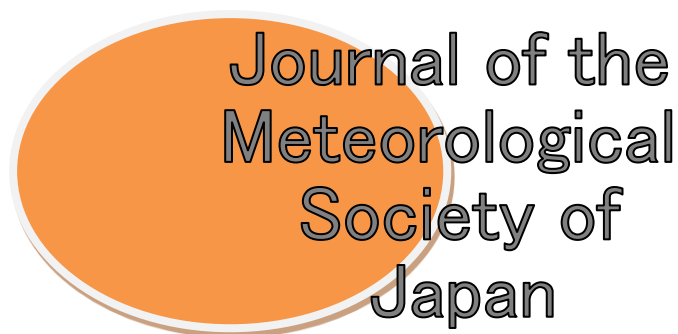




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1 **Statistical Characteristics of Summer Season Raindrop**
2 **Size Distribution in the Western and Central Tianshan**
3 **Mountains in China**

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Abstract

Characteristics of raindrop size distribution (DSD) in summer in the western (Nilek) and central (Urumqi) regions in the Tianshan Mountains of China are studied based on three years of second-generation OTT Particle Size Velocity (Parsivel²) disdrometer data. The FengYun-2G satellite remote sensing data and the ERA5 reanalysis product are used to reveal the dynamical characteristics associated with summer rainfall in Urumqi and Nilek. The DSD in Nilek is significantly different to that in Urumqi. The concentration of mid-size and large size drops is higher in Nilek than in Urumqi. The DSD characteristics for six rain rate classes and two rain types (convective and stratiform) are studied. It is found that the raindrops in Nilek overall have higher mass-weighted mean diameters (D_m) and lower the logarithm of normalized intercept parameters ($\log_{10} N_w$) than the raindrops in Urumqi, which is true for different rain rates and rain types. Convective clusters in Urumqi are similar to maritime clusters, whereas convective clusters in Nilek are more like continental clusters, according to a classification standard of convective clusters. The radar reflectivity, rain rate relations, and the shape and slope relations for rainfall in Urumqi and Nilek are obviously different. The DSD variability in the two regions may be attributed to differences in convective intensity that are closely related to the specific terrain of the Tianshan Mountains.

Keywords Tianshan Mountains; raindrop size distribution; rainfall rate

83 **1. Introduction**

84 Raindrop size distribution (DSD) information is essential for understanding the cloud
85 microphysical processes (Rosenfeld & Ulbrich, 2003) and improving the algorithms of radar
86 quantitative precipitation estimation (QPE) (Seliga & Bringi, 1976; Ryzhkov & Zrnic, 1995;
87 Chapon et al., 2008). Knowing the DSD variability is of great importance for improving
88 microphysical parameterization schemes of numerical weather prediction models (Milbrandt
89 & Yau, 2005; Zhang et al., 2006). In addition, the DSD also plays an important role in soil
90 erosion studies (Rosewell, 1986; Nanko et al., 2016; Janapati et al., 2019).

91 DSD varies with climate regime, geographical location and rain type (Ulbrich, 1983; Tokay
92 and Short, 1996; Testud et al., 2001; Bringi et al., 2003; Rosenfeld & Ulbrich, 2003; Zhang
93 et al., 2001, 2003). Bringi et al. (2003) classified the convective clusters into maritime-like
94 and continental-like clusters based on the normalized intercept parameter ($\log_{10} N_w$) and
95 mass-weighted mean diameter (D_m) derived from DSDs over different regimes, and found
96 that D_m of maritime-like cluster is smaller than that of continental-like cluster. Seela et al.
97 (2017) indicated that the DSD of summer rainfall shows a higher D_m and a lower $\log_{10} N_w$ in
98 Taiwan than in Palau, although both Taiwan and Palau are islands located in western Pacific.
99 Thompson et al. (2015) studied the DSDs from two equatorial Indian (Gan) and west Pacific
100 Ocean (Manus) islands, and found that the two sites have similar DSD spectra of liquid water
101 content, median diameter, $\log_{10} N_w$, and other integral rain parameters. Wu et al. (2019)
102 investigated characteristics of summer raindrop size distributions in three typical regions of

103 the western Pacific. They found the largest $\log_{10} N_w$ values in the western West Pacific and
104 the largest D_m values in the southern West Pacific. Rainfall structures in regions of different
105 topographic features (mountains, transitional zones, and plains etc.) in southern France
106 were studied by Zwiebel et al. (2016), who revealed the dependency of DSD on orography.
107 Wu and Liu (2017) studied the characteristics of DSD over the Tibetan Plateau and southern
108 China, and pointed out that the number concentration of raindrops of all sizes over southern
109 China is much higher than that in the Tibetan Plateau for convective rainfall. Comparison of
110 the DSD characteristics at five sites located at five districts of Nanjing during the East Asian
111 rainy season (Pu et al., 2020) indicates that the percentage of total rainfall accounted for by
112 extreme rainfall is significantly higher at Luhe (industrial zone) than at other sites by up to
113 38%, and the largest $\log_{10} N_w$ value also occurred at Luhe.

114 The above studies are mainly focused on DSD and rainfall in humid areas, while the
115 research on DSD in arid areas is far less than sufficient. The Tianshan Mountains are about
116 2500 km long and 300 km wide and composed of a series of tall mountains, intermountain
117 basins and valleys. Located in the arid area in the hinterland of Eurasia with the main body
118 in Xinjiang, China, the Tianshan Mountains are the farthest mountains from the ocean in the
119 world (Sorg et al., 2012). Compared with other regions in central Asia, the Tianshan
120 Mountains have more precipitation and water resources, which makes it known as “the water
121 tower of central Asia” (Chen and Li et al., 2016). Zeng et al. (2020) studied the diurnal
122 variation characteristics of spring DSD in the Tianshan Mountains and found that they are

related to precipitation system, valley winds, and solar radiation. A recent study of the characteristics of rainy season DSD in the Tianshan Mountains (Zeng et al., 2021) indicated a clear difference between the DSDs over the Tianshan Mountains and in humid regions of China. However, the DSD during the main precipitation period in summer over the Tianshan Mountains has not been well studied. Moreover, the east-west span of the Tianshan Mountains is pretty large, and it is still unclear whether there are differences in DSD in different areas of the Tianshan Mountains. Therefore, the present study attempts to illustrate the DSD differences in summer between the western (Nilek) and central (Urumqi) regions of the Tianshan Mountains based on three years of disdrometer data. Results of the present study will shed light on the microphysical processes in arid areas. The data and methods are briefly introduced in Section 2. The observational results are illustrated in Section 3. The possible reasons for the difference in DSD characteristics between the two regions are discussed in Section 4. The summary and conclusions are presented in Section 5.

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137 **2. Data and Methods**

138 *2.1 Observational sites and instruments*

139 The disdrometer data used in the present study were collected at two different regions
140 (Urumqi and Nilek) in the Tianshan Mountains in Northwest China by the second-generation
141 OTT Particle Size Velocity (Parsivel²) disdrometer manufactured by OTT Hydromet,
142 Germany. Both Urumqi and Nilek are located near the Tianshan Mountains in China, and

143 they represent large urban areas with abundant precipitation, good ecological environment
144 characterized by high vegetation coverage and suitable measurement conditions, and
145 dense human habitation near the Tianshan Mountains. In addition, considering the huge
146 size and complex terrain of the Tianshan Mountains, and the obvious differences in terrain
147 between the western Tianshan Mountains and the central area of the Tianshan Mountains
148 in China, whether there are differences in the characteristics of DSDs need to be further
149 explored. Therefore, we comparatively analyzed DSDs of Urumqi and Nilek in this study.
150 Specifically, Urumqi (935 m asl; 43.78°N, 87.65°E) is located in the central area of the
151 Tianshan Mountains in China with east-west terrain, and Nilek (1105 m asl; 43.80°N,
152 82.52°E) is located in the western Tianshan Mountains with the trumpet-shaped topography
153 that opens to the west. The two sites are situated at almost the same latitude and have
154 similar altitudes, which facilitates this comparative study. Figure 1 shows the locations of the
155 two observational sites and the topography of the Tianshan Mountains, on the north and
156 south sides of which are the extremely arid Taklimakan Desert and the Gurbantungut Desert.
157 Chen and Li et al. (2017) proposed that the Tianshan Mountains are important for water
158 resource and ecological environment maintenance as well as social and economic
159 development in the arid regions of central Asia. In order to further reveal the DSD variability
160 over the Tianshan Mountains in China, two different regions, i.e., Urumqi and Nilek, are
161 selected for comparative study in this paper.

