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What Percentage of Silk-Road Pattern Trigger Pacific– Japan Pattern through Rossby Wave Breaking?

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Abstract

29

30 In this study, we investigate the rate at which the Silk-Road pattern (SRP) with Rossby
31 wave breaking (RWB) near the Asian jet exit causes the Pacific–Japan (PJ) pattern in boreal
32 summer. Here, the SRP case is detected using the two principal components of upper-
33 tropospheric meridional winds over Eurasia and characterized by the presence of an upper-
34 level anticyclonic anomaly over the Yellow Sea or near Japan. They are further classified
35 into cases with and without RWBs.

36 In the SRP case with RWB, the upper-level anticyclonic anomaly near the Asian jet exit
37 has more extended shape in the zonal direction and larger amplitude than in the case without
38 RWB. In the composite, a wave train associated with the SRP appears over Eurasia, which
39 is accompanied by the RWB near the Asian jet exit. The occurrence of RWB is associated
40 with strong deceleration and diffuence in the basic state there. The RWB promotes
41 enhanced convection on its southern side due to the intrusion of upper-level high potential
42 vorticity toward the southwest, resulting in the formation of the PJ pattern. The excited PJ
43 pattern in the composite has a dipole structure with cyclonic anomalies to the south and
44 anticyclonic anomalies to the north. Approximately 60–70% of the SRP case with RWB is
45 accompanied by the PJ patterns.

46 On the other hand, in the case of the SRP without RWB, the composite represents a wave
47 train structure over Eurasia but indicates neither enhanced convection south of the RWB
48 nor PJ patterns. Approximately 40–50% of the SRP case without RWBs is accompanied by

49 the PJ patterns. Hence, the presence of RWBs increases the percentage of the formation
50 of positive PJ patterns by a factor of 1.2 to 1.7, indicating that the RWB plays an important
51 role in the excitation of PJ patterns.

52

53 **Keywords** teleconnection; wave breaking; subtropical jet; convective rain

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55

56 **1. Introduction**

57 The Silk Road pattern (SRP) is the dominant teleconnection pattern along the Asian jet in
58 boreal summer (Lu et al. 2002, Enomoto et al. 2003). The SRP can be extracted as the
59 dominant EOF mode of upper tropospheric meridional wind anomalies over mid-latitude
60 Eurasia (e.g., Kosaka et al. 2009, Song et al. 2013, Hong et al. 2018, Zhou et al. 2019). The
61 SRP is also often accompanied by an anticyclone with an equivalent barotropic structure in
62 the troposphere, namely the Bonin high (Enomoto 2004), and the anticyclone can produce
63 unprecedented heat waves around Japan (e.g., Enomoto et al. 2009). The amplified Bonin
64 high is closely associated with the generation of Rossby wave breaking (RWB) (Postel and
65 Hitchman 1999, 2001, Abatzoglou and Magnusdottir 2006), which causes the equatorward
66 penetration of upper-level high potential vorticity (PV) into the subtropical western North
67 Pacific (WNP). The equatorward intrusion of high PV can, in some cases, trigger enhanced
68 convection (Takemura et al. 2017) and the development of tropical cyclones (Takemura and
69 Mukougawa 2021b).

70 The Pacific–Japan (PJ) pattern is also one of the dominant teleconnection patterns of
71 East Asia, characterized by a meridional dipole structure consisting of circulation anomalies
72 near the Philippines and circulation anomalies with opposite signs near Japan in the lower
73 troposphere (Nitta 1987, Kosaka and Nakamura 2006). Hereafter, the phase with cyclonic
74 (anticyclonic) circulation anomalies near the Philippines and anticyclonic (cyclonic)
75 circulation anomalies near Japan is referred to as the positive (negative) PJ pattern.

76 Enhanced convection over the subtropical WNP is closely associated with a positive PJ
77 pattern ([Wakabayashi and Kawamura 2004](#)), corresponding to the extension of the North
78 Pacific subtropical high over mainland Japan (e.g., [Lu and Dong 2001](#)).

79 From a lag composite analysis of the RWB near Japan occurred in past decades,
80 [Takemura and Mukougawa \(2020a\)](#) showed that there is a dynamical process in which
81 Rossby wave propagation along the Asian jet, including the SRP, promotes the formation of
82 a positive PJ pattern through the RWB near Japan. They emphasized that RWBs play a
83 crucial role in the above dynamical process as follows. The RWB causes high PV intrusion
84 into the subtropical WNP, where dynamically induced upwelling anomalies enhance
85 convection, and consequently contributes to the formation of a positive PJ pattern. The lag
86 composites revealed the SRP, RWB, and the positive PJ pattern peaking in sequence over
87 a period of about one week. This result indicates a close relationship between the SRP with
88 RWB and the positive PJ pattern. The maintenance mechanism of RWBs and positive PJ
89 patterns by the dynamical interaction between them was also statistically elucidated by
90 [Takemura and Mukougawa \(2020b\)](#). Whether or not the SRP accompanies the RWB near
91 the Asian jet exit region is partly related to the deceleration and diffuence of the Asian jet in
92 the region ([Takemura et al. 2021](#)).

93 [Takemura and Mukougawa \(2022\)](#) evaluated the proportion of PJ patterns with RWB by
94 classifying positive PJ patterns into those with and without RWB. They showed that PJ
95 patterns without RWBs are significantly associated with tropical SST anomalies such as El

96 Niño southern oscillation (ENSO), the basin-wide SST warming in the Indian Ocean (e.g.,
97 [Xie et al. 2009, 2016](#)), and Pacific meridional mode of SST ([Chiang and Vimont 2004](#),
98 [Takaya 2019](#)). They also showed that the PJ pattern without RWB is related to convective
99 anomalies associated with the boreal summer intraseasonal oscillation (BSISO; [Kikuchi et](#)
100 [al. 2012](#), [Lee et al. 2013](#), [Kikuchi 2021](#), [Seiki et al. 2021](#)) and the bi-week oscillation ([Zhu et](#)
101 [al. 2020](#)), indicating the importance of the tropical environment for PJ pattern excitation.

102 On the other hand, although [Takemura and Mukougawa \(2020a\)](#) showed from their
103 composite analyses that SRP can excite PJ pattern through RWBs, a quantitative evaluation
104 of the ability of SRP to excite PJ patterns has not yet been performed. Hence, in this study,
105 we will evaluate the percentage of SRP cases where RWBs around Japan cause positive
106 PJ patterns, and examine the difference in the probability of PJ pattern formation with and
107 without RWBs. This approach is important for re-examining and highlighting the role of RWB
108 in the connectivity between SRP and PJ patterns ([Takemura and Mukougawa 2020a](#)). To
109 accomplish this task, we follow the method adopted by [Takemura and Mukougawa \(2022\)](#)
110 to perform lag composite analysis of the SRP case with and without RWBs.

111

112 **2. Data and methods**

113 To analyze atmospheric circulation, we used daily mean data set of the Japanese 55-year
114 reanalysis (JRA-55) from June to September (JJAS) during the 61-year period from 1958 to
115 2018, with the horizontal resolution of 1.25° and 37 pressure levels from 1000 to 1 hPa

116 (Kobayashi et al. 2015). Here, anomaly is defined as the difference from the climatology.
 117 The climatology is obtained by a 60-day low-pass (Lanczos; Duchon 1979) filtered daily
 118 mean for the 30-year period from 1981 to 2010. To extract the low-frequency components,
 119 including quasi-stationary Rossby waves, a five-day running average was applied to the
 120 daily data. In order to smooth the relative vorticity field horizontally, a triangular truncation
 121 (T24) retaining total wavenumber 24 is used to eliminate disturbances with horizontal scales
 122 smaller than the synoptic eddies. The statistical significance of the composited anomalies
 123 was assessed by two-tailed Student's t -test. The variable t is defined as $t = \bar{x}' / \sqrt{\sigma^2 / (N - 1)}$,
 124 where \bar{x}' is the composited anomalies, σ is the standard deviation, N is the number of
 125 cases. The variable t obeys a Student's t -distribution with $N - 1$ degrees of freedom.

126 The propagation of Rossby wave packets was analyzed using the wave activity flux (WAF)
 127 defined by Takaya and Nakamura (2001). The horizontal WAF is defined as follows:

$$128 \quad \mathbf{W} = \frac{1}{2|\mathbf{U}|} \begin{pmatrix} \bar{u}(\psi_x'^2 - \psi' \psi_{xx}') + \bar{v}(\psi_x' \psi_y' - \psi' \psi_{xy}') \\ \bar{u}(\psi_x' \psi_y' - \psi' \psi_{xy}') + \bar{v}(\psi_y'^2 - \psi' \psi_{yy}') \end{pmatrix}, \quad (1)$$

129 where u is the zonal wind, v is the meridional wind, \mathbf{U} is the climatological horizontal wind
 130 vector, and ψ is the geostrophic stream function at a reference latitude of $\phi_0 = 40^\circ\text{N}$. The
 131 reference latitude was selected based on the central latitude of the climatological Asian jet
 132 in midsummer (green shading in Fig. 1). The overbars (primes) denote the climatology
 133 (anomaly from the climatology). The subscripts x and y denote the partial derivatives with
 134 respect to longitude and latitude, respectively.

