-

ward to 80°E in the equatorial Indian Ocean and eastward to western Borneo
(Fig. 7a). Vertically, as shown by the specific humidity regression profiles
that cut through the center of the dry anomaly, it is mostly concentrated
within the middle troposphere between 750 hPa and 450 hPa, not penetrat-
ing down to the atmospheric boundary layer (Fig. 7b,c). This equatorial
dry anomaly is coupled with a wet anomaly located in the northern part of
the Arabian Sea, Indian subcontinent, and Bay of Bengal (Fig. 7a). The
coupled wet-dry anomalies closely resemble previously-identified key fea-
tures of composite outgoing longwave radiation (OLR) anomalies obtained
for active spells of the South Asian Summer Monsoon (Rajeevan et al.
2010; Pai et al. 2016)—OLR is often taken as a proxy for deep convection
and the associated rainfall because deep convective clouds have cold tops
that emit low OLR. Specifically, the wet anomaly coincides approximately
with negative OLR (positive rainfall) anomalies along the South Asian Sum-
mer Monsoon trough, whereas the dry anomaly overlaps a large portion of
positive OLR (negative rainfall) anomalies that extend along the equator
roughly from 60°E in the Indian Ocean to 140°E in the western Pacific (Ra-
jeevan et al. 2010; Pai et al. 2016). The close resemblance of the coupled
wet-dry anomalies to the composite OLR anomalies of active spells leads
us to suggest that these anomalies are a feature associated with an active
South Asian Summer Monsoon. When the South Asian Summer Monsoon

478 is strong, abundant moisture converges into its action center, producing
479 intense convection, while at the same time, dry conditions are brought to
480 Sumatra, suppressing convection. A reverse pattern in which the South
481 Asian Summer Monsoon convective region and Sumatra experience dry and
482 wet condition, respectively, dominates monsoon breaks, as suggested by the
483 OLR break composites (Rajeevan et al. 2010; Pai et al. 2016). Thus, during
484 northern summer, the moisture conditions over Sumatra are always opposite
485 to those over the South Asian Summer Monsoon convection center. This
486 locked inverse relationship explains why the lead-lag correlations between
487 the REC1 and South Asia monsoon index have only one single strong peak
488 (red curve in Fig. 6e). Because the lead-lag correlations between the REC1
489 and western North Pacific monsoon index show two strong peaks instead
490 of one (blue curve in Fig. 6e), we speculate that the western North Pacific
491 Summer Monsoon convective region and Sumatra do not always behave op-
492 positively; unfortunately, no similar composite studies have been conducted
493 for active spells and breaks of the western North Pacific Summer Monsoon
494 to corroborate our speculation.

495 Geographically between the dry and wet anomalies, a narrow belt of
496 high-speed wind anomalies at 850 hPa blows eastward from the Arabian
497 Sea via peninsular India to the Bay of Bengal (Fig. 8e). This belt of fast-
498 moving westerlies is part of a strong cross-equatorial low-level jet stream

499 (LLJ) (Findlater 1969a,b) that attains its maximum speed of 10-25 m s⁻¹
500 at 850-925 hPa (Wilson et al. 2019). Developing only during the months of
501 the South Asian Summer Monsoon (Joseph et al. 2006), the LLJ picks up a
502 large amount of moisture over the Indian Ocean from both hemispheres to
503 feed the monsoon rainfall over South Asia (Saha 1970; Cadet and Reverdin
504 1981). The maximum winds of the LLJ lie along different latitudes at
505 different phases of the South Asian Summer Monsoon: during the monsoon
506 onset, they flow east between the equator and peninsular India; during
507 active monsoon periods, they pass through peninsular India near 15°N; and
508 during monsoon breaks, they split into two branches, with one blowing
509 south of peninsular India near 5°N and the other through north India near
510 25°N (Joseph and Sijikumar 2004). The fact that the belt of high-speed
511 wind anomalies enters peninsular India between 10°N and 20°N (Fig. 8e)
512 strongly suggests the wind anomalies to be another manifestation of an
513 active South Asian Summer Monsoon.

514 The coupled wet-dry anomalies and in-between belt of high-speed wind
515 anomalies, together with our derivation that the highest correlation is ob-
516 tained when lagging the REC1 behind the South Asia monsoon index by
517 three days, lead us to conclude that the first mode is driven by the South
518 Asian Summer Monsoon with a delay response of a few days. To examine
519 how the wet, dry, and wind anomalies evolve during the life cycle of one

520 standard REOF1 event, we additionally lagged the REC1 by -10 to 10 days
521 for lead-lag linear regression analysis with the CFSR PWV and winds at 850
522 hPa (Fig. 8) and 600 hPa (Fig. 9). Note that we use the wet anomaly as an
523 indicator of the convective activity of the South Asian Summer Monsoon.

524 On day -8, the wet anomaly emerges before other anomalies appear
525 (Fig. 8b), suggesting that the convective heating of the South Asian Sum-
526 mer Monsoon is the main engine that drives other processes. As the wet
527 anomaly grows bigger and stronger, the LLJ intensifies, with its core shifting
528 northward from south of peninsular India to over peninsular India within
529 the next 2-3 days (Fig. 8c). A similar lag of 2-3 days has been found be-
530 tween the convection over the Bay of Bengal and 850-hPa zonal winds over
531 both the Arabian Sea (Srinivasan and Nanjundiah 2002) and peninsular
532 India (Joseph and Sijikumar 2004), with more intense convection leading
533 to stronger westerlies. The intensification of the LLJ can be understood
534 as a transient response to the sudden switch-on of an off-equatorial heat
535 source (Heckley and Gill 1984), which is, in this case, the increased convec-
536 tive activity over the wet anomaly. The intensified LLJ in turn enhances
537 the advection of moisture into the Indian subcontinent, and increases the
538 cyclonic vorticity and consequent low-level moisture convergence north of
539 the LLJ, both giving rise to further increased convection (Srinivasan and
540 Nanjundiah 2002; Joseph and Sijikumar 2004). Therefore, the convection

541 and LLJ grow together in a positive feedback that takes the South Asian
542 Summer Monsoon to an active spell (Joseph and Sijikumar 2004). Further
543 east, monsoon westerlies, although weaker than the LLJ, remain dominant
544 in the lower troposphere over the Indochina peninsular and South China
545 Sea during northern summer (Okamoto et al. 2003), and could extend
546 over to the western Pacific as far east as 150°E (Ueda et al. 1995). In re-
547 sponse to the enhancement of the South Asian Summer Monsoon convection
548 and LLJ, the westerlies in the east also strengthen, carrying an increas-
549 ing amount of moisture over the dry anomaly eastward into the western
550 North Pacific Summer Monsoon region (Figs 8c-e, 9c-e). These enhanced
551 westerlies, particularly those near 600 hPa (Fig. 9c-e), are likely responsi-
552 ble for the development of the dry anomaly that centers around 600 hPa
553 (Fig. 7b,c). After both the wet and dry anomalies reach their maxima, a
554 small elongated wet anomaly emerges in the western North Pacific Summer
555 Monsoon region, extending from the South China Sea to the Philippine
556 Sea on both sides of the Philippines (Fig. 8f). The weakening of the South
557 Asian Summer Monsoon is accompanied by the strengthening of the western
558 North Pacific Summer Monsoon: the main wet anomaly gradually retreats
559 to the foothills of the Himalayas, and the LLJ progressively relaxes and
560 curves clockwise, both consistent with rainfall and circulation patterns dur-
561 ing monsoon breaks (Joseph and Sijikumar 2004; Pai et al. 2016); the small

562 wet anomaly and associated westerlies to the south develop in a positive
563 feedback loop similar to the South Asian Summer Monsoon, reaching their
564 peak on day 5 (Fig. 8g). By day 10, almost all the anomalies have faded
565 away (Fig. 8i).

566 The development of the equatorial dry anomaly cannot be explained
567 by air-sea interactions associated with sea surface temperature fluctua-
568 tions (Lindzen and Nigam 1987) as the dry anomaly does not extend to
569 the sea surface (Fig. 7b,c). Based on the anomaly evolution revealed in the
570 lead-lag regression analysis, we suggest that the dry anomaly over Suma-
571 tra and the eastern Indian Ocean acts as a moisture reservoir that can be
572 pumped by the South Asian Summer Monsoon through the monsoon west-
573 erlies over the northern Indian Ocean and northern Maritime Continent
574 to feed fresh moisture into the western North Pacific Summer Monsoon
575 (Fig. 9c-g). The westerly moisture flux has been recognized as one of the
576 major moisture sources for the rainfall in the western North Pacific Summer
577 Monsoon region, in addition to the easterly moisture flux originating from
578 the eastern North Pacific and the cross-equatorial southerly flux from the
579 southern Indian Ocean (e.g., Murakami et al. 1999; Ninomiya 1999; Hattori
580 et al. 2005). Although the primary source could be either the westerly
581 or easterly moisture flux depending on the stage of the western North Pa-
582 cific Summer Monsoon (Murakami et al. 1999; Ninomiya 1999; Hattori

583 et al. 2005), we suggest that when the South Asian Summer Monsoon is
584 strong enough to sustain the eastward propagation of the convection into
585 the western North Pacific Summer Monsoon, the majority of the moisture
586 feeding into the western North Pacific Summer Monsoon comes from the
587 eastern Indian Ocean west of Sumatra. The South Asian Summer Monsoon
588 and western North Pacific Summer Monsoon have been shown to be poorly
589 correlated on the inter-annual scale; however, the weak correlation does not
590 imply that the two monsoon subsystems are completely independent (Wang
591 and Fan 1999; Wang et al. 2001). Our results illustrate how the two sub-
592 systems could be connected on the intra-seasonal scale through monsoon
593 circulation and moisture transport during a strong South Asian Summer
594 Monsoon spell.

595 **4. The second mode REOF2: Extratropical dry-air** 596 **intrusions**

597 The mechanism for the second mode is less clear. The influence of the
598 second mode is confined mainly to stations south of 2°S, with large negative
599 loadings at these southern stations and small positive or negligible loadings
600 at other stations (Fig. 5d). So the second mode causes a dry anomaly
601 over the southern part of the SuGAR. Linear regression analysis of the

602 REC2 with the CFSR PWV reveals that this dry anomaly covers not only
603 southern Sumatra but also Java, part of Borneo, and their surrounding
604 seas, centered around 100.5°E , 6°S (Fig. 7d). Regression profiles of the
605 CFSR specific humidity that cut through the center of the dry anomaly
606 indicate that the dry anomaly extends vertically from 400 hPa down to at
607 least 900 hPa, and may well penetrate into the atmospheric boundary layer
608 (Fig. 7e,f). Spectrum analysis of the REC2 exhibits a pronounced spectral
609 peak at 15.25 days (Fig. 6d), in contrast to the spectrum of the REC1 that
610 has a comparable power spanning a wide range of frequencies without one
611 dominant frequency (Fig. 6c).

612 To examine the origin of the REOF2 dry anomaly, we employed three-
613 dimensional (3D) trajectory analysis for two strongest REOF2 events on 21
614 June and 23 July 2008, identified by the two highest peaks of the REC2
615 (Fig. 6b). We used the READY (Real-time Environmental Applications
616 and Display sYstem) (Rolph et al. 2017) web version of the HYbrid Single-
617 Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Stein et al.
618 2015) provided by the National Oceanic and Atmospheric Administration
619 (NOAA) Air Resources Laboratory (ARL) for the back trajectory analysis.
620 We selected a 5×5 array of endpoints (black stars in Fig. 10a,d) at three
621 different pressure levels (620 hPa, 600 hPa, and 580 hPa) near the center
622 of the dry anomaly (red star in Fig. 7d,e,f) to represent the central air

623 parcels of both events. For both events starting from their respective date,
624 we calculated the trajectories of all 75 endpoints backward for five days to
625 identify their source regions and understand the relative role of advection
626 and subsidence over the life cycle of these events.

627 For both events, the dry-air parcels were traced back to the Southern
628 Hemisphere between 20°S and 30°S, where the parcels first moved east-
629 ward with midlatitude westerlies, and later turned anticlockwise, advecting
630 equatorward (Fig. 10a,d). When moving from midlatitudes to the tropics,
631 the air parcels meanwhile subsided from the upper to middle troposphere
632 (Fig. 10b,e). During the whole process, the potential temperature of the
633 air parcels stayed relatively constant within 320–330 K, indicating a quasi-
634 adiabatic process (Fig. 10c,f). For the air parcels to conserve their potential
635 temperature, they naturally descended from the drier upper troposphere in
636 midlatitudes to the wetter mid-troposphere in the tropics. The trajectory
637 results suggest that the REOF2 dry anomaly over southern Sumatra is a
638 result of dry-air intrusions from the subtropics and extratropics into the
639 tropics along the downward sloping isentropes.

