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1 **Hybrid Assimilation of Satellite Rainfall Product with**  
2 **High Density Gauge Network to Improve Daily**  
3 **Estimation: a case of Karnataka, India**

4  
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## Abstract

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Accurate rainfall estimation during Indian summer monsoon (ISM) is one of the most crucial activities in and around the Indian Sub-continent. Japan Aerospace Exploration Agency (JAXA) provides a couple of Global Satellite Mapping of Precipitation (GSMaP) rainfall products viz. the GSMaP\_MVK, which is a satellite based product calculated with ancillary data including global objective analysis data, and the GSMaP\_Gauge, which is adjusted by global rain gauges. In this study, the daily rainfall amount from the GSMaP rainfall product (version 7) is validated against a dense rain gauge network over Karnataka, one of southwestern states of India, during ISM 2016—2018. Further, as the primary objective, these dense rain gauge observations are assimilated in the GSMaP rainfall product using hybrid assimilation method to improve the final rainfall estimate. The hybrid assimilation method is a combination of two-dimensional variational (2D-Var) method and Kalman filter, in which 2D-Var method is used to merge rain gauge observations and Kalman filter is used to update background error in the 2D-Var method. Preliminary verification results suggest that GSMaP\_Gauge rainfall has sufficient skill over north interior Karnataka (NIK) and south interior Karnataka (SIK) regions, with large errors over the orographic heavy rainfall region of the Western Ghats. These errors are larger in the GSMaP\_MVK rainfall product over orographic heavy rainfall regions. Hybrid assimilation results of randomly selected rain gauge observations improve the skill of GSMaP\_Gauge and GSMaP\_MVK rainfall products, when compared with independent rain gauges observations. These improvements in daily rainfall are more prominent over orographic heavy rainfall regions. GSMaP\_MVK rainfall product shows larger

45 improvement due to absence of the gauge adjustment in the JAXA operational  
46 processing. The superiority of hybrid assimilation method against Cressman and optimal  
47 interpolation methods for impacts of utilized rain gauge numbers are also presented in  
48 this study.

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50 **Keywords:** GSMaP rainfall; Karnataka State Natural Disaster Monitoring Centre rain  
51 gauge network; Two-dimensional variational method; Orography, Kalman Filter.

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## 66 **1. Introduction**

67           Reliable rainfall estimation is vital for Indian agriculture industry mainly during the  
68 Indian summer monsoon (ISM) season that has a large socio-economic impact (Turner  
69 et al. 2019). Accurate rainfall estimates are also important for weather forecasting  
70 applications, prediction of water-related natural hazards such as floods, droughts,  
71 landslides, etc. (Kumar et al. 2014; Chen et al. 2015). Despite the fact that rainfall is one  
72 of the most crucial parameters for various applications, availability of accurate and reliable  
73 rainfall data on finer spatial and temporal scales is still a challenge (Wang, W. et al. 2017;  
74 Wang, Z. et al. 2017; Anjum et al. 2018). Furthermore, rainfall is highly varying in space  
75 and time-scale, and its estimation is complex both with ground observations (rain gauges  
76 and weather radar) and with satellite data. The sparse distribution of rain gauges and  
77 weather radars mainly in mountainous and deeper oceanic regions limits various  
78 applications on global and regional scale. On the other hand, space-borne sensors  
79 provide homogeneous spatial and temporal distribution of rainfall (Gairola et al. 2015).  
80 However, the accuracy of satellite-retrieved rainfall should be assessed with ground  
81 observations due to inherent limitations of retrieval algorithms (Chiaravalloti et al. 2018).  
82 As space-borne sensors provide instantaneous global scanning of rainfall and rain  
83 gauges give accurate but point measurements of rainfall, the verification of satellite-  
84 retrieved rainfall against ground observations itself is a major challenge. The problem is  
85 even more stimulating under complex topographic conditions, dense vegetation areas  
86 and coastal regions (e.g., Brocca et al. 2014; Maggioni et al. 2016; Chiaravalloti et al.  
87 2018). Another major problem for the accurate rainfall estimation is merging ground  
88 observations with satellite-estimates of rainfall.

89           Sun et al. (2018) presented the comprehensive review of the 30 global rainfall  
90 datasets (viz. gauge-based GPCC (Global Precipitation Climatology Centre), CPC  
91 (Climate Prediction Center), satellite-retrieved Global Satellite Mapping of Precipitation

92 (GSMaP), TRMM (Tropical Rainfall Measuring Mission), and reported large differences  
93 over complex mountain regions including tropics. Authors also pointed out the issues of  
94 the number and spatial coverage of the gauge observations, rainfall retrieval algorithms  
95 and data assimilation procedures to generate realistic rainfall reanalysis and merge-  
96 rainfall product. Kubota et al. (2009) also compared six satellite derived rainfall products  
97 including Japan Aerospace Exploration Agency (JAXA) GSMaP rainfall against ground  
98 radar dataset calibrated by rain gauges around Japan. Authors found best validation  
99 results over the ocean, and reported relatively poor results over mountain regions. Shige  
100 et al. (2013) demonstrated that the GSMaP estimates in a case shown by Kubota et al.  
101 (2009) could be improved by utilization of more representative profiles in the orographic  
102 rainfall. Further, Taniguchi et al. (2013) modified GSMaP rainfall product using an  
103 orographic/non-orographic rainfall classification scheme based upon orographically  
104 forced upward motion and moisture flux convergence. Trinh-Tuan et al. (2019) showed a  
105 clear dependence of biases in the GSMaP estimates over Central Vietnam on elevation  
106 and zonal wind speed, suggesting the need to improve orographic rainfall estimations.  
107 Nodzu et al. (2019) also examined the effect of interaction between wind and topography  
108 on the GSMaP performance over northern Vietnam and suggested that consideration of  
109 the orographic effects with wind information may further improve the accuracy of rainfall.

110 Various studies are performed to evaluate the quality of satellite-retrieved rainfall  
111 against rain gauge networks over India (Sharifi et al. 2018; Singh et al. 2019 and  
112 references therein). Singh et al. (2019) compared diverse rainfall products against India  
113 Meteorological Department (IMD) rain gauges during summer monsoon 2016 and found  
114 large differences between satellites derived rainfall products and rain gauges over  
115 Karnataka, southwestern India. Prakash et al. (2018) found relatively smaller error in  
116 gauge adjusted GSMaP as compared to IMERG (Integrated Multi-satellite Retrievals for  
117 Global Precipitation Measurement (GPM)) and TMPA (TRMM multisatellite precipitation

118 analysis) mainly over the regions of low rainfall and the western coast of India. Earlier  
119 studies (Palazzi et al. 2013; Hu et al. 2016; Shah and Mishra 2016) also suggested  
120 drawbacks of gauge-based estimates and satellite retrievals over mountainous regions,  
121 particularly in the Western Ghats mountain range, northeast India, and in the foothills  
122 Himalaya. In general, these studies over different parts of the globe and target location of  
123 the Western Ghats in southwestern India suggest that the gauge-adjusted rainfall better  
124 represents the intrinsic variability of rainfall with more reliability.

125         The synergy of rain gauge observations with satellite based rainfall estimates in  
126 case of gauge-adjusted rainfall estimation are attempted in several previous studies  
127 (Gairola and Krishnamurti 1992; Adler et al. 2003; Mitra et al. 2003; Huffman et al. 2007;  
128 Roy Bhowmik and Das 2007; Krishnamurti et al. 2009; Gairola et al. 2012). To merge rain  
129 gauge observations with INSAT (Indian National SATellite) satellite retrieved rainfall at  $1^\circ$   
130  $\times 1^\circ$  spatial resolution, Roy Bhowmik and Das (2007) used an objective analysis method  
131 over the Indian landmass for ISM rainfall. Gairola et al. (2015) developed a merged rainfall  
132 method by blending rain gauge observations with geostationary Kalpana-1 satellite-  
133 derived IMSRA (INSAT retrieved Multi-Spectral Rainfall Algorithm) rainfall estimates  
134 using an objective criterion of successive correction method. Authors found considerable  
135 improvements in terms of correlation, bias and root-mean-square error after objective  
136 analysis, especially over the regions where density of rain gauge was better. Mitra et al.  
137 (2009) used a similar approach for blending rain gauge data with the near-real time TMPA  
138 rainfall product over India for monsoon rainfall monitoring. The major drawback of the  
139 objective analysis techniques is that it does not consider the uncertainties (or errors) in  
140 first guess (here satellite rainfall) and observation (here rain gauge) inputs. Thus, the  
141 effective merging technique is still required to improve rainfall estimation in terms of both  
142 better resolution and accuracy taking into the consideration of errors in both satellite and  
143 ground rainfall together.

144 In this context, the variational method is popularly known for considering  
145 inconsistencies (or errors) in input parameters and provides its optimal estimation. The  
146 optimal state is achieved by iterative method in variational method and it is less  
147 computationally intensive as compared to sequential assimilation methods like optimal  
148 interpolation. Earlier, Bianchi et al. (2013) used variational method to combine rain gauge,  
149 weather radar and microwave observations with associated uncertainties to retrieve rain  
150 rate. Li et al. (2015) implemented variational method to prepare high-resolution hourly  
151 rainfall using China Meteorological Administration gauges and CMORPH (Climate  
152 Prediction Center Morphing; Joyce et al. 2004) rainfall products. In general, variational  
153 method does not consider evolution (or flow) of uncertainties in satellite rainfall (also  
154 called as background error), which are considered as a fixed diagonal matrix in earlier  
155 studies. These deficiencies in variational method can be resolved to some extent with the  
156 implementation of Kalman filter that can simulate the flow of background error. Thus, a  
157 hybrid assimilation method, combination of two-dimensional variational (2D-Var) method  
158 and flow dependent background error from Kalman filter, is required to prepare gauge-  
159 adjusted rainfall product (Cheng et al. 2010; Daley 1997). This hybrid method combines  
160 the advantages of excellent spatial coverage from satellite measurement and accurate  
161 rainfall estimates from rain gauge data with their uncertainties, and has the potential for  
162 optimal combination of rainfall estimation from both the sources simultaneously.

163 Thus, the objective of this study is to develop a hybrid assimilation method for  
164 merge rainfall product over a unique-site that is well represented by sufficient ground  
165 observations (around 6502 stations). In this study, first the GSMaP rainfall products are  
166 compared with dense rain gauge observations over Karnataka, India during ISM 2016—  
167 2018 for evaluating the daily rainfall amount. Around half of the randomly selected rain  
168 gauges are merged with GSMaP rainfall products using hybrid assimilation method.  
169 These new daily rainfall estimates are verified against the rest of the independent gauges

170 and IMERG final rainfall product. Section 2 discussed the various rainfall data used in this  
171 study, followed by results and discussions in section 3. These findings are concluded in  
172 section 4.

173

## 174 **2. Data Used**

### 175 *2.1. KSNDMC Rain Gauge Network*

176 The Indian state of Karnataka is located within 11°50' N and 18°50' N latitudes and  
177 74° E and 78°50' E longitudes (Fig. 1a). This state is situated on not only a tableland  
178 region, but also a coastal plains and mountain slopes in the western part of the Deccan  
179 Peninsular region of India (Figs. 1b,d). The dense rain gauge network (6502 stations in  
180 2018 with average rain gauge density of ~6100 stations during years 2016—2018) of the  
181 KSNDMC (Karnataka State Natural Disaster Monitoring Centre) is used in this study  
182 during ISM 2016—2018 (Fig. 1a). The rain gauge sensor used in this network is a tipping  
183 bucket with low tolerance using material of polycarbonate or industrial standard metal.  
184 The KSNDMC gauges consist of a funnel that collects and channels precipitation into a  
185 small container. Every day at 0830 Indian Standard Time (IST) (0300 UTC (Universal  
186 Time Coordinate)), the container tips and empties the collected water and produces a  
187 signal in an inbuilt electrical circuit. The tolerance is limited by the precision of the  
188 instrument that is 0.5 mm. The precision of the instrument is 1% of rainfall intensity up to  
189 50 mm per day, and 2% of rainfall intensity of 50 to 100 mm day<sup>-1</sup> (Mohapatra et al. 2017).  
190 The original time resolution of the observations is every 15 minutes using a tipping count  
191 method (0.2/0.5 mm per tip) with an operating range up to 600 mm hour<sup>-1</sup>, but in this  
192 study, 24 hours (last day 0830 IST to today 0830 IST) accumulated rainfall observations  
193 (valid at 0830 IST) are used for verification and assimilation. In this study, Karnataka state

194 is divided into four meteorological zones by state boundaries defined as (1) **Coastal**  
195 **Karnataka**: a region of heavy rainfall that receives an average June to September  
196 (hereafter JJAS) rainfall of 2517 mm, far in excess of rest of state, (2) **North Interior**  
197 **Karnataka (NIK)**: an arid zone that receives 526 mm of average rainfall in JJAS, (3)  
198 **South Interior Karnataka (SIK)**: This zone receives 518 mm of average rainfall in JJAS,  
199 and (4) **Malnad (Malenadu) Region**: that comprises of Western Ghats, a mountain range  
200 inland from the Arabian Sea rising to about 900 meters average height, and with moderate  
201 to very high rainfall with 1390 mm of average normal rainfall in JJAS period. These  
202 average rainfall amounts for different regions are based on long-term ground based  
203 observations (from years 1960—2010) over Karnataka, India. Total 6502 rain gauges  
204 available in 2018 are distributed in these four regions viz. coastal (650 gauges), Malnad  
205 (901 gauges), NIK (2737 gauges) and SIK (2214 gauges) as shown in Fig. 1a.