162 Parsivel² disdrometer can measure the size and fall speed of precipitation particles at the

163 same time (Löffler-Mang, 2000; Tokay et al., 2014), and detailed size and fall speed
164 classification information are described in Yuter et al. (2006). In the past ten years, Parsivel²
165 disdrometer has been widely used in the measurement of DSD around the world (e.g.,
166 Jaffrain & Berne, 2011; Thurai et al., 2011; B. Chen et al., 2013, 2017; Marzuki et al., 2013;
167 Tokay et al., 2013; Konwar et al., 2014; Wu & Liu, 2017; Wu et al., 2019; Pu et al., 2020; Fu
168 et al., 2020; Zeng et al., 2021; Wang et al., 2021). As proposed by Yuter et al. (2006), when
169 a particle is partially within the measuring area, it may be misidentified as a small particle
170 falling faster than other particles with the observed size, and these spurious particles are
171 called margin fallers. Additionally, strong winds and splashing from raindrops hitting
172 instrument surfaces during heavy rainfall may produce unrealistically large number of slow
173 falling particles (Friedrich et al., 2013). Thus, raindrops with diameters above 8 mm or fall
174 speeds 60% above or below the empirical fall velocity-diameter relation proposed by Atlas
175 et al. (1973) are eliminated following the approach of Jaffrain and Berne (2011) and Friedrich
176 et al. (2013). Considering the terrain height, before quality control, air-density adjustments
177 are made to the fall speed-diameter relationship of Atlas et al. (1973) by multiplying the
178 correction factor (B. Chen et al., 2017; Wang et al., 2021), and the correction factor are
179 1.036 and 1.043 in Urumqi and Nilek, respectively. At the same time, the first two size
180 classes are discarded because of the low signal-to-noise ratio. Furthermore, 1-min samples
181 with fewer than 10 drops or rainfall rate less than 0.1 mm h^{-1} are also excluded (Tokay et al.,
182 2013). Figure 2 presents the number of drops in different diameter and velocity classes

183 before and after quality control in Urumqi and Nilek, respectively. The fall speeds of
184 raindrops of all sizes that are filtered out are mainly below 4 m s^{-1} , and they are most likely
185 caused by strong winds and splashing, especially in Nilek. Eventually, there are 5219 and
186 9045 1-min effective DSD samples for Urumqi and Nilek respectively during the summers
187 from 2018 to 2020.

188 In addition to the data observed by Parsivel² disdrometer, satellite data from FengYun-2G
189 (FY-2G) (Hui et al., 2016) and reanalysis data from the European Centre for Medium-Range
190 Weather Forecasts (ECMWF) Fifth Reanalysis (ERA5) (Hersbach et al., 2019) are also
191 collected. FY-2G is a geostationary meteorological satellite launched by the China
192 Meteorological Administration. It is located above the equator at the longitude of 105°E , and
193 its black body temperature (TBB) data from the IR window (about 11 micrometers) with
194 $0.1^{\circ}\times 0.1^{\circ}$ spatial resolution and 1-hr temporal resolution are used in the present study.
195 Meanwhile, convective available potential energy (CAPE), vertical integral water vapor,
196 horizontal wind field near the ground, vertical profiles of temperature, and relative humidity
197 data with $0.125^{\circ}\times 0.125^{\circ}$ spatial resolution and 1-hr temporal resolution are extracted from
198 ERA5 and analyzed.

199

200 *2.2 DSD parameters*

201 Based on Parsivel² disdrometer data, the DSD is calculated by:

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$$N(D_i) = \sum_{j=1}^{32} \frac{n_{ij}}{S_{eff}(D_i) \cdot \Delta t \cdot V_j \cdot \Delta D_i} \quad (1)$$

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$$S_{eff}(D_i) = 10^{-6} \times 180 \times (30 - \frac{D_i}{2}) \quad (2)$$

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$$R = \frac{6\pi}{10000} \sum_{i=1}^{32} \sum_{j=1}^{32} V_j \cdot N(D_i) \cdot D_i^3 \cdot \Delta D_i \quad (3)$$

214

$$W = \frac{\pi \rho_w}{6000} \sum_{i=1}^{32} N(D_i) \cdot D_i^3 \cdot \Delta D_i \quad (4)$$

215

$$Z = \sum_{i=1}^{32} N(D_i) \cdot D_i^6 \cdot \Delta D_i \quad (5)$$

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where ρ_w (1 g c m⁻³) is the density of water.

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The three-parameter gamma function is widely used to represent the measured raindrop

spectra proposed by Ulbrich (1983), and it is written as:

$$N(D) = N_0 \cdot D^\mu \cdot \exp(-\Lambda \cdot D) \quad (6)$$

220 where N_0 ($\text{mm}^{-1-\mu} \text{m}^{-3}$), μ and Λ (mm^{-1}) represent the scale, shape and slope parameters,
 221 respectively. The n th-order moment of the drop size distribution is expressed as:

$$222 \quad M_n = \int_0^\infty N(D) \cdot D^n \cdot dD = N_0 \frac{\Gamma(n+1+\mu)}{\Lambda^{n+1+\mu}} \quad (7)$$

223 The truncated moment method (Ulbrich & Atlas, 1998; Zhang et al., 2003) is implemented
 224 to calculate the aforementioned three parameters with the third, fourth, and sixth moments
 225 as follows:

$$226 \quad N_0 = \frac{M_3 \cdot \Lambda^{\mu+4}}{\Gamma(\mu+4)} \quad (8)$$

$$227 \quad \mu = \frac{11 \cdot G - 8 + \sqrt{G \cdot (G+8)}}{2(1-G)} \quad (9)$$

$$228 \quad \Lambda = (\mu+4) \cdot \frac{M_3}{M_4} \quad (10)$$

229 where G is

$$230 \quad G = \frac{M_4^3}{M_3^2 M_6} \quad (11)$$

231 To solve the nonindependence problem associated with the parameters in the gamma
 232 function of DSD, the normalized gamma distribution that can better represent the raindrop
 233 spectrum has been proposed (Willis, 1984; Sempere Torres et al., 1994, 1998; Testud et al.,
 234 2001), which is expressed by:

$$235 \quad N(D) = N_w \cdot f(\mu) \cdot \left(\frac{D}{D_m} \right)^\mu \cdot \exp \left[-(4+\mu) \frac{D}{D_m} \right] \quad (12)$$

236 where N_w ($\text{mm}^{-1} \text{m}^{-3}$) is the normalized intercept parameter, and D_m (mm) is the mass-
 237 weighted mean diameter. N_w , D_m and $f(\mu)$ are calculated as follows:

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$$N_w = \frac{4^4}{\pi \cdot \rho_w} \cdot \frac{10^3 \cdot W}{D_m^4} \quad (13)$$

$$D_m = \frac{\sum_{i=1}^{32} N(D_i) \cdot D_i^4 \cdot \Delta D_i}{\sum_{i=1}^{32} N(D_i) \cdot D_i^3 \cdot \Delta D_i} \quad (14)$$

$$f(\mu) = \frac{6 \cdot (4 + \mu)^{4+\mu}}{4^4 \cdot \Gamma(4 + \mu)} \quad (15)$$

3. Results and Discussion

The variation of the mean raindrop concentration, $N(D_i)$ ($\text{m}^{-3} \text{mm}^{-1}$) with raindrop size D (mm) during summer in Urumqi and Nilek are displayed in red and blue color respectively in Figure 3. Throughout this study, raindrops with diameters of 1 to 3 mm are considered as mid-size drops, and drops below and above this range are considered small and large drops, respectively, according to previous studies (Tokay et al., 2008; Krishna et al., 2016; Seela et al., 2017; Janapati et al., 2020). Figure 3 demonstrates that the concentration of mid-size and large raindrops is higher in Nilek compared to that in Urumqi, while the opposite is true for the concentration of small raindrops. Rainfall in Nilek has higher mean R , D_m , and lower N_w than rainfall in Urumqi. A lower concentration of small drops and higher concentration of mid-size and large drops in Nilek result in higher D_m values in Nilek than in Urumqi.

3.1 Raindrop size distribution for different rain rate classes

255 In order to further determine the differences in DSD between Urumqi and Nilek, DSD
256 observations collected at the two regions are classified into six rain rate classes on the basis
257 of R . The six classes are defined as follows: C1: 0.1-0.5 mm h⁻¹, C2: 0.5-1 mm h⁻¹, C3: 1-2
258 mm h⁻¹, C4: 2-5 mm h⁻¹, C5: 5-10 mm h⁻¹, C6: ≥ 10 mm h⁻¹. The mean raindrop spectra in
259 the two regions for the six rain rates are shown in Figure 4. Statistics of rainfall corresponding
260 to the six rain rate classes in Urumqi and Nilek are provided in Table 1. For the first two rain
261 rate classes (Figure 4a and 4b; C1: 0.1-0.5, C2: 0.5-1 mm h⁻¹), concentrations of mid-size
262 and large drops are higher in Nilek than in Urumqi. For the middle two rain rate classes
263 (Figure 4c and 4d; C3: 1-2, C4: 2-5 mm h⁻¹), the concentrations of raindrops with diameters
264 greater than 1.3 mm for C3 and 1.6 mm for C4 are higher in Nilek than in Urumqi. Additionally,
265 raindrops with diameters larger than 2.1 mm and 2.3 mm also have higher concentration in
266 Nilek than in Urumqi for the last two rain rate classes (Figure 4e and 4f; C5: 5-10, C6: ≥ 10
267 mm h⁻¹). Figure 4 clearly shows that even after classifying DSDs into different rain rate
268 classes, mid-size and large drops are more common in Nilek than in Urumqi.

269 For the convenience to compare the six rain rate classes at a given location, average size
270 spectra for C1 to C6 in Urumqi and Nilek are respectively superimposed on the same plot
271 and results are displayed in Figure 5, which shows that the DSDs in Urumqi and Nilek all
272 have a distinct peak structure, and the spectral width and the concentration of mid-size and
273 large raindrops both increase with increasing rain rate.

274 The box and whisker plot of variations in D_m and $\log_{10} N_w$ corresponding to different rain

rate classes are shown in Figure 6. At both regions, D_m increases with increasing rain rate class (Figure 6a), which is caused by the increase in mid-size and large drops accompanied with larger rain rate. D_m values in Nilek are higher than those in Urumqi due to higher concentrations of mid-size and large drops in Nilek. The mean D_m value varies between 0.88 and 1.61 mm in Urumqi and between 1.10 and 2.38 mm in Nilek. Contrary to D_m , the $\log_{10}N_w$ values are higher in Urumqi than in Nilek (Figure 6b). The mean $\log_{10} N_w$ value varies from 3.46 to 4.02 $\text{m}^{-3} \text{mm}^{-1}$ in Urumqi and from 2.98 to 3.26 $\text{m}^{-3} \text{mm}^{-1}$ in Nilek. Moreover, the mean values of Z , W , D_m , and $\log_{10} N_w$ in Urumqi and Nilek corresponding to the six rain rate classes are provided in Table 2.