135 The detection of SRP cases was performed using EOF modes of monthly mean 200-hPa

136 meridional wind anomalies in the region of [20–60°N, 30–130°E] (blue box in Fig. 1 labeled
137 by “SRP”) for 61 years from 1958 to 2018, according to [Kosaka et al. \(2009\)](#). We used the
138 averaged field over the two months of July and August to conduct the EOF analysis because
139 these months correspond to the midsummer after the rainy season near Japan. Note that
140 the EOF pattern obtained from the monthly mean meridional wind anomalies is almost the
141 same as the pattern obtained from five-day running mean ones (not shown). The SRP index
142 was defined based on scores PC1 and PC2 (“PC” stands for principal component) of five-
143 day running mean meridional wind anomalies projected on the obtained first and second
144 EOF patterns as follows. Here the EOF pattern is normalized by the standard deviation in
145 July and August during the 61 years. To focus on the anticyclonic anomaly associated with
146 RWB near the Asian jet exit, positive PC1 and negative PC2 scores were adopted as SRP
147 indicators. The results of regressing 200-hPa vorticity anomalies on positive PC1 and
148 negative PC2 scores (Fig. 2) show that anticyclonic anomalies exist over the Yellow Sea
149 and around Japan (red boxes in Fig. 2), respectively. Here, the SRP case is extracted when
150 the absolute value of the SRP index is greater than 1, which implies a wave train with a large
151 amplitude. Note that if two peaks of the SRP index were detected within 10 days, the SRP
152 case with a smaller SRP index was excluded so that the periods of the two cases would not
153 overlap in the lag composite analysis. The central day of the SRP case with the largest
154 absolute value of the SRP index was defined as “day 0” in the lag composite analysis. The
155 SRP cases where PC1 is positive and PC2 is negative are referred to as SRP1+ and SRP2–,

156 respectively.

157 For the extracted SRP cases, the WB index was defined as the difference in the area-
158 averaged potential temperature at the dynamical tropopause defined by 2 potential vorticity
159 units (PVUs) in the two regions of [30–45°N, 130–160°E] (red box in Fig. 1) and [15–30°N,
160 130–160°E] (red dashed box in Fig. 1) to investigate whether RWB occurred near Japan.

161 When the WB index is positive, a RWB with a reversal of the meridional gradient of the
162 potential temperature (Pelly and Hoskins 2003) occurs near the Asian jet exit. Hereafter,
163 SRP1+ (SRP2–) cases with WB index greater than 0 (i.e., blocked flow) and less than 0 (i.e.,
164 zonal flow) are classified as WB/SRP1+ (WB/SRP2–) cases and ZN/SRP1+ (ZN/SRP2–)
165 cases, respectively. Here, “WB” and “ZN” stand for “wave breaking” and “zonal”, respectively.

166 Since the longitude range defining the WB index is from near Japan to its east, the WB index
167 is expected to more accurately capture the presence or absence of wave breaking in the
168 SRP2– case than that in the SRP1+ case. The longitude range of the WB index (130–160°E)
169 was defined in accordance with Takemura et al. (2020), who showed that the occurrence
170 frequency of RWB peaks in that longitude range. Here, the PJ index is defined as the
171 difference between 850-hPa vorticity anomalies averaged over the [20°–30°N, 110°–140°E]
172 (dashed black box in Fig. 1) and [30°–40°N, 120°–150°E] (solid black box in Fig. 1) regions.

173 Thus, a positive PJ index means the formation of a positive PJ pattern. Results based on
174 the WB and PJ indices are almost the same even if the definition regions of these indices
175 are slightly altered (not shown).

176 To examine the diffuence and deceleration of the basic flow near the exit of the Asian jet,
177 which is a precondition for RWB (Colucci 2001), the stretching deformation of the basic state
178 (d_B) was derived from the horizontal wind according to Mak and Cai (1989) and Bluestein
179 (1992) as follows:

$$180 \quad d_B \equiv \frac{\partial u_B}{\partial x} - \frac{\partial v_B}{\partial y}. \quad (2)$$

181 Here, the subscript B denotes the basic state defined by zonal wavenumbers $k < 3$ to
182 exclude the flow associated with Rossby waves. The diffluent and decelerated basic flow
183 thus corresponds to a negative d_B , and is generally found near or upstream of the RWB
184 (e.g., Colucci 2001), contributing to RWB generation.

185

186 **3. Lag composite analysis of the SRP cases**

187 In this section, we represent the results of lag composite analysis for the four SRP
188 categories based on SRP and RWB defined in section 2 to examine the atmospheric
189 circulation anomalies associated with SRP. Figures 3a and 3b show the central day (day 0)
190 of the SRP1+ and SRP2– cases, respectively. The cases of 73 SRP1+ (red circles in Fig.
191 3a) and 90 SRP2– (blue circles in Fig. 3b) were extracted if the absolute value of the SRP
192 index was greater than 1 (see section 2). Note that there were many SRP1+ cases from the
193 mid-1970s to the late 1990s, while there were many SRP2– cases after the late 1990s. Such
194 interdecadal variabilities are beyond the scope of this study but have been already reported
195 by Wang et al. (2017) and Liu et al. (2020), who showed two regime shifts of the SRP in the

196 above-mentioned periods, affecting the summer climate over East Asia.

197 Figure 4a shows a scatter plot of the SRP index on day 0 and the WB index on day +2 for
198 the extracted SRP1+ and SRP2- cases. Numbers of the WB/SRP1+, ZN/SRP1+,
199 WB/SRP2-, and ZN/SRP2- cases are counted in Table 1. Each type of the SRP cases has
200 dozens of events sufficient to perform a composite analysis. To examine a relationship
201 between the RWB and the amplitude of anomalous anticyclone near Japan, a scatter plot of
202 area-averaged anomalous vorticity at 200 hPa near the Asian jet exit and the WB index on
203 day +2 for the SRP cases is shown in Fig. 4b. Here ranges of the area averages are [30°–
204 45°N, 110°–140°E] (red box in Fig. 2a) for the SRP1+ cases and [30°–45°N, 120°–150°E]
205 (red box in Fig. 2b) for the SRP2- cases. The correlation coefficients of 0.44 and 0.49 for
206 SRP1+ and SRP2-, respectively, indicate that the WB index is larger for cases with larger
207 magnitude of anticyclonic anomalies, which is a favorable condition for RWB generation.

208 *3.1. WB/SRP1+ and ZN/SRP1+ cases*

209 Figure 5 shows composite values of 200-hPa and 850-hPa vorticity anomalies, 360-K PV
210 and positive convective precipitation anomalies on days -6, -4, -2, 0, and +2 for the 34
211 WB/SRP1+ cases. In the upper troposphere, the SRP along the Asian jet gradually amplifies
212 from day -6 to day 0 (Figs. 5a, 5d, 5g, and 5j). Amplification of anticyclonic anomalies
213 associated with the SRP is seen from day -4 to day +2 centered over the area from
214 northeastern China to the Korean peninsula (Figs. 5d, 5g, 5j, and 5m). The zonally elongated
215 anomalous anticyclone associated with the occurrence of RWB is accompanied by the

216 southwestward intrusion of high PV toward immediately north of the Philippines and the
217 consequent enhanced convection near the region (near 20°N, 120°E) during the period (Figs.
218 5e, 5h, 5k, and 5n). Although the amplitude of SRP attains a maximum on day 0, the
219 occurrence of RWB near Japan is already seen on day –6 and its relationship with the SRP
220 is unclear. In the lower troposphere, the enhanced convective activity during this period
221 results in the formation of a positive PJ pattern with a significant anomalous cyclone to the
222 southwest of Japan and a significant anomalous anticyclone near the mainland Japan (Figs.
223 5i, 5l, and 5o). The above features are a typical example of how SRP excites a positive PJ
224 pattern through RWBs, consistent with [Takemura and Mukougawa \(2020a\)](#). Enhanced
225 convection and a positive PJ-like pattern are already seen on day –4, which is associated
226 with the amplifying SRP and the related occurrence of RWB. The amplitude of the SRP on
227 day 0 (Fig. 5j) near East Asia is smaller than that over Eurasia but much larger than that of
228 the regressed upper-level vorticity anomaly in Fig. 2a. This feature implies that the excited
229 PJ pattern recursively intensifies the SRP near East Asia through a feedback process
230 between them.