206 Figure 1b shows the map of topography at 30-second spatial resolution from the  
207 United State Geological Survey (USGS) available with the Weather Research and  
208 Forecasting model (Attada et al. 2018) over the study region. Figure 1c shows mean JJAS  
209 rainfall at 0.1-degree spatial resolution from 16-years TRMM/PR data (TRMM-PR  
210 (Precipitation Radar) Precipitation System Dataset Version 2.2; Hirose et al. 2009,  
211 2017a,b; Hirose and Okada 2018). Similar to Fig. 1 in Shige et al. (2017), a climatological  
212 relationship between topography and rainfall around Karnataka is examined here using  
213 the TRMM/PR data. Figure 1d shows cross-shore distribution of rainfall and topography  
214 average across the rectangular box selected over the Western Ghats (Fig. 1c). The  
215 maximum value of rainfall is obtained mostly over the coastal and windward side of the

216 mountainous regions. Rainfall values are decreased noticeably in the NIK and SIK rain  
217 shadow regions that are also represented by the mean TRMM-PR rainfall (Fig. 1c).

218

## 219 *2.2 JAXA GSMaP Rainfall*

220 With the notable success of the TRMM, National Aeronautics and Space  
221 Administration (NASA) and JAXA have launched the GPM Core Observatory in early  
222 2014 to provide latest generation of satellite-based near real-time precipitation and  
223 snowfall estimates (Hou et al. 2014; Skofronick-Jackson et al. 2017). The GSMaP rainfall  
224 product has been developed by the JAXA as the Japanese GPM standard product  
225 (Kubota et al. 2020). Core algorithms of the GSMaP products are based on those  
226 provided by the GSMaP project: passive microwave (PMW) precipitation retrieval  
227 algorithm, PMW-IR (InfraRed) combined algorithm and gauge-adjustment algorithm. The  
228 GSMaP algorithm consists of the following steps: 1) calculating the rainfall rate from PMW  
229 sensors (Kubota et al. 2007; Aonashi et al. 2009; Shige et al. 2009) with ancillary data  
230 including global objective analysis data provided by the Japan Meteorological Agency; 2)  
231 using Morphing technique to propagate rainfall-affected area; 3) refining the estimated  
232 data using Kalman filter approach (Ushio et al. 2009); 4) adjusting rain rates using the  
233 National Oceanic and Atmospheric Administration (NOAA) CPC unified gauge-based  
234 analysis of global daily rainfall (Mega et al. 2019). The spatial distribution of NOAA/CPC  
235 gauges (Chen et al. 2008) over study region are shown in Fig. 1a (as black star). The  
236 rainfall retrieval algorithms of JAXA GSMaP have been upgraded further in the GPM-era  
237 as described in Kubota et al. (2020). Heavy rainfall associated with shallow orographic  
238 rainfall systems was underestimated by the GSMaP algorithms owing to weak ice

239 scattering signatures (Kubota et al. 2009, Shige et al. 2013). Therefore, orographic rainfall  
240 estimation method using the global objective analysis data was developed exclusively  
241 and installed in the GSMaP PMW algorithm (Shige et al. 2013, 2014; Yamamoto and  
242 Shige 2015; Yamamoto et al. 2017).

243 The GSMaP rainfall estimates are available at three levels, known as near-real-  
244 time, real-time, and standard products. The near-real-time and real-time GSMaP products  
245 are available to the public with 0 and 4 hours latency, respectively (Kubota et al. 2020).  
246 The GSMaP\_MVK and the GSMaP\_Gauge is categorized as the standard product with  
247 3 days latency. The GSMaP\_Gauge (defined as GSMaP\_G in figures) and GSMaP\_MVK  
248 version 7 rainfall products are used in this study available from JAXA webpage  
249 (<https://www.gportal.jaxa.jp/gp>). In the version 7 algorithm, the orographic rainfall  
250 estimation method by Yamamoto et al. (2017) was used for all sensors (Kubota et al.  
251 2020). The GSMaP\_Gauge is adjusted by the global rain gauges derived from the  
252 NOAA/CPC, while the GSMaP\_MVK is without rain gauges adjustments. Both products  
253 have the same spatial and temporal resolution, which is 0.1 degree and 1 hour with  
254 coverage between 60°N and 60°S. The KSNDMC gauges are not part of NOAA/CPC  
255 gauges.

256

### 257 *2.3 IMERG Rainfall*

258 The IMERG rainfall product has been developed as the United States GPM  
259 standard product (Huffman et al. 2020), and the IMERG has several advantages over  
260 other satellite rainfall products, such as wide spatial representation (60°N – 60°S) of  
261 precipitation, fine spatio-temporal resolutions and additional snowfall observations

262 (Anjum et al. 2018). The IMERG rainfall is the combination of features of three multi-  
263 satellite precipitation products including (1) TMPA, (2) CMORPH, and (3) PERSIANN  
264 (Precipitation Estimation from Remotely Sensed Information using Artificial Neural  
265 Networks; Sorooshian et al. 2000). IMERG product used all constellations of microwave  
266 sensors, IR-based observations from geosynchronous satellites and monthly gauge  
267 precipitation data from GPCC rain-gauges (Schneider et al. 2014) to correct the bias of  
268 satellite retrievals over the land (Huffman et al. 2015, 2020; Sharifi et al. 2018). IMERG  
269 rainfall estimates are available at three levels, known as early, late and final stage IMERG  
270 products. Early and Late IMERG products provide near real-time rainfall estimates, and  
271 are available to the public with 6 and 18 hours latency, respectively (Tan and Duan 2017).  
272 The final product is calibrated with the GPCC monthly data, and provides post real-time  
273 rainfall estimates after around 4 months of data retrieval. All IMERG products are  
274 available at same spatial ( $0.1^\circ$ ) and temporal (half-hourly, daily and monthly temporal  
275 scales) resolutions. The IMERG final products with 30 minutes frequency are used in this  
276 study.

277

### 278 **3. Methodology**

279 The data assimilation for most weather applications is usually an under-sampling  
280 problem in which numbers of grid points are higher on the analysis grid (e.g. satellite  
281 retrievals) than observations (here rain gauges) (Daley, 1997). In the direct assimilation  
282 systems, like Cressman analysis (Cressman, 1959) or successive correction methods  
283 (Bratseth, 1986) in objective analysis, observation information is simply spread to the  
284 analysis grid point through interpolation of observation within a radius of influence (ROI)

285 without considering inconsistencies (both observation and background errors) in input  
 286 parameters. Whereas, the objective of the optimal interpolation and variational method  
 287 are to minimize the cost function that measures the distance between background (here  
 288 satellites derived rainfall) and observation (here rain gauge) (Daley, 1997). The variational  
 289 method spreads observation information to analyze grid points using iterative  
 290 minimization of the cost function and based upon the background and observation error.  
 291 The background and observation errors are uncertainties in the satellite and rain gauge  
 292 data, respectively. An optimal analysis can be prepared using 2D-Var assimilation method  
 293 by an accurate specification of covariance matrices, due to strong dependence upon  
 294 these error covariances (Xie et al. 2002; Tyndall 2008, 2010).

295 The variational technique minimizes a cost function iteratively to compute analysis  
 296 ( $x_a$ ). In 2D-Var methodology, the cost (penalty) function  $J(x_a)$  is made up of two  
 297 components:

$$298 \quad J(x_a) = J_b + J_o \quad (1)$$

299 where, the term  $J_b$  penalizes the analysis for differences between the analysis ( $x_a$ ) and  
 300 the GSMaP rainfall considered here as a background field, and the term  $J_o$  penalizes the  
 301 analysis for the difference between the analysis ( $x_a$ ) and the rain gauge observations  
 302 defined as:

$$303 \quad 2J(x_a) = (x_a - x_b)^T P_b^{-1} (x_a - x_b) + (H(x_a) - y_o)^T P_o^{-1} (H(x_a) - y_o) \quad (2)$$

304 where,  $x_a$  is the analysis variable,  $x_b$  is the background field taken from GSMaP\_Gauge  
 305 or GSMaP\_MVK rainfall product,  $P_b$  and  $P_o$  are the background and observation error  
 306 covariances respectively,  $y_o$  is the observation vector taken from rain gauge  
 307 observations, and  $H$  is the forward transform interpolation operator which interpolates

308 analysis grid points to the observation values. Initially, background and observation error  
 309 covariance are considered as diagonal matrices with values of fixed diagonal elements  
 310 as 4 mm day<sup>-1</sup> and 1 mm day<sup>-1</sup>, respectively. The computational expense of the analysis  
 311 can be reduced by reformulating the variational equation (2) in observation space using  
 312 Sherman-Morrison-Woodbury Inversion formula (Lorenz 1986). Equation (2) should be  
 313 minimized with respect to analysis ( $x_a$ ) to find the minimum penalty between the GSMaP  
 314 rainfall and gauge observations:

$$315 \quad \frac{\partial}{\partial x_a} J(x_a) = 0 \quad (3)$$

316 The analysis solution is given as

$$317 \quad x_a = x_b + P_b H^T \mu \quad \text{and} \quad y_o - H(x_b) = (HP_b H^T + P_o) \mu \quad (4)$$

$$318 \quad \text{or equivalently, } x_a = x_b + K_t(y_o - H(x_b)) \text{ and } K_t = P_b H^T (HP_b H^T + P_o)^{-1} \quad (5)$$

319 Here,  $K_t$  is known as Kalman gain at  $t$  time step.

320 Further, in place of using fixed diagonal background error covariance, Kalman filter  
 321 method is implemented to update background error at  $t$  time step.

$$322 \quad P_a^t = (I - K_t H_t) P_b^t \quad (6)$$

323 Here,  $P_a^t$  and  $P_b^t$  are analysis and background error at  $t$  time step,  $H_t$  is forward transform  
 324 operator at time  $t$ . Initially at first time-step,  $P_b^t$  is considered as a fixed diagonal matrix.  
 325 The estimated analysis error ( $P_a^t$ ) obtained from equation (6) is used to compute  
 326 background error for  $t+1$  time-step using

$$327 \quad \widehat{P_b^{t+1}} = MP_a^t M^T + Q \quad (7)$$

328 In this study,  $M$  is considered as an identity matrix and  $Q$  is considered as zero matrix for  
 329 simplicity and complex behavior of rainfall prediction, and may be a scope for future  
 330 research.

331 Further, a hybrid background error is used for 2D-Var assimilation in which updated  
332 background error is computed using

$$333 \quad P_b^{t+1} = w_1 \times P_b^{t=0} + w_2 \times \widehat{P_b^{t+1}} \quad , \text{where } w_1 = 0.3, \text{ and } w_2 = 0.7 \quad (8)$$

334 Finally, the hybrid assimilation method is performed here to generate merge rainfall  
335 product using 2D-Var method with the flow dependent background error matrix using  
336 Kalman filter.