284

3.2 DSD variations for stratiform and convective precipitation

Stratiform and convective precipitation are two fundamental types of rainfall in nature with different physical mechanisms for the precipitation formation. The DSD characteristics of the two rainfall types are significantly different (Tokay & Short, 1996; Testud et al., 2001; Bringi et al., 2003; Sharma et al., 2009; Niu et al., 2010). In order to classify precipitation into stratiform and convective types, many researchers have developed different classification schemes based on disdrometer (Tokay & Short, 1996; Testud et al., 2001; Bringi et al., 2003; Ulbrich & Atlas, 2007; B. Chen et al., 2013; Krishna et al., 2016; Wen et al., 2016). In the present study, the classification criteria proposed by Bringi et al. (2003) and B. Chen et al. (2013) are used. Specifically, for at least 10 consecutive 1-min rain samples, the rainfall is

295 determined to be stratiform rainfall if $R > 0.5 \text{ mm h}^{-1}$ and the standard deviation of $R \leq 1.5$
296 mm h^{-1} , and the rainfall is determined to be convective if $R \geq 5 \text{ mm h}^{-1}$ and the standard
297 deviation of $R > 1.5 \text{ mm h}^{-1}$. Samples that cannot meet the above classification criteria are
298 excluded.

299 The DSD variations for stratiform and convective precipitation in Urumqi and Nilek are
300 shown in Figure 7. For both regions, a relatively high raindrop concentration can be found
301 for convective precipitation compared to that for stratiform precipitation, which is true for the
302 raindrops of all sizes (Figure 7a, b). In both Urumqi and Nilek, the stratiform regimes have
303 nearly exponential distributions, whereas the convective regimes show a broad distribution,
304 which might be at least partly attributed to the collisional breakup of large drops in convective
305 rainfall (Hu & Srivastava, 1995). To further compare the raindrop concentrations in Urumqi
306 and Nilek for a given rain type, DSDs in both regions for stratiform and convective regimes
307 are respectively presented in Figure 7c and 7d. The two plots show that there are more
308 raindrops with a diameter larger than 1.4 mm in Nilek than in Urumqi for the stratiform
309 regimes, and raindrops with a diameter greater than 2.4 mm have a higher concentration in
310 Nilek compared to that in Urumqi for convective regimes.

311 To further explore the DSD characteristics for stratiform and convective precipitation in
312 Urumqi and Nilek and compare the results with previous studies, the distributions of the
313 mean D_m and $\log_{10} N_w$ values are displayed in Figure 8. The gray rectangles in Figure 8 are
314 for the continental and maritime convective rainfall clusters and the gray dashed line is the

315 stratiform rainfall line proposed by Bringi et al. (2003). For the rainfall in both regions,
316 convective regimes have higher mean D_m and $\log_{10} N_w$ values than stratiform regimes. In
317 contrast, both stratiform and convective precipitation in Nilek have higher D_m and lower
318 $\log_{10} N_w$ values than that in Urumqi. Comparing results of the present study with that of Bringi
319 et al. (2003) for the convective cluster, it is found that convective DSDs in Urumqi are more
320 similar to the maritime-like cluster, while convective DSDs in Nilek are somewhat similar to
321 the continental-like cluster. The above results suggest that the approach to classify
322 continental and maritime convective rainfall clusters proposed by Bringi et al. (2003) may
323 not be always appropriate for classification of convective precipitation in the region of
324 Tianshan Mountains in China, considering that their classification method is mainly applied
325 to rainfall in North America, Australia and the Pacific region.

326 Additionally, for stratiform rainfall in both regions, the mean D_m and $\log_{10} N_w$ values appear
327 on the left side of the stratiform rainfall line proposed by Bringi et al. (2003). Bringi et al.
328 (2003) proposed two different microphysical processes that can lead to large D_m and $\log_{10} N_w$
329 variations in stratiform precipitation, i.e., the melting of large snowflakes that is responsible
330 for larger D_m and smaller $\log_{10} N_w$ values and the melting of tiny graupel or smaller rimed ice
331 particles responsible for smaller D_m and larger $\log_{10} N_w$ values. As shown in Figure 8,
332 stratiform precipitation in Urumqi has smaller D_m and larger $\log_{10} N_w$ values than that in Nilek,
333 implying that stratiform precipitations in Urumqi is associated with tiny graupel or smaller
334 rimed ice particles, whereas stratiform precipitations in Nilek is related to melting of large

335 snowflakes. In addition, the mean values of Z , W , D_m , and $\log_{10} N_w$ for the stratiform and
336 convective regimes in the Urumqi and Nilek are listed in Table 3.

337 Figure 8 also provides observational results from other regions of China for the purpose
338 to reveal the differences in DSD parameters between monsoon regions and arid regions of
339 China. Compared with rainfall in Nanjing in eastern China (B. Chen et al., 2013), Zhuhai in
340 southern China (Zhang et al., 2019) and Beijing in northern China (Ma et al., 2019), rainfall
341 in Nilek shows a smaller $\log_{10} N_w$ value, while the D_m value in Nilek is larger than that in
342 Beijing and Nanjing and smaller than that in Zhuhai. Rainfall in Urumqi shows a smaller D_m
343 value than those in the other four regions mentioned above, while the $\log_{10} N_w$ value in
344 Urumqi is larger than those in the other four regions except the convective rainfall in Zhuhai.
345 The above results apply to both stratiform and convective rainfall, indicating that the DSD
346 characteristics are different between the monsoon region of China and the arid region of
347 China.

348

349 3.3 The D_m - R and N_w - R Relations

350 Figure 9 shows the scatterplots of D_m and $\log_{10} N_w$ corresponding to different rainfall rates
351 in Urumqi and Nilek. The D_m values in Urumqi are scattered within the range from 0.4 to 2
352 mm with a few points that have values around 2-2.4 mm (Figure 9a), whereas the D_m values
353 in Nilek are distributed between 0.4 and 3.5 mm with a few points that have values between
354 3.5 and 4 mm (Figure 9b). In addition, the distribution of D_m narrows and its changes tend

355 to be gentle as the rainfall rate increases for both regions, which could be attributed to the
356 fact that DSDs reach an equilibrium state when the raindrop coalescence and breakup
357 balance each other out at higher rainfall rates (Hu & Srivastava, 1995). The values of $\log_{10}N_w$
358 in Urumqi are mainly distributed between 2 and 5.1 $\text{m}^{-3} \text{mm}^{-1}$, whereas they are mainly
359 scattered from 1.4 to 4.5 $\text{m}^{-3} \text{mm}^{-1}$ in Nilek. The power law fitting algorithms derived for
360 $D_m - R$ and $\log_{10} N_w - R$ are also presented in Figure 9. Comparing the $D_m - R$
361 relations at the two places, the coefficient and exponent values are higher in Nilek than in
362 Urumqi. For the $\log_{10} N_w - R$ relations, however, the coefficient value in Nilek is lower than
363 in Urumqi. This indicates that for a given rainfall rate, precipitation in Nilek has higher D_m
364 and lower $\log N_w$ values than that in Urumqi, which is consistent with the conclusion shown
365 in Figure 6. In addition, by comparing with the research results of DSDs of typhoon and non-
366 typhoon rainfall observed in Taiwan obtained by Janapati et al. (2021), larger coefficient and
367 exponent values appear in the $D_m - R$ relation of Nilek but not in the two types of rainfall
368 in Taiwan. For the $\log_{10} N_w - R$ relations, the coefficient value of Urumqi is larger and the
369 coefficient value of Nilek is smaller than the two types of rainfall in Taiwan. From the
370 comparison of the two relations between this study and the area between the Yangtze River
371 and Huaihe River in eastern China during summer reported by Jin et al. (2015), it can be
372 seen that Nilek is significantly different from the area between the Yangtze River and Huaihe
373 River, while Urumqi is closed to the area between the Yangtze River and Huaihe River.

374

375 3.4 Radar Reflectivity (Z)-Rain Rate (R) Relations

376 The $Z-R$ relationship ($Z = A \cdot R^b$) plays an important role in QPE of single polarized
377 radar, which heavily depends on the variability of DSD that is related to climate, topography,
378 season, and rainfall type (Tokay & Short, 1996; Atlas et al., 1999; Ulbrich & Atlas, 2007;
379 Chapon et al., 2008; Marzuki et al., 2013). In the $Z = A \cdot R^b$ relationship, the coefficient A
380 is related to the presence of large or small drops, and the exponent b is related to the
381 microphysical process. The collision-coalescence mechanism plays a dominant role in
382 rainfall when b is greater than 1, while the collision-coalescence and break-up process reach
383 equilibrium in homogeneous rainfall when b approaches 1 (Atlas et al., 1999; Atlas and
384 Williams 2003; Steiner et al. 2004; Sharma et al., 2009; Seela et al., 2017; Janapati et al.,
385 2020; Pu et al., 2020). The samples of convective rainfall collected in Urumqi and Nilek are
386 not sufficient and also highly scattered, which makes it hard to fit an appropriate power-law
387 relationship. Therefore, here we mainly focus on the $Z-R$ relationship of stratiform rainfall,
388 which prevails in the two regions. The $Z-R$ relationship ($Z = 200R^{1.6}$) proposed by
389 Marshall and Palmer (1948) has been commonly used in the mid-latitude continental region
390 for stratiform rainfall (hereafter referred to as the MP-Stratiform relationship). Figure 10
391 shows the scatterplots of Z versus R and the fitted relationships for stratiform precipitation
392 over the two regions. As shown in Figure 10, the $Z-R$ relationship of stratiform rainfall in
393 Nilek has higher coefficient and exponent values than that in Urumqi. The MP-Stratiform
394 relationship is also presented in Figure 10 for comparison. With low radar reflectivity value

395 ($Z < 352.18 \text{ mm}^6 \text{ m}^{-3}$ (that is, 25.47 dBZ)), the MP-Stratiform relationship would overestimate
396 the precipitation in Urumqi. The opposite is true when the radar reflectivity is high. For the
397 stratiform rainfall in Nilek, the MP-Stratiform relationship would cause overestimation of
398 rainfall. In other words, there are obvious differences in stratiform rainfall between Urumqi
399 and Nilek, and the MP-Stratiform relationship should be used with caution in the two regions.