231 On the other hand, the composited anomalies of 39 ZN/SRP1+ case are shown in Fig. 6.
232 In the upper troposphere, as in the case of WB/SRP1+, the SRP along the Asian jet gradually
233 amplifies from day –4 to day 0 (Figs. 6d, 6g, and 6j). However, the upper-level anomalous
234 anticyclone over northeastern China is weaker than the WB/SRP1+ case (Fig. 5j). The lack
235 of development of an anticyclone, which does not have a zonally-elongated shape as in the

236 case of WB/SRP1+, is consistent with the lack of RWB in the region and scattered
237 convection to the south of Japan from day -2 to day +2 (Figs. 6h, 6k, and 6n). The SRP
238 extends further downstream from the anomalous anticyclone we are now focusing on to the
239 North Pacific region east of Japan from day 0 to day +2 (Figs. 6j and 6m), also indicating
240 the absence of RWB. In the lower troposphere, unlike the WB/SRP1+ case, there are no
241 meridional dipole anomalies corresponding to the positive PJ pattern from day -2 to day +2
242 (Figs. 6i, 6l, and 6o). This result indicates that SRPs without RWBs are less likely to excite
243 positive PJ patterns than SRPs with RWBs, indicating that RWBs play a crucial role in the
244 formation of PJ patterns.

245 To compare the horizontal structure of the Asian jet with and without RWB, the difference
246 in d_B on day 0 between the WB/SRP1+ case and the ZN/SRP1+ case is shown in Fig. 7a.
247 The stretching deformation of the WB/SRP1+ case is significantly negative, and its
248 magnitude is larger than the ZN/SRP1+ case in the vicinity and west of the region where
249 RWBs occur, indicating favorable conditions for RWB generation in the WB/SRP1+ case.

250 3.2. *WB/SRP2- and ZN/SRP2- cases*

251 Figure 8 shows the composite anomalies for the 37 WB/SRP2- cases. In the upper
252 troposphere, amplification of the SRP along the Asian jet and anticyclonic anomaly around
253 Japan associated with the RWB are seen from day -4 to day 0 (Figs. 8d, 8g, and 8j).
254 Although the anomalous anticyclone is already seen over northern China on day -6 (Fig.
255 8a), its amplification with the slight eastward migration over the Sea of Japan is closely

256 associated with the SRP amplification (Figs. 8d, 8g, and 8j). The amplified anticyclone is
257 associated with the RWB, inducing southwestward intrusion of high PV toward southeast of
258 Japan and resulting in the enhancement of convection south of Japan (near 25°N, 130°E)
259 from day -2 to day +2 (Figs. 8h, 8k, and 8n). During this period, the enhanced convection
260 south of Japan promotes the formation of positive PJ patterns (Figs. 8i, 8l, and 8o). This
261 result indicates that the SRP of the WB/SRP2- case can excite the positive PJ pattern as
262 the WB/SRP1+ case through the RWB. Although enhanced convection and a positive PJ-
263 like pattern are already seen from day -6 to day -4 as in the WB/SRP1+ cases, the
264 anomalous convection is scattered. Afterwards, the enhanced convection gradually become
265 organized in association with the RWB. As in the WB/SRP1+ cases, the amplitude of the
266 SRP on day 0 (Fig. 8m) is enhanced near East Asia, suggesting that the excited PJ pattern
267 recursively intensifies the SRP near East Asia through a feedback process between them.

268 On the other hand, Figure 9 shows the composite anomalies for the 53 ZN/SRP2- cases.
269 Although SRP amplification is clearly seen along the Asian jet in the upper troposphere from
270 day -4 to day 0 (Figs. 9d, 9g, and 9j), the upper-level anomalous anticyclone near Japan is
271 weaker than in the WB/SRP2- case. The lack of a well-developed anticyclone is consistent
272 with the absence of a RWB in the region and scattered convection to the southeast of Japan
273 from day -2 to day +2 (Figs. 9h, 9k, and 9n). The SRP extends further downstream from the
274 anomalous anticyclone we are now focusing on to the North Pacific region east of Japan
275 from day 0 to day +2 (Figs. 9j and 9m), which indicates that there is still no RWB. In the

276 lower troposphere, the positive PJ pattern is not excited during the period (Figs. 9i, 9l, and
277 9o). The composite analysis of the two cases also shows that RWBs play a crucial role in
278 the formation of positive PJ patterns.

279 The difference in d_B on day 0 between the WB/SRP2– and ZN/SRP2– cases is shown in
280 Fig. 7b. Near Japan, the stretching deformation of the WB/SRP2– case is significantly
281 negative, and its magnitude is larger than that of the ZN/SRP2– case, indicating favorable
282 conditions for RWB generation in the WB/SRP2– case.

283

284 **4. Estimated ratio of positive PJ pattern**

285 In this section, we estimate the percentage of SRPs in which positive PJ patterns occur
286 in order to examine the probability of PJ pattern occurrence for the four types of SRPs
287 described above. Figure 10 shows a scatter plot of the SRP index on day 0 and the WB
288 index on day +2 for all extracted SRP cases, with blue circles indicating cases with a positive
289 PJ index on day +2. Positive PJ patterns are more common in the case of SRPs with RWBs
290 (WB/SRP1+ and WB/SRP2–) than in the case of SRPs without RWBs (ZN/SRP1+ and
291 ZN/SRP2–). In the WB/SRP1+ and WB/SRP2– cases, SST anomalies near the subtropical
292 WNP are not significant (not shown), indicating negligible contribution to promoting positive
293 PJ patterns, consistent with Takemura and Mukougawa (2020a). The SST anomalies, by
294 contrast, clearly show a La Niña-like pattern in the equatorial Pacific (not shown), suggesting
295 favorable conditions for RWB occurrence through modulated tropical convection and a

296 northward-shifted Asian jet, which was indicated by Takemura et al. (2020). The figure on
297 the right side of the scatter plot in Fig. 10 is a histogram of the WB index, which is further
298 classified into cases where the PJ index is positive and the other cases. For most of the
299 SRPs, the WB index tends to shift positively when there is a PJ pattern and negatively when
300 there is not. The difference in the mean value of WB indices between SRPs with and without
301 positive PJ pattern is statistically significant at the 99% confidence level.

302 Table 2 shows the number and ratio of cases with and without positive PJ patterns for the
303 four SRP cases. In SRP cases where RWBs are present, i.e., WB/SRP2– and WB/SRP1+
304 cases, about 70% and 60%, respectively, are accompanied by positive PJ patterns. On the
305 other hand, about half of the SRP cases without RWB (i.e., ZN/SRP2– and ZN/SRP1+
306 cases) are accompanied by positive PJ patterns. This change in the ratio of positive PJ
307 patterns with and without RWB suggests that RWB plays a role in increasing the probability
308 of causing positive PJ patterns. The percentage of WB/SRP2– cases with positive PJ
309 patterns is about 70%, which is larger than the percentage of WB/SRP1+ cases with positive
310 PJ patterns (about 60%). Because the PJ pattern emerges climatologically from near Japan
311 to its south (Nitta 1987, Kosaka and Nakamura 2010), RWBs near Japan (i.e., WB/SRP2–)
312 are more likely to induce a positive PJ pattern than RWBs to the west of Japan (i.e.,
313 WB/SRP1+) from a geographical perspective. The percentage of positive PJ patterns
314 triggered by the SRP is not outstandingly high, but not negligibly small. This supports an
315 important role of the SRP accompanied by the RWB near Japan in triggering positive PJ

316 patterns.

317 The other SRPs are cases that do not invoke a positive PJ pattern (i.e., open circles in
318 WB/SRP1+ and WB/SRP2– in Fig. 10) and are referred to as exceptional cases in the
319 following. As mentioned in section 1, [Takemura and Mukougawa \(2022\)](#) showed that PJ
320 patterns without RWB are closely related to tropical SST anomalies and the summertime bi-
321 week and intraseasonal oscillations (i.e., BSISO) of tropical convection. Their results imply
322 that exceptional cases are strongly influenced by tropical SST and convective activity
323 anomalies, indicating a “pure” influence of the tropics. The results of an additional composite
324 analysis of tropical SST and convective precipitation for the exceptional case show the same
325 characteristics as those of [Takemura and Mukougawa \(2022\)](#) (not shown). Our results
326 suggest that the PJ pattern is mainly influenced by tropical SST anomalies and the
327 corresponding convective activity, but also can be excited by the characteristic mid-latitude
328 atmospheric circulation anomalies, namely SRP with RWB. Note that the excited PJ pattern
329 also has a possibility to intensify the magnitude of the SRP near East Asia, as described in
330 Sections 3.1 and 3.2. This possible feedback process between the SRP and PJ patterns is
331 supported by Lu and Lin (2009), who indicated that precipitation anomalies over the
332 subtropical WNP can significantly affect large-scale circulations and may be crucial for the
333 maintenance of the meridional teleconnection over the WNP and East Asia during summer.
334 Further studies should carefully evaluate the contribution of the SRP to PJ patterns with
335 RWBs in more detail.