640 To investigate what dynamical mechanism causes the observed dry-air
641 intrusions, we applied various lags to the REC2, and regressed it with the
642 CFSR potential vorticity (PV) and winds on the 330 K isentropic surface.
643 The results show the characteristic pattern of a Rossby wave train, with al-

644 ternating areas of positive and negative PV anomalies, and strong rotational
645 winds (Wirth et al. 2018) (Figs 11 and 12). The Rossby wave train is a
646 continuous around-globe zonal wavenumber-6 feature that moves eastward
647 at a phase speed of $\sim 4^\circ$ longitude/day relative to the ground, with its lati-
648 tudinal location and propagation path guided by the Southern Hemisphere
649 westerly jet in the upper troposphere (Hoskins and Ambrizzi 1993) (Fig. 12).
650 The vertical structure of this extratropical Rossby wave train is equivalent
651 barotropic, as shown by in-phase anomalies throughout the troposphere
652 (Fig. 13). The mechanism we find here for dry-air intrusions differs from
653 the mechanism that Fukutomi and Yasunari (2005) proposed to explain the
654 low-level submonthly southerly surges and related dry-air intrusions over
655 the eastern Indian Ocean, i.e., baroclinic development of midlatitude Rossby
656 waves in the subtropical jet entrance region west of Australia. Moreover, our
657 mechanism and the mechanism proposed by Fukutomi and Yasunari (2005)
658 are also different from Rossby wave breaking and subtropical anticyclones
659 that have been used to explain dry-air intrusions over the tropical west-
660 ern Pacific (Yoneyama and Parsons 1999) and western Africa (Roca et al.
661 2005), respectively. In addition, dry-air intrusions have also been observed
662 over Sumatra near the equator following eastward-propagating synoptic-
663 scale cloud systems; however, these cloud systems were associated with
664 equatorial Kelvin waves rather than extratropical Rossby waves (Murata

665 et al. 2006).

666 On day -15, a strong southeasterly airflow on the eastern flank of the
667 positive PV anomaly west of Australia blows directly to southern Sumatra
668 and Java, brings extratropical dry air, and thus causes a dry anomaly in
669 these tropical regions (Fig. 11a). As this positive PV anomaly propagates
670 eastward, the associated southeasterlies introduce another dry anomaly in
671 northern Australia and the southeastern part of the Maritime Continent;
672 meanwhile, the dry anomaly over southern Sumatra and Java moves west-
673 ward and disappears gradually (Fig. 11b-e). When the next positive PV
674 anomaly approaches the west coast of Australia, southeasterlies blow to-
675 ward southern Sumatra and Java again (Fig. 11f,g). On day 0, the positive
676 and negative PV anomalies return to their locations on day -15, although the
677 positive anomaly west of Australia was weaker due to weakened westerlies
678 (Figs 11g and 12c). The 15-day return period is consistent with the spectral
679 peak of 15.25 days that we find in the REC2. Similar quasi-biweekly vari-
680 ability has been observed in strong 850-hPa meridional surges over an ocean
681 area (purple box in Fig. 12) southwest of Sumatra (Fukutomi and Yasunari
682 2005). The strong low-level meridional surges are likely the manifestation of
683 midlatitude Rossby waves in the tropical lower troposphere. Note that the
684 nature of the quasi-biweekly variability we observe here is distinct from the
685 commonly-referred quasi-biweekly mode driven by westward-propagating

686 equatorial Rossby waves (e.g., Chatterjee and Goswami 2004).

687 We conclude that the second mode of the ZWD variability over Sumatra
688 during the northern summer 2008 is controlled by the eastward-propagating
689 quasi-biweekly fluctuation of barotropic Rossby waves originating along the
690 Southern Hemisphere midlatitudes. When the southerlies or southeaster-
691 lies associated with positive PV anomalies are strengthened and directed
692 to Sumatra, the SuGAR records an intense dry-air intrusion event. Our
693 regional study also suggests that similar dry-air intrusions (shown as cop-
694 perish contours in Fig. 12) can be expected to occur in other Southern
695 Hemisphere tropical regions such as southern Maritime Continent, Aus-
696 tralia, South America, and South Africa as long as midlatitude Rossby
697 waves provide favourable meridional airflows. Conversely, tropical wet-air
698 intrusions (shown as light bluish contours in Fig. 12) can be brought by the
699 same midlatitude Rossby waves to extratropical regions.

700 **5. How unique is the northern summer 2008?**

701 To test whether the South Asian Summer Monsoon and extratropi-
702 cal dry-air intrusions can explain the summer intra-seasonal variability of
703 SuGAR ZWD in other years, we applied the same procedures to years rang-
704 ing from 2005 to 2018. Most of the years show characteristics different from
705 2008, because they were strongly affected by inter-annual variabilities such

706 as ENSO and IOD (not shown or discussed in this paper). However, we
707 find that the summertime ZWD variations over Sumatra in 2016 and 2017
708 were also controlled by the South Asian Summer Monsoon, and addition-
709 ally influenced by extratropical dry-air intrusions due to midlatitude Rossby
710 waves, despite the difference in station availability (Figs 5, S4 and S5).

711 The 2008, 2016, and 2017 northern summers share a similar horizon-
712 tal and vertical extent of the REOF1 dry anomaly (Figs 7a,b,c, 14a,b,c,
713 and 15a,b,c). The spatial extent of the two REOF1 wet anomalies over the
714 monsoon regions, however, are different: for the primary wet anomaly, the
715 2016 one covers more oceanic region in the Arabian Sea, while the 2017 one
716 shrinks to the northern Arabian Sea and northwestern India (Figs 7a, 14a
717 and 15a); for the secondary wet anomaly, the 2016 one is concentrated over
718 the East Asian Summer Monsoon region rather than the western North Pa-
719 cific Summer Monsoon region, while the 2017 one is mostly over the South
720 China Sea (Figs 9, 16 and 17). In addition, the lag days for peak corre-
721 lations between the REC1 and the South Asia monsoon index or western
722 North Pacific monsoon index differ slightly; however, the sequence that the
723 South Asia monsoon index leads the REC1 and the REC1 leads the western
724 North Pacific monsoon index does not change (Figs 6e, 18e and 19e). The
725 spatial and peak lag differences do not impact much the evolution of the
726 wet, dry, and wind anomalies during a REOF1 event in which the increased

727 activity of the South Asian Summer Monsoon likely drives more moisture
728 over Sumatra and the eastern Indian Ocean into the western North Pacific
729 Summer Monsoon system or even further north into the East Asian Summer
730 Monsoon system (Figs 9, 16 and 17). The tropical western North Pacific
731 Summer Monsoon and the subtropical and extratropical East Asian Sum-
732 mer Monsoon are closely linked and behave relatively coherently, with the
733 negative western North Pacific monsoon index representing well the main
734 variability of the East Asian Summer Monsoon (Wang et al. 2008).

735 The REOF2 dry anomaly is also spatially similar for the three summers
736 (Figs 7d,e,f, 14d,e,f and 15d,e,f). Back trajectory results for four strong
737 REOF2 events, two each in 2016 and 2017, show a consistent origin of the
738 dry air in the subtropical and extratropical upper troposphere (Figs 10, 20
739 and 21). Interestingly, the REOF2 event on 26 July 2016 shows that the
740 dry air could also come from northern Australia where extratropical dry-
741 air intrusions occur likely even more frequently than those we observe over
742 southern Sumatra (Fig. 20a). Lead-lag regression analysis shows that the
743 REOF2 dry events in 2016 and 2017 were also caused by Rossby waves
744 propagating in the southern midlatitudes; however, how the REOF2 dry
745 anomaly and Rossby waves evolve during a REOF2 event are considerably
746 different for the three summers (Figs 11-13 and S6-S11). While the quasi-
747 biweekly oscillation of the REC2 was extremely strong in 2008, it was non-

748 existent in 2016 and 2017, and replaced by a broader and weaker spectral
749 peak near the period of 10-15 days (Figs 6d, 18d and 19d). We suspect
750 that the Southern Hemisphere Rossby waves were so strong in 2008 that
751 brought frequent dry-air intrusion events to southern Sumatra, while they
752 were weaker in both 2016 and 2017 so that there were not enough events to
753 establish the periodicity in our SuGAR data.

754 **6. Conclusions**

755 In this study, we use ZWD time series estimated from a regular geodetic-
756 quality processing routine as a direct proxy for PWV to track the summer
757 intra-seasonal variability of PWV over Sumatra, and to probe the under-
758 lying atmospheric processes that control the variability. We apply rotated
759 EOF analysis to decompose the summertime spatiotemporal field of ZWD,
760 and investigate the mechanisms behind the two most important modes. We
761 find that the SuGAR ZWD observations during the northern summers of
762 2008, 2016, and 2017 share similar features, with the variability primarily
763 controlled by variations of the South Asian Summer Monsoon, and addition-
764 ally influenced by dry-air intrusions caused by Rossby waves propagating in
765 the Southern Hemisphere midlatitudes.

766 Both active South Asian Summer Monsoon spells and extratropical dry-
767 air intrusions impose intra-seasonal synoptic-scale dry anomalies over Suma-

768 tra, therefore contributing to the dryness that Sumatra experiences during
769 its dry season in northern summer. If these events are intense and either
770 long-lived or frequent, they can cause droughts to develop and potentially
771 persist in Sumatra. In Sumatra and its vicinity, droughts, particularly the
772 severe ones, are commonly associated with modes of inter-annual variability,
773 including the warm phase of the ENSO (El Niño), when the convection cen-
774 ter migrates from the Maritime Continent eastward into the Pacific, and the
775 positive phase of the IOD, when the convection center shifts westward from
776 the eastern to western Indian Ocean (e.g., Hamada et al. 2008, 2012; Su-
777 pari et al. 2018). However, our results suggest that droughts in Sumatra
778 could also result from intra-seasonal variability induced by the active South
779 Asian Summer Monsoon and extratropical dry-air intrusions, though more
780 research is required to confirm the causal relationship.

781 Extratropical dry-air intrusions have been most extensively studied in
782 the equatorial western Pacific (e.g., Numaguti et al. 1995; Yoneyama and
783 Parsons 1999; Yoneyama 2003; Cau et al. 2005; Randel et al. 2016; Rieckh
784 et al. 2017). However, extratropical dry-air intrusions in the eastern Indian
785 Ocean and the Maritime Continent have received relatively little attention
786 to date. Using five-year relative humidity (RH) observations from the At-
787 mospheric Infrared Sounder (AIRS) onboard the Aqua satellite, Casey et
788 al. (2009) provided the global climatology on the occurrence, frequency,

789 and source of dry layers (RH<20%) between 600 to 400 hPa over warm
790 tropical oceans. They found high occurrence (20-40%) of dry layers over
791 the eastern Indian Ocean southwest of Sumatra during JJA and SON. This
792 high-occurrence region coincides with the location of the dry anomaly of our
793 second mode (Fig. 7d). Casey et al. (2009) also conducted back trajectory
794 models and traced the source of midlevel dry layers over the eastern Indian
795 Ocean back to the subtropics, but they did not provide a mechanism. Using
796 reanalysis and OLR data, Fukutomi and Yasunari (2005) associated extra-
797 tropical dry-air intrusions with low-level submonthly southerly surges over
798 the eastern Indian Ocean, and suggested baroclinic development of midlati-
799 tude Rossby waves as a mechanism. In contrast, our study with the SuGAR
800 data suggests barotropic Rossby waves traveling in the Southern Hemisphere
801 midlatitudes to be a possible mechanism for transporting extratropical dry
802 air to the tropics. As the first ground-based GPS data used for studying
803 dry-air intrusions, the local SuGAR data provide new in-situ evidence that
804 extratropical dry-air intrusions reach the deep tropics within 5° south of the
805 equator over the Maritime Continent. More modelling, analysis and obser-
806 vation studies are required to reveal the extent, frequency and mechanism
807 of dry-air intrusions from the Southern Hemisphere into Southeast Asia,
808 and their impact on tropical convections.

809

Fig. 1

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Fig. 6

810 **Supplement**

811 Supplement 1 contains additional figures to support the main text.

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List of Figures

- 1156 1 Map of the SuGAR network. The SuGAR was first estab-
 1157 lished in 2002 with only six stations installed at and south
 1158 of the equator. The network was densified, and expanded al-
 1159 most every year (except in 2003 and 2009) until 2014. More
 1160 information about the SuGAR and its history can be found
 1161 in Feng et al. (2015). Red symbols indicate the 22 GPS
 1162 stations (21 SuGAR stations and 1 IGS station) used for the
 1163 2008 case study, while white circles represent SuGAR stations
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 1165 summer 2008. 64
- 1166 2 A comparison of PWV derived from three different approaches
 1167 that are all based on the GIPSY ZWD estimations for the
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 1173 surface atmospheric pressure from reanalysis data, and cal-
 1174 culation of the water-vapor-weighted mean temperature of
 1175 the atmosphere (T_m) using reanalysis data. The results of
 1176 the reanalysis approaches are labeled as “CFSR-corrected
 1177 PWV” or “ERA-Interim-corrected PWV” based on whether
 1178 the NCEP CFSR or ECMWF ERA-Interim reanalysis prod-
 1179 ucts are used. The PWV derived from these three approaches
 1180 may all have some biases because no collocated surface pres-
 1181 sure measurements are available. 66
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 1183 datasets for the 2008 case study. The GIPSY-derived PWV
 1184 time series are the same as those shown in Fig. 2. The
 1185 MODIS-derived PWV time series are the daily averages of
 1186 daily PWV from Terra-MODIS and Aqua-MODIS. The RSS-
 1187 derived PWV time series are the daily averages of daily PWV
 1188 from F13-SSM/I, F16-SSMIS, and F17-SSMIS. Note that all
 1189 the three types of PWV may be subject to biases. 68

