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2 **Development of synoptic-scale disturbances over the**
3 **tropical western North Pacific associated with the**
4 **boreal summer intraseasonal oscillation and the**
5 **interannual Pacific-Japan pattern**

6

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Abstract

The development mechanism of synoptic-scale disturbances over the tropical western North Pacific (WNP) associated with the boreal summer intraseasonal oscillation (BSISO) under different phases of the interannual Pacific-Japan (PJ) pattern is investigated. Intraseasonal convection is enhanced widely over the WNP for BSISO phases 5–7 in the positive PJ years, when seasonal-mean convective activity over the tropical WNP is enhanced. By contrast, developed convection is confined over the South China Sea in the negative PJ years. Similar features are also found in the horizontal distributions of eddy kinetic energy (K') representing the activity of synoptic-scale disturbances and of tropical cyclone occurrences. The differences in location of intraseasonal convection and the activity of synoptic-scale disturbances between the positive and negative PJ years likely lead to different teleconnection to midlatitude East Asia. The non-PJ years show mixed features of the positive and negative PJ years. A K' budget analysis reveals that the energy conversion from eddy available potential energy to K' (PeKe) associated with synoptic convection primarily contributes to K' generation during the convectively active phases of the BSISO. The barotropic energy conversion from mean kinetic energy to K' , KmKe, is the second largest contributor to the K' increase in the lower troposphere, especially during the early stage of development of synoptic disturbances. Large K' produced by PeKe and KmKe in the tropics is advected to the subtropics by the mean flow in the late to mature stages of development. There are two factors that can determine

45 the different locations where synoptic disturbances develop associated with PeKe and
46 KmKe. One is intraseasonal sea surface warming during convectively suppressed phases
47 of the BSISO preceding the active phases. The other is convergence or shear of
48 seasonal-mean horizontal winds associated with interannual fluctuations of monsoon
49 westerlies over the WNP.

50

51 **Keywords** boreal summer intraseasonal oscillation; tropical synoptic disturbances;
52 Pacific-Japan pattern

53

54 1. Introduction

55 The dominant intraseasonal oscillation (ISO) in the tropics during boreal summer is called
56 the boreal summer intraseasonal oscillation (BSISO; Wang and Rui 1990; Lawrence and
57 Webster 2002; Kikuchi et al. 2012; Kikuchi 2021). The BSISO is accompanied by deep
58 convection and atmospheric circulation propagating northeastward and/or eastward over the
59 warm pool in the tropics from the Indian Ocean to the western Pacific, while the Madden-
60 Julian Oscillation (e.g., Madden and Julian 1971, 1972), the dominant intraseasonal mode
61 in boreal winter, migrates only eastward along the equator. Numerous studies have been
62 performed to clarify the mechanism of ISO propagation, and moisture buildup is known to
63 play a fundamental role in the organization of ISO convection (e.g., Hendon and Salby 1994;
64 Kemball-Cook and Weare 2001; Maloney and Hartmann 1998; Maloney 2009; Katsumata
65 et al. 2013). While the ISO is an atmospheric phenomenon, it migrates with intraseasonal
66 sea surface temperature (SST) fluctuations (e.g., Hendon and Glick 1997; Shinoda and
67 Hendon 1998). These studies showed that the SST warms by anomalous insolation during
68 the ISO convectively suppressed phase preceding the convection center of ISO and cools
69 by reduced insolation and enhanced latent heat flux by strong winds during the active
70 phases. This SST warming in the suppressed phase is one of the factors leading to moisture
71 buildup through shallow convection and the organization of ISO convection (e.g., Johnson
72 et al. 1999; Kikuchi and Takayabu 2004). Note that the convectively active period of BSISO
73 in the tropical western North Pacific (WNP) corresponds to phases 5–8 in this study (see

74 Fig. 1 for general distributions of intraseasonal convection).

75 The ISO in the tropics has a hierarchical structure consisting of eastward-propagating
76 cloud clusters and westward-propagating synoptic disturbances, while the ISO has been
77 known as a planetary scale phenomenon (e.g., Nakazawa 1988). Meanwhile, the activity of
78 synoptic-scale disturbances such as tropical cyclones (TCs) is modulated by the ISO
79 through changes in the environmental field (e.g., Lau and Lau 1992; Liebmann et al. 1994;
80 Maloney and Hartmann 2000; Camargo et al. 2009; Yoshida et al. 2014). These studies
81 have shown that synoptic disturbances are activated in the convectively active and low-level
82 westerly phases of the ISO. Especially regarding the BSISO, the TC activity is highest during
83 BSISO phase 7 (e.g., Yoshida et al. 2014). Thus, synoptic disturbances constitute the ISO
84 as internal disturbances and are affected by environmental changes induced by the ISO,
85 resulting in an interacting relationship (e.g., Zhou and Li 2010).