336

337 **5. Conclusions and discussions**

338 This study examined the ratio of SRP cases triggering the formation of positive PJ patterns
339 through RWBs near the Asian jet exit region. The SRP cases were detected using the first
340 two PCs of meridional winds in the upper troposphere over Eurasia and characterized by
341 the presence of an the upper-level anticyclonic over the Yellow Sea or Japan. They were
342 further classified into those with and without RWBs. In the case of SRP with RWB, the
343 anticyclonic circulation anomaly near the Asian jet exit has a more zonally extended shape
344 and larger amplitude than in the case without RWB.

345 Composite analysis of the SRP with RWB showed that high PV intrudes southwestward
346 toward the south of the RWB, excites enhanced convection in this region, and results in the
347 formation of a positive PJ pattern. In contrast, composite anomalies in the case of the SRP
348 without RWB, although wave trains exist over a wide area from Eurasia to Japan, convection
349 is not enhanced south of Japan and no positive PJ pattern is formed. Estimation using the
350 scatter plots of SRP, WB, and PJ indices (Fig. 10) showed that the percentage of the
351 formation of positive PJ patterns in SRP cases with RWBs is approximately 60–70%, while
352 approximately 40–50% in SRP cases without RWBs, confirming that the presence of RWBs
353 increases the percentage of the formation of positive PJ patterns by a factor of 1.2 to 1.7.
354 There were two exceptional cases of SRP: one with no RWB and a positive PJ pattern, and
355 the other with RWB but no positive PJ pattern are strongly affected by tropical SST

356 anomalies and convective activity, consistent with [Takemura and Mukougawa \(2022\)](#).

357 The relationship between the SRP and PJ pattern has been shown to be reproducible in
358 climate model simulations (e.g., Gong et al. 2018), suggesting predictability of the dynamical
359 relationship. Takemura et al. (2021) showed from sensitivity analysis of ensemble forecasts
360 that the predictability of a PJ pattern event is closely associated with RWB to the east of
361 Japan as well as SRP. Takemura and Mukougawa (2021a) further indicated from nudging
362 experiments using an atmospheric general circulation model that better prediction of SRP
363 with RWB can improve the reproducibility of PJ patterns. Evaluating the reproduced
364 relationship between the SRP and PJ pattern provides a better understanding of the
365 dynamical relationship between them.

366 Our results show that RWBs near Japan play an important role in triggering positive PJ
367 patterns, and that SRP with RWBs increases the probability with which positive PJ patterns
368 are excited, supporting the results of [Takemura and Mukougawa \(2020a\)](#). The result on the
369 classification of positive PJ events conducted by [Takemura and Mukougawa \(2022\)](#)
370 indicated that the positive PJ events accompanied by RWB account for approximately 20%
371 of the whole positive PJ events. We have to address the question of how much of RWB seen
372 in the positive PJ events is explained by SRP and the causality between them for the next
373 study.

374

375 **Data Availability Statement**

376 The datasets analyzed in this study (the Japanese 55-year reanalysis; JRA-55) including
377 an element of convective precipitation are available at [https://jra.kishou.go.jp/JRA-](https://jra.kishou.go.jp/JRA-55/index_en.html)
378 [55/index_en.html](https://jra.kishou.go.jp/JRA-55/index_en.html).

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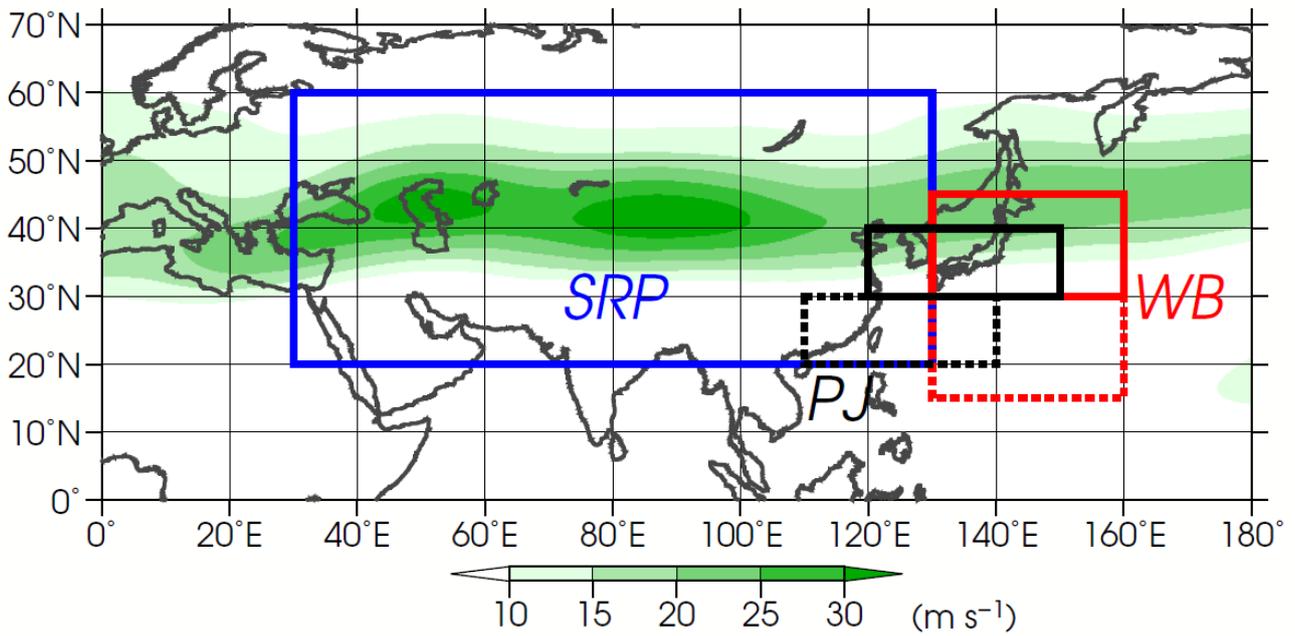
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List of Figures



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498 Fig. 1 The area where EOF analysis of 200-hPa meridional wind anomalies for July–August

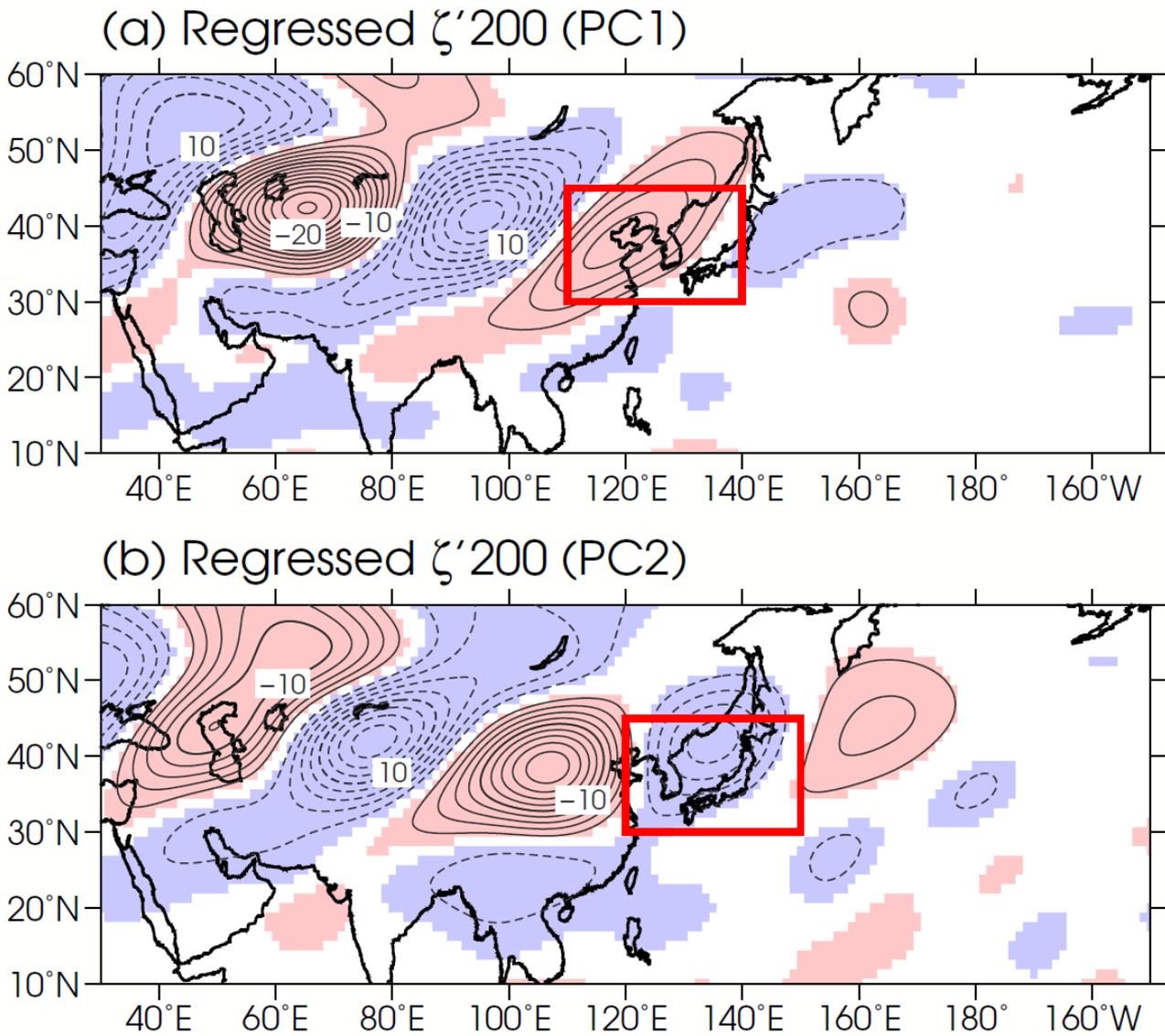
499 is performed to define the SRP index (blue box), and the areas where area averages are