1190	4	Fractional variance explained by the first eight EOF modes	
1191		of the 2008 case study. The first, second, third, and fourth	
1192		modes explain 66%, 19%, 6%, and 2% of the total variance,	
1193		respectively.	69
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1195		2008 case study. Both the colour and size of circles indicate	
1196		the loading of each mode at each station. (a) EOF1, (b)	
1197		EOF2, (c) REOF1 and (d) REOF2.	70
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1199		2008. (a) The normalized but unsmoothed REC1 time series.	
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1201		red stars indicate the strongest dry events on 21 June 2008	
1202		and 23 July 2008, respectively. (c) and (d) Power spectra	
1203		of the smoothed REC1 and REC2. The REC1 and REC2	
1204		were first smoothed with a 5-day running mean. The power	
1205		spectra were then calculated using the fast Fourier transform	
1206		algorithm, and plotted in an area-conserving format in which	
1207		the area under the curve in any frequency band equals the	
1208		variance over this frequency band (Zangvil 1977). The power	
1209		spectrum of the REC1 has strong power across a wide range	
1210		of frequencies, while the power spectrum of the REC2 shows	
1211		a pronounced peak at 15.25 days. Dashed curves represent	
1212		the red noise spectra calculated from the lag-1 autocorrela-	
1213		tion of either the REC1 or the REC2 (Gilman et al. 1963).	
1214		(e) Lead-lag correlation coefficients between the REC1 and	
1215		three monsoon circulation indices, including the South Asia	
1216		monsoon index, western North Pacific monsoon index, and	
1217		Australian monsoon index. The correlation coefficients were	
1218		calculated after both the REC1 and monsoon indices were	
1219		smoothed with a 5-day running mean. (f) The REC1 time	
1220		series, South Asia monsoon index lagged by three days, and	
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1226		efficients obtained in the linear regression analysis between	
1227		the REC and the CFSR PWV or specific humidity. Dry	
1228		anomalies are in red, while wet anomalies are in blue. Black	
1229		stars show the approximate locations of the center of the main	
1230		dry anomalies. Purple dashed lines represent the locations of	
1231		specific humidity profiles. Only grid points with p-values	
1232		<0.05 are plotted. (a) PWV anomalies associated with a	
1233		standard REOF1 event that has a unit strength. (b) Specific	
1234		humidity anomalies along 94°E associated with a standard	
1235		REOF1 event. (c) Specific humidity anomalies along 0.5°S	
1236		associated with a standard REOF1 event. (d) PWV anoma-	
1237		lies associated with a standard REOF2 event. (e) Specific	
1238		humidity anomalies along 100.5°E associated with a stan-	
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1242		ern summer 2008 based on the CFSR reanalysis data. These	
1243		maps show PWV anomalies and 850-hPa wind when the	
1244		REC1 is lagged by different numbers of days, indicating the	
1245		evolution of wet, dry, and wind anomalies during the life cycle	
1246		of a standard REOF1 event. Only grid points with p-values	
1247		<0.05 are plotted for PWV anomalies, but all grid points are	
1248		plotted for wind anomalies in order to show the full picture	
1249		of circulation pattern.	74
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1252		from the subtropics in the Southern Hemisphere. (a), (b)	
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1265	12	Lead-lag linear regression global maps for the REOF2 of the northern summer 2008 based on the CFSR reanalysis data. These maps show potential vorticity anomalies and wind anomalies on the 330 K isentropic surface, and PWV anomalies when the REC2 is lagged by different numbers of days, indicating the evolution of Rossby waves during two quasi-biweekly life cycles of a standard REOF2 event. Copperish contours represent negative PWV anomalies, similar to reddish contours in Fig. 7d. Light bluish contours represent positive PWV anomalies, similar to bluish contours in Fig. 7d. Purple box (17.5°S–2.5°S, 87.5°E–97.5°E) over the tropical eastern Indian Ocean southwest of Sumatra outlines a key region that has local maximum meridional wind variance at 850 hPa on submonthly time scales during northern summer (Fukutomi and Yasunari 2005).	78
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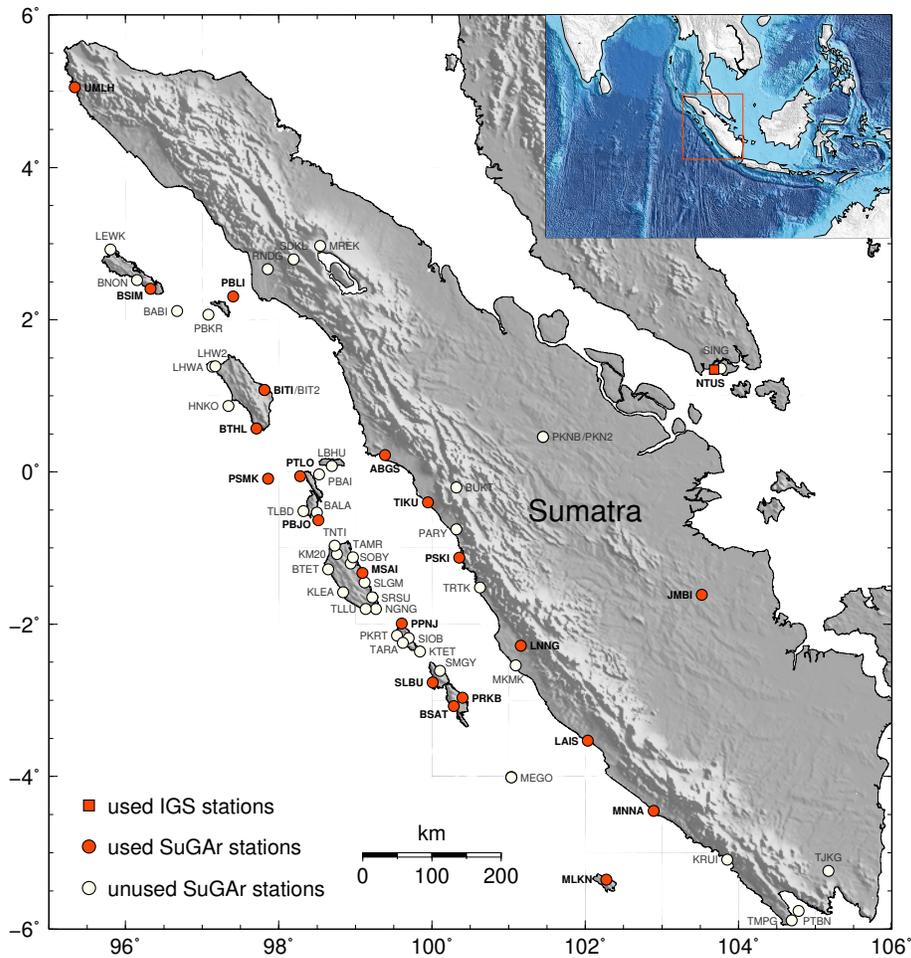


Fig. 1. Map of the SuGAR network. The SuGAR was first established in 2002 with only six stations installed at and south of the equator. The network was densified, and expanded almost every year (except in 2003 and 2009) until 2014. More information about the SuGAR and its history can be found in Feng et al. (2015). Red symbols indicate the 22 GPS stations (21 SuGAR stations and 1 IGS station) used for the 2008 case study, while white circles represent SuGAR stations that were not operating or non-existent during the northern summer 2008.

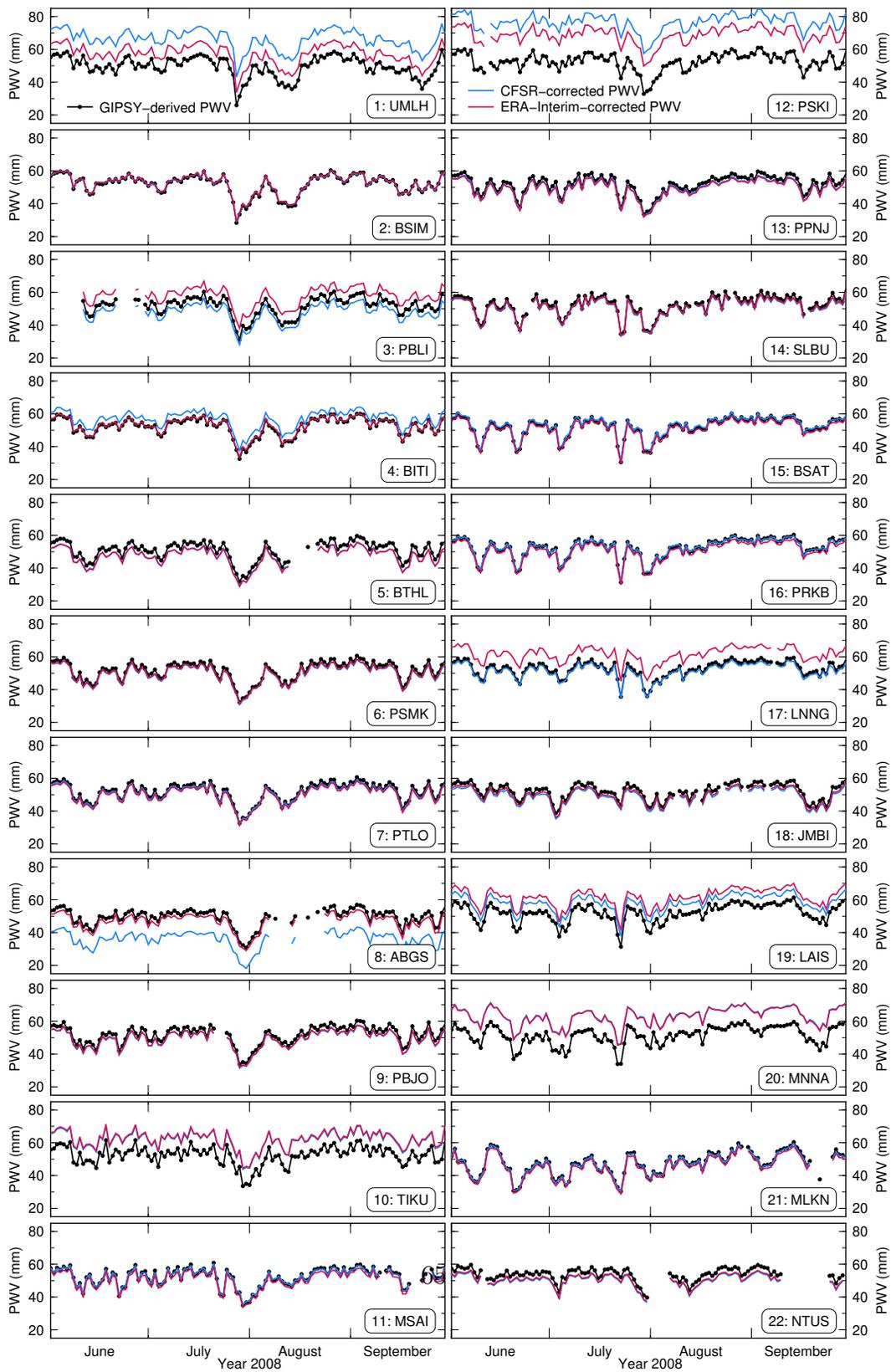


Fig. 2. A comparison of PWV derived from three different approaches that are all based on the GIPSY ZWD estimations for the 2008 case study. The simplest approach multiplies the GIPSY ZWD estimations directly by a constant Π of 0.163. The results of this linear approach are labeled as “GIPSY-derived PWV”. The two other approaches are more sophisticated with a correction to ZHD using the Saastamoinen model and surface atmospheric pressure from reanalysis data, and calculation of the water-vapor-weighted mean temperature of the atmosphere (T_m) using reanalysis data. The results of the reanalysis approaches are labeled as “CFSR-corrected PWV” or “ERA-Interim-corrected PWV” based on whether the NCEP CFSR or ECMWF ERA-Interim reanalysis products are used. The PWV derived from these three approaches may all have some biases because no collocated surface pressure measurements are available.