337

### 338 **3. Results and Discussions**

#### 339 *3.1. Comparison of GSMaP\_MVK and GSMaP\_Gauge rainfall against KSNDMC gauges*

340 The spatial distribution of mean rainfall (in mm day<sup>-1</sup>) during JJAS from KSNDMC  
341 gauges, GSMaP\_Gauge V7, and GSMaP\_MVK V7 rainfall product for the year 2016—  
342 2018 is shown in Fig. 2. The all India (southern peninsula) rainfall in 2016, 2017 and 2018  
343 was 97 (92), 95 (100) and 91 (98) percent of the long period average (LPA; the average  
344 rainfall recorded during the months from June to September in the past 50-year period)  
345 rainfall from IMD gauges, respectively (IMD Annual Report; [www.imd.gov.in](http://www.imd.gov.in)). The years  
346 of 2016-2018 represent varying rainfall distribution over the Western Ghats from deficit,  
347 normal and above normal in years 2016, 2017 and 2018, respectively (Figs. 2a-2c). Large  
348 differences are observed in spatial rainfall distribution during years 2017 and 2018 over  
349 the Western Ghats and NIK regions, whereas both years are normal rainfall years  
350 according to IMD LPA rainfall. Figures 2a-2c show that in general high rainfall observed  
351 in the Coastal and Malnad regions during JJAS. However, the mean rainfall is less over  
352 NIK and SIK regions due to their occurrence in rain shadow regions of the Western Ghats.  
353 The spatial distribution of the GSMaP\_Gauge rainfall for the same JJAS period for 2016

354 (Fig. 2d), 2017 (Fig. 2e) and 2018 (Fig. 2f) suggest that GSMaP\_Gauge rainfall products  
355 have less error as compared to gauge observations. However, the large magnitudes of  
356 rainfall over the Western Ghats regions are underestimated in the GSMaP\_Gauge rainfall  
357 product. It suggests a need of correction in GSMaP rainfall product over mountainous  
358 regions. Takido et al. (2016) also detected that GSMaP\_Gauge still underestimated the  
359 precipitation intensity in high-elevation regions over Japan. Authors suggested  
360 improvements with higher resolution gauge-based network data than the NOAA/CPC  
361 gauge data. Similarly, inadequate distributions of the NOAA/CPC gauge data can lead to  
362 the underestimation of the rainfall over the Western Ghats regions (Fig. 1a). The spatial  
363 distribution of GSMaP\_MVK rainfall (Figs. 2g-2i) suggests that this rainfall product has  
364 less skill over the orographic heavy rainfall regions. In comparison to GSMaP\_Gauge  
365 rainfall product (Figs. 2d-2f) which has less error against KSNDMC gauges,  
366 GSMaP\_MVK rainfall product has slightly higher error against KSNDMC gauges over the  
367 Malnad and coastal regions. Both GSMaP\_Gauge and GSMaP\_MVK rainfall products  
368 are able to capture low rainfall over the NIK and SIK regions. These analyses suggest  
369 that both rainfall products need further improvement in general and over the mountainous  
370 regions, in particular. As noted in Section 2.2, the rainfall estimates over the orographic  
371 heavy rainfall regions are inherently problematic and the orographic rainfall estimation  
372 methods have been developed and installed in the GSMaP PMW algorithm. Hirose et al.  
373 (2019) showed that the GSMaP PMW algorithm with the orographic rainfall estimation  
374 method were able to estimate the heavy rainfall band well, but the issue persists in the  
375 GSMaP due to unavailability of microwave satellite measurements. Nevertheless, the

376 current results suggest the methods need to be improved further through some more  
 377 suitable data driven analysis such as hybrid assimilation method.

378 Further, the BIAS (mean difference), NBIAS (BIAS normalized by total rainfall) and  
 379 RMSD (root-mean-square difference) statistics used for error estimations are defined as

$$380 \quad BIAS = \frac{1}{N} \sum_{i=1}^N (rain_i^{sat} - rain_i^{gauge}) \quad (9)$$

$$381 \quad NBIAS = \frac{1}{N} \sum_{i=1}^N \left( \frac{rain_i^{sat} - rain_i^{gauge}}{rain_i^{sat} + rain_i^{gauge}} \right) \quad (10)$$

$$382 \quad RMSD = \sqrt{\frac{1}{N} \sum_{i=1}^N (rain_i^{sat} - rain_i^{gauge})^2} \quad (11)$$

383 where,  $rain_i^{sat}$  and  $rain_i^{gauge}$  represent rainfall from GSMaP rainfall product and  
 384 KSNDMC gauge observations, respectively. The total number of data points represented  
 385 by  $N$ . Figure 3 shows the scatter plot of GSMaP\_Gauge (upper panel) and GSMaP\_MVK  
 386 (lower panel) rainfall product against KSNDMC rain gauges for JJAS 2016—2018. The  
 387 blue and red lines represent the 45° reference line and best fit line using least square  
 388 method, respectively. The value of RMSD is 9.5, 10.4 and 12.2 mm day<sup>-1</sup> for 2016, 2017  
 389 and 2018, respectively, when GSMaP\_Gauge rainfall product is compared with KSNDMC  
 390 gauge observations (Figs. 3a-3c). The value of BIAS is 0.5, -0.1, and -1.3 mm day<sup>-1</sup> for  
 391 these years, respectively, with correlation of around 0.7. The numbers of collocations are  
 392 around 0.7 to 0.8 million for the years of 2016-2018. The value of RMSD (BIAS) is 12.1  
 393 (-1.7), 12.8 (-1.6), and 16.2 (-1.9) mm day<sup>-1</sup> for JJAS 2016, 2017, and 2018, respectively  
 394 in GSMaP\_MVK rainfall product (Figs. 3d-3f). Slightly less correlation (~ 0.51) is found in  
 395 GSMaP\_MVK rainfall product as compared to GSMaP\_Gauge rainfall product that  
 396 suggest the importance of gauge calibration in the GSMaP\_Gauge rainfall product.  
 397 Moreover, these statistics are almost similar for different monsoon years (varies from

398 deficit to above normal years) that suggest some inherent limitations of the both selected  
399 GSMaP rainfall product over the Karnataka region. The daily area average rainfall  
400 variation from KSNDMC rain gauges, and corresponding GSMaP\_Gauge and  
401 GSMaP\_MVK rainfall product for JJAS 2016—2018 suggests that slightly larger errors  
402 are found in the GSMaP\_MVK rainfall product as compared to GSMaP\_Gauge rainfall  
403 product. It is important to mention here that both operational GSMaP rainfall products are  
404 able to capture the active and break phase of diverse monsoon years (Figure not shown).

405 To evaluate errors in both operational GSMaP rainfall products, comparison of  
406 GSMaP rainfall is extended for different IMD rainfall classification. These IMD rainfall  
407 classifications are majorly based on intensity of daily rainfall and it divides daily rainfall  
408 into eight different categories varying from *no rain* to *extremely heavy rain* (Table 1; IMD  
409 Glossary). Figure 4 shows RMSD and NBIAS in both operational GSMaP rainfall products  
410 during JJAS 2016—2018. Results suggest that RMSD varies from 2-13 mm day<sup>-1</sup> for no  
411 rain, very light rain, light rain, and moderate rain classifications (Fig. 4a). A negative  
412 NBIAS is found for different rainfall classifications except no rain and very light rain  
413 classifications (Fig. 4b). The negative values of NBIAS suggests underestimation of  
414 rainfall in both operational GSMaP rainfall products as compared to KSNDMC gauge  
415 observations. For light, moderate, rather heavy and heavy rain classifications,  
416 GSMaP\_Gauge product have less NBIAS as compared to GSMaP\_MVK rainfall for all  
417 years of 2016-2018. It is important to mention that for few pixels, GSMaP rainfall products  
418 also incorrectly classify *no rain* regions as rainy pixels. The RMSD values are very high  
419 for rather heavy, heavy rain, very heavy rain, and extremely heavy rain classifications,  
420 and ranges from 50-250 mm day<sup>-1</sup> with negative values of NBIAS (-0.4 to -0.7 for

421 GSMaP\_MVK rainfall). It also suggests that both operational GSMaP rainfall products are  
422 erroneous mainly over orographic heavy rainfall regions, which are prone to heavy rainfall  
423 over Karnataka. Moreover, the GSMaP\_Gauge rainfall product has less RMSD and  
424 NBIAS as compared to GSMaP\_MVK rainfall product for different rainfall classifications,  
425 except very heavy and *extremely heavy rainfall* classifications. The density plot of both  
426 operational GSMaP rainfall product against KSNDMC gauges also suggest that  
427 GSMaP\_Gauge rainfall is closer to observations for low rainfall threshold ( $< 20 \text{ mm day}^{-1}$ ),  
428 whereas both operational GSMaP rainfall products have almost same distribution for high  
429 rainfall thresholds, far from gauges (Figure not shown). It suggests that sparse network  
430 of rain gauges over mountainous regions, reduces accuracy of GSMaP\_Gauge over  
431 Western Ghats region.

432 The error statistics of both operational GSMaP rainfall products for different  
433 regions are presented in Table 2. Results suggest that GSMaP\_MVK rainfall has large  
434 negative BIAS (13 to 25 mm day<sup>-1</sup>) over the coastal region with the value of RMSD varying  
435 from 25 to 38 mm day<sup>-1</sup>. The correlation coefficient is around 0.58, 0.37, and 0.58 for  
436 years 2016, 2017, and 2018, respectively. The values of NBIAS are high for coastal  
437 regions in year 2018 as compared to year 2016. The large BIAS is corrected in  
438 GSMaP\_Gauge rainfall product over the coastal region to some extent, and values of  
439 BIAS (1 to 8 mm day<sup>-1</sup>) and RMSD (18 to 25 mm day<sup>-1</sup>) are improved significantly for the  
440 years of 2016-2018. Similar to the coastal region, Malnad region (Fig. 1a) also shows  
441 large errors in both operational GSMaP rainfall products. The values of BIAS, NBIAS and  
442 RMSD are slightly less in Malnad region as compared to coastal region, but correlation  
443 coefficient is less for different years. Both NIK and SIK regions show less error in GSMaP

444 rainfall products. The value of RMSD (BIAS) is less than 10 (1) mm day<sup>-1</sup> for different  
445 years and correlation coefficient is around 0.6. For the years of 2016-2018,  
446 GSMaP\_Gauge data have better skill as compared to GSMaP\_MVK rainfall in NIK and  
447 SIK regions, which confirms its superiority for all regions due to calibration of  
448 GSMaP\_Gauge rainfall with the NOAA gauge analysis (Fig. 1a).

449 These preliminary verification results suggest the need for further rain gauge  
450 adjustment of GSMaP rainfall over Malnad and coastal regions. The hybrid assimilation  
451 method is implemented here to generate new GSMaP rainfall product over Karnataka,  
452 southwestern India. The verification of new GSMaP rainfall products is presented in the  
453 next sub-section.

454

### 455 *3.2. Evaluation of GSMaP\_MVK\_NEW and GSMaP\_Gauge\_NEW rainfall*

456 The randomly selected 50% rain gauges (defined as training gauges) from the  
457 average network of around 6100 rain gauges over Karnataka are used to prepare new  
458 merge GSMaP rainfall product (defined as GSMaP\_Gauge\_NEW and  
459 GSMaP\_MVK\_NEW) using hybrid assimilation method. In this hybrid method, a  
460 variational method is used to prepare gauge-adjusted GSMaP rainfall and Kalman filter  
461 is used to estimate flow of background error in satellite rainfall (discussed in Section 3).  
462 The remaining 50% rain gauges (defined as verification gauges) are used for independent  
463 verification of different rainfall products. Figure 5 shows the scatter plot of  
464 GSMaP\_Gauge, GSMaP\_Gauge\_NEW, GSMaP\_MVK, GSMaP\_MVK\_NEW against  
465 training gauges, which are used to prepare GSMaP\_Gauge\_NEW (Figs. 5b,f,j) and  
466 GSMaP\_MVK\_NEW (Figs. 5d,h,l) rainfall product. The error statistics provide the sanity

467 check to recognize that after merging training gauges in both operational GSMaP rainfall  
468 products, the new rainfall products are closer to observations and demonstrate successful  
469 assimilation of the training gauges. Results suggest that GSMaP\_Gauge rainfall has  
470 RMSD (BIAS) of 9.6 (0.4), 10.5 (-0.2), and 12.5 (-1.4) mm day<sup>-1</sup> for JJAS 2016 (Fig. 5a),  
471 2017 (Fig. 5e), and 2018 (Fig. 5i), respectively. These error statistics are reduced to 3.9  
472 (0.1), 4.2 (-0.0), and 4.7 (-0.2) mm day<sup>-1</sup>, respectively for JJAS 2016 (Fig. 5b), 2017 (Fig.  
473 5f), and 2018 (Fig. 5j). The values of BIAS are close to zero after hybrid assimilation due  
474 to the bias correction step implemented in the variational assimilation method. The value  
475 of correlation coefficient has increased from around 0.7 in GSMaP\_Gauge to 0.96 in  
476 GSMaP\_Gauge\_NEW rainfall. The number of training gauges observations are almost  
477 0.35 million for different years. These statistics suggest that after merging of training  
478 gauges in GSMaP rainfall product by hybrid assimilation method, new rainfall products  
479 are closer to training gauges and supports successful ingestion of ground observations.  
480 Similar to GSMaP\_Gauge rainfall product, error statistics for GSMaP\_MVK rainfall  
481 product is also improved from 12.3 (-1.8), 13.0 (-1.6), and 16.5 (-2.1) mm day<sup>-1</sup> for JJAS  
482 2016 (Fig. 5c), 2017 (Fig. 5g), and 2018 (Fig. 5k), respectively to 4.1 (-0.2), 4.4 (-0.2),  
483 and 4.9 (-0.3) mm day<sup>-1</sup> in GSMaP\_MVK\_NEW (Figs. 5d,h,l) rainfall product. The value  
484 of correlation coefficient is also improved from around 0.52 in GSMaP\_MVK rainfall  
485 product to 0.96 in GSMaP\_MVK\_NEW rainfall product. These statistics suggest that after  
486 merging of training gauges with GSMaP\_MVK rainfall product, the new rainfall products  
487 are closer to assimilated observations (training gauges) and support successful  
488 assimilation of the ground observations.