86 These convectively-coupled ISOs in the tropics have been known to influence not only
87 tropical but also extratropical circulation anomalies (e.g., Knutson and Weickmann 1987;
88 Matthews et al. 2004). Seiki et al. (2021b; hereafter SKY21) investigated the relationship
89 between the BSISO and intraseasonal fluctuations of the summertime tropical-extratropical
90 teleconnection over the WNP called the Pacific-Japan (PJ) pattern, which is excited by
91 anomalous convective activities over the tropical WNP (e.g., Nitta 1987; Kurihara and
92 Tsuyuki 1987; Wakabayashi and Kawamura 2004; Kosaka and Nakamura 2006, 2010). The
93 PJ pattern has been recognized as a meridional dipole of lower tropospheric circulation

94 anomalies in interannual variability of seasonal mean fields, but it appears like a wave train
95 pattern on shorter time scales such as intraseasonal and synoptic scales (e.g., Nitta 1987;
96 Kawamura et al. 1996; Zhu et al. 2020). SKY21 showed that the intraseasonal PJ pattern
97 has a statistically significant relationship with BSISO migration, indicating that the positive
98 PJ pattern is most active during BSISO phase 8, when active BSISO convection is located
99 in the vicinity of the Philippines. Here and thereafter, the PJ pattern is defined to be positive
100 if it is associated with anomalously active convection in the tropical WNP, and vice versa for
101 the negative phase. SKY21 also showed that intraseasonal responses to the BSISO both in
102 the tropics and midlatitudes are stronger in the positive interannual PJ years than in the
103 negative years, exhibiting interannual modulations. Wave train signals of circulation
104 anomalies extending from north of the Philippines toward North America are apparent during
105 BSISO phase 7 only during the positive PJ years. Relevant studies (Li et al. 2018, 2019)
106 have shown that the ISO during phases 6 and 7 has a large influence on the interannual PJ
107 mode through a change in frequency.

108 What causes the differences in the strength and behavior of the intraseasonal PJ pattern
109 associated with BSISO in different interannual PJ years is still an open question. While
110 SKY21 showed that the intraseasonal positive PJ pattern became most apparent in BSISO
111 phase 8, there was no significant correlation between the amplitude of BSISO averaged for
112 phases 7–8 and that of the intraseasonal PJ pattern. The fact that the intraseasonal PJ
113 pattern is phase-locked to BSISO migration but does not depend on the BSISO amplitude

114 confirms that the intraseasonal PJ pattern and BSISO are different phenomena. Instead of
115 the BSISO itself, shorter-scale phenomena such as synoptic-scale disturbances may
116 influence the amplitude of the intraseasonal PJ pattern. For example, it has been indicated
117 that TC occurrences in the tropical WNP induce an extratropical wave train pattern similar
118 to the PJ pattern (e.g., Kawamura and Ogasawara 2006; Yamada and Kawamura 2007).
119 This motivates us to investigate the activity of synoptic-scale disturbances embedded in the
120 BSISO convection. This study investigates the development mechanism of synoptic-scale
121 disturbances over the tropical WNP associated with the BSISO during the different
122 interannual PJ years.

123 The rest of this paper is organized as follows. The datasets and methodology are
124 described in Section 2. Intraseasonal signals associated with the BSISO under the different
125 PJ years are shown in Section 3. Section 4 provides the results of the eddy kinetic energy
126 budget focusing on synoptic-scale disturbances associated with the BSISO. In Section 5,
127 we examine the environmental fields leading to the development of synoptic disturbances.
128 The final section provides a summary and discussion.

129

130 2. Data and methodology

131 The Japanese 55-year reanalysis data (JRA55; Kobayashi et al. 2015) provided by the
132 Japan Meteorological Agency for the 1979–2018 period are used. Daily mean data of zonal
133 and meridional winds (u and v , respectively), pressure vertical velocity ω , temperature T ,

134 and geopotential Φ at pressure (p) levels from 1000 to 100 hPa were derived from 6-hourly
135 data with a horizontal resolution of 1.25° . Daily outgoing longwave radiation (OLR) data
136 compiled by the U. S. National Oceanic and Atmospheric Administration (NOAA) on a 2.5°
137 $\times 2.5^\circ$ grid (Liebmann and Smith 1996) are used as a measure of convective activities. In
138 addition, daily optimum interpolation SST high-resolution dataset (version 2.1), provided by
139 the NOAA/National Centers for Environmental Information (NCEI), on a $0.25^\circ \times 0.25^\circ$ grid
140 (e.g., Reynolds et al. 2007; Huang et al. 2020) for 1982–2018 is used. To extract
141 intraseasonal anomalies, a 91-day running-mean daily climatology of the annual cycle is first
142 removed, and then a 20–100-day bandpass filter is applied to all data.