400

401 3.5 The $\mu-\Lambda$ Relations

402 The $\mu-\Lambda$ relationship provides valuable information for in-depth understanding of DSD
403 characteristics and variability (B. Chen et al., 2013; Zhang et al., 2003). The relationship
404 varies with different climate regimes, rainfall types, and terrains (Cao et al., 2008;
405 Vivekanandan et al., 2004; Zhang et al., 2003; Seela et al., 2018; Tang et al., 2014).
406 Therefore, to enhance our understanding of DSD in arid region, the two regions of the
407 Tianshan Mountains located in the typical arid area of China are selected for the present
408 study. Figure 11 shows the scatterplots of μ and Λ values in Urumqi and Nilek. To
409 estimate the $\mu-\Lambda$ relationship for rainfall in Urumqi and Nilek, the criteria proposed by B.
410 Chen et al. (2017) are adopted in the present study. DSD data are filtered first and only
411 those with total drop counts > 300 are used for further analysis. Zhang et al. (2003) and Cao
412 et al. (2008) pointed out that when μ and Λ are greater than 20 and 20 mm^{-1} , respectively,
413 the results are more likely to be attributed to measurement errors instead of rainfall physics.
414 Therefore, these results are removed from this study. The second-degree polynomial $\mu-\Lambda$

relationships for rainfalls in Urumqi and Nilek are derived respectively and expressed as:

$$\mu = -0.0139\Lambda^2 + 1.0045\Lambda - 2.6141 \quad (16)$$

$$\mu = -0.0139\Lambda^2 + 1.0491\Lambda - 1.7364 \quad (17)$$

Figure 11 clearly shows that the samples of rainfall are more evenly distributed over the entire range in Urumqi, while which are mainly concentrated in the region when $\mu < 10$ and $\Lambda < 10 \text{ mm}^{-1}$ in Nilek, meanwhile, it can be seen from the fitted $\mu - \Lambda$ relationship that, given a Λ , Nilek has a larger μ than Urumqi.

The relationship can also be expressed as $\Lambda \cdot D_m = 4 + \mu$ (Ulbrich, 1983). As shown in Figure 11, compared with that in Urumqi, more samples of rainfall in Nilek are located in the higher D_m region, indicating that more higher values of D_m are observed in Nilek than in Urumqi, and this conclusion is consistent with the findings presented in Sections 3.1 and 3.2.

4. Discussion

To explore the possible reasons for the above results in the two regions, the CAPE, vertical integral of water vapor, horizontal wind field near the ground, vertical profiles of temperature, and relative humidity from ERA5 and the TBB from FY-2G in rainy days are calculated for the summers during 2018-2020, in which the statistics are made from data only in rainy days. Figure 12a displays the box and whisker plot of CAPE. It is apparent that the CAPE in Nilek overall is relatively higher than that in Urumqi in rainy days, suggesting that precipitation in

435 Nilek is more convective than that in Urumqi. Maddox (1980) proposed that TBB can be
436 used as an indicator of convection intensity, and $TBB \leq -32\text{ }^{\circ}\text{C}$ is generally considered to
437 be evidence of convective development. Figure 12b shows that the TBB values are lower in
438 Nilek than in Urumqi in rainy days, indicating that the intensity of convection is stronger in
439 Nilek than in Urumqi, which is closely related the trumpet-shaped topography that opens to
440 the west of the Tianshan Mountains in China for Nilek (Fig. 1). Affected by the topography,
441 prevailing westerly winds in the westerly belt (Zhang & Deng, 1987; Yang et al., 2011; Huang
442 et al., 2017), and the valley winds with diurnal heating (Zeng et al., 2020), airflow is more
443 likely to converge and rise than Urumqi. Further, we compared the DSD characteristics of
444 Nilek in this study with the DSD characteristics of Yining (Zeng et al., 2021) located about
445 100 km west of Nilek and Xinyuan (Zeng et al., 2020) located about 100 km east of Nilek,
446 and the results showed that summer season DSD in Nilek has the largest mean D_m of 1.37
447 mm, and the mean D_m of the rainy season DSD in Yining and spring season DSD in Xinyuan
448 are 1.11 mm and 0.92 mm, respectively, and these differences are closely related to the
449 study periods of these three studies, however the effect of different locations on these
450 differences is unknown. Recently, Pu et al. (2020) and Han et al. (2021) used multiple
451 disdrometers to study the DSD characteristics at the regional scale of Nanjing and Beijing,
452 respectively, and found that the DSD characteristics showed differences at the regional scale.
453 Therefore, our future research needs to reveal the regional variability of the DSDs in Nilek,
454 Yining, and Xinyuan based on the observation data in the same time period. At the same

time, it is obvious that Nilek have relatively higher vertical integral of water vapor than Urumqi from Figure 13, Combining CAPE, TBB and vertical integral of water vapor in the two regions, it can be inferred that relatively higher water vapor with more active vertical movement leads to the growth of solid and liquid cloud particles to a sufficiently larger size by aggregation, riming, and collision-coalescence processes in Nilek than in Urumqi. Furthermore, average vertical profiles of temperature and relative humidity, and horizontal wind field near the ground for Urumqi and Nilek are shown in Fig. 14 and Fig. 15, respectively. It is obvious that Nilek has relatively higher temperature at all pressure levels (Fig. 14a) and lower relative humidity below 500 hPa (Fig. 14b) than Urumqi. In addition, compared with the more consistent northwesterly wind in Urumqi, the wind direction is more dispersed and the wind speed is stronger in Nilek (Fig. 15). Relative humidity, temperature (Janapati et al., 2020; Seela et al., 2021) and wind (Zeng et al., 2019, Wu et al., 2019) are primary meteorological variables that contribute to evaporation of raindrops. Combining vertical profiles of temperature and relative humidity, and horizontal wind field near the ground in the two regions, it can be inferred that the evaporation processes in Nilek are stronger than in Urumqi, which is a possible reason why Nilek has less number of small drops. The above explanation provides possible reasons for the occurrence of more small drops in Urumqi and more large drops in Nilek. In addition, we also noticed that Nilek has more slow falling particles before quality control as shown in Fig. 2. As Friedrich et al. (2013) proposed, large number of slow falling particles may be produced unrealistically during heavy rainfall. For

475 this study, there are significantly more samples in Nilek (125) than in Urumqi (36) for the last
476 rain rate classes ($C6: \geq 10 \text{ mm h}^{-1}$) as shown in Table 1. Moreover, through the above
477 analysis of CAPE, TBB, and horizontal wind field near the ground, it can be seen that there
478 are stronger convection processes and more scattered strong winds in Nilek, which may be
479 the important reasons for the production of large number of slow falling particles during the
480 process of heavy rainfall in Nilek. Additionally, there are very few margin fallers for both
481 stations before quality control as shown in Fig. 2, which may be related to the improvement
482 of Parsivel² disdrometer compared with Parsivel disdrometer (Tokay et al., 2014), the
483 selection of fall speeds 60% above or below the empirical fall velocity-diameter relation
484 proposed by Atlas et al. (1973) after air-density adjustments, or better homogeneity of the
485 laser sheet of Parsivel² disdrometer based on more expensive lasers compared to Parsivel
486 disdrometer resulting in improved measurement accuracy (Wen et al., 2017). More possible
487 reasons will be further studied in the future.

488

489 **5. Summary and Conclusion**

490 In this research, we investigate the characteristics of DSD over the Tianshan Mountains
491 in China using the disdrometer data measured by OTT Pasivel² during the summers of 2018-
492 2020. For the first time the characteristics of summer DSD in two different regions (Urumqi
493 and Nilek) of the Tianshan Mountains in China are analyzed. The main conclusions are as
494 follows.

495 1. Rainfall in Nilek has a higher concentration of mid-size and large raindrops when
496 compared to that in Urumqi. The opposite is true for the concentration of small raindrops.
497 This might be attributed to the fact that convective intensity in Nilek is relatively stronger
498 than that in Urumqi.

499 2. DSDs are classified into six rain rate classes as well as for stratiform and convective
500 precipitation. Results show a higher concentration of large raindrops and a lower
501 concentration of small raindrops in Nilek than in Urumqi. For all rain rate classes and
502 precipitation types, rainfall in Nilek has a higher mass-weighted mean diameter (D_m) and a
503 lower normalized intercept parameter ($\log_{10} N_w$) than that in Urumqi.