489 After initial verification of operational and new GSMaP rainfall products, these  
490 rainfall products are also compared with verification gauges that can be considered as  
491 independent verification. Results suggest that GSMaP\_Gauge rainfall has RMSD (BIAS)  
492 of 9.4 (0.5), 10.3 (-0.1), and 11.9 (-1.2) mm day<sup>-1</sup> for JJAS 2016 (Fig. 6a), 2017 (Fig. 6e),  
493 and 2018 (Fig. 6i), respectively. These error statistics are changed to 6.8 (0.1), 7.4 (-0.1),  
494 and 8.1 (-0.4) mm day<sup>-1</sup>, respectively in GSMaP\_Gauge\_NEW rainfall product for JJAS  
495 2016 (Fig. 6b), 2017 (Fig. 6f), and 2018 (Fig. 6j). The value of correlation coefficient has  
496 increased from around 0.7 in GSMaP\_Gauge to 0.86 in GSMaP\_Gauge\_NEW rainfall.  
497 The numbers of verification gauges are almost similar to the number of training gauges  
498 for different years. These results suggest that new rainfall products have less error as  
499 compared to operational GSMaP rainfall products when compared with verification  
500 gauges. Similar to GSMaP\_Gauge rainfall product, error statistics for GSMaP\_MVK  
501 rainfall product is also improved from 11.9 (-1.6), 12.7 (-1.5), and 15.6 (-1.8) mm day<sup>-1</sup> for  
502 JJAS 2016 (Fig. 6c), 2017 (Fig. 6g), and 2018 (Fig. 6k), respectively to 7.4 (-0.4), 8.2  
503 (-0.5), and 8.9 (-0.5) mm day<sup>-1</sup> in GSMaP\_MVK\_NEW (Figs. 6d,h,l) rainfall product. The  
504 values of correlation coefficient are also improved from around 0.53 in GSMaP\_MVK  
505 rainfall product to around 0.82 in GSMaP\_MVK\_NEW rainfall product. These statistics  
506 suggest that new rainfall products have better statistics with verification gauges as  
507 compared to GSMaP\_MVK operational rainfall product. It is also important to discuss here  
508 that the larger improvements are found in GSMaP\_MVK rainfall product as compared to  
509 GSMaP\_Gauge rainfall product that may be due to calibration of GSMaP\_Gauge rainfall  
510 with the NOAA/CPC gauges in operational production.

511 Figure 7 shows the spatial distribution of the improvement parameter ( $IP$ ) for  
 512 GSMaP\_Gauge\_NEW and GSMaP\_MVK\_NEW rainfall product compared to operational  
 513 GSMaP\_Gauge and GSMaP\_MVK rainfall product when compared with verification  
 514 gauges. The  $IP$  is defined as

$$\begin{aligned}
 515 \quad IP &= \left| \frac{1}{N} \sum_{i=1}^N (GSMaP_{GaugeorMVK} - KSNDMC_{ver}) \right| \\
 516 \quad &- \left| \frac{1}{N} \sum_{i=1}^N (GSMaP_{Gauge\_NEWorMVK\_NEW} - KSNDMC_{ver}) \right| \quad (12)
 \end{aligned}$$

517 where, GSMaP\_Gauge or GSMaP\_MVK rainfall product is defined as  $GSMaP_{GaugeorMVK}$ ,  
 518 GSMaP\_Gauge\_NEW or GSMaP\_MVK\_NEW rainfall product is defined as  
 519  $GSMaP_{Gauge\_NEWorMVK\_NEW}$ , total number of collocations are defined as  $N$ , verification  
 520 gauges are defined as  $KSNDMC_{ver}$ . The positive (negative) value of  $IP$  corresponds to  
 521 improvement (degradation) of the GSMaP\_Gauge\_NEW or GSMaP\_MVK\_NEW rainfall  
 522 product as compared to GSMaP\_Gauge or GSMaP\_MVK rainfall product. Figures 7a-7c  
 523 show positive value of improvement parameters over Karnataka for the years of 2016-  
 524 2018. These improvements are more prominent over the Western Ghats region for  
 525 GSMaP\_Gauge rainfall with few pockets of degradation. The domain average value of  $IP$   
 526 is positive that suggests that quality of GSMaP rainfall products are improved with the  
 527 ingestion of training gauges when compared with verification gauges. These positive  
 528 improvements are more prominent for GSMaP\_MVK rainfall products (Figs. 7d-7f) that  
 529 may be due to absence of the NOAA gauge calibration in this rainfall product. The spatial  
 530 distribution of  $IP$  for different years suggests that the maximum positive impact is  
 531 observed over the Western Ghats regions. The values of  $IP$  for GSMaP\_Gauge\_NEW  
 532 are largest for JJAS 2018 and smallest for JJAS 2016 over the Western Ghats. However,

533 the values of  $IP$  are almost similar for GSMaP\_MVK\_NEW rainfall for different years.  
534 Results also suggest that in addition to coastal and Western Ghats regions, NIK and SIK  
535 regions show improvement for different years.

536 In addition to comparison of different rainfall products against verification gauges,  
537 these new rainfall products are also compared with IMERG final rainfall product. IMERG  
538 final rainfall product uses GPCP gauge analysis to calibrate merge rainfall products. As  
539 described in Schneider et al. (2014), the GPCP uses two rain gauge sources in addition  
540 to the NOAA CPC (used in the GSMaP). Dinku et al. (2008) found that the GPCP product  
541 has better overall statistics as compared to the NOAA CPC over a mountainous region of  
542 Africa. Earlier studies suggest that IMERG final products have sufficient skill over tropical  
543 regions and this dataset can be considered as an independent source for verification. The  
544 JAXA operational and new GSMaP rainfall products are also compared with IMERG final  
545 rainfall products for years 2016—2018. Results suggest that GSMaP\_Gauge rainfall has  
546 RMSD (BIAS) of 9.8 (-0.6), 8.8 (0.0), and 8.8 (-0.5) mm day<sup>-1</sup> for JJAS 2016 (Fig. 8a),  
547 2017 (Fig. 8e), and 2018 (Fig. 8i), respectively. These error statistics are changed to 9.9  
548 (-0.9), 9.3 (0.0), and 9.9 (0.4) mm day<sup>-1</sup>, respectively for JJAS 2016 (Fig. 8b), 2017 (Fig.  
549 8f), and 2018 (Fig. 8j). The value of correlation coefficient is slightly more for  
550 GSMaP\_Gauge\_NEW as compared to GSMaP\_Gauge rainfall. However, slightly larger  
551 values of RMSD and BIAS are found in new rainfall products as compared to operational  
552 GSMaP rainfall products. These results suggest that new rainfall products have negligible  
553 to very small changes as compared to operational GSMaP rainfall products when  
554 compared with IMERG final rainfall. The error statistics for GSMaP\_MVK rainfall product  
555 is improved from 10.9 (-2.7), 9.7 (-1.4), and 13.2 (-1.1) mm day<sup>-1</sup> for JJAS 2016 (Fig. 8c),

556 2017 (Fig. 8g), and 2018 (Fig. 8k), respectively to 9.9 (-1.5), 9.2 (-0.4), and 10.3 (0.3) mm  
 557 day<sup>-1</sup> in GSMaP\_MVK\_NEW (Figs. 8d,h,l) rainfall product. The values of correlation  
 558 coefficient are also improved from around 0.64 in GSMaP\_MVK rainfall product to around  
 559 0.71 in GSMaP\_MVK\_NEW rainfall product for JJAS 2016 and 2017, with larger  
 560 improvements in JJAS 2018. These statistics suggest that new rainfall products have less  
 561 error with IMERG final data as compared to GSMaP\_MVK operational rainfall product. It  
 562 is also important to discuss here that the large improvements are found in GSMaP\_MVK  
 563 rainfall when compared with IMERG final data, whereas, negligible to little changes are  
 564 found for GSMaP\_Gauge rainfall. It is important to mention here that the new GSMaP  
 565 rainfall products have higher correlation with verification gauges as well as IMERG final  
 566 data that supports the improved skill of rainfall product after hybrid assimilation of training  
 567 gauges.

568 To evaluate the skill of operational and new GSMaP rainfall products, these data  
 569 are also compared with verification gauges for different IMD classifications. In addition to  
 570 *IP* defined in equation (12), absolute NBIAS are also used to understand the quality of  
 571 new rainfall products as compared to operational GSMaP rainfall products. The absolute  
 572 NBIAS parameter is defined as

$$\begin{aligned}
 573 \quad \text{Absolute NBIAS} &= \left| \frac{1}{N} \sum_{i=1}^N \left( \frac{GSMaP_{GaugeorMVK} - KSNDMC_{ver}}{GSMaP_{GaugeorMVK} + KSNDMC_{ver}} \right) \right| \\
 574 \quad &- \left| \frac{1}{N} \sum_{i=1}^N \left( \frac{GSMaP_{GaugeNEWorMVKNEW} - KSNDMC_{ver}}{GSMaP_{GaugeNEWorMVKNEW} + KSNDMC_{ver}} \right) \right| \quad (13)
 \end{aligned}$$

575 Positive (negative) values of absolute NBIAS show improvement (degradation) of new  
 576 rainfall data against operational GSMaP rainfall. Figure 9 shows improvement parameter  
 577 and absolute NBIAS in both GSMaP\_Gauge\_NEW and GSMaP\_MVK\_NEW rainfall

578 products during JJAS 2016—2018. Results suggest that the value of improvement varies  
579 from 2-60 mm day<sup>-1</sup> for different rain classifications (Fig. 9a). Generally, GSMaP\_Gauge  
580 rainfall has less improvement as compared to GSMaP\_MVK rainfall product. It suggests  
581 that due to operational gauge calibration, GSMaP\_Gauge rainfall product is closer to  
582 ground observations. It is also important to note that for all heavy rainfall classifications,  
583 both operational GSMaP rainfall products show large improvement (Fig. 9a). These large  
584 improvements are mainly over the Western Ghats regions, and more noteworthy for years  
585 2017 and 2018. The value of absolute NBIAS in GSMaP\_Gauge is less as compared to  
586 GSMaP\_MVK for different rainfall classifications except very heavy and extremely heavy  
587 rainfall classifications (Fig. 9b). These results suggest substantial improvement in  
588 operational GSMaP rainfall product after implementing hybrid assimilation. It is also  
589 important to note that the areas with higher precipitation show larger improvement.

590 The density plot of rainfall deviation (defined as GSMaP minus rain gauge) for  
591 GSMaP\_Gauge, GSMaP\_Gauge\_NEW, GSMaP\_MVK, and GSMaP\_MVK\_NEW for  
592 years 2016—2018 are shown in Fig. 10. This figure suggests that for different rainfall  
593 thresholds GSMaP\_Gauge\_NEW and GSMaP\_MVK\_NEW rainfall have less error. The  
594 new product is closer to observations for all years as compared to operational GSMaP  
595 rainfall products. The density plot of deviation is shifted towards low rainfall values that  
596 suggest that more numbers of points are closer to observations after assimilation.  
597 However, for high rainfall thresholds both operational GSMaP rainfall products have large  
598 deviations. It suggests that a dense network of rain gauges over orographic heavy rainfall  
599 regions improves the quality of both operational GSMaP rainfall products. Results also  
600 present better performance of GSMaP\_Gauge as compared to GSMaP\_MVK rainfall

601 product for selected study period. Moreover, new rainfall products have better skill for  
602 high rainfall thresholds over Karnataka, India. The hybrid assimilation of additional gauge  
603 observations mainly over the Western Ghats regions are able to capture magnitude of the  
604 complete dynamical range of rainfall (mainly higher rainfall) accurately as compared to  
605 operational GSMaP rainfall products.