500 calculated to define the WB index (red solid and dashed boxes) and the PJ index (black

501 solid and dashed boxes). See text for detailed definitions. Green shading indicates

502 climatological zonal wind at 200 hPa in July–August (unit: m s^{-1}).

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505

Fig. 2 200-hPa vorticity anomalies (contours) regressed on (a) PC1 and (b) PC2 scores of

506

monthly mean 200-hPa meridional wind anomalies in the region of [20–60°N, 30–130°E]

507

(blue box in Fig. 1) during July and August. The dashed and solid contours indicate

508

positive and negative vorticity anomalies, respectively, with the interval of $2 \times 10^{-6} \text{ s}^{-1}$.

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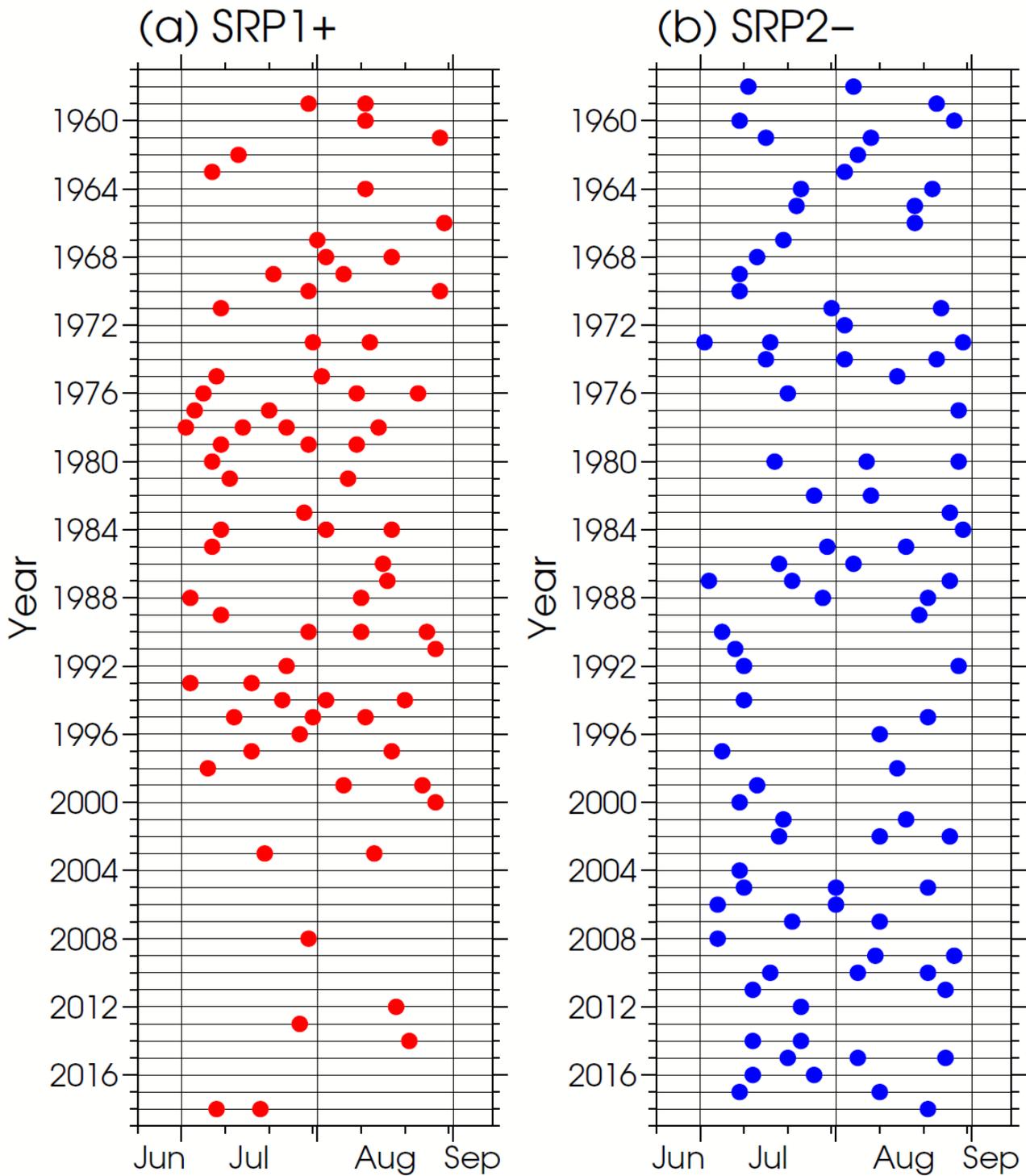
Cold- and warm-colored shadings indicate regressions of cyclonic and anticyclonic

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anomalies that are significant at the 99.9% confidence level, respectively.

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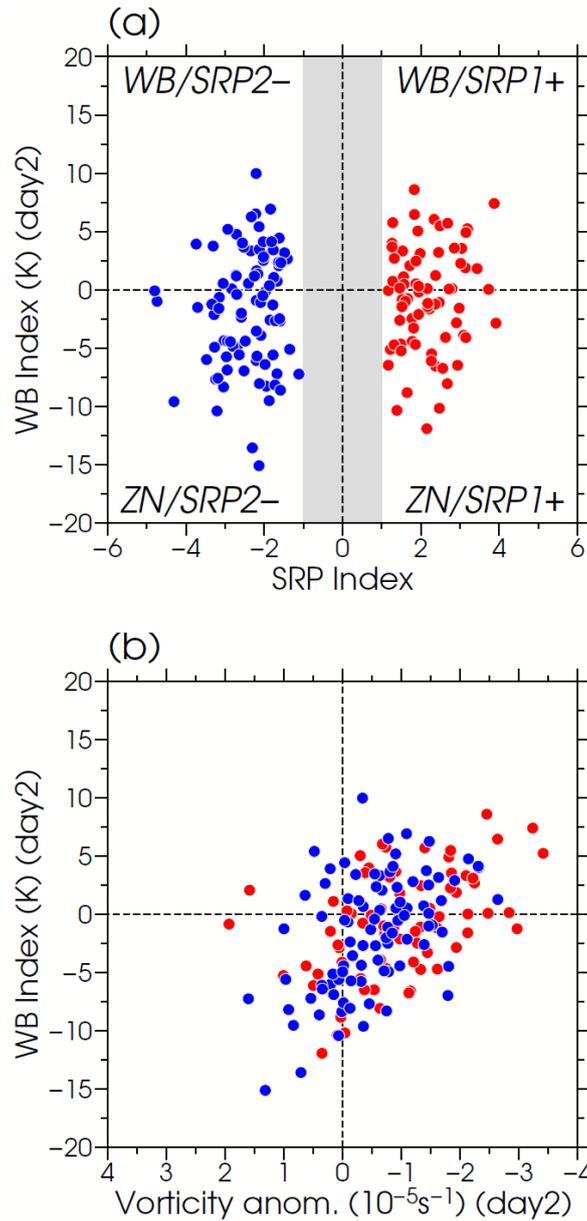
513

514 Fig. 3 Center date (day 0) of the extracted SRP1+ (red circles) and SRP2- (blue circles)

515 cases. Thin vertical lines represent the first day of each month.