504 3. Compared with the convective cluster proposed by Bringi et al. (2003), the convective
505 DSDs in Urumqi are more similar to the maritime-like cluster, whereas the convective DSDs
506 in Nilek can be classified as continental-like DSDs (Bringi et al., 2009; Thurai et al., 2010).
507 In addition, D_m and $\log_{10} N_w$ in different regions of China (eastern China, southern China,
508 and northern China) are compared with results in the arid. It is found that the DSD variability
509 is closely related to climate regimes, rainfall types, and terrains.

510 4. Compared with that in Urumqi, the $Z-R$ relationship for stratiform rainfall in Nilek has
511 higher values of coefficient A and exponent b . The standard $Z-R$ model (MP-Stratiform
512 relationship) tends to overestimate stratiform precipitation in Nilek. For stratiform
513 precipitation in Urumqi, however, the MP-Stratiform relationship would overestimate the
514 precipitation at low radar reflectivity value and underestimate the precipitation at high radar

515 reflectivity value. In addition, the $\mu - \Lambda$ relations are found to be different between Urumqi
516 and Nilek.

517 The present study discusses the DSD characteristics and the possible factors affecting
518 these characteristics in the western and central regions over the Tianshan Mountains in
519 China. The results achieved in this study are conducive to the improvement of local
520 quantitative precipitation estimation and a deeper understanding of the DSD characteristic
521 under the background of complex terrain in arid regions. Note that the findings of the present
522 study may be affected by the limitations of the Parsivel² disdrometer in measuring small
523 raindrops (Tokay et al, 2013; Zhang et al., 2019). The two-dimensional video disdrometer
524 combined with other observation instruments should be used to further study the
525 microphysical processes that involve cloud droplets and raindrops over the Tianshan
526 Mountains in China in the future.

527

528 **Data Availability Statement**

529 The ERA5 reanalysis data provided by ECMWF are available at
530 <https://www.ecmwf.int/en/forecasts/datasets/>, and the TBB data provided by China National
531 Satellite Meteorological Center can be downloaded from <http://satellite.nsmc.org.cn>. The
532 DSD data generated and analyzed in this study are available from the corresponding author
533 on reasonable request.

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Acknowledgments

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References

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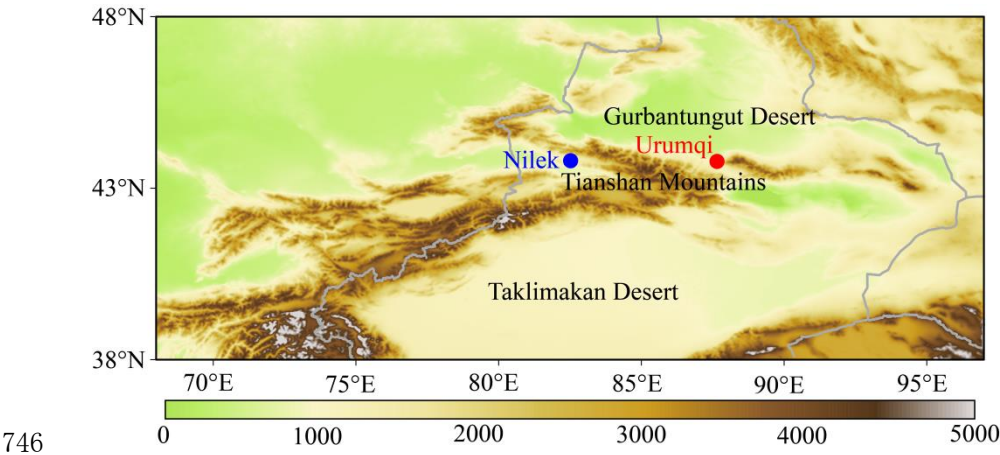
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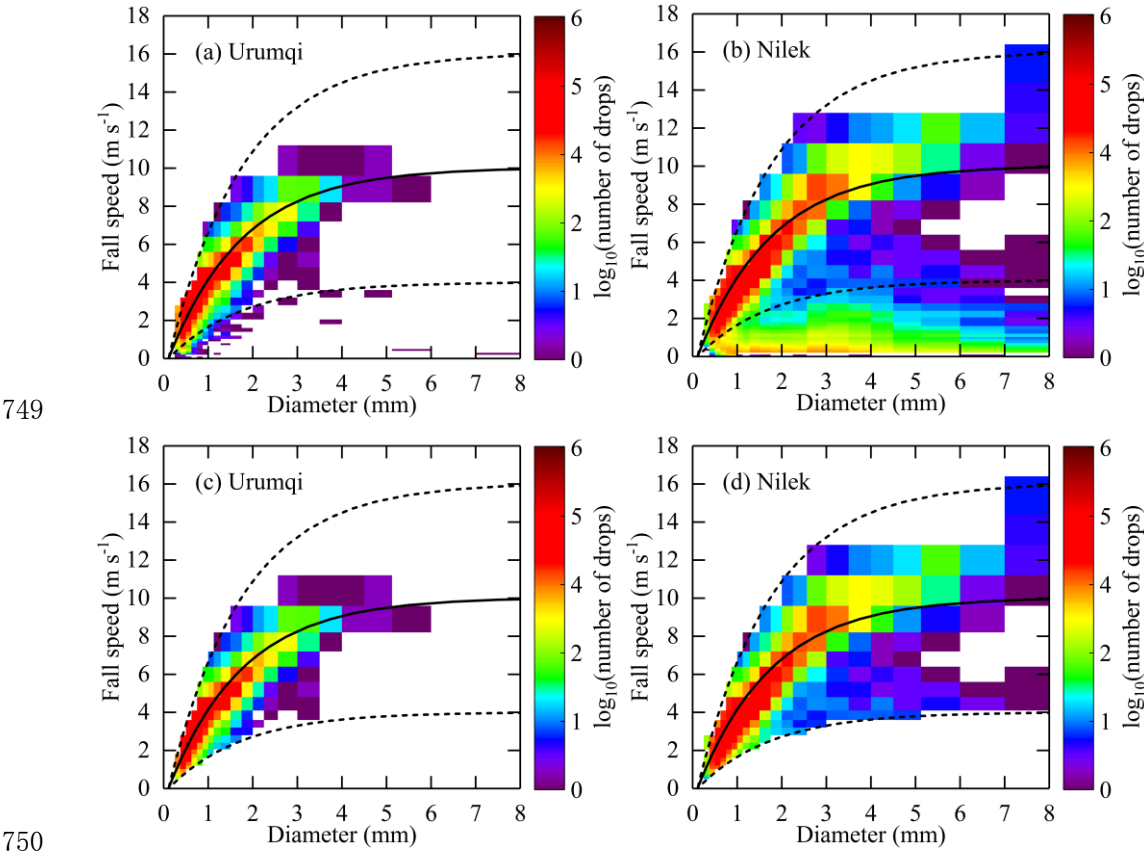
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List of Figures



746

747 Fig. 1 Locations of the observation sites (the blue and red dot) and the topography (m) of
748 Tianshan Mountains.



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750

751 Fig. 2 Raindrop numbers in different diameter and fall speed classes before (a) and (b),
752 and after (c) and (d) quality control in Urumqi and Nilek. The black solid line indicates
753 the empirical fall speed-diameter relationship from Atlas et al. (1973), and the black

dashed line indicates the $\pm 60\%$ range of the relationship.

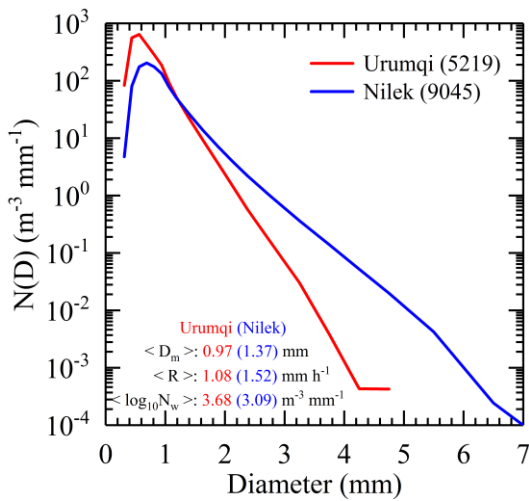


Fig. 3 Mean raindrop concentrations in Urumqi and Nilek during summer. The total numbers of 1-min raindrop size distributions samples in Urumqi and Nilek are given by legends in parenthesis. The mean values (enclosed in angle bracket $\langle \rangle$) of mass-weighted mean diameter (D_m , mm), rainfall rate (R , mm h^{-1}) and the normalized intercept parameter ($\log_{10} N_w$, $\text{mm}^{-1} \text{m}^{-3}$) for rainfall in Urumqi and Nilek are also shown in Figure 3.