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### 607 *3.3. Evaluation of different assimilation method for variable density of rain gauges*

608 The Cressman (Cressman, 1959) and optimal interpolation (Daley, 1997) methods  
609 are also used in this study in addition to hybrid assimilation method to understand the  
610 importance of the hybrid assimilation method. To recognize the need of dense rain gauge  
611 network, total rain gauge stations in the year 2018 are randomly divided as training and  
612 validation gauge stations. Further, the training gauge stations used for data assimilation  
613 are divided in three cases viz. RG1 (all training rain gauge stations), RG2 (50% of training  
614 rain gauge stations), and RG3 (25% of training rain gauge stations). Merge rainfall  
615 product prepared from different assimilation methods (viz. Cressman, Optimal  
616 interpolation and hybrid method) and variable numbers of rain gauge stations (viz. RG1,  
617 RG2, and RG3) in addition to both operational GSMaP rainfall products are compared  
618 with independent validation rain gauge stations for ISM 2018. The radius of influence  
619 (ROI) is considered as 5 km for Cressman method. The fix observation and background  
620 error for optimal interpolation method is same as used for variational assimilation  
621 discussed in section 3. The RMSD values for RG1, RG2, and RG3 with different  
622 assimilation methods are shown in Table 3.

623 Results show that in general merge rainfall products have less error as compared  
624 to both operational GSMaP products. Less RMSD values are noticed in optimal  
625 interpolation method as compared to Cressman method. The reduction of RMSD is more  
626 in hybrid assimilation method as compared to other selected assimilation methods. It  
627 clearly shows the importance of considering flow of background error covariance in hybrid  
628 assimilation method that considered as fix in optimal interpolation method (i.e. B is  
629 considered as diagonal matrices with diagonal elements as  $4 \text{ mm day}^{-1}$  in optimal  
630 interpolation method). Additionally, high-density rain gauge network has large impact on  
631 merge rainfall product. The RMSD values of 11.8 (15.3), 11.4 (14.6), and 10.7 (12.8) mm  
632  $\text{day}^{-1}$  are noticed in the Cressman method generated merge GSMaP\_Gauge  
633 (GSMaP\_MVK) product for RG3, RG2, and RG1 gauges, respectively. It is also important  
634 to mention here that both rain gauge density and assimilation methodology are important  
635 for preparing merge rainfall products. Cressman and optimal interpolation methods show  
636 more effect of dense gauge network for GSMaP\_MVK rainfall products. The values of  
637 RMSD are reduced from 15.3 (13.1) to 12.8 (9.4)  $\text{mm day}^{-1}$  for Cressman (optimal  
638 interpolation) method in GSMaP\_MVK rainfall for RG3 to RG1 gauges, respectively.  
639 However, the impact of the utilized rain gauge numbers is relatively less in hybrid  
640 assimilation method. The values of RMSD is changed from 10.6 to 8.3  $\text{mm day}^{-1}$  for RG3  
641 to RG1 gauges in GSMaP\_MVK merge rainfall for hybrid assimilation method. In general,  
642 the RMSD values are less in GSMaP\_Gauge product, that signify the importance of  
643 operational gauge calibration used in this product.

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645

#### 646 **4. Conclusions**

647 A hybrid assimilation method for merging various rainfall products over a unique-  
648 site with dense gauge observations network over Karnataka region of southwestern India  
649 has been developed and demonstrated. The verification results for four topographically  
650 different regions within study area suggest a large error in GSMaP rainfall over coastal  
651 and Malnad Western-Ghat area, a windward side of the mountainous regions, whereas  
652 GSMaP rainfall is able to capture rainfall patterns over NIK and SIK regions. The  
653 GSMaP\_Gauge rainfall product has more skill as compared to GSMaP\_MVK rainfall over  
654 orographic heavy rainfall regions, and the former has less RMSD and higher correlation.  
655 Present results reconfirm large errors for high rainfall threshold for different IMD rainfall  
656 classifications. These preliminary verifications at daily scale with an independent dense  
657 gauge network suggest that further plausible modifications are possible in operational  
658 GSMaP rainfall products using ground observations mainly over orographic heavy rainfall  
659 regions, the areas well known for their land inhomogeneity. A hybrid assimilation method  
660 is implemented as a combination of variational method and Kalman filter method, in which  
661 rain gauge observations are used to prepare analysis that is an optimal combination of  
662 ground observations and GSMaP rainfall product, and evolution of background error is  
663 simulated using Kalman filter. Results suggest that new GSMaP rainfall analyses are  
664 closer to gauge observations, which are used for optimally combining and show  
665 successful assimilation of gauge observations. Further, these new daily rainfall products  
666 are compared with independent gauge observations and IMERG final rainfall products  
667 calibrated by the GPCC. Results suggest that the new analyses are in better agreement  
668 with the independent observations. Moreover, the distributions of new rainfall products

669 match well with gauge observations. Results are also extended to understand the  
670 importance of dense rain gauge network and different data assimilation methods like  
671 Cressman method, optimal interpolation method in addition to hybrid assimilation method.  
672 These results suggest that both dense rain gauge network and assimilation methods are  
673 important for preparing merge rainfall products. The hybrid assimilation method shows  
674 less error as compared to Cressman and optimal interpolation methods for the impacts  
675 of the utilized rain gauge numbers. In all cases, GSMaP\_Gauge has less error as  
676 compared to GSMaP\_MVK rainfall product. These analyses suggest that an optimal  
677 number of ground-based observations with hybrid assimilation methods have greater  
678 potential to improve satellite-based rainfall estimates. Development of this new daily  
679 gridded rainfall product can be used for various agricultural, hydrological, and  
680 meteorological applications. Moreover, such a merged product is also useful for data  
681 assimilation in the weather models (Kumar 2020), verification of model skills, monitoring  
682 of the monsoon progress and its assessment (in terms of its active and break phases),  
683 calculation of fresh water fluxes over the oceans, etc. In the present hybrid assimilation  
684 method, variation of background error with model error is not considered that may be a  
685 scope for future research. Moreover, precise estimation of observation error is also a  
686 challenging issue that is considered here as a fixed diagonal matrix. The scope of this  
687 study can be further extended with the augmentation in terms of the finer temporal  
688 resolution from daily scale to hourly scale for various hydro-meteorological applications.

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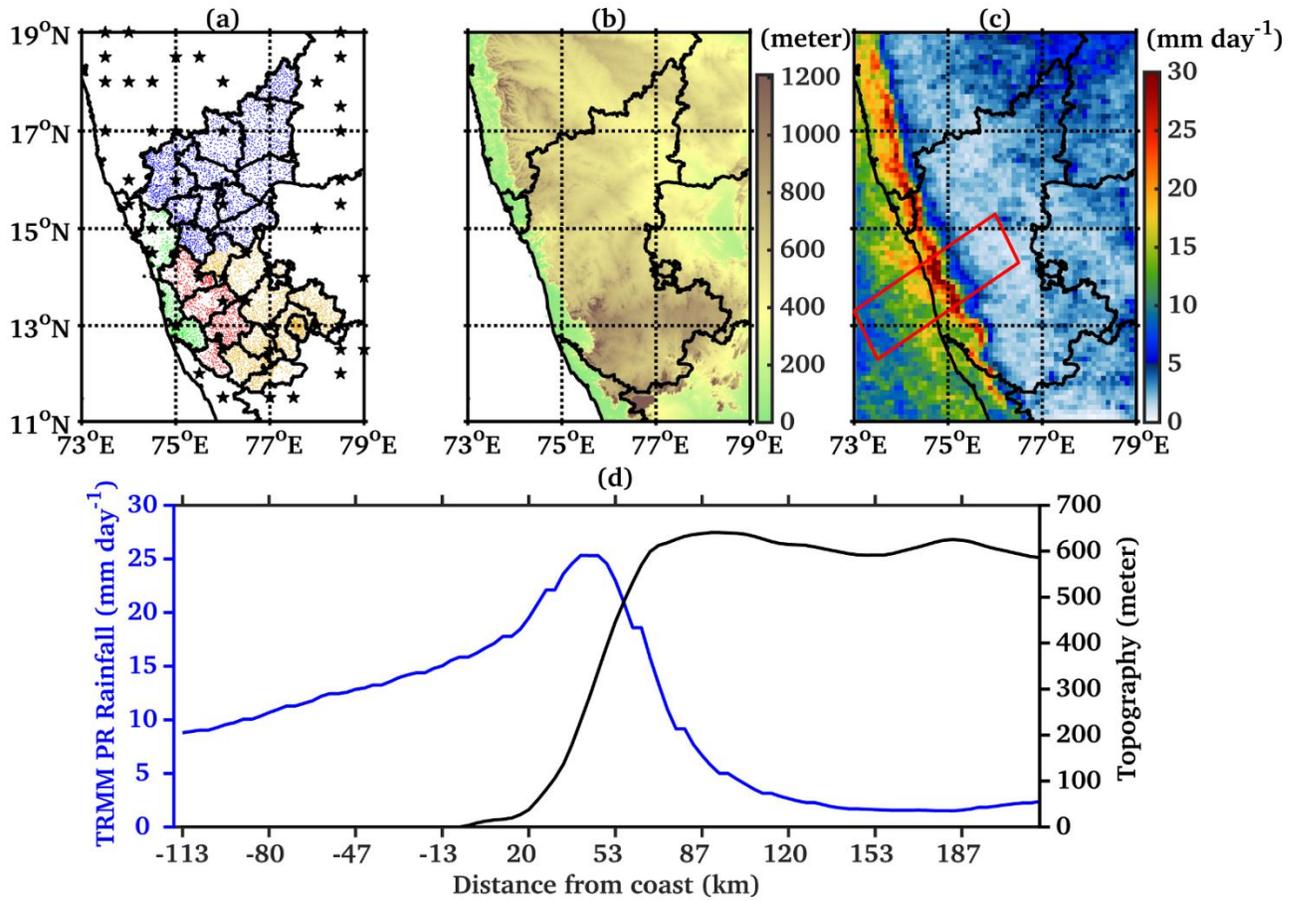
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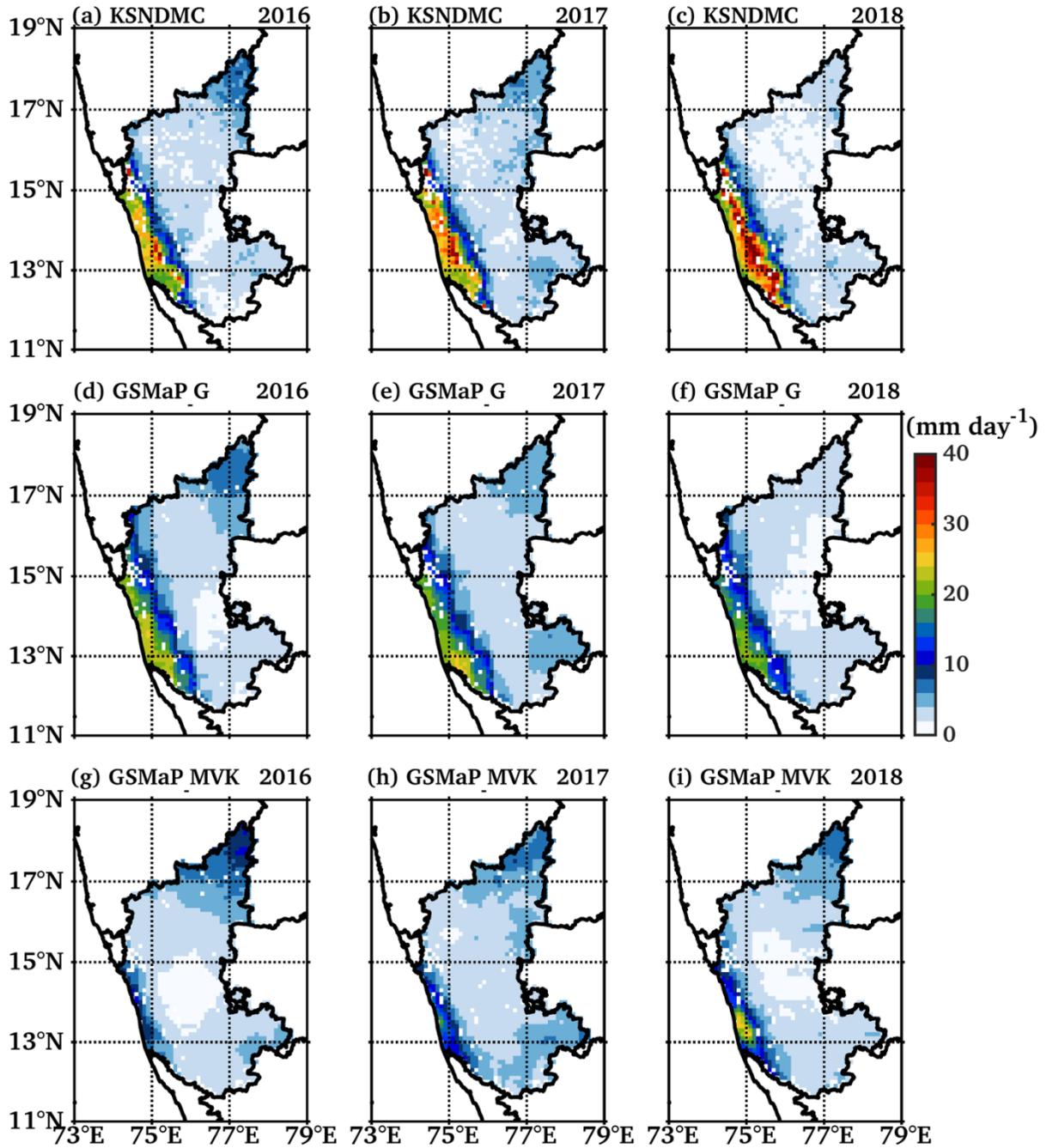
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1009 Fig. 1: Spatial distribution of (a) KSNDMC rain gauge network and NOAA/CPC rain gauge  
1010 network over Karnataka, India. KSNDMC rain gauge stations over COASTAL (650),  
1011 MALNAD (901), NIK (2737) and SIK (2214) regions are shown in green, red, blue and  
1012 yellow dots, respectively. State boundaries of India and district boundaries of Karnataka  
1013 state are shown as black lines. The black star shows location of NOAA/CPC gauges.(b)  
1014 Spatial distribution of topography at 1 km spatial resolution, (c) mean JJAS rainfall at 0.1-  
1015 degree spatial resolution from 13-years TRMM precipitation radar (PR) dataset and box  
1016 covering the Western Ghats and oceanic regions, and (d) the cross-shore distribution of  
1017 rainfall (blue line) and topography (black line) averaged across the red box (c) selected  
1018 over the Western Ghats.