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517



518

519 Fig. 4 (a) Scatter plot of SRP index on day 0 and WB index on day +2 (unit: K) for the cases

520 of SRP1+ (red circles) and SRP2- (blue circles). Gray shading indicates the range of SRP

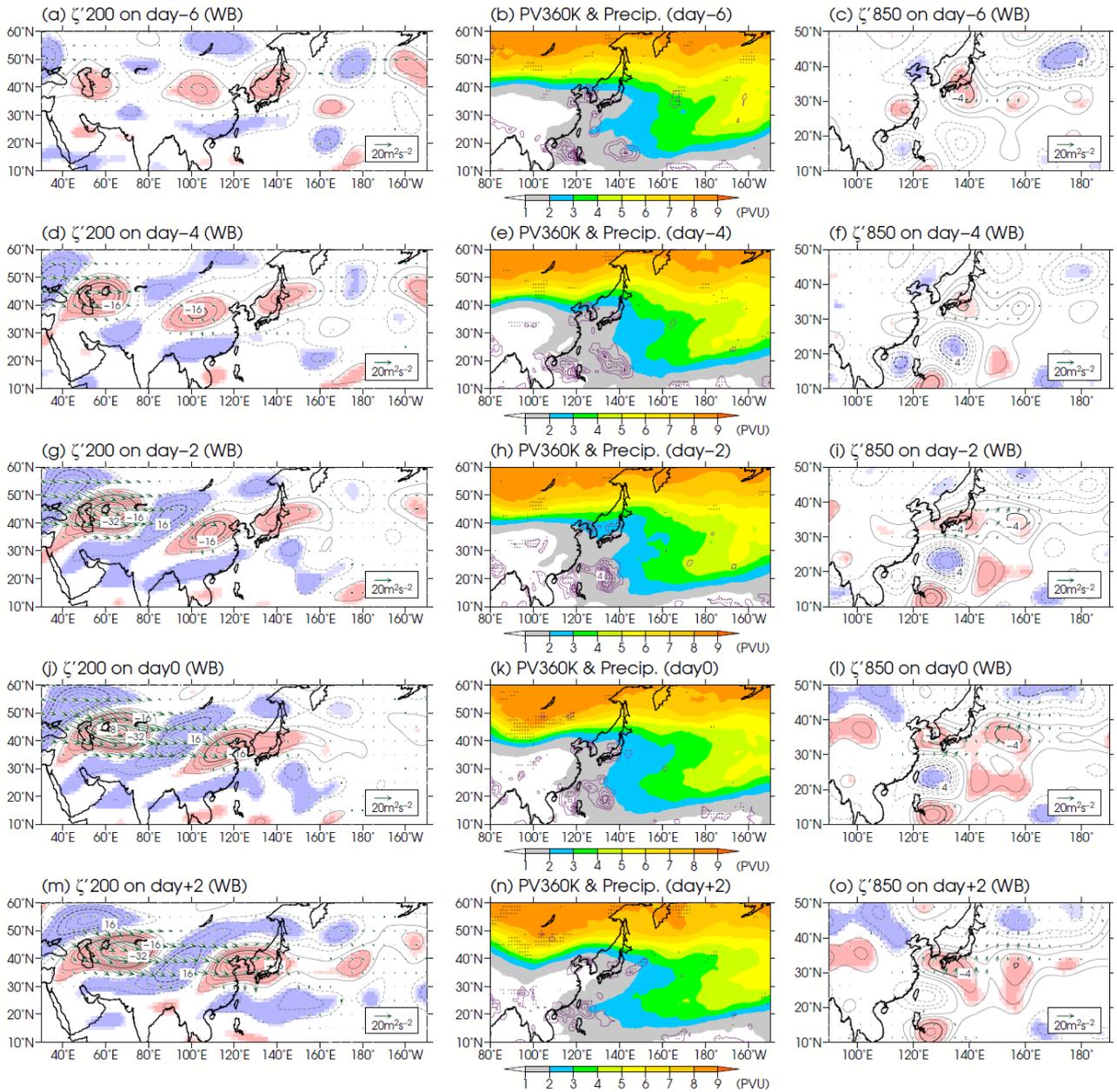
521 index from -1 to +1. (b) Scatter plot of area-averaged 200-hPa vorticity anomalies around

522 Japan and WB index on day +2 in the SRP case. The range of the area averages is [30°-

523 45°N, 110°-140°E] for SRP1+ (red circles) and [30°-45°N, 120°-150°E] for SRP2- (blue

524 circles).

525



526

527 Fig. 5 Composite of 5-day running mean (left) 200-hPa vorticity anomalies (contours, unit:

528 10^{-6} s^{-1}), (middle) 360-K potential vorticity (shading, unit: PVU) and positive convective

529 precipitation (contour interval: 1 mm d^{-1}), and (right) 850-hPa vorticity anomalies

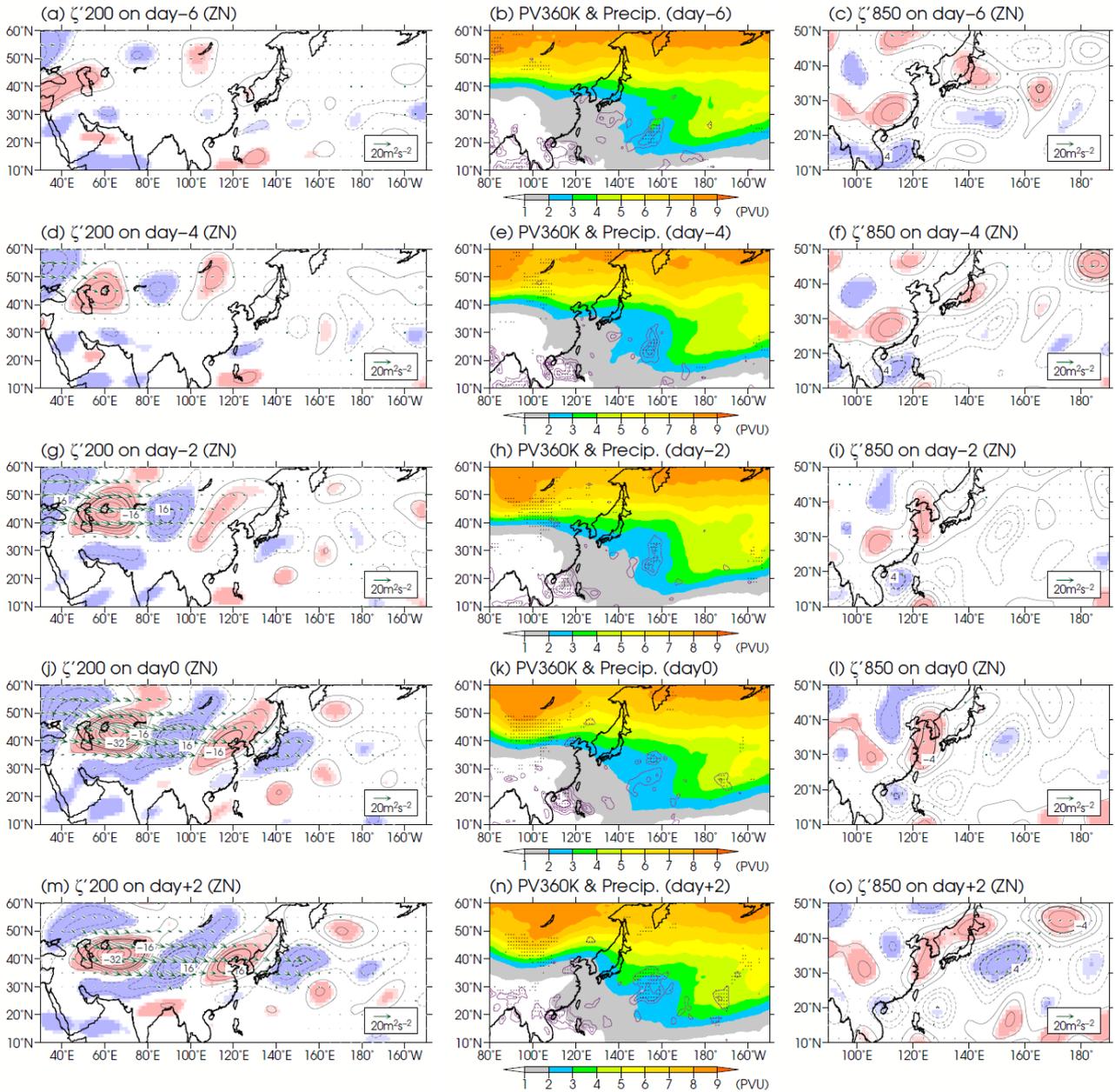
530 (contours) for the WB/SRP1+ case. The solid and dashed contours represent negative

531 and positive vorticity anomalies, respectively. The green vectors indicate WAF (unit: $\text{m}^2 \text{ s}^{-2}$)

532 2). The light (dark) shading in the left and right panels and the dots in the center panel

533 indicate that the vorticity and positive convective precipitation anomalies are significant at
 534 the 90% (95%) confidence level, respectively. (a, b, c) day -6, (d, e, f) day -4,
 535 -2, (j, k, l) day 0, and (m, n, o) day +2.