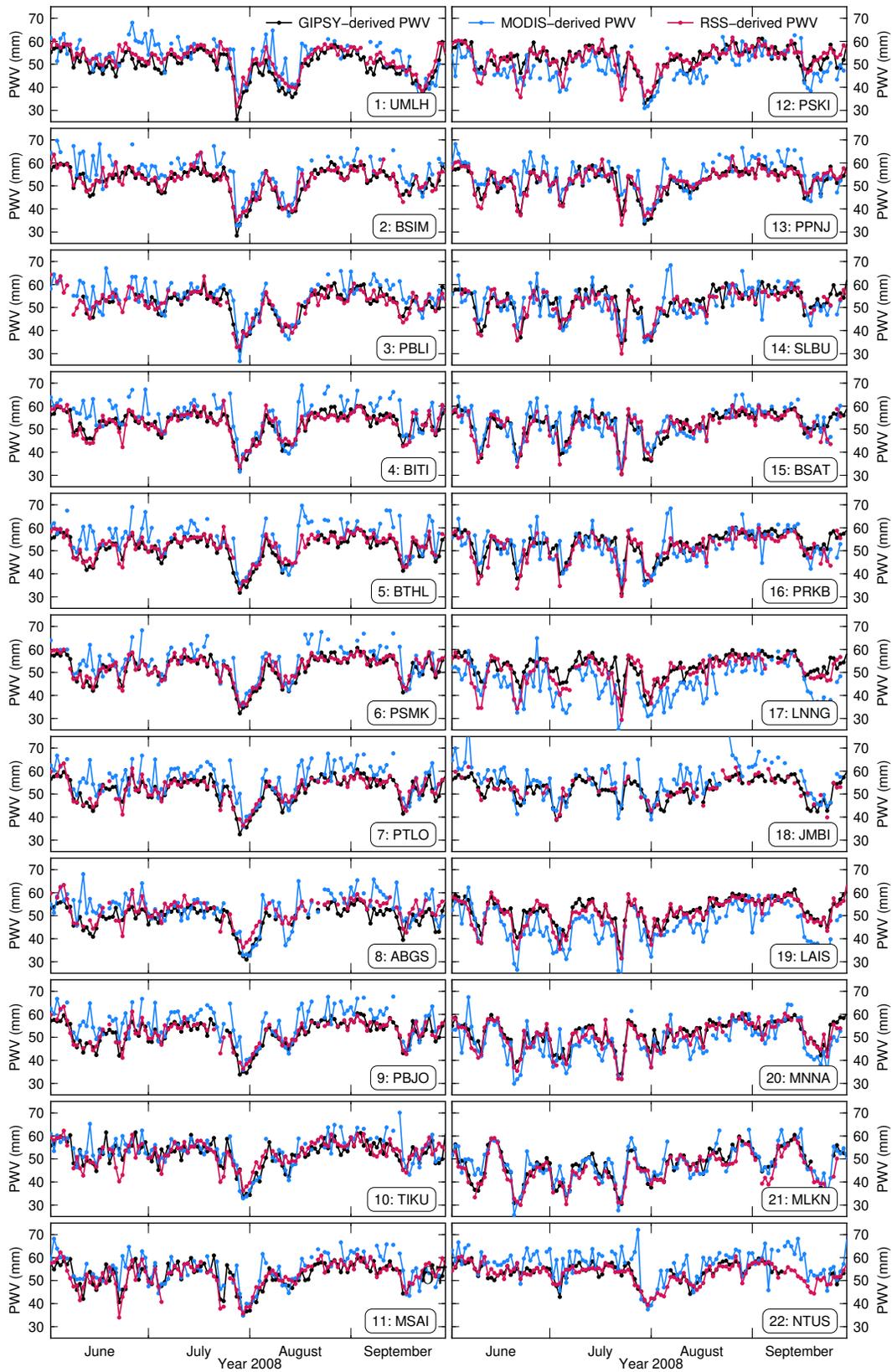


Fig. 3. A comparison of the GIPSY-derived PWV with two other datasets for the 2008 case study. The GIPSY-derived PWV time series are the same as those shown in Fig. 2. The MODIS-derived PWV time series are the daily averages of daily PWV from Terra-MODIS and Aqua-MODIS. The RSS-derived PWV time series are the daily averages of daily PWV from F13-SSM/I, F16-SSMIS, and F17-SSMIS. Note that all the three types of PWV may be subject to biases.

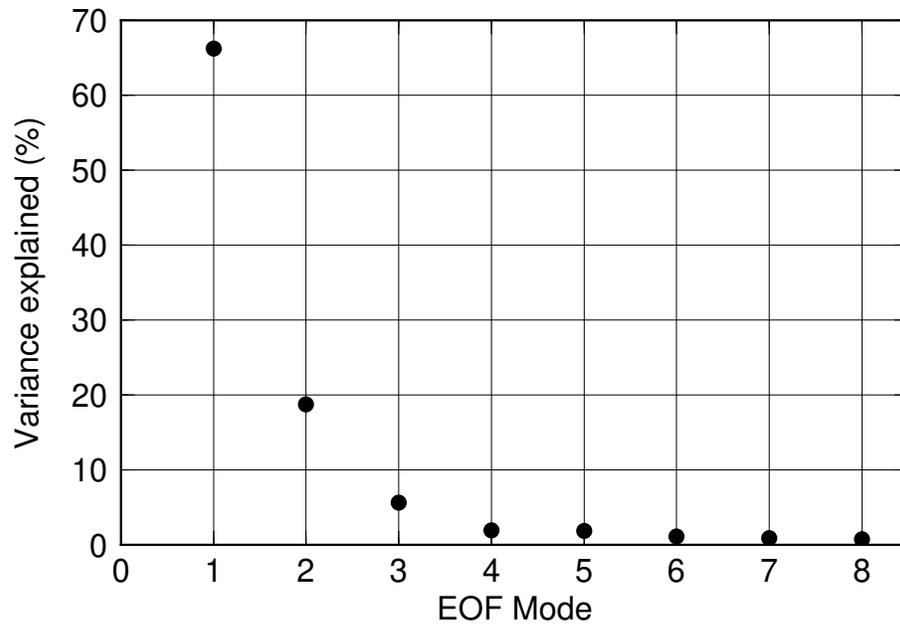


Fig. 4. Fractional variance explained by the first eight EOF modes of the 2008 case study. The first, second, third, and fifth modes explain 66%, 19%, 6%, and 2% of the total variance, respectively.

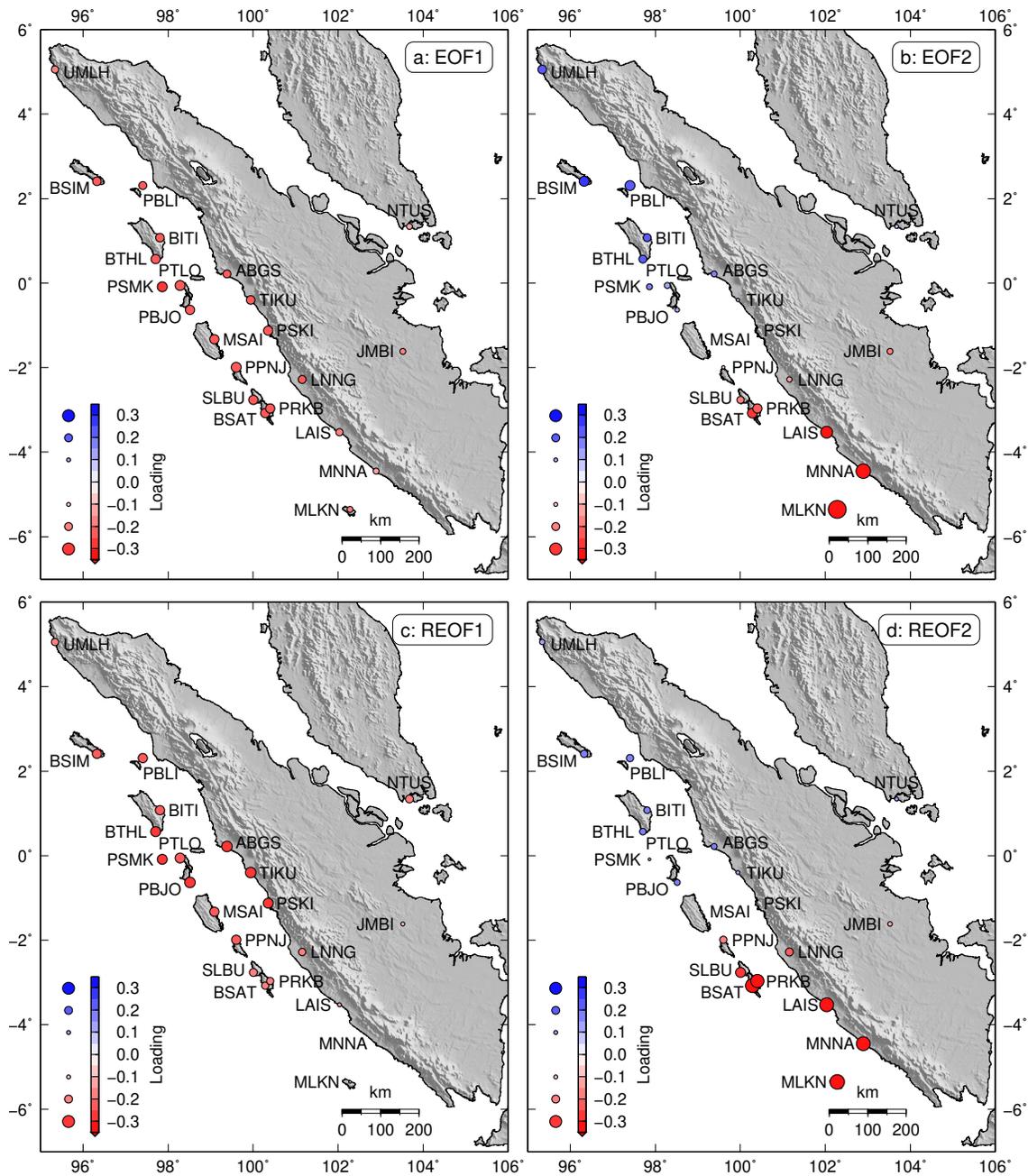


Fig. 5. Spatial pattern of the EOF and rotated EOF analysis for the 2008 case study. Both the colour and size of circles indicate the loading of each mode at each station. (a) EOF1, (b) EOF2, (c) REOF1 and (d) REOF2.

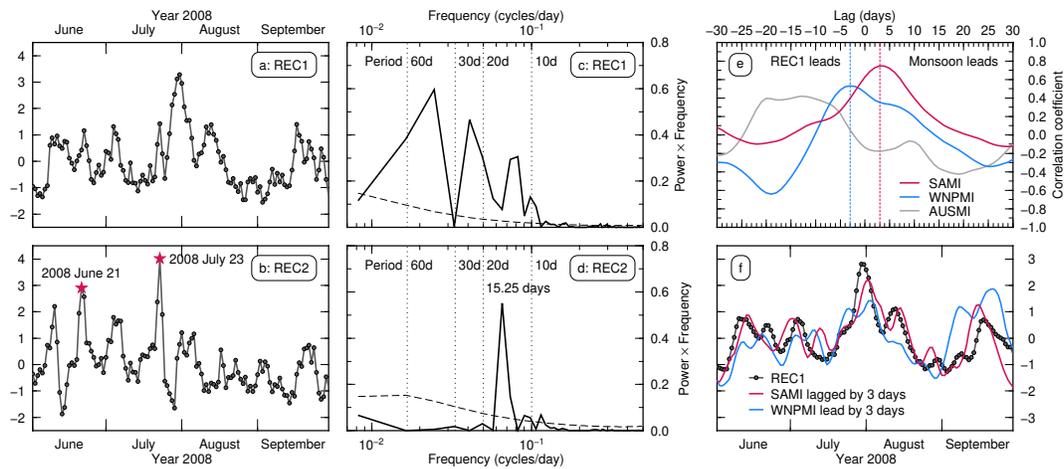


Fig. 6. Analyses for the REC1 and REC2 of the northern summer 2008. (a) The normalized but unsmoothed REC1 time series. (b) The normalized but unsmoothed REC2 time series. Two red stars indicate the strongest dry events on 21 June 2008 and 23 July 2008, respectively. (c) and (d) Power spectra of the smoothed REC1 and REC2. The REC1 and REC2 were first smoothed with a 5-day running mean. The power spectra were then calculated using the fast Fourier transform algorithm, and plotted in an area-conserving format in which the area under the curve in any frequency band equals the variance over this frequency band (Zangvil 1977). The power spectrum of the REC1 has strong power across a wide range of frequencies, while the power spectrum of the REC2 shows a pronounced peak at 15.25 days. Dashed curves represent the red noise spectra calculated from the lag-1 autocorrelation of either the REC1 or the REC2 (Gilman et al. 1963). (e) Lead-lag correlation coefficients between the REC1 and three monsoon circulation indices, including the South Asia monsoon index, western North Pacific monsoon index, and Australian monsoon index. The correlation coefficients were calculated after both the REC1 and monsoon indices were smoothed with a 5-day running mean. (f) The REC1 time series, South Asia monsoon index lagged by three days, and western North Pacific monsoon index lead by three days. All three have been normalized by their corresponding standard deviation, and smoothed with a 5-day running mean.