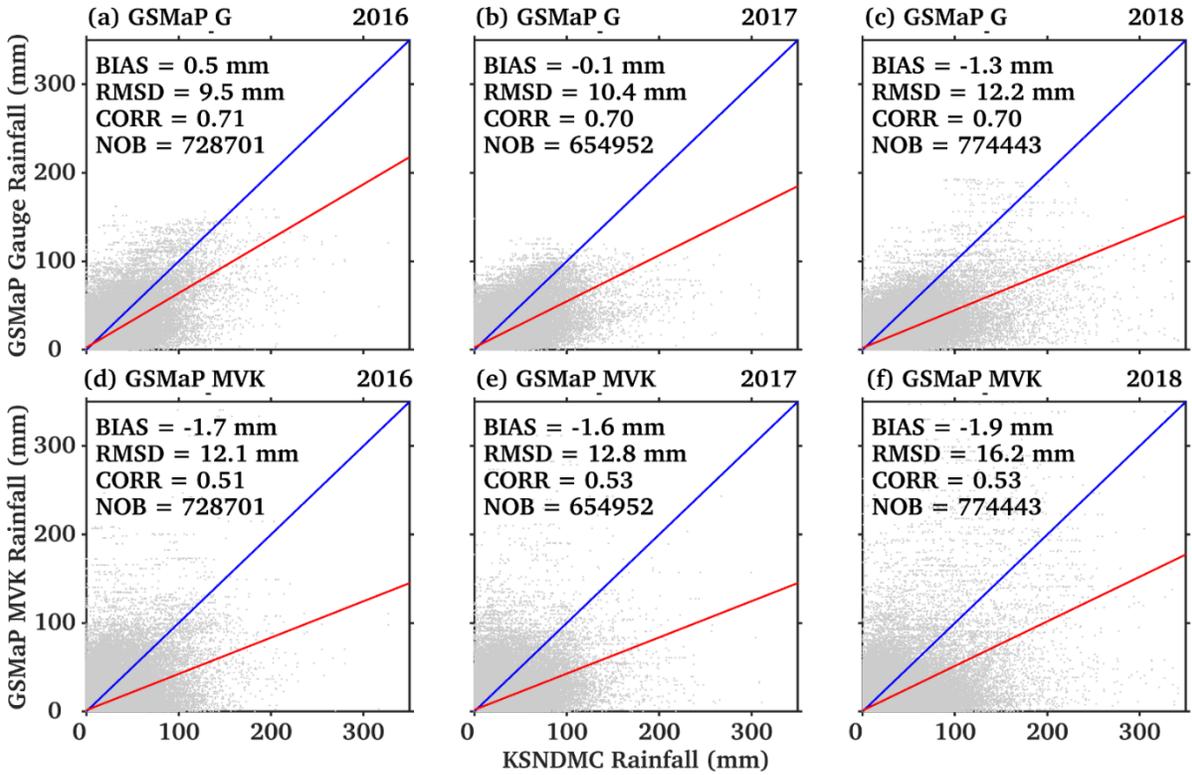
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Fig.2: Spatial distribution of mean rainfall ( $\text{mm day}^{-1}$ ) from KSNDMC rain gauges for (a) JJAS 2016, (b) JJAS 2017, (c) JJAS 2018; GSMaP\_gauge (defined as GSMaP\_G) rainfall for (d) JJAS 2016, (e) JJAS 2017, (f) JJAS 2018; and GSMaP\_MVK rainfall for (g) JJAS 2016, (h) JJAS 2017, (i) JJAS 2018 over Karnataka, India.

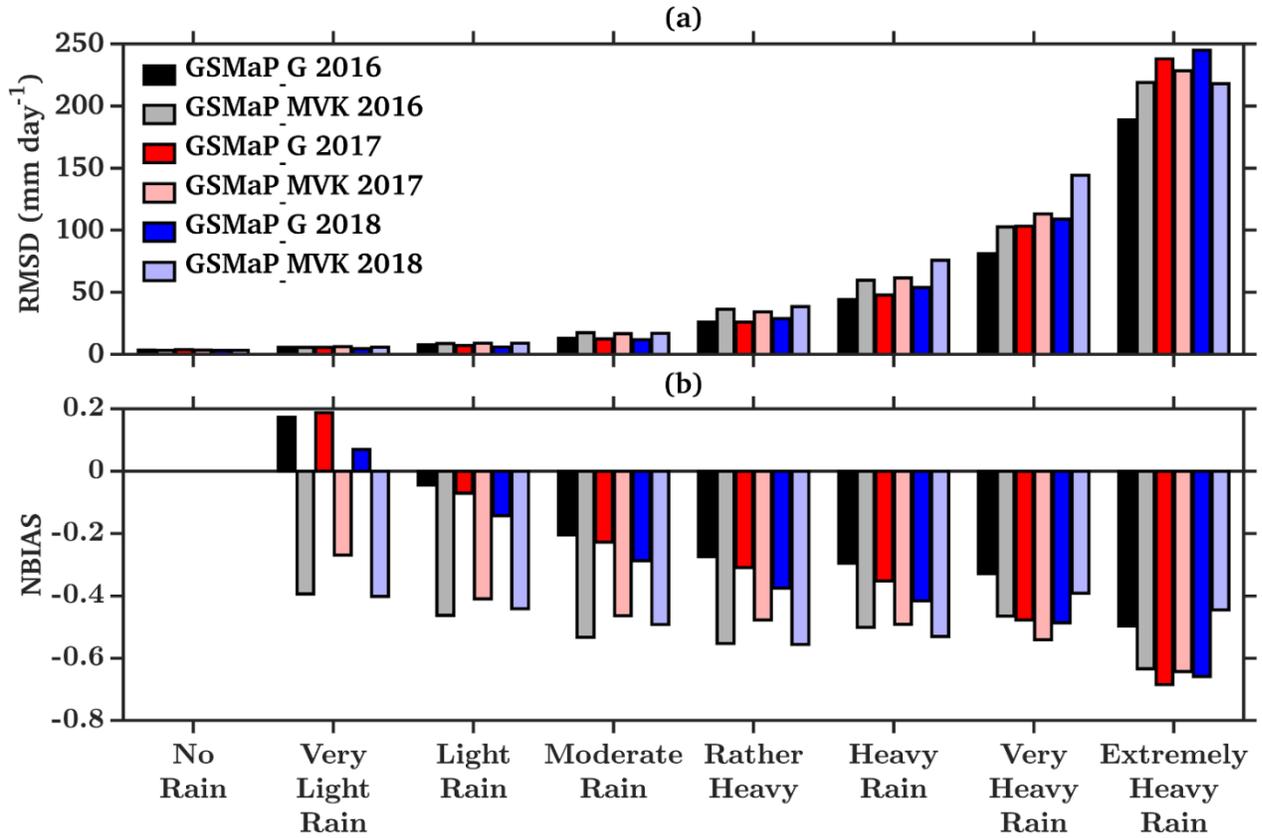
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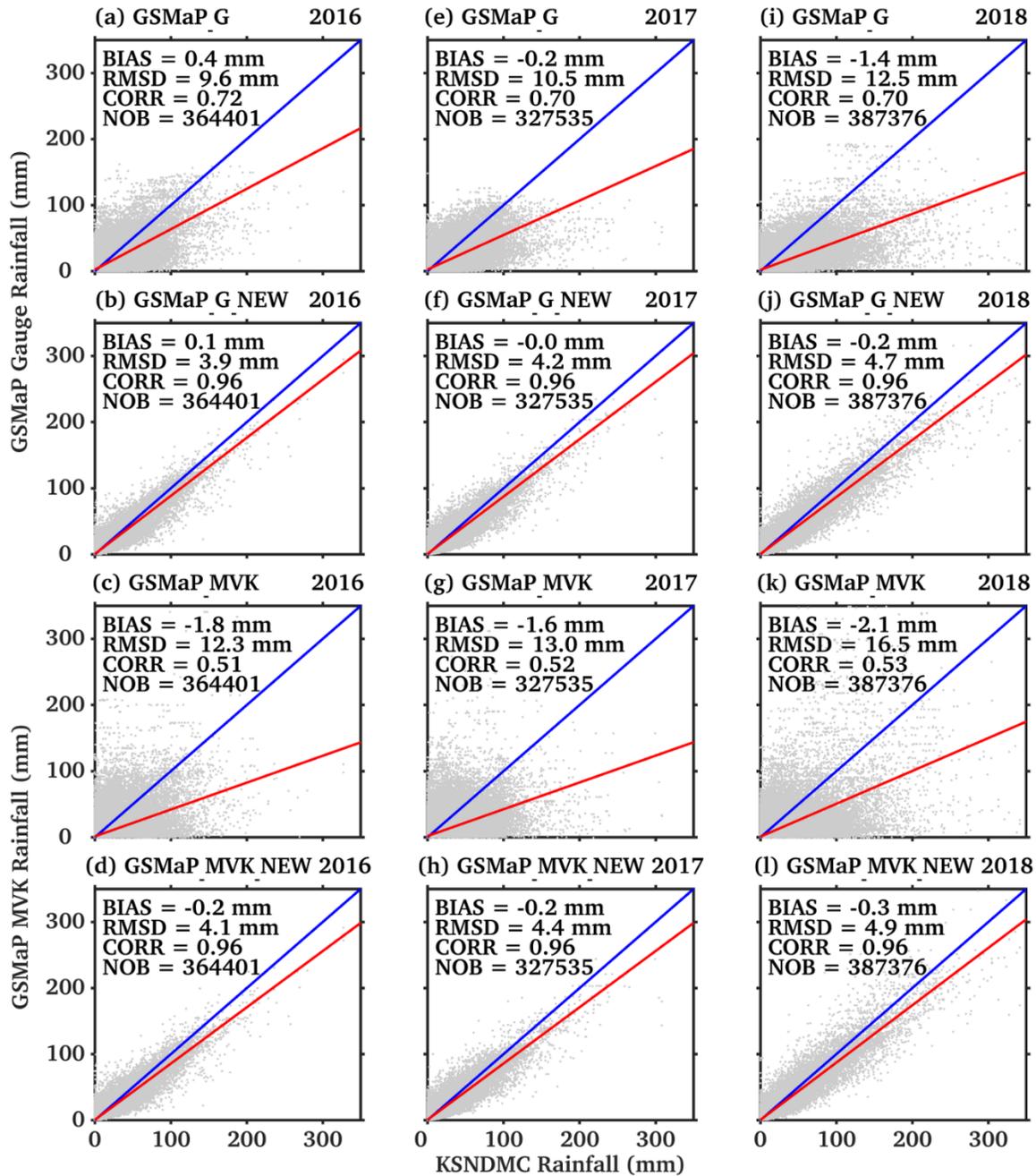
Fig.3: Scatter plot of GSMaP\_Gauge daily rainfall against KSNDMC rain gauge observation during (a) JJAS 2016, (b) JJAS 2017, and (c) JJAS 2018. Scatter plot of GSMaP\_MVK daily rainfall against KSNDMC rain gauge observation during (a) JJAS 2016, (b) JJAS 2017, and (c) JJAS 2018. The blue and red lines represent the 45° reference line and best fit line using least square method, respectively.