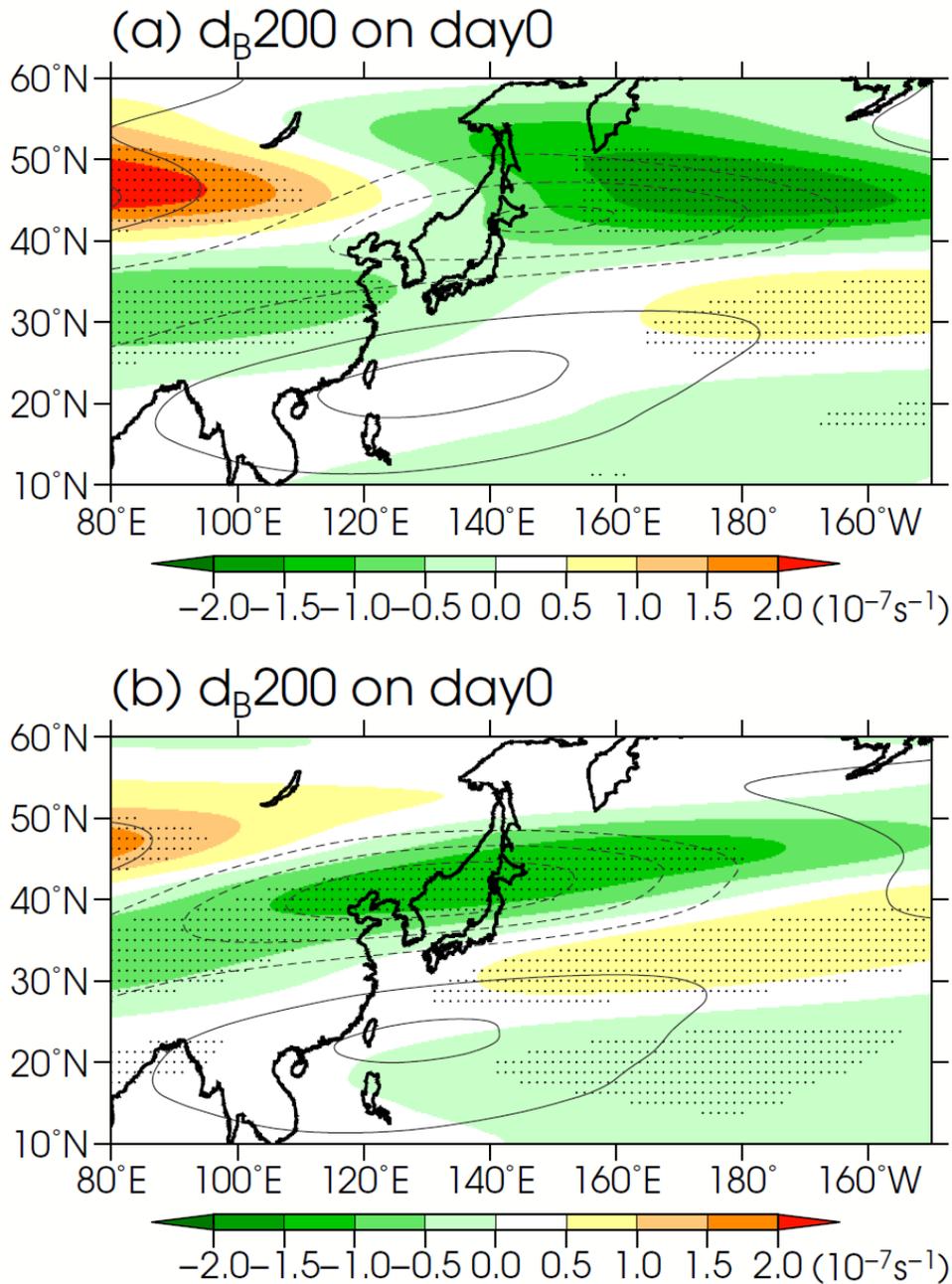
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538 Fig. 6 Same as Fig. 5, but for the ZN/SRP1+ case.

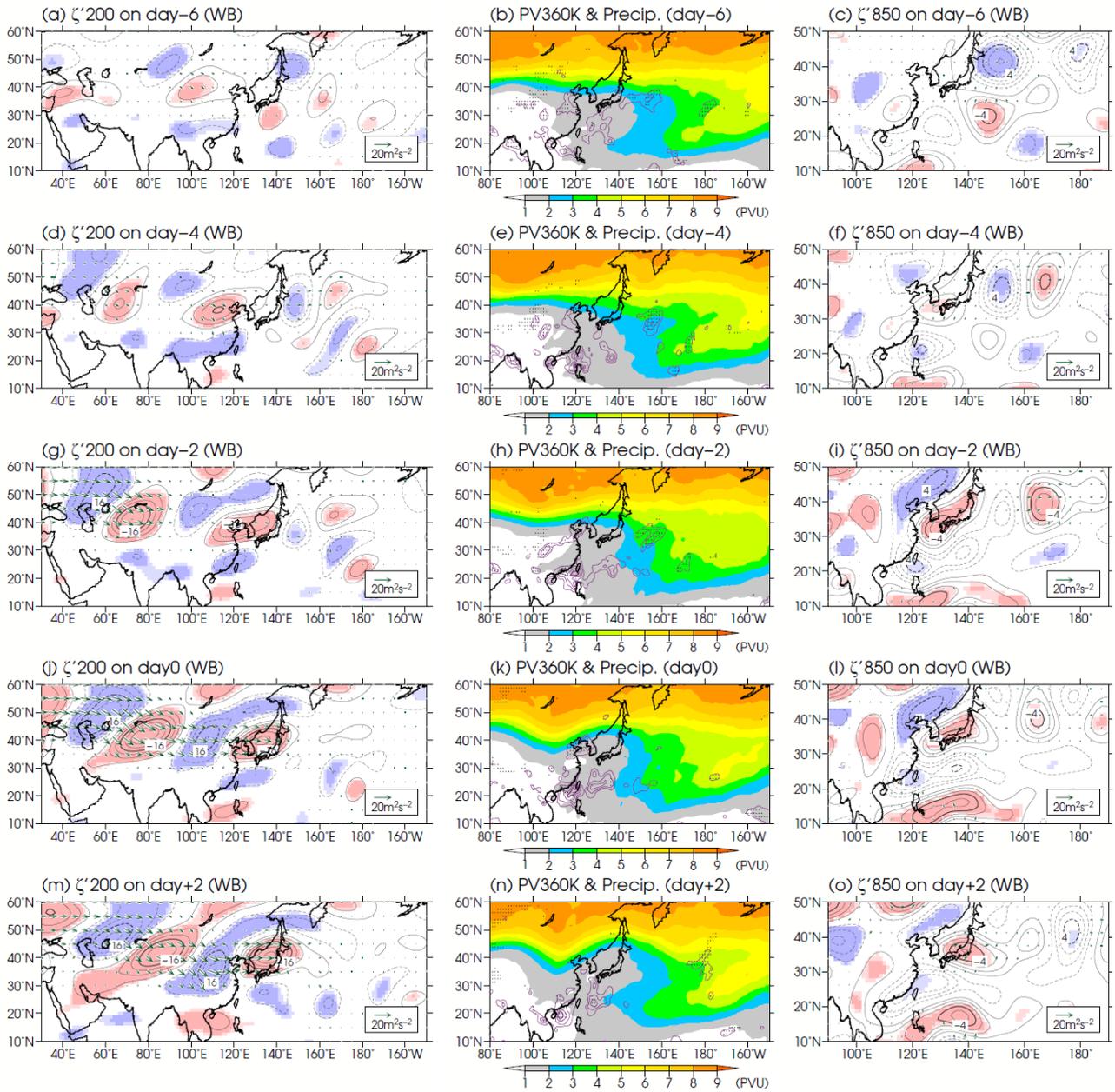
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541 Fig. 7 (a) Difference in stretching deformation (d_B) at 200 hPa between the WB/SRP1+ and
 542 ZN/SRP1+ cases on day 0 (shading, unit: 10^{-7} s^{-1}). The contours show the stretching
 543 deformation of the WB/SRP1+ cases. Dots indicate regions where the difference in the
 544 stretching deformation is significant at the 95% confidence level. (b) Same as (a), but for
 545 the WB/SRP2- and ZN/SRP2- cases.