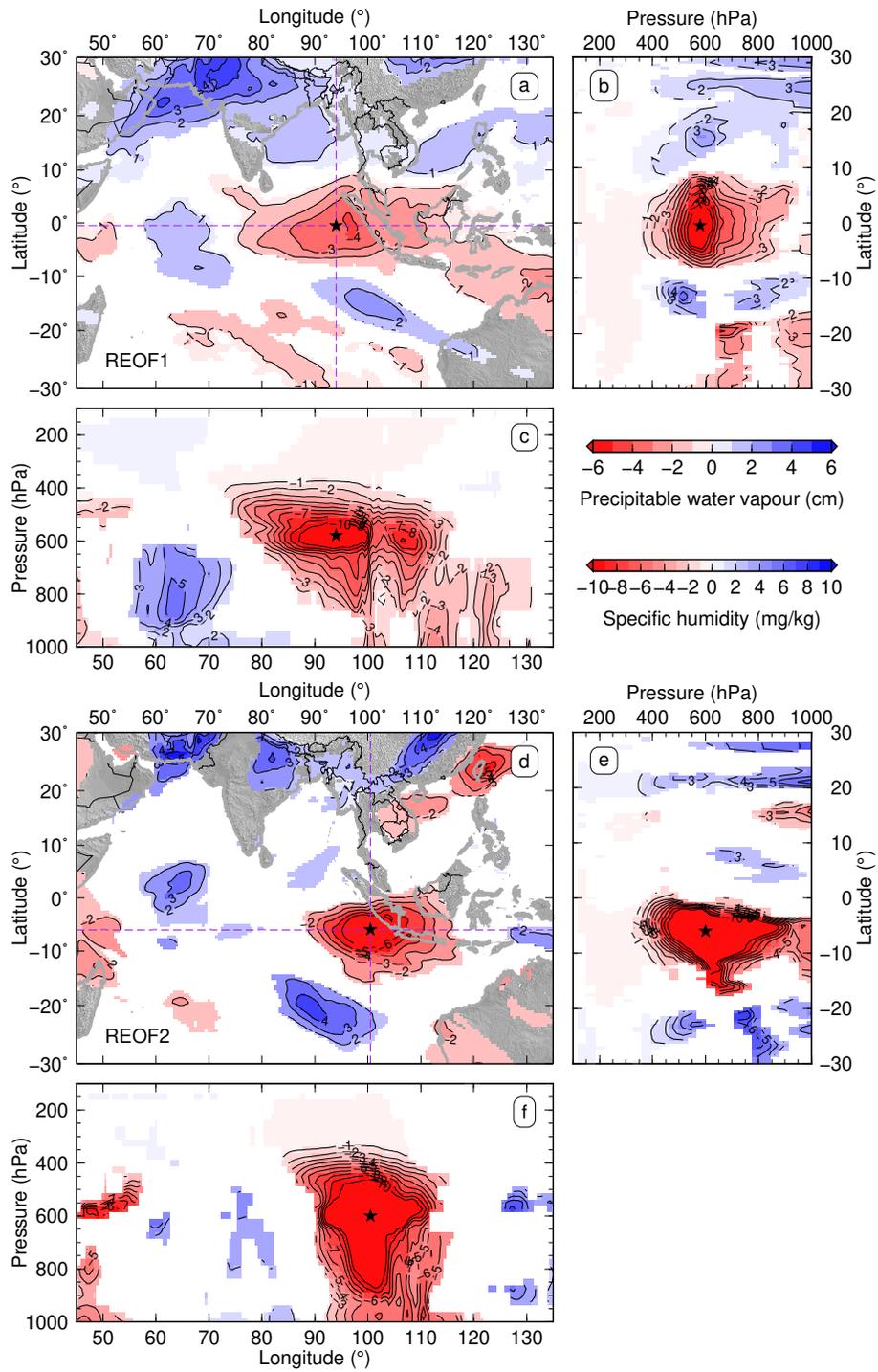


Fig. 7. Linear regression results for both the REOF1 and REOF2 of the northern summer 2008. Anomalies are the regression coefficients obtained in the linear regression analysis between the REC and the CFSR PWV or specific humidity. Dry anomalies are in red, while wet anomalies are in blue. Black stars show the approximate locations of the center of the main dry anomalies. Purple dashed lines represent the locations of specific humidity profiles. Only grid points with p-values <0.05 are plotted. (a) PWV anomalies associated with a standard REOF1 event that has a unit strength. (b) Specific humidity anomalies along 94°E associated with a standard REOF1 event. (c) Specific humidity anomalies along 0.5°S associated with a standard REOF1 event. (d) PWV anomalies associated with a standard REOF2 event. (e) Specific humidity anomalies along 100.5°E associated with a standard REOF2 event. (f) Specific humidity anomalies along 6°S associated with a standard REOF2 event.

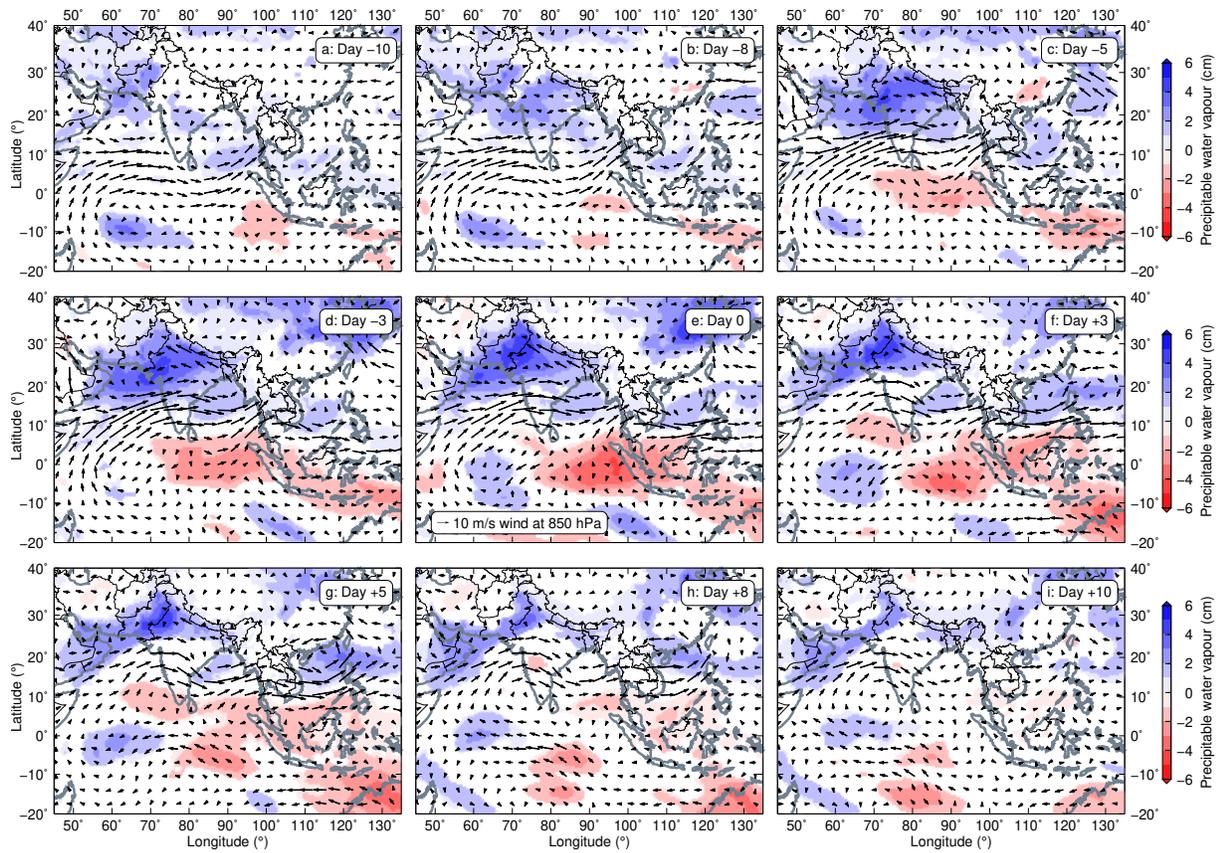


Fig. 8. Lead-lag linear regression maps for the REOF1 of the northern summer 2008 based on the CFSR reanalysis data. These maps show PWV anomalies and 850-hPa wind when the REC1 is lagged by different numbers of days, indicating the evolution of wet, dry, and wind anomalies during the life cycle of a standard REOF1 event. Only grid points with p-values < 0.05 are plotted for PWV anomalies, but all grid points are plotted for wind anomalies in order to show the full picture of circulation pattern.

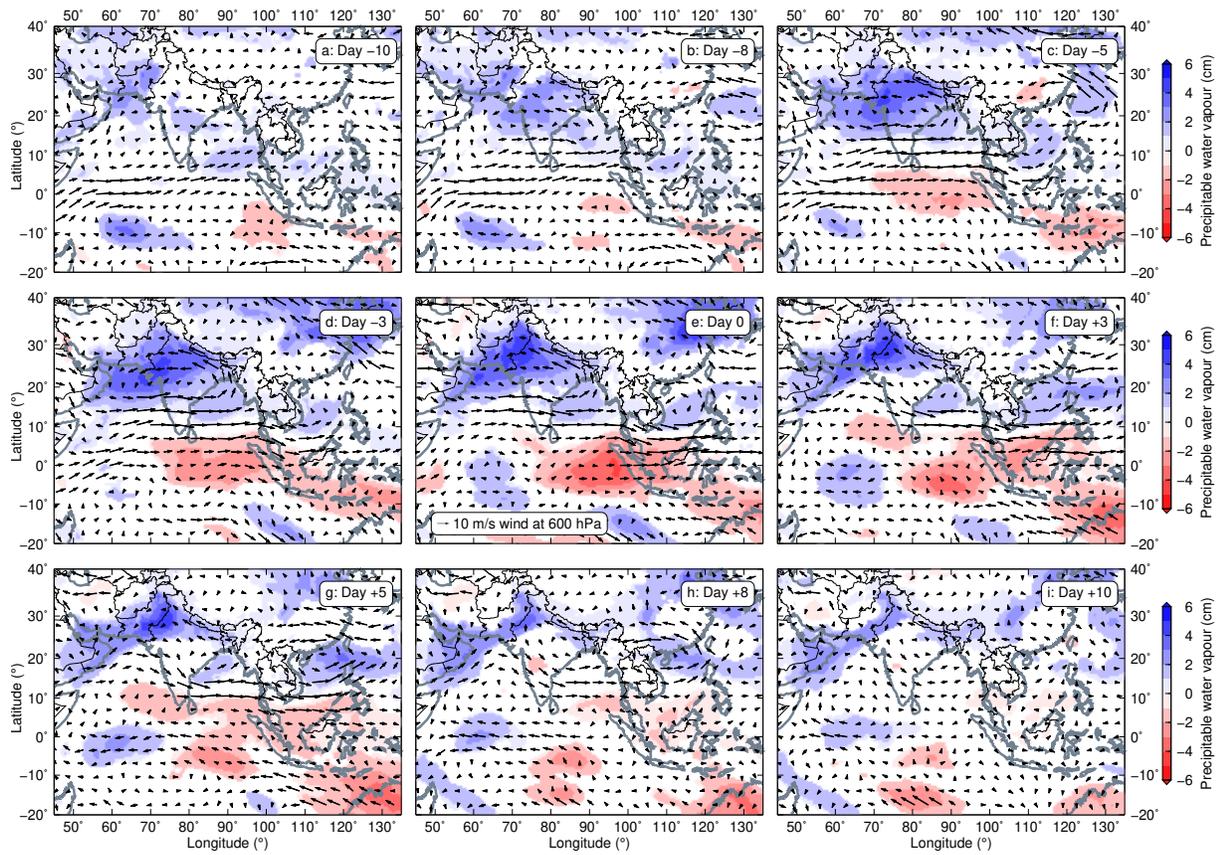


Fig. 9. The same as Fig. 8, except for the winds at 600 hPa.

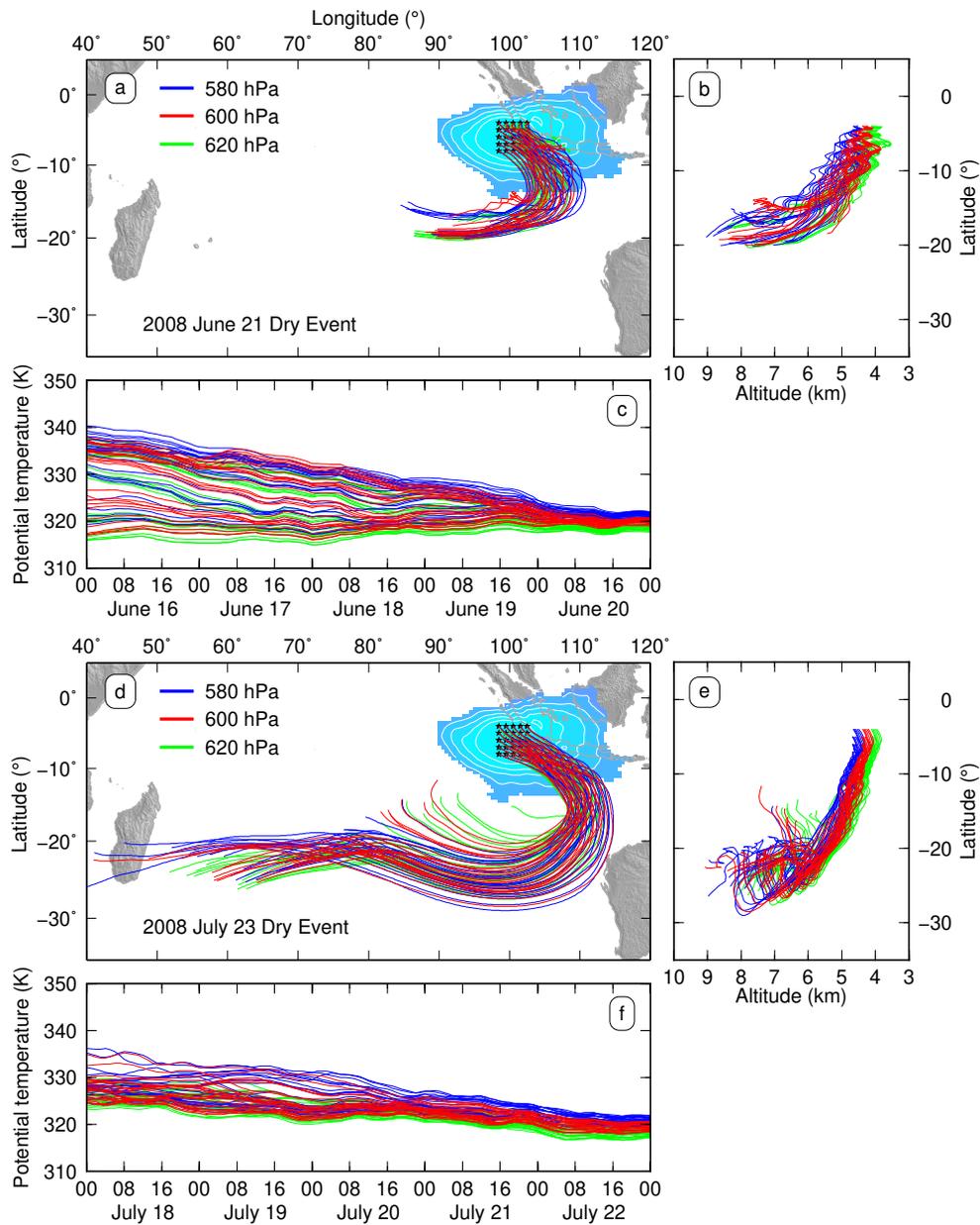


Fig. 10. Back trajectory results show that dry-air intrusions originate from the subtropics in the Southern Hemisphere. (a), (b) and (c) The REOF2 dry event on 21 June 2008. (d), (e) and (f) The REOF2 dry event on 23 July 2008.