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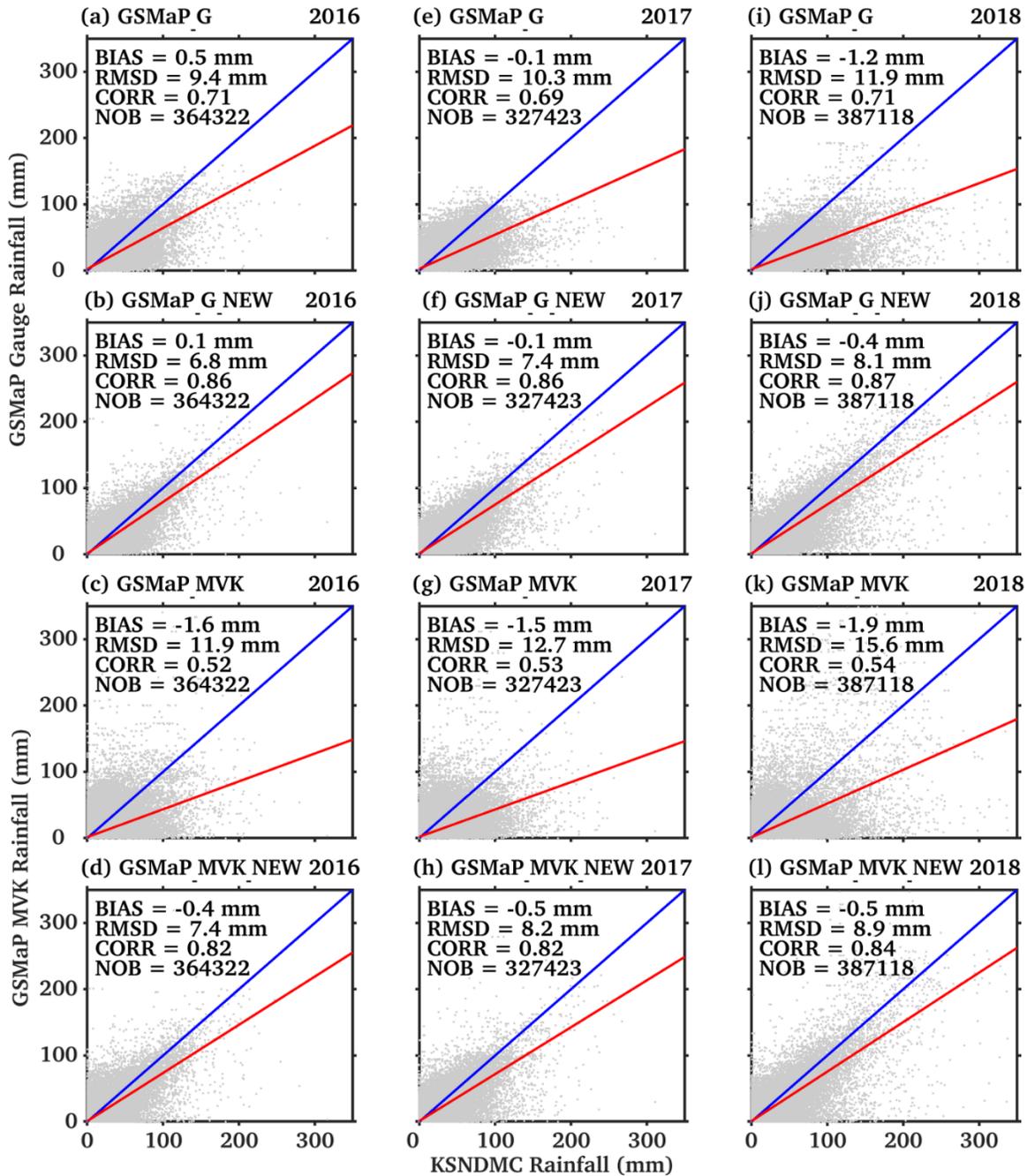
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Fig.4: (a) RMSD and (b) NBIAS statistics of GSMaP\_Gauge and GSMaP\_MVK product for different IMD rainfall classifications as shown in Table 1.



1086  
 1087 Fig.5: Scatter plot of GSMaP\_Gauge daily rainfall during (a) JJAS 2016, (e) JJAS 2017,  
 1088 and (i) JJAS 2018; GSMaP\_Gauge\_NEW daily rainfall during (b) JJAS 2016, (f) JJAS  
 1089 2017, and (j) JJAS 2018; GSMaP\_MVK daily rainfall during (c) JJAS 2016, (g) JJAS 2017,  
 1090 and (k) JJAS 2018; GSMaP\_MVK\_NEW daily rainfall during (d) JJAS 2016, (h) JJAS  
 1091 2017, and (l) JJAS 2018 against training gauges. Randomly selected 50 % rain gauges  
 1092 from the dense KSNDMC network are used as training gauges to prepare new rainfall  
 1093 products. The blue and red lines represent the 45° reference line and best fit line using  
 1094 least square method, respectively.  
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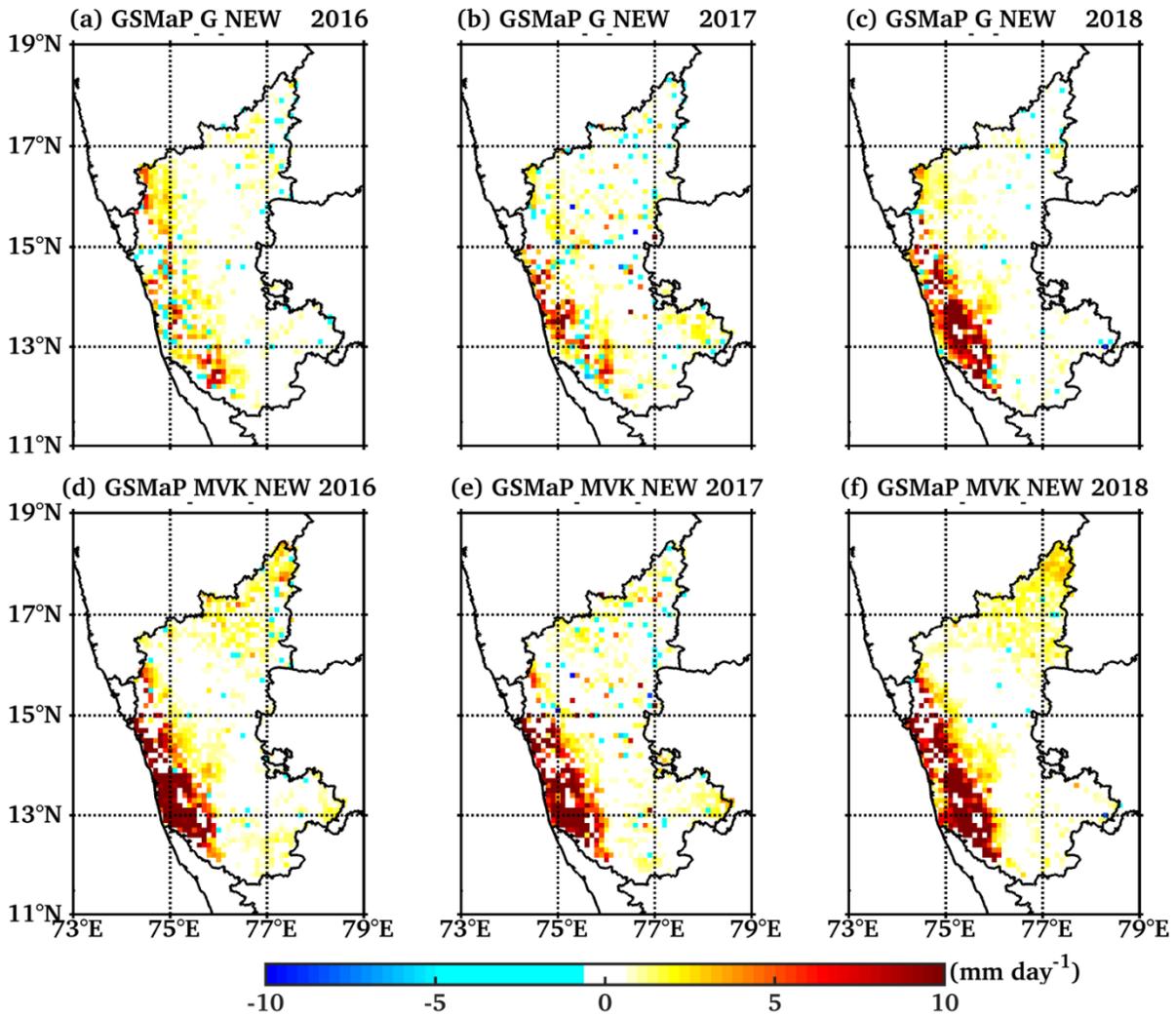
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Fig.6: As in Fig. 5 but against verification gauges. The verification gauges are independent KSNDCM rain gauge observations.