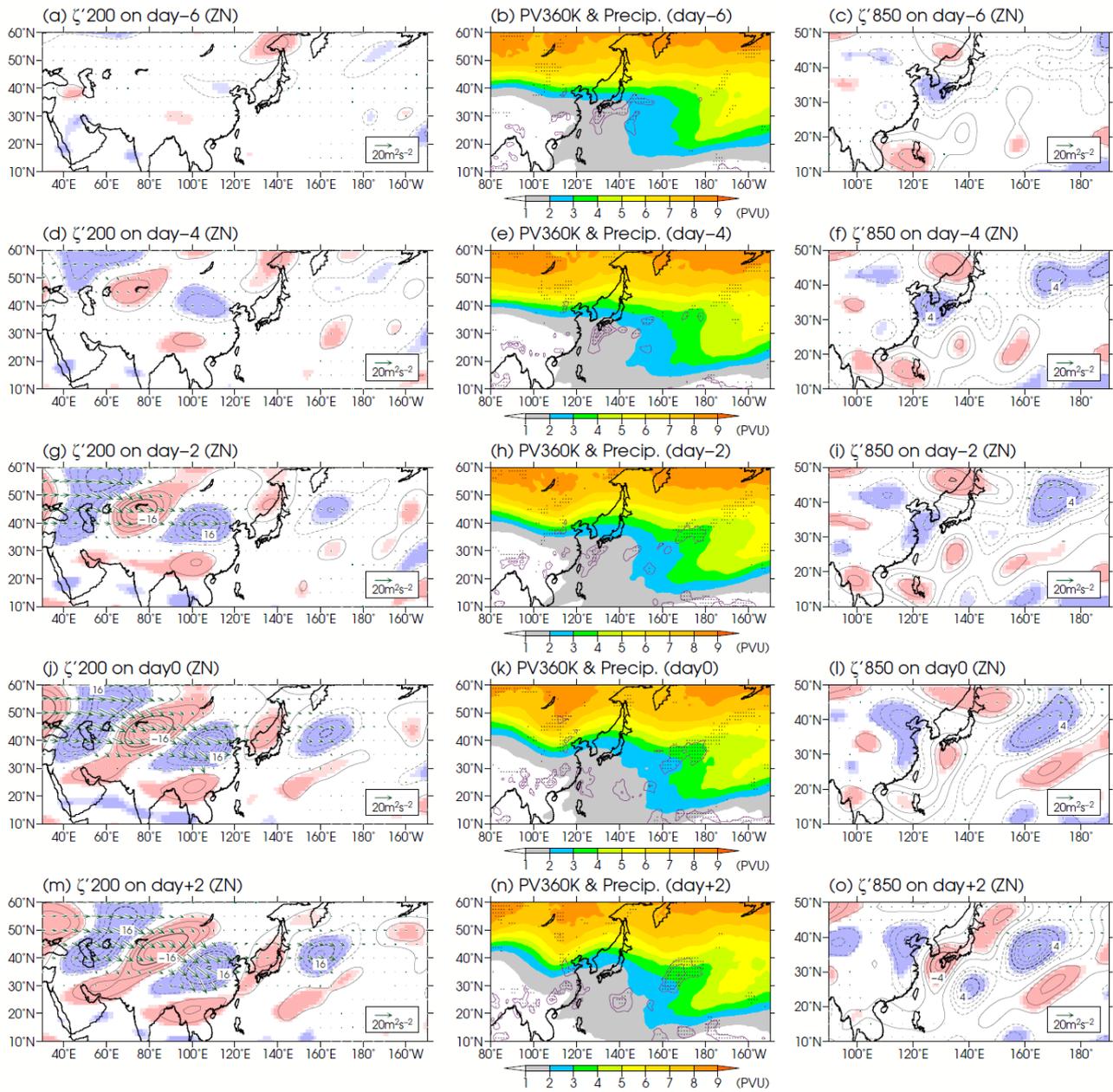
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548 Fig. 8 Same as Fig. 5, but for the WB/SRP2- case.

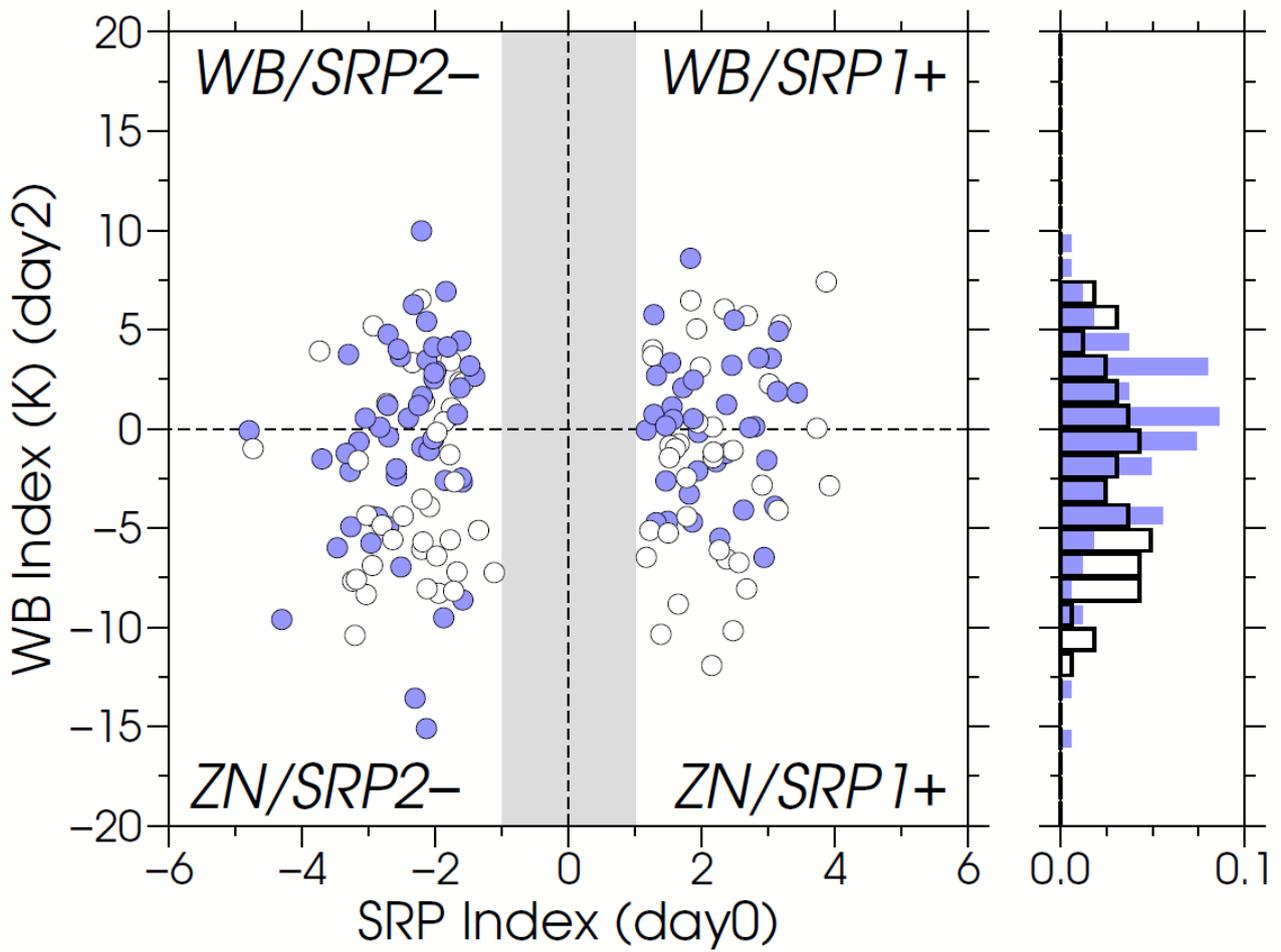
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551 Fig. 9 Same as Fig. 5, but for the ZN/SRP2- case.

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553

554 Fig. 10 Same as Fig. 4a, but for the blue and white circles indicate positive and negative PJ

555 indices on day +2, respectively. The right figure shows the histogram of WB index for the

556 SRP case with (blue bars) and without (white bars) a positive PJ pattern, where the

557 frequency distribution is normalized by the number of samples and the bin width is 1.25K.

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561

562 Table 1 Number of SRP cases of the four types classified into the cases with RWB

563 (WB/SRP1+, WB/SRP2-) and without RWB (ZN/SRP1+, ZN/SRP2-).

	SRP2-	SRP1+
WB	37	34
ZN	53	39

564

565 Table 2 Number and percentage (unit: %) of four types of SRP cases (WB/SRP1+,

566 WB/SRP2-, ZN/SRP1+, and ZN/SRP2-) classified by the presence (labeled by "PJ") or

567 absence (labeled by "noPJ") of a positive PJ pattern using the PJ index on day +2. The

568 percentages are calculated separately for the four different types of SRP.

SRP2-		SRP1+	
WB/PJ	WB/noPJ	WB/PJ	WB/noPJ
25 (68%)	12 (32%)	21 (62%)	13 (38%)
ZN/noPJ	ZN/PJ	ZN/noPJ	ZN/PJ
26 (49%)	27 (51%)	23 (59%)	16 (41%)

569

570