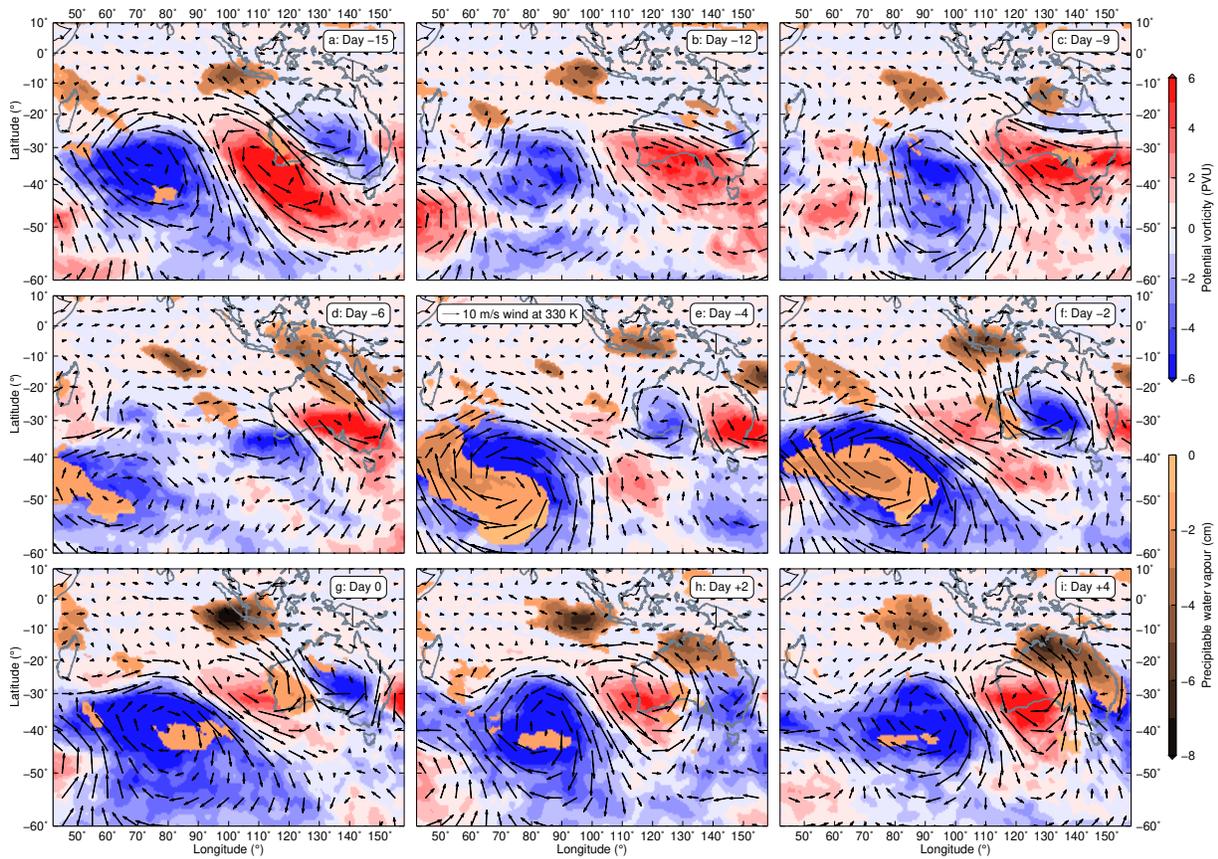


Fig. 11. Lead-lag linear regression regional maps for the REOF2 of the northern summer 2008 based on the CFSR reanalysis data. These maps show potential vorticity anomalies and wind anomalies on the 330 K isentropic surface, and PWV anomalies when the REC2 is lagged by different numbers of days, indicating how the REOF2 dry anomaly over southern Sumatra and Java evolves due to the eastward propagation of Rossby waves during a standard REOF2 event. Copperish contours represent negative PWV anomalies, similar to reddish contours in Fig. 7d.

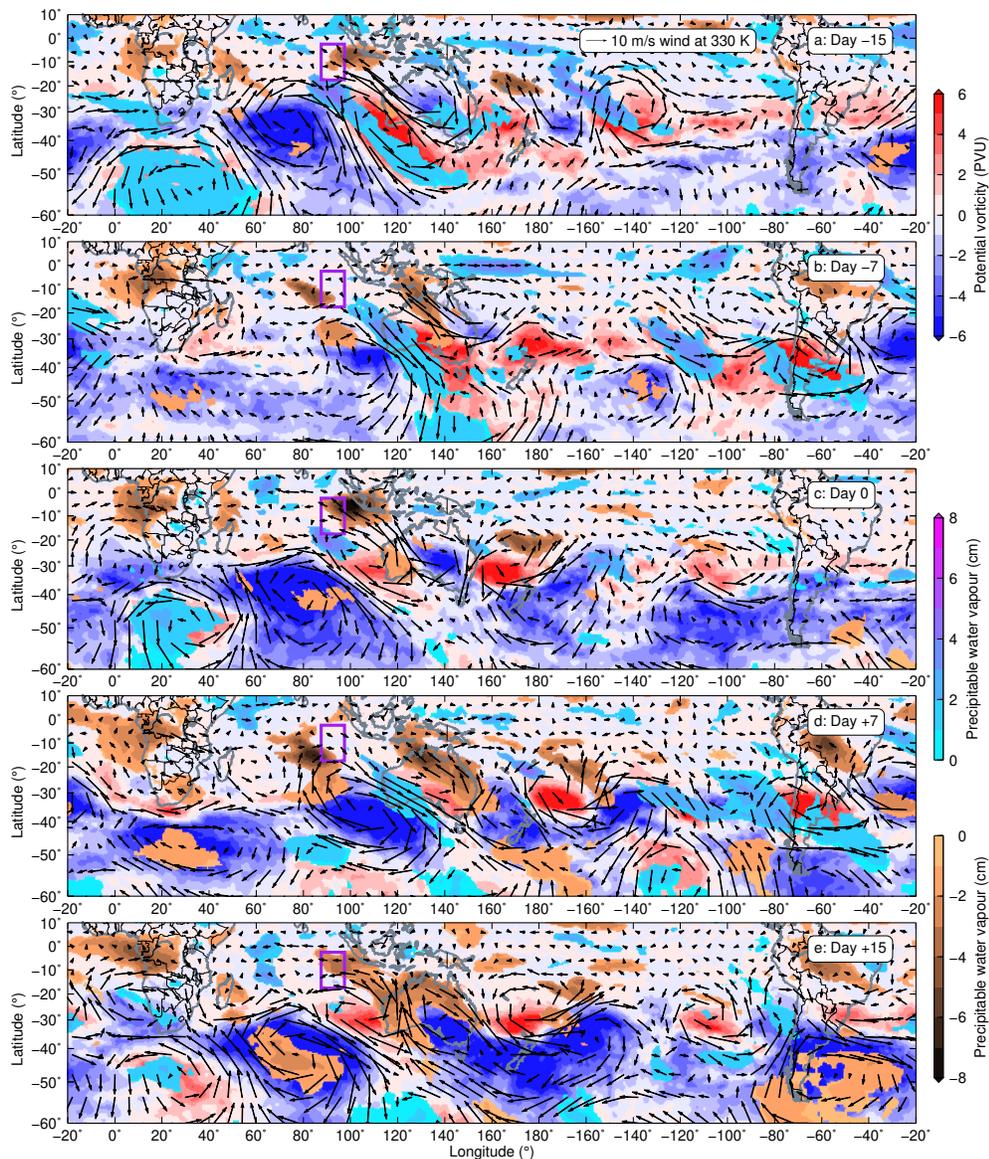


Fig. 12. Lead-lag linear regression global maps for the REOF2 of the northern summer 2008 based on the CFSR reanalysis data. These maps show potential vorticity anomalies and wind anomalies on the 330 K isentropic surface, and PWV anomalies when the REC2 is lagged by different numbers of days, indicating the evolution of Rossby waves during two quasi-biweekly life cycles of a standard REOF2 event. Copperish contours represent negative PWV anomalies, similar to reddish contours in Fig. 7d. Light bluish contours represent positive PWV anomalies, similar to bluish contours in Fig. 7d. Purple box (17.5°S – 2.5°S , 87.5°E – 97.5°E) over the tropical eastern Indian Ocean southwest of Sumatra outlines a key region that has local maximum meridional wind variance at 850 hPa on submonthly time scales during northern summer (Fukutomi and Yasunari 2005).

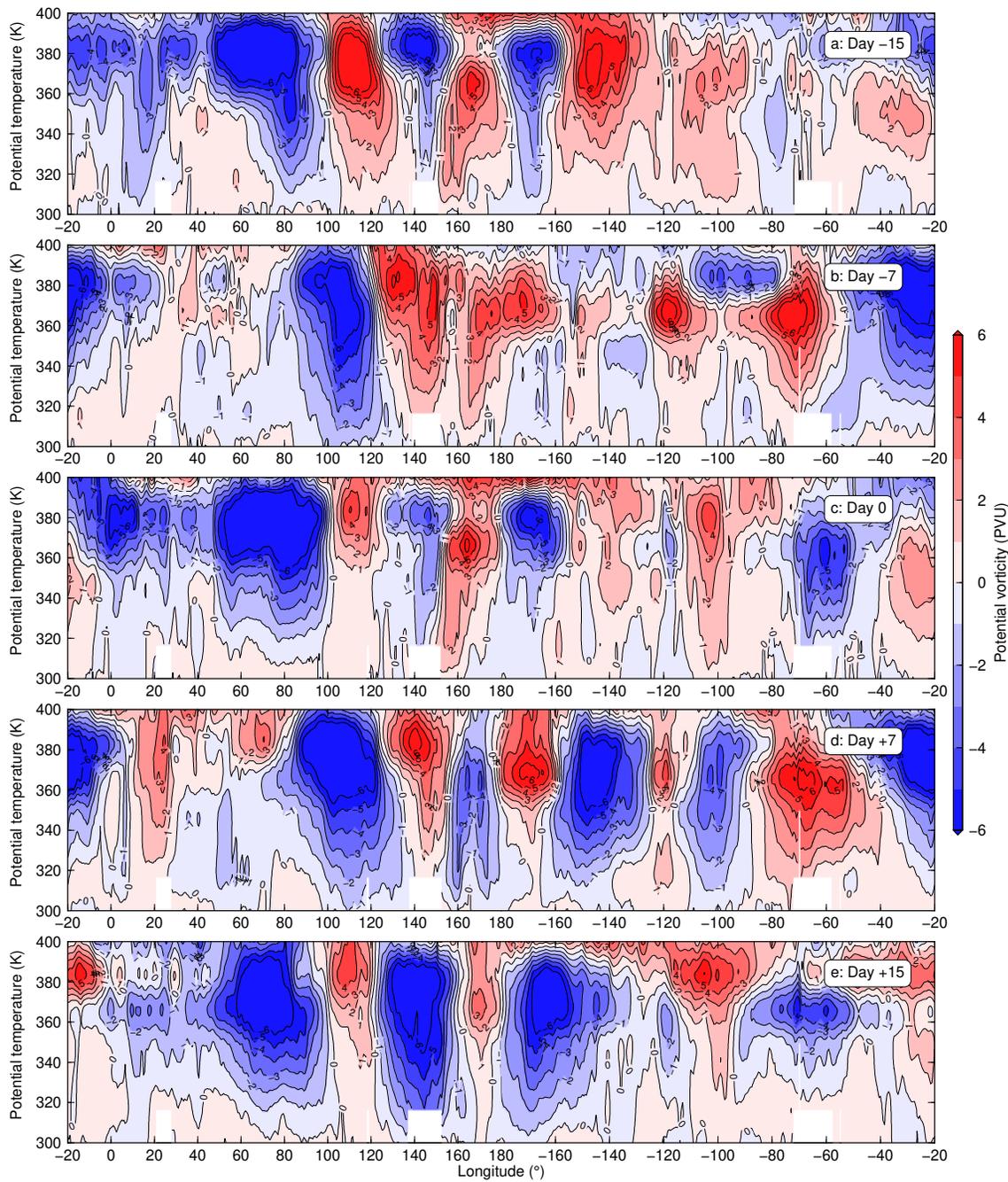


Fig. 13. Lead-lag linear regression global profiles for the REOF2 of the northern summer 2008 based on the CFSR reanalysis data. These maps show potential vorticity anomalies along a global profile of 35° when the REC2 is lagged by different numbers of days, indicating the evolution of the vertical structure of midlatitude Rossby waves during two quasi-biweekly life cycles of a standard REOF2 event.