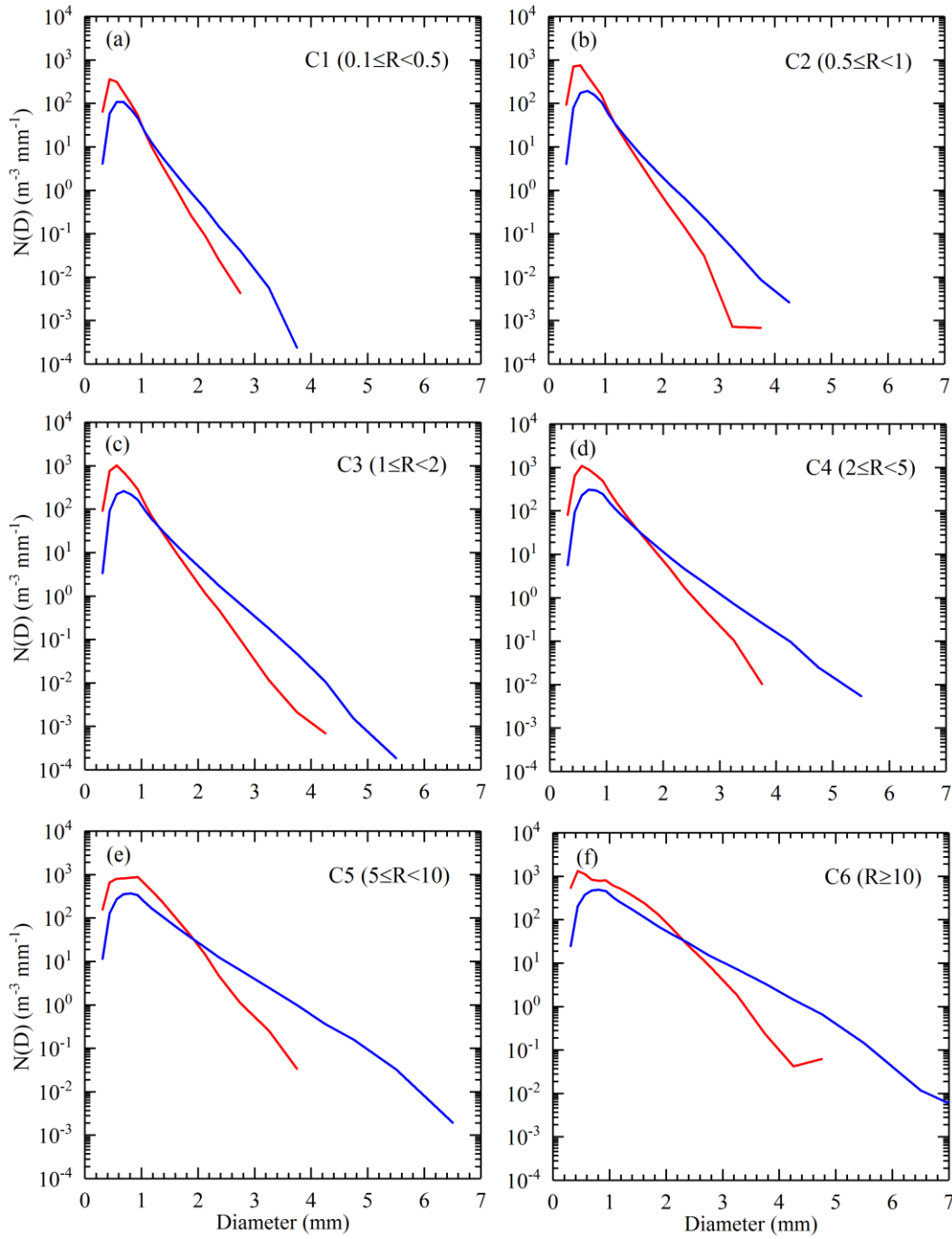


Fig. 4 Average raindrop spectra in Urumqi (red color) and Nilek (blue color)

corresponding to six rain rate classes (C1: 0.1–0.5, C2: 0.5–1, C3: 1–2, C4: 2–5, C5: 5–10, C6: $\geq 10 \text{ mm h}^{-1}$).

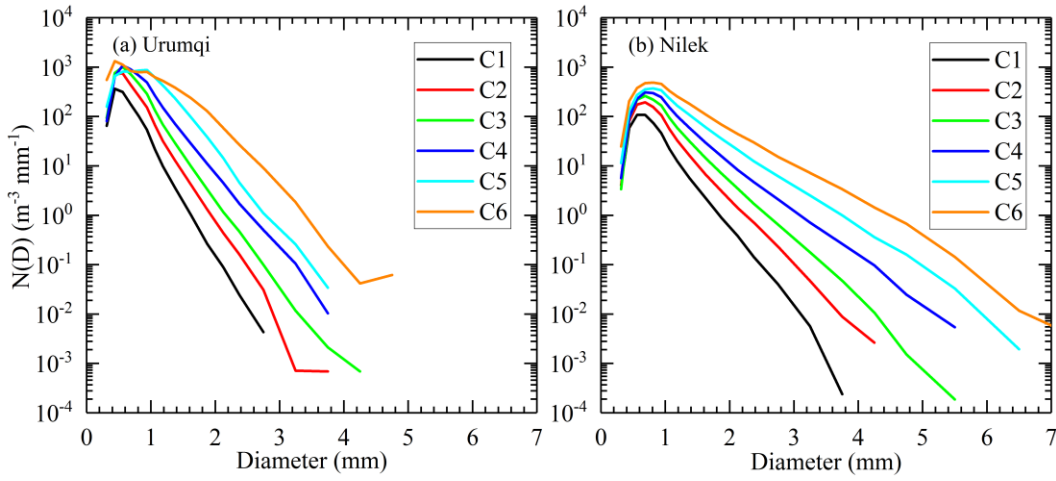


Fig. 5 Average raindrop spectra in Urumqi (a) and Nilek (b) corresponding to six rain rate classes.

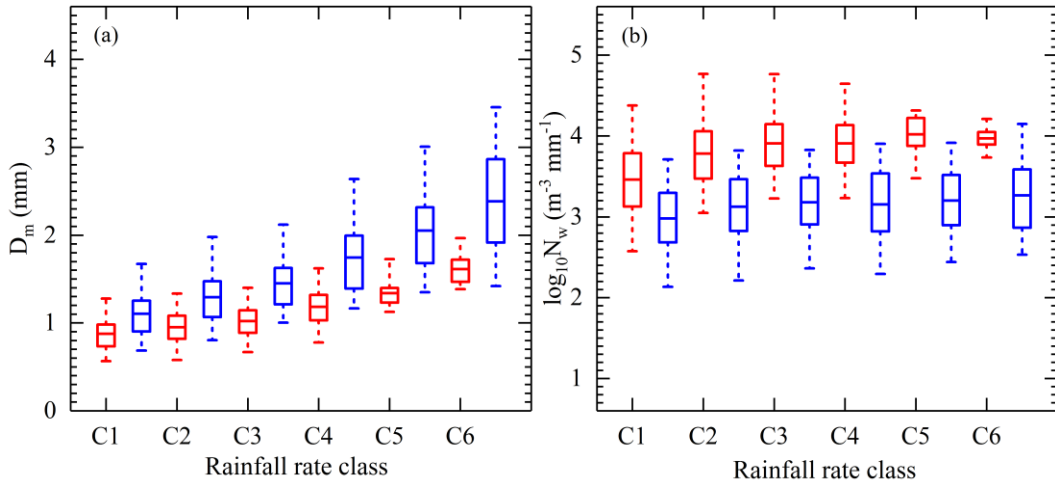


Fig. 6 Variations of the mass-weighted mean diameter (D_m , mm) (a) and the normalized intercept parameter ($\log_{10} N_w$, $\text{mm}^{-1} \text{m}^{-3}$) (b) in Urumqi (red) and Nilek (blue) corresponding to six rain rate classes. The central line of the box indicates the median, and the bottom and top lines of the box indicate the 25th and 75th percentiles, respectively. The bottom and top lines of the vertical lines out of the box indicate the 5th and 95th percentiles, respectively.

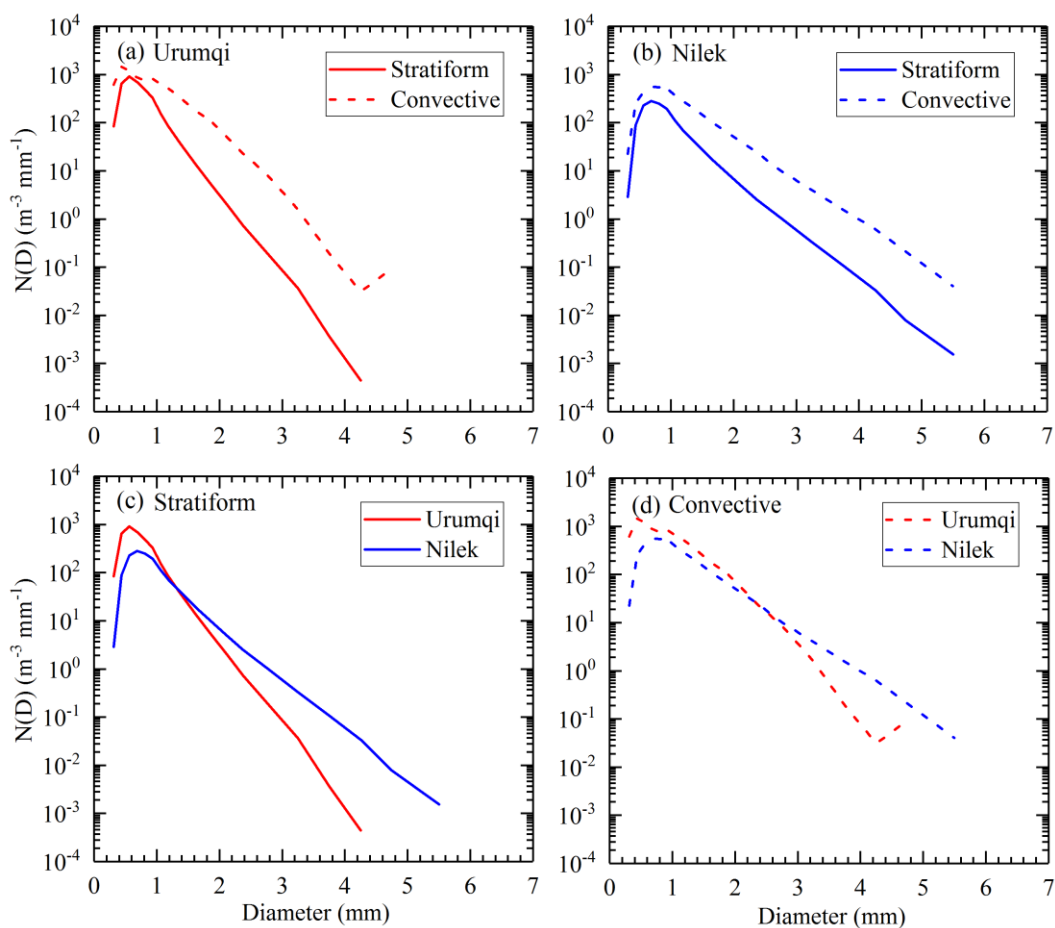


Fig. 7 Variations of raindrop concentration with drop diameter for different precipitation types in Urumqi (red) and Nilek (blue).