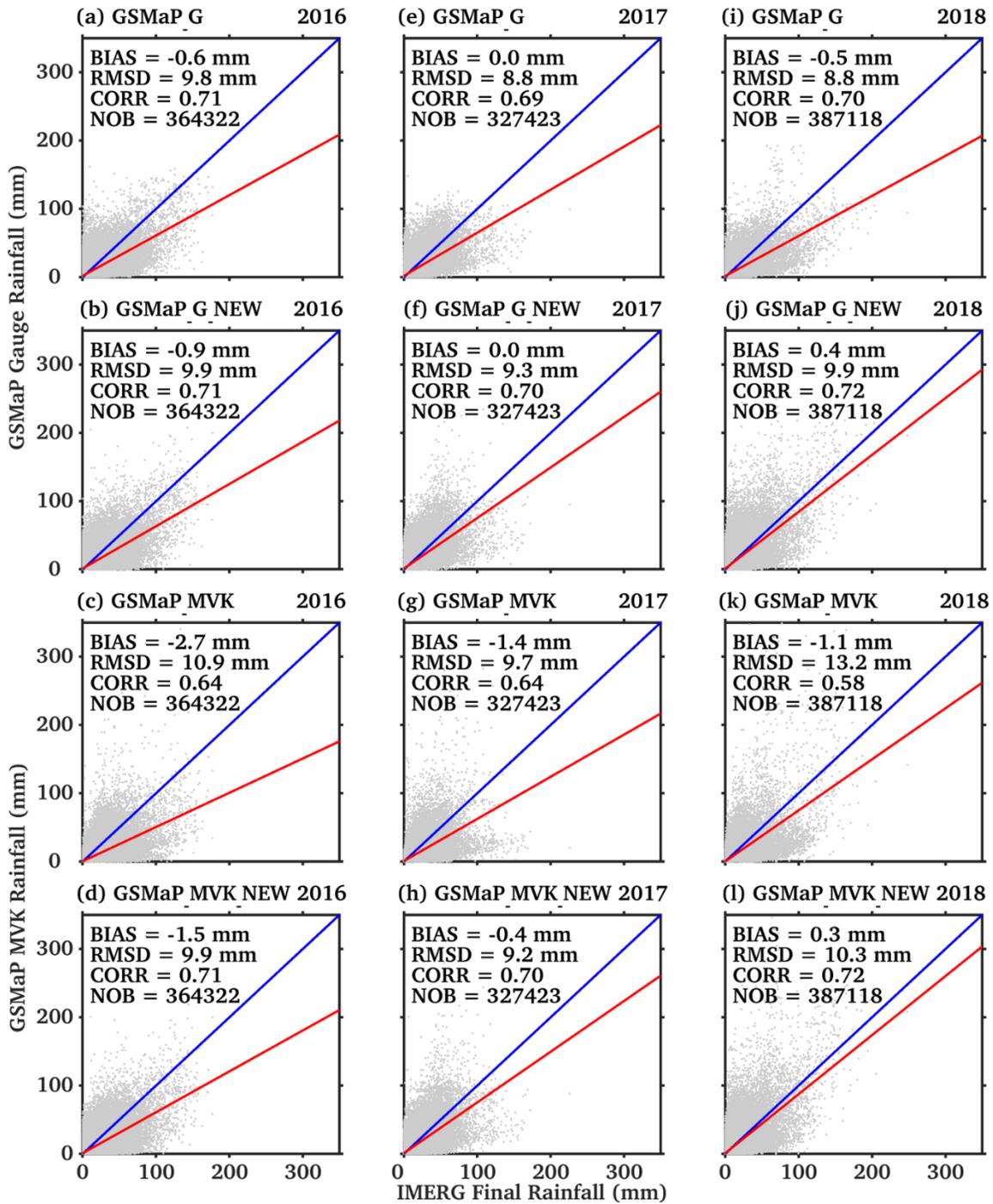
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Fig.7: Spatial distribution of improvement parameter (*IP*) for GSMaP\_Gauge\_NEW during (a) JJAS 2016, (b) JJAS 2017, and (c) JJAS 2018; and GSMaP\_MVK\_NEW rainfall product during (d) JJAS2016, (e) JJAS2017, and (f) JJAS2018, respectively.

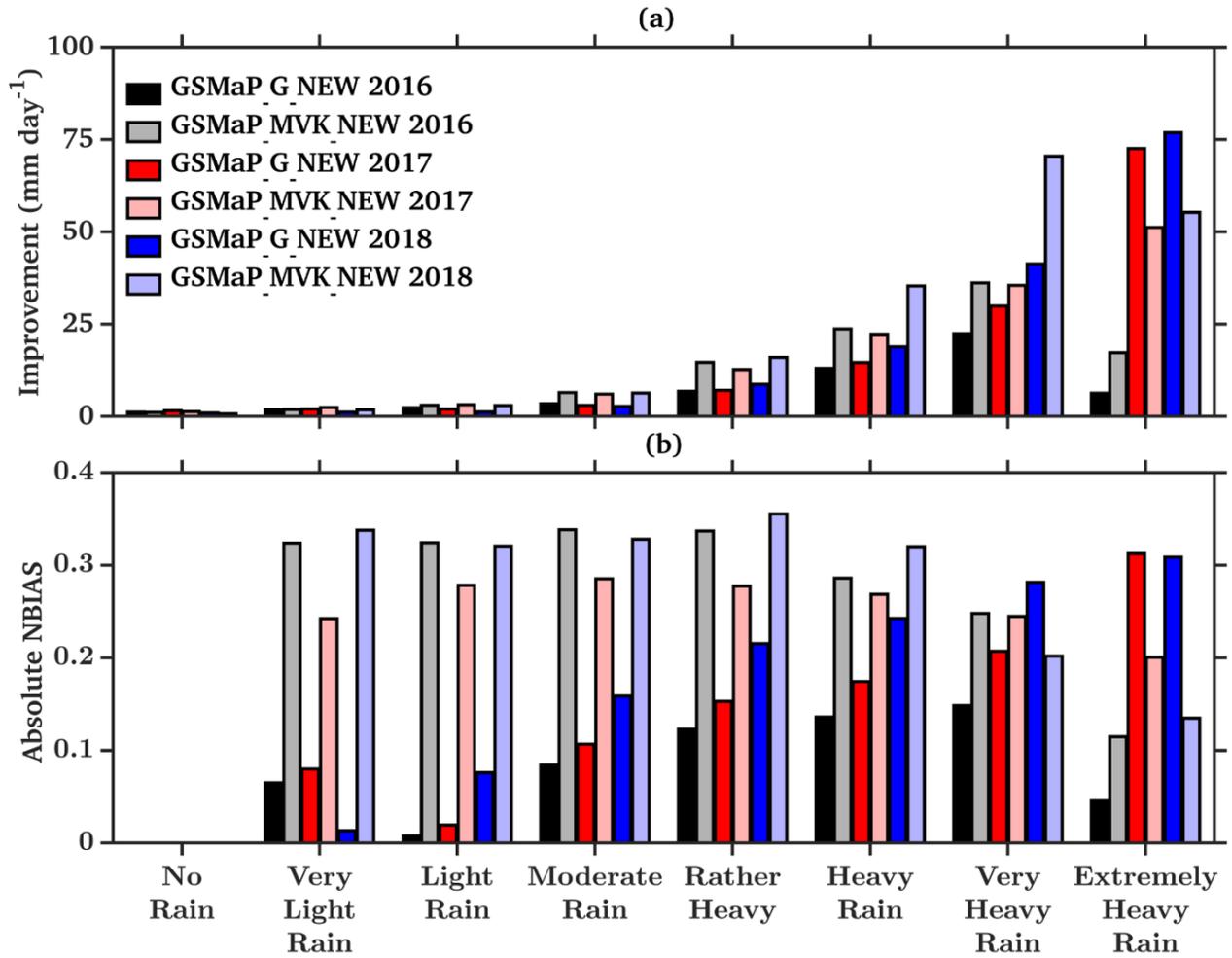
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Fig.8: As in Fig. 5 but against IMERG final rainfall product.

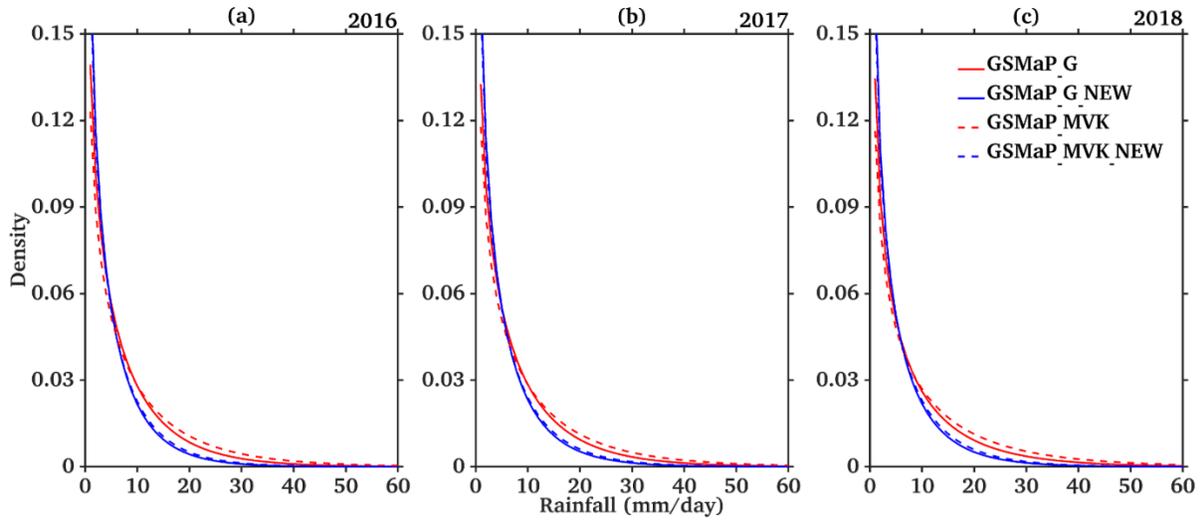
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 1137 Fig.9: Error statistics of (a) Improvement parameter and (b) absolute NBIAS for  
 1138 GSMaP\_Gauge\_NEW (GSMaP\_MVK\_NEW) rainfall compared to GSMaP\_Gauge  
 1139 (GSMaP\_MVK) rainfall for different IMD classifications as shown in Table 1.

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1157 Fig. 10: Distribution of rainfall deviation (defined as GSMaP minus gauge) during (a) JJAS  
1158 2016, (b) JJAS 2017, and (c) JJAS 2018.  
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1171 Table 1: IMD rainfall classification

Type	Amount of Rainfall
No rain	Rainfall amount realised in a day is 0.0 mm
Very light rain	Rainfall amount realised in a day is between 0.1 to 2.4 mm
Light rain	Rainfall amount realised in a day is between 2.5 to 7.5 mm
Moderate Rain	Rainfall amount realised in a day is between 7.6 to 35.5 mm
Rather Heavy	Rainfall amount realised in a day is between 35.6 to 64.4 mm
Heavy rain	Rainfall amount realised in a day is between 64.5 to 124.4 mm
Very Heavy rain	Rainfall amount realised in a day is between 124.5 to 244.4 mm
Extremely Heavy rain	Rainfall amount realised in a day is more than or equal to 244.5 mm

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1174 Table 2: Error statistics of GSMaP\_Gauge and GSMaP\_MVK rainfall against dense rain  
1175 gauge networks over Karnataka, India

Region	Year	Satellite Rainfall	Data Points	BIAS (mm day <sup>-1</sup> )	NBIAS	RMSD (mm day <sup>-1</sup> )	Correlation
<b>COASTAL</b>	2016	GSMaP_Gauge	70789	-0.8	0.04	17.5	0.74
		GSMaP_MVK	70789	-14.6	0.03	25.5	0.58
	2017	GSMaP_Gauge	63556	-3.9	-0.07	19.9	0.71
		GSMaP_MVK	63556	-13.7	-0.65	34.9	0.37
	2018	GSMaP_Gauge	78411	-7.8	-0.45	24.7	0.74
		GSMaP_MVK	78411	-11.6	-0.52	38.3	0.58
<b>MALNAD</b>	2016	GSMaP_Gauge	105748	0.9	0.33	11.8	0.60
		GSMaP_MVK	105748	-4.4	0.28	13.3	0.46
	2017	GSMaP_Gauge	95184	-0.4	0.04	11.7	0.65
		GSMaP_MVK	95184	-4.5	-0.40	15.0	0.39
	2018	GSMaP_Gauge	108638	-5.2	-0.27	20.0	0.62
		GSMaP_MVK	108638	-7.8	-0.39	21.9	0.54
<b>NIK</b>	2016	GSMaP_Gauge	300645	0.9	0.43	8.0	0.63
		GSMaP_MVK	300645	0.4	0.43	9.7	0.55
	2017	GSMaP_Gauge	270460	0.4	0.40	7.8	0.57
		GSMaP_MVK	270460	0.3	0.11	8.8	0.57
	2018	GSMaP_Gauge	326989	0.5	0.19	6.5	0.50
		GSMaP_MVK	326989	0.8	0.10	8.7	0.49
<b>SIK</b>	2016	GSMaP_Gauge	256920	0.1	0.41	6.5	0.54
		GSMaP_MVK	256920	0.4	0.42	7.8	0.58
	2017	GSMaP_Gauge	230988	0.5	0.33	8.4	0.55
		GSMaP_MVK	230988	0.6	0.07	8.9	0.59
	2018	GSMaP_Gauge	266765	-0.1	0.23	6.4	0.54
		GSMaP_MVK	266765	0.1	0.07	7.5	0.50

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1177 Table 3: RMSD in daily GSMaP rainfall products using different assimilation methods and  
 1178 utilized rain gauge numbers (RG1, RG2, RG3).

Data	Training Rain Gauges	Operational (mm day <sup>-1</sup> )	Cressman Method (mm day <sup>-1</sup> )	Optimal Interpolation (mm day <sup>-1</sup> )	Hybrid method (mm day <sup>-1</sup> )
GSMaP_MVK	RG1	16.1	12.8	9.4	8.3
	RG2	16.1	14.6	11.2	9.2
	RG3	16.1	15.3	13.1	10.6
GSMaP_Gauge	RG1	12.1	10.7	8.4	7.6
	RG2	12.1	11.4	9.7	8.4
	RG3	12.1	11.8	10.7	9.1

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