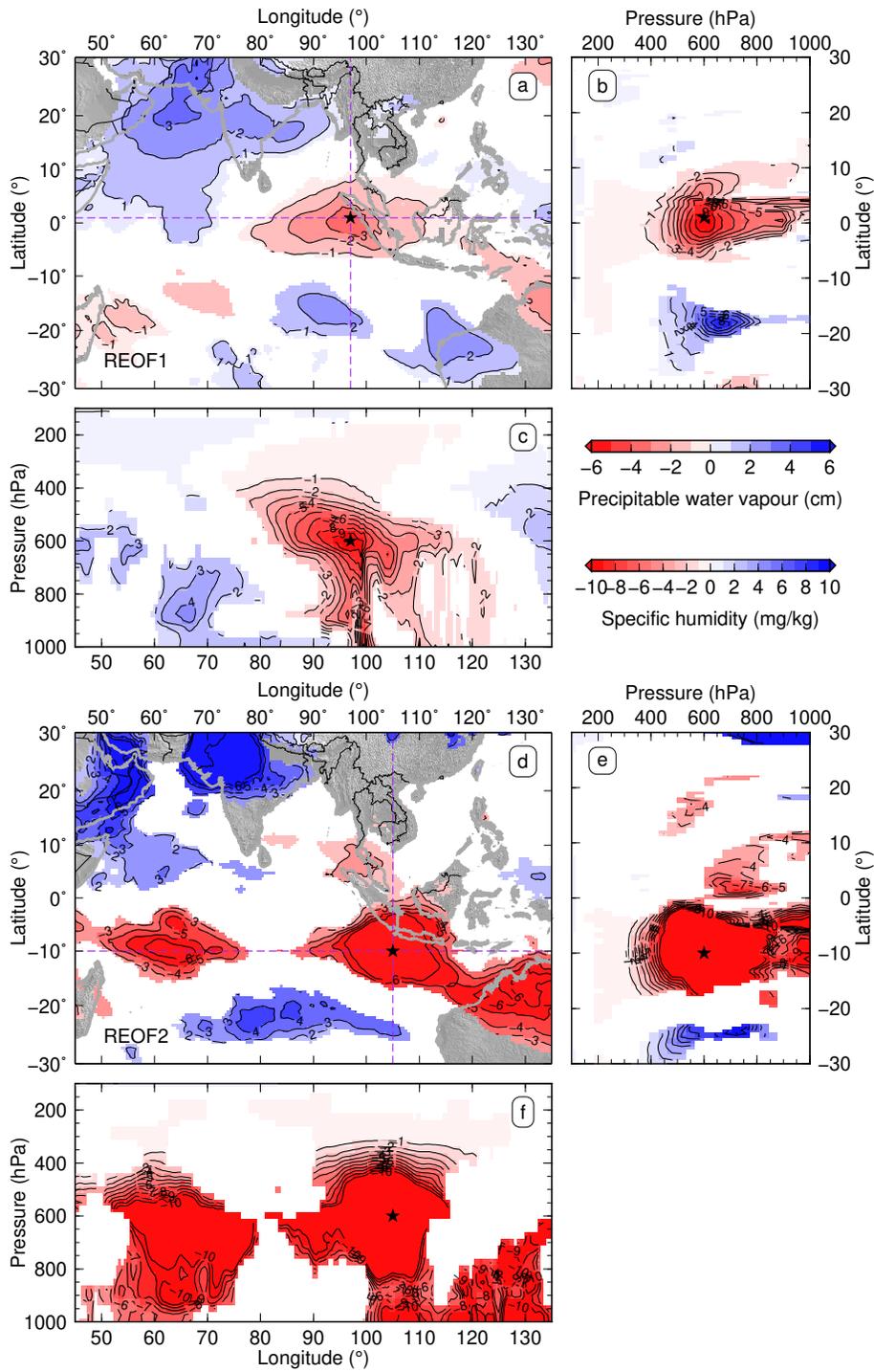


Fig. 14. Linear regression results for⁸⁰ both the REOF1 and REOF2 of the northern summer 2016. Similar to Fig. 7.

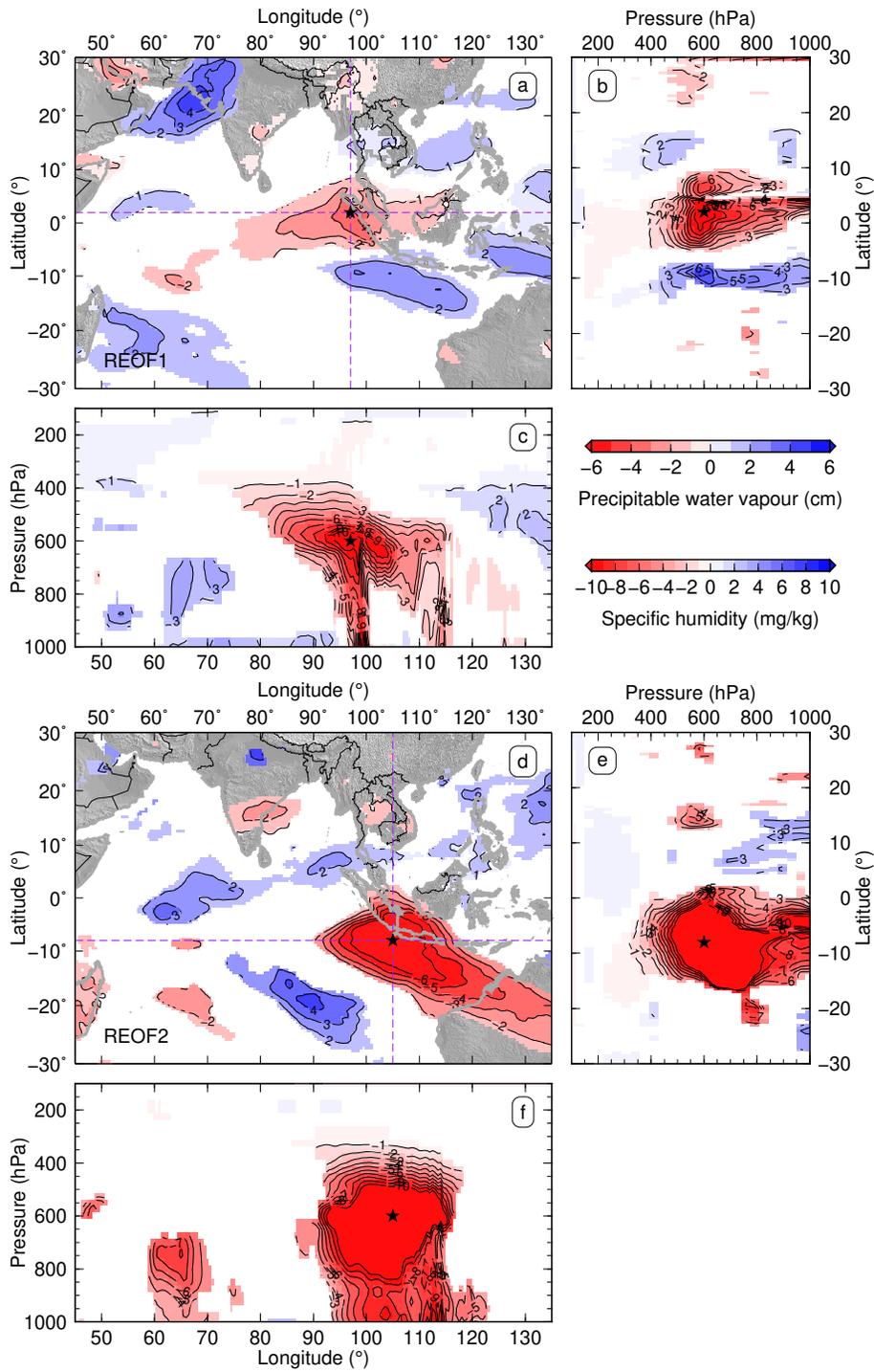


Fig. 15. Linear regression results for⁸¹ both the REOF1 and REOF2 of the northern summer 2017. Similar to Fig. 7.

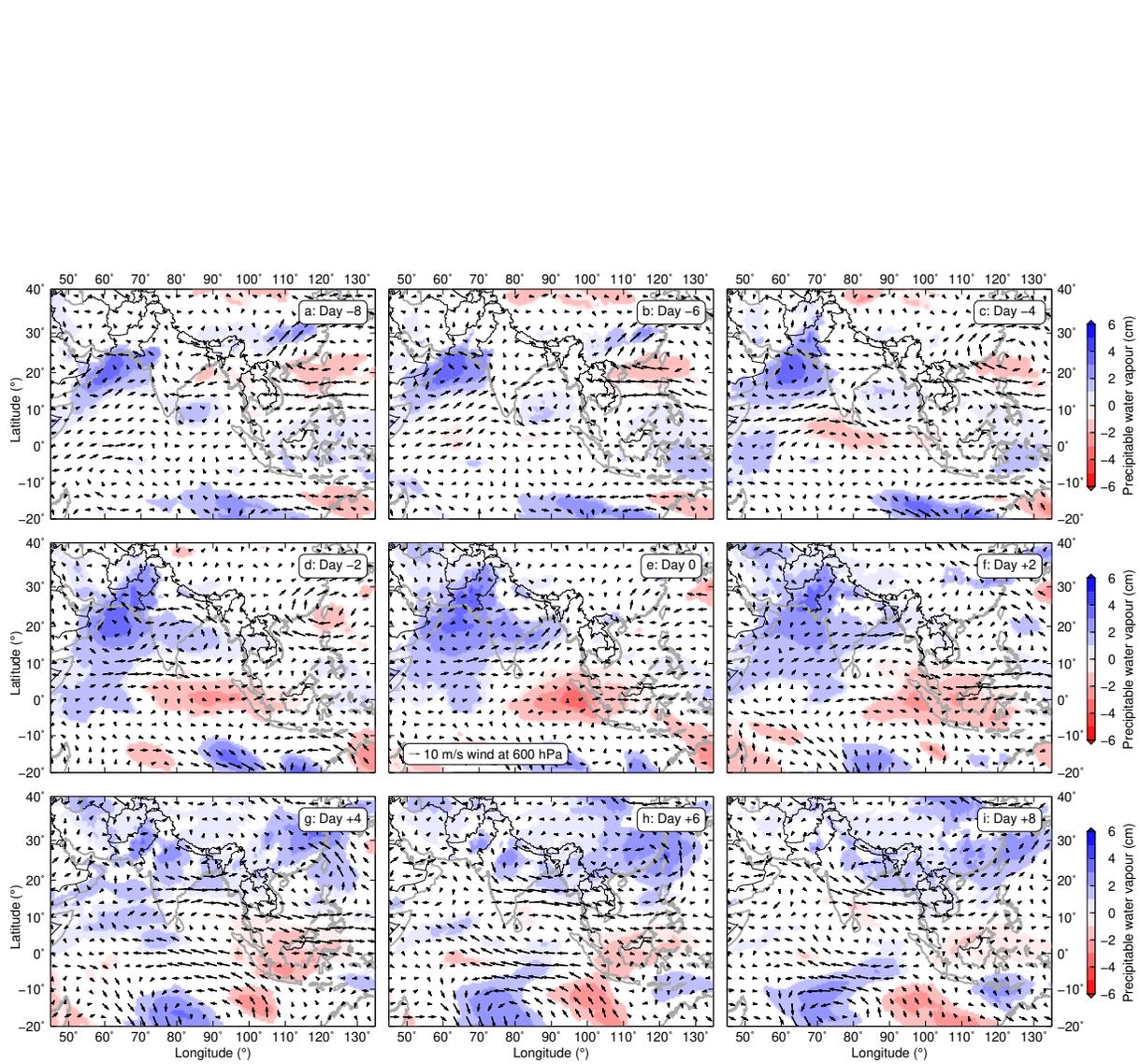


Fig. 16. Lead-lag linear regression maps for the REOF1 of the northern summer 2016. Similar to Fig. 9 but with different lags.

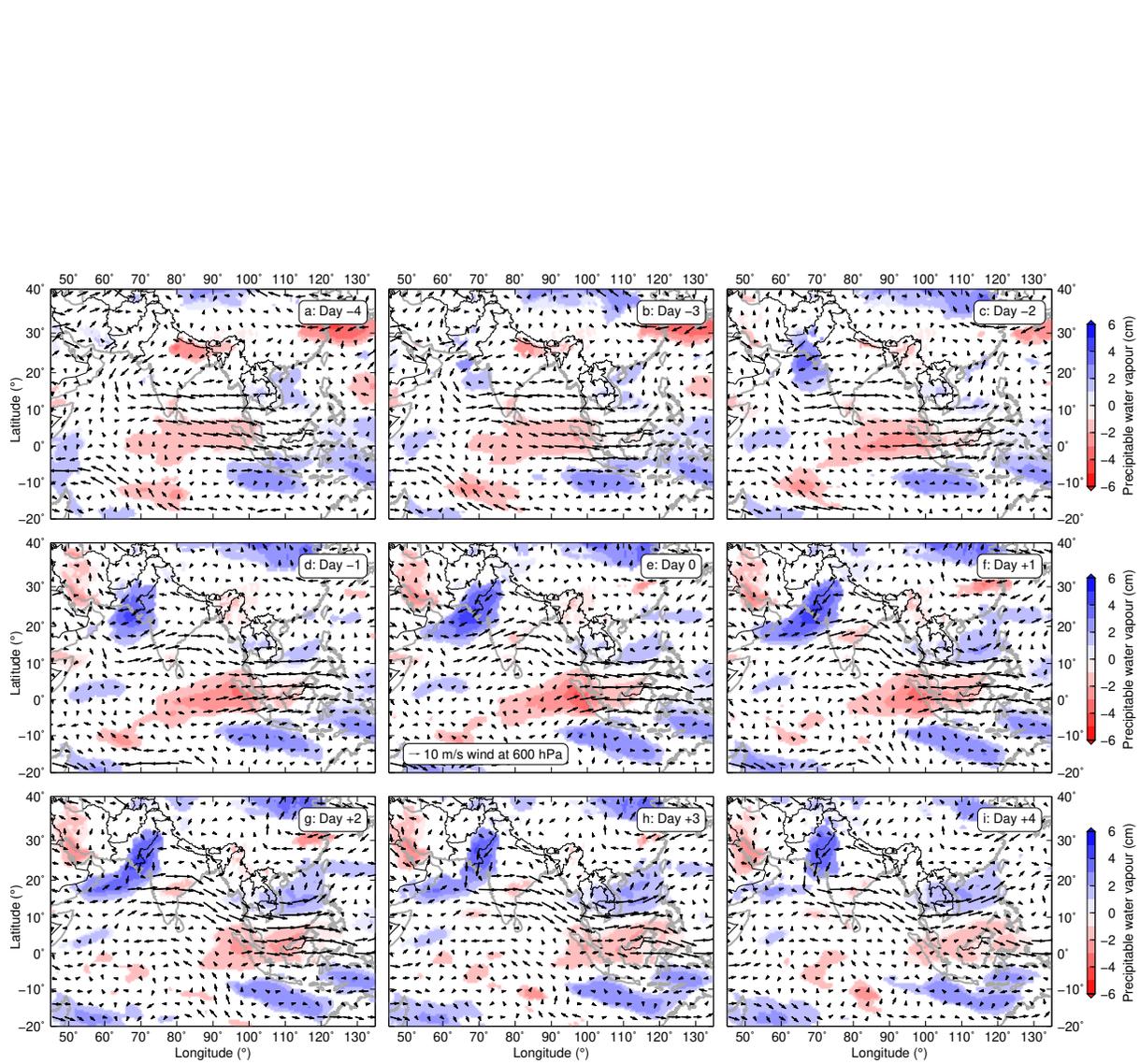


Fig. 17. Lead-lag linear regression maps for the REOF1 of the northern summer 2017. Similar to Fig. 9 but with different lags.