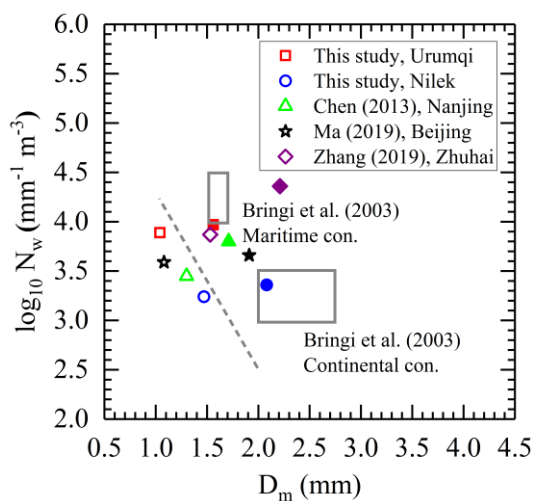


Fig. 8 Scatterplots of the mean value of normalized intercept parameter ($\log_{10} N_w$, $\text{mm}^{-1} \text{m}^{-3}$) versus the mass-weighted mean diameter (D_m , mm) for convective rainfall and

stratiform rainfall, where the hollow (solid) symbol corresponds to stratiform (convective) rainfall. The red squares and blue circles represent the mean values in Urumqi and Nilek, respectively. The two grey rectangles correspond to the maritime and continental convective clusters, and the grey dashed line is the stratiform rain line reported by Bringi et al. (2003). The green triangles, black stars, and purple diamonds represent the mean values obtained in previous studies by B. Chen et al. (2013), Ma et al. (2019), and Zhang et al. (2019), respectively.

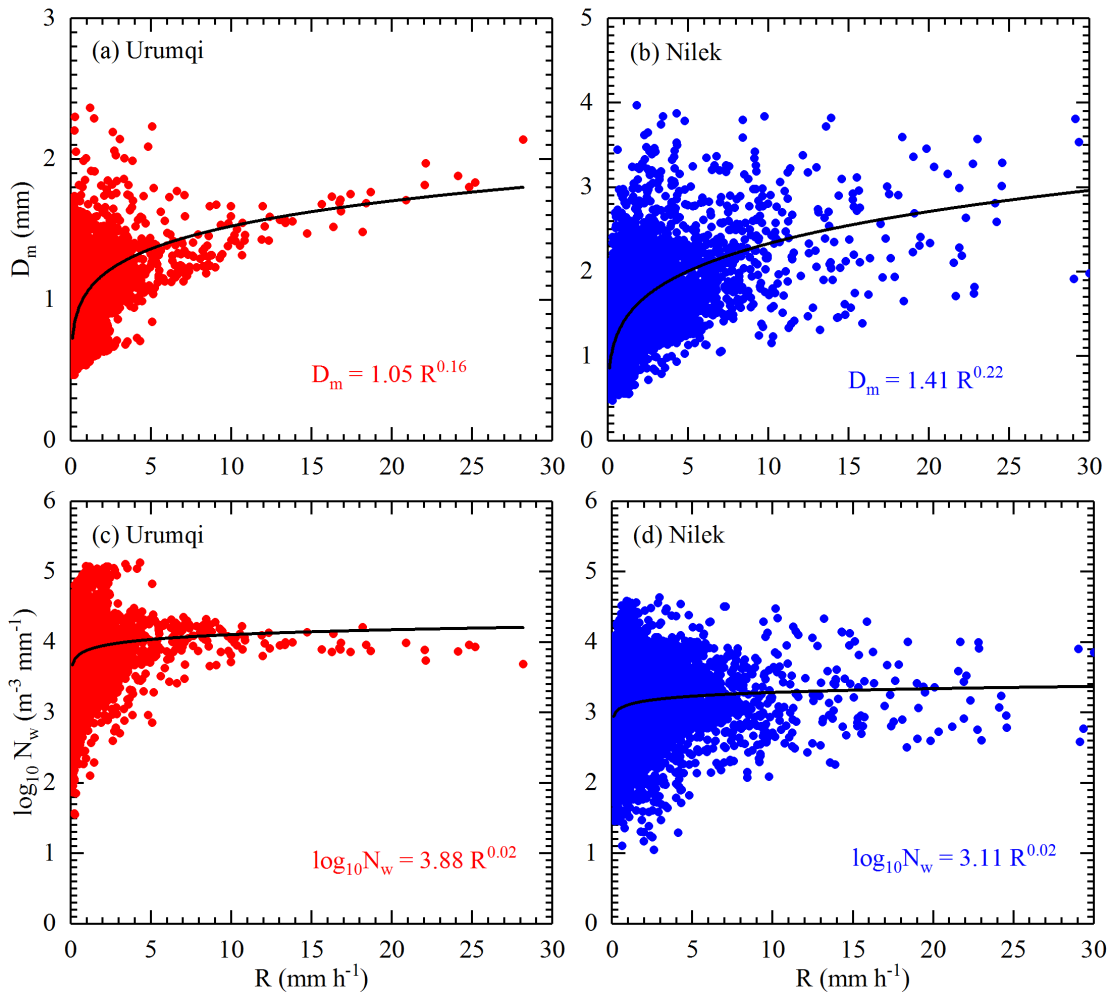


Fig. 9 Scatterplots of the mass-weighted mean diameter (D_m , mm) and the normalized intercept parameter ($\log_{10} N_w$, mm⁻³ m⁻³) with rainfall rate in Urumqi (red) and Nilek

(blue). The fitted power law relationships are shown by black solid lines. (a) $D_m - R$ relation and (c) $\log_{10} N_w - R$ relation for rainfall in Urumqi; (b) $D_m - R$ relation and (d) $\log_{10} N_w - R$ relation for rainfall in Nilek.

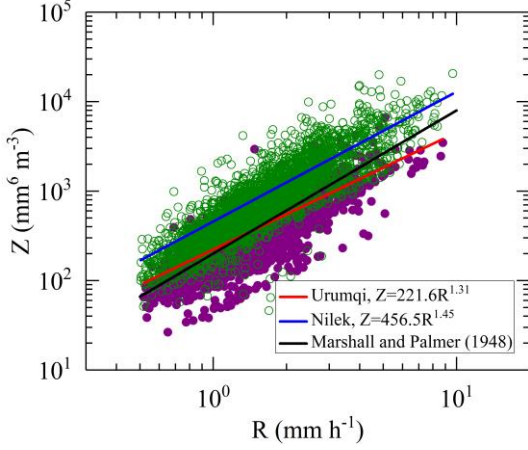


Fig. 10 Scatterplots of radar reflectivity (Z , $\text{mm}^6 \text{m}^{-3}$) and rain intensity (R , mm h^{-1}) for stratiform rainfall in Urumqi (purple solid circles) and Nilek (green hollow circles). The fitted power law relationships are shown by the red line (for Urumqi) and blue line (for Nilek), respectively, and the black line indicates the empirical relationship ($Z = 200R^{1.6}$) proposed by Marshall and Palmer (1948).

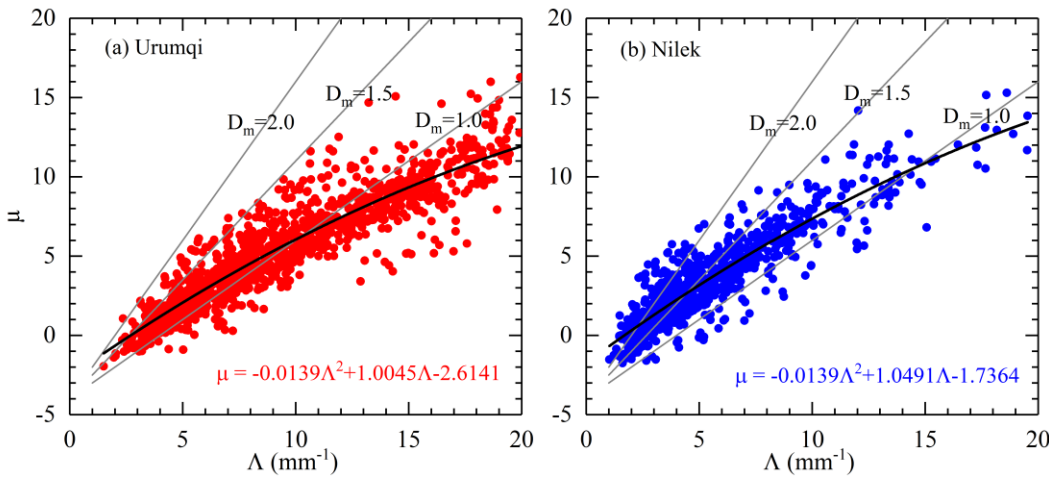


Fig. 11 Scatterplots of $\mu - \Lambda$ relationships and fitting curves for rainfall in Urumqi (a) and Nilek (b) with drop counts > 300 . The gray lines correspond to the relationship

$\Lambda \cdot D_m = 4 + \mu$ given the values of $D_m = 1.0, 1.5$, and 2.0 mm.