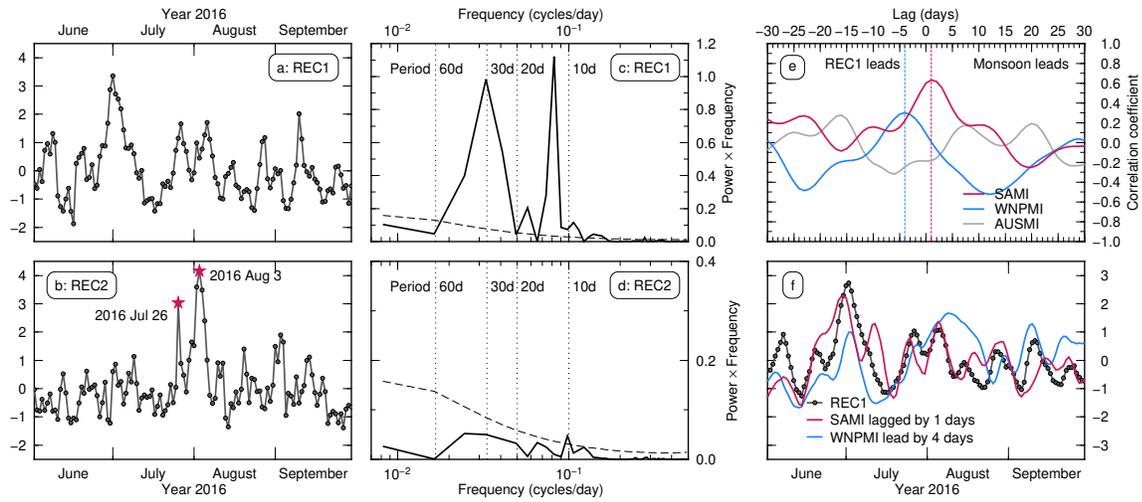


Fig. 18. Analysis of the REC1 and REC2 for the northern summer 2016. Similar to Fig. 6.

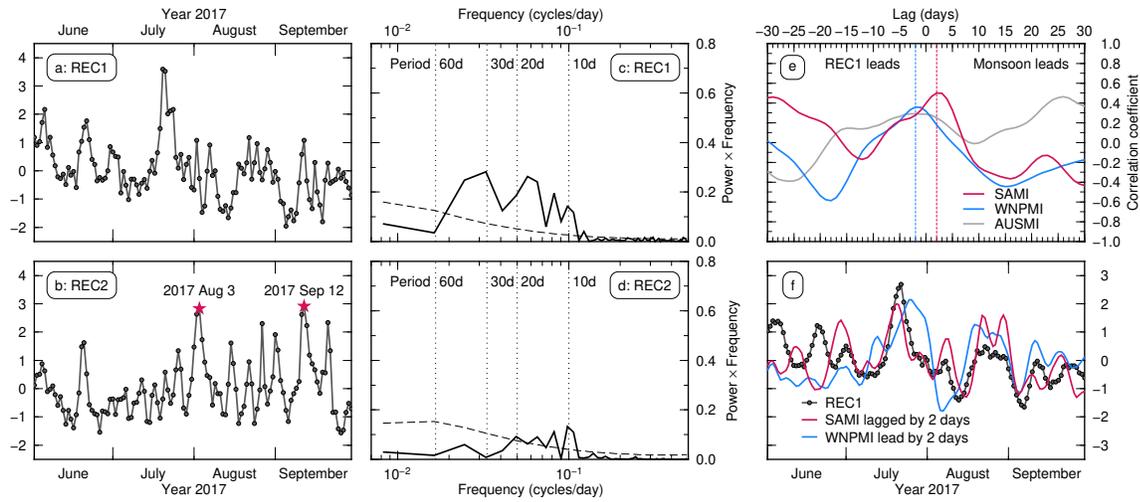


Fig. 19. Analysis of the REC1 and REC2 for the northern summer 2017. Similar to Fig. 6.

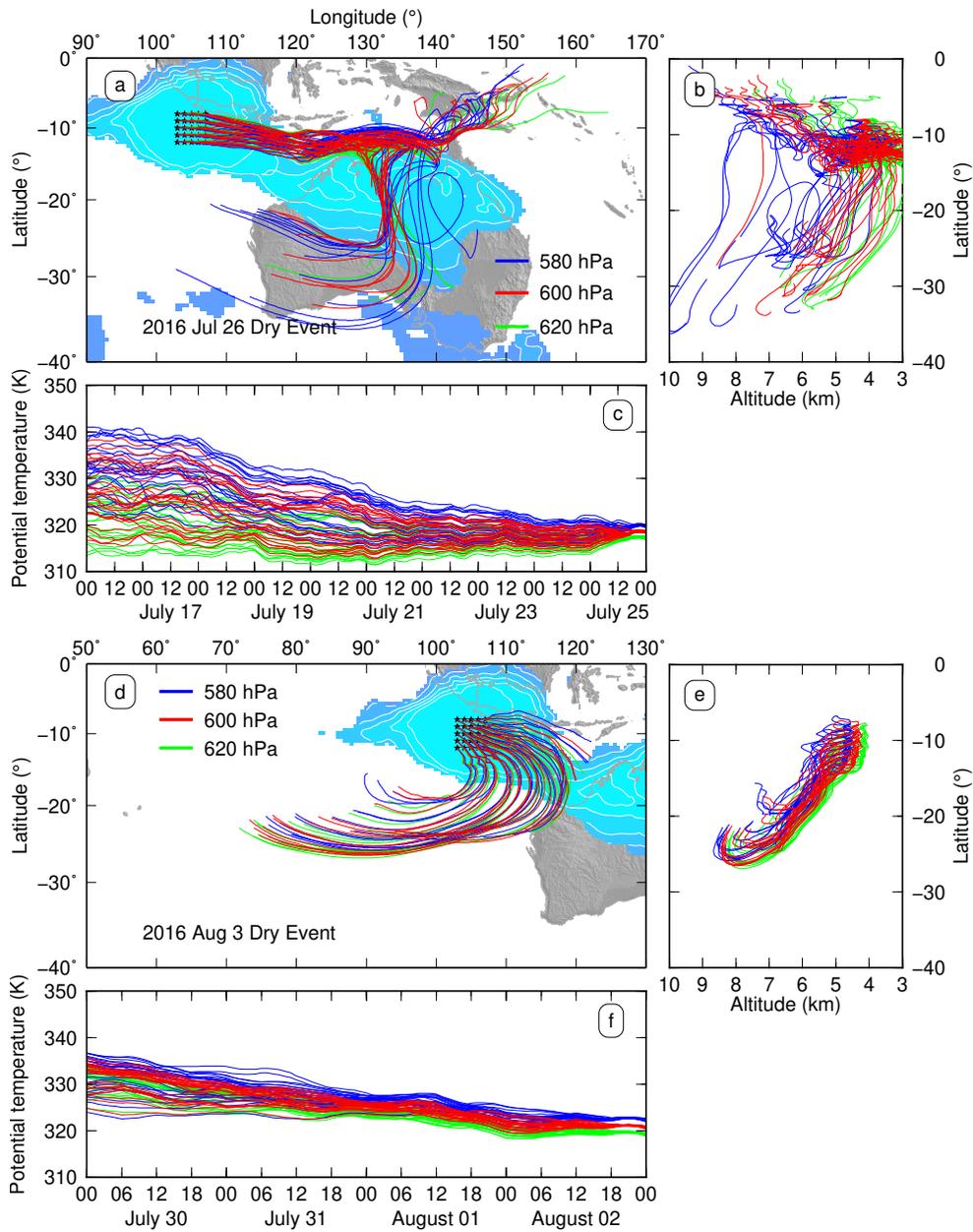


Fig. 20. Back trajectory results for the REOF2 dry events on 26 July 2016 and 3 August 2016 (Fig. 18). Similar to Fig. 10, except that the July event was traced backward for eight days, and the August event for four days.

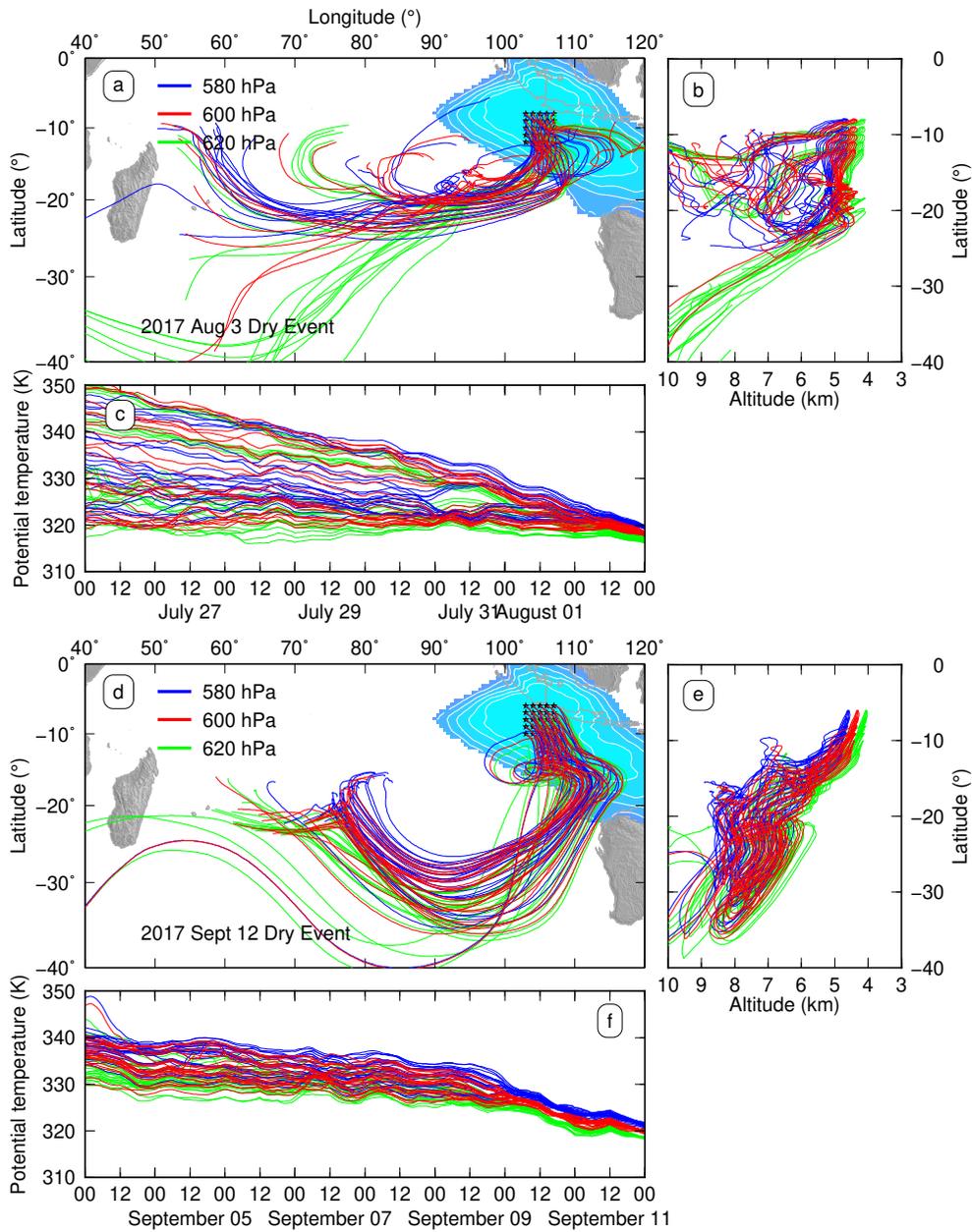


Fig. 21. Back trajectory results for the REOF2 dry events on 3 August 2017 and 12 September 2017 (Fig. 19). Similar to Fig. 10, except that both events were traced backward for eight days.