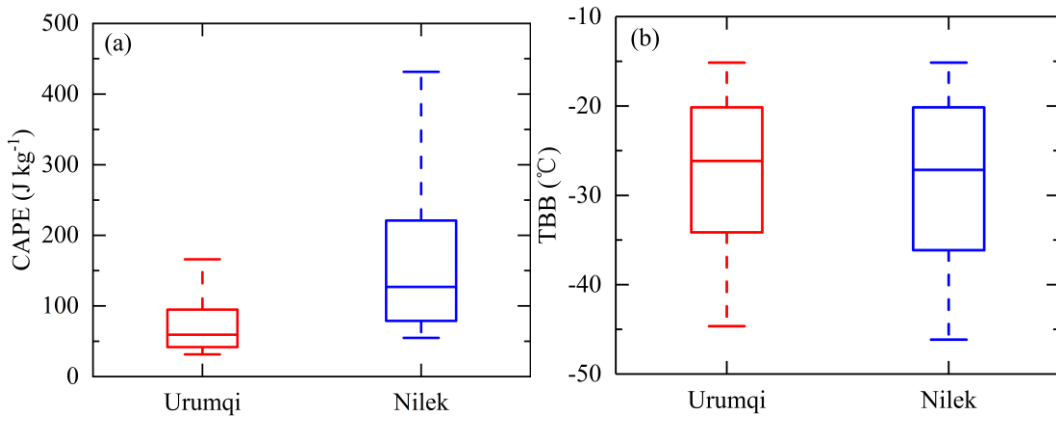


Fig. 12 Box and whisker plots of (a) convective available potential energy (CAPE, J Kg⁻¹) and (b) black body temperature (TBB, °C) in Urumqi (red color box) and Nilek (blue color box). The center line of each box indicates the median value, and the bottom and top lines of the box indicate the 25th and 75th percentiles, respectively. The bottom and top of the dashed vertical lines indicate the 5th and 95th percentiles, respectively.

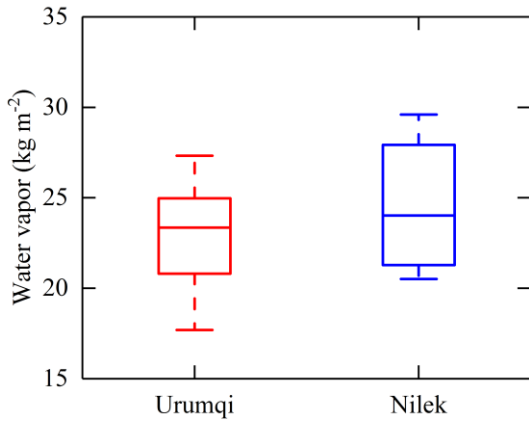
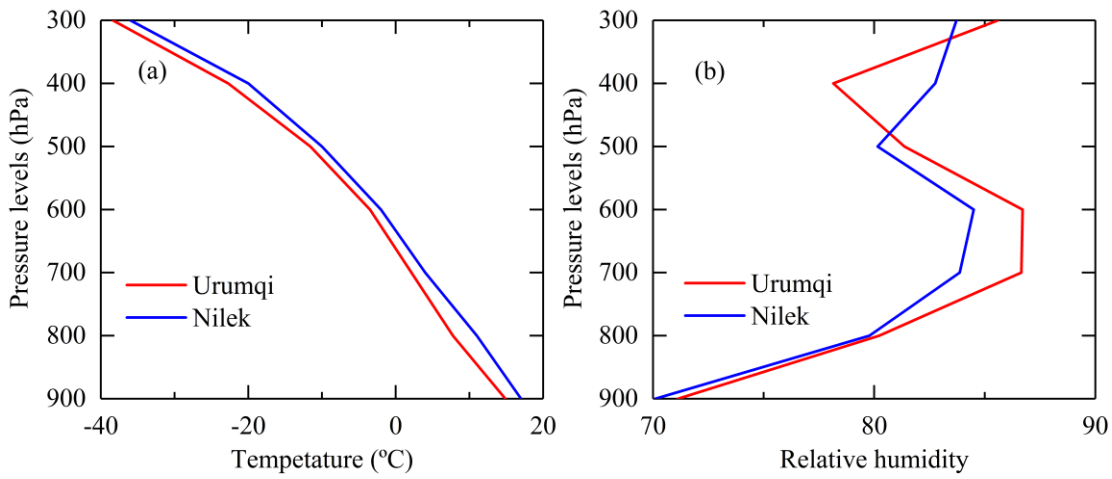


Fig. 13 Box and whisker plots of vertical integral of water vapor (kg m⁻²) in Urumqi (red color box) and Nilek (blue color box).

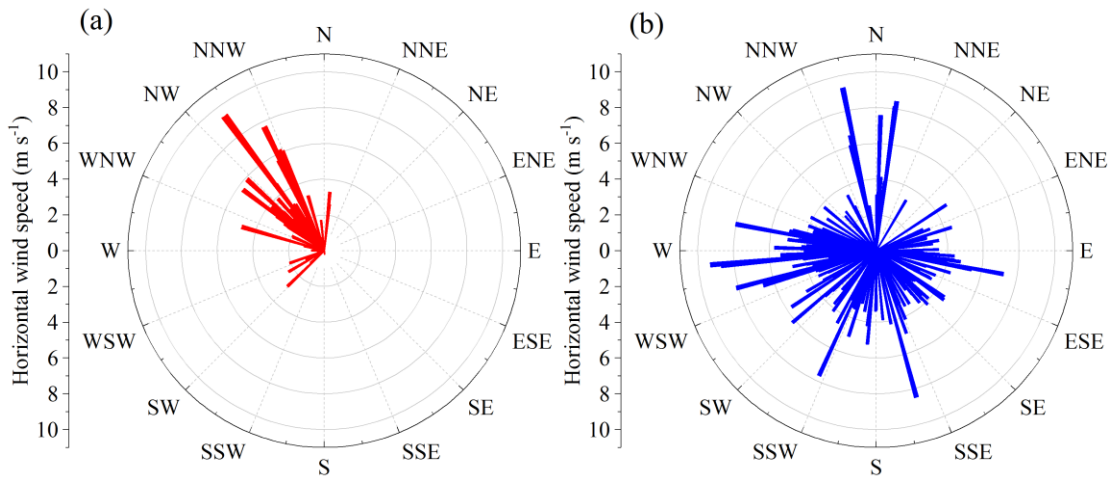


813

814 Fig. 14 Mean air temperature ($^{\circ}\text{C}$) and (b) relative humidity (%) profiles in Urumqi (red)

815

and Nilek (blue).



816

817 Fig. 15 Wind rose map of the ground in (a) Urumqi and (b) Nilek.

818

820 Table 1. Statistics of rainfall in Urumqi and Nilek corresponding to six rain rate classes

Rain rate class	Rain rate threshold (mm h ⁻¹)	Urumqi			Nilek		
		No. of	Mean	SD	No. of	Mean	SD
		samples	(mm h ⁻¹)	(mm h ⁻¹)	samples	(mm h ⁻¹)	(mm h ⁻¹)
C1	$0.1 \leq R < 0.5$	2361	0.25	0.11	3224	0.27	0.11
C2	$0.5 \leq R < 1$	1120	0.73	0.14	2001	0.73	0.15
C3	$1 \leq R < 2$	1092	1.40	0.27	1964	1.42	0.28
C4	$2 \leq R < 5$	520	2.86	0.73	1353	3.01	0.82
C5	$5 \leq R < 10$	90	7.01	1.42	378	6.89	1.38
C6	$R \geq 10$	36	15.62	4.98	125	15.67	5.35
Total		5219	1.08	1.74	9045	1.52	2.37

821 *Note.* SD represents the standard deviation.

822

823 Table 2. Mean Values of Z , W , D_m , and $\log_{10} N_w$ in Urumqi and Nilek corresponding to six
824 rain rate classes

Rain rate class	Urumqi				Nilek			
	Z	W	D_m	$\log_{10} N_w$	Z	W	D_m	$\log_{10} N_w$
	(dBZ)	(g m ⁻³)	(mm)	(m ⁻³ mm ⁻¹)	(dBZ)	(g m ⁻³)	(mm)	(m ⁻³ mm ⁻¹)

C1	14.34	0.021	0.88	3.46	17.16	0.017	1.10	2.98
C2	20.40	0.057	0.95	3.78	23.47	0.042	1.29	3.12
C3	24.12	0.102	1.02	3.91	27.76	0.077	1.45	3.18
C4	28.72	0.184	1.18	3.91	32.84	0.150	1.75	3.15
C5	33.69	0.403	1.34	4.02	38.14	0.314	2.05	3.20
C6	39.15	0.780	1.61	3.97	42.81	0.660	2.38	3.26
Total	19.62	0.074	0.97	3.68	24.43	0.077	1.37	3.09

825

826 Table 3. Same as Table 2 but for stratiform and convective rain types

Rain type	Urumqi				Nilek			
	Z	W	D_m	$\log_{10} N_w$	Z	W	D_m	$\log_{10} N_w$
	(dBZ)	(g m ⁻³)	(mm)	(m ⁻³ mm ⁻¹)	(dBZ)	(g m ⁻³)	(mm)	(m ⁻³ mm ⁻¹)
Stratiform	24.65	0.115	1.04	3.89	28.59	0.099	1.47	3.24
Convective	38.14	0.688	1.56	3.97	40.15	0.536	2.08	3.36

827