143 Information on TCs in the WNP and the South China Sea are provided by the Regional
144 Specialized Meteorological Center (RSMC) Tokyo. The positions and intensities of TCs
145 every 6 hours are derived from RSMC best-track data for 1979–2018. In this study, we
146 consider only TCs that had intensities greater than or equal to a tropical depression and
147 calculate the daily TC occurrence frequency on a $5^\circ \times 5^\circ$ grid, which is defined as the number
148 of TCs centered within each grid box in the 6-hourly data averaged per day. For example,
149 the TC occurrence frequency is 1 if a TC is located in one grid box for 24 hours.

150 An empirical orthogonal function (EOF) analysis is applied to summer (June–August, JJA)
151 mean data of horizontally smoothed vorticity at 850 hPa over the WNP region (0° – 60° N,
152 100° E– 160° E) to obtain the interannual PJ pattern. The standardized time series of the
153 leading principal component, with a variance fraction of 30.2%, is used as the interannual

154 PJ pattern index in this study. Positive (negative) PJ years are detected when the PJ index
155 is higher (lower) than +0.8 (−0.8), as in SKY21. Note that the positive PJ pattern represents
156 cyclonic and anticyclonic circulation anomalies in the tropical and midlatitude lobes,
157 respectively. The residual years are categorized into the non-PJ years. The 8 positive (9
158 negative) PJ years detected in this study are 1985, 1986, 1990, 1997, 2001, 2002, 2004,
159 and 2018 (1980, 1983, 1988, 1995, 1996, 1998, 2008, 2013, and 2017). Since negative PJ
160 years have been observed more frequently in post-El Niño summers (e.g., Xie et al. 2009,
161 2016), we check their relationship with ENSO. Referring to the ENSO warm and cold
162 episode data based on a threshold of ± 0.5 °C of three month running mean SST anomalies
163 in the Niño 3.4 region provided by NOAA/Climate Prediction Center (CPC), five-eighths of
164 the positive PJ years are found in the concurrent El Niño years; that is, JJA preceding the
165 El Niño peak usually in boreal winter. Three-eighths of the positive years correspond to post-
166 La Niña years. Regarding the negative PJ years, six (five)-ninths are observed in concurrent
167 La Niña (post-El Niño) years. The summers of concurrent ENSO correspond better to the
168 PJ years than the summers of post-ENSO. Considering the rate of correspondence with El
169 Niño and La Niña years, 63% and 67% in the positive and negative PJ years, respectively,
170 ENSO is suggested to play a major role for the formation of the interannual PJ pattern, but
171 other factors are also likely to have a contribution.

172 We adopt the BSISO index proposed by Kikuchi et al. (2012) based on the extended EOF
173 analysis of intraseasonal OLR variability during boreal summer. A BSISO event in this study

174 is identified when the amplitude of the BSISO index exceeds 1.0 for 15 consecutive days
175 during boreal summer (JJA). As already mentioned in SKY21, the difference is negligible in
176 the average number of BSISO events among the positive PJ, negative PJ, and non-PJ years.

177

178 3. Intraseasonal BSISO signals associated with the interannual PJ pattern

179 Figure 1 compares composite intraseasonal OLR and 850 hPa wind anomalies for the
180 BSISO events (starting from phase 4) during the negative, positive, and non-PJ years.
181 Hereafter, the statistical significance of composite results is examined using Student's t test.
182 The effective degree of freedom is defined as the number of samples divided by the average
183 duration in each BSISO phase. While organized convection associated with the BSISO
184 generally migrates northeastward from the Maritime Continent to the Philippine Sea from
185 phases 4 to 8, a drastic enhancement of convection with cyclonic anomalies over the
186 western Pacific appears only in the positive PJ years from phases 5 to 7. In our previous
187 study (SKY21), wave train signals extending from the vicinity of the Philippines toward North
188 America were found only during the positive PJ years in association with the enhanced
189 convection over the Philippine Sea. During the negative PJ years, by contrast, intraseasonal
190 convection is intensified mainly over the South China Sea. The non-PJ years show mixed
191 features of the negative and positive PJ years. These results indicate that there are
192 differences in the areas of localized convective intensification even within the large-scale
193 convective envelope among the identified BSISO cases. Active convection in the convective

194 envelope can be associated with the passage of vigorous synoptic-scale disturbances.
195 Considering the lack of significant correlation between the amplitude of BSISO during
196 phases 7–8 and that of the intraseasonal PJ pattern (SKY21), the behavior of internal
197 synoptic-scale disturbances within the BSISO convective envelope may cause the
198 differences. Therefore, the present study focuses on the activity of synoptic-scale
199 disturbances associated with the BSISO.

200

201 4. Energetics associated with the BSISO

202 To isolate the synoptic disturbances, all variables are decomposed into mean and eddy
203 components. The mean components represented with an overbar are defined as an 11-day
204 running mean, which represents intraseasonal time scales and longer. A prime indicates the
205 eddy components with periods shorter than intraseasonal time scales, which are defined as
206 deviations from the 11-day running mean.

207 Figure 2 depicts composite eddy kinetic energy (K') at 850 hPa and convectively active
208 regions of the BSISO represented by red contours for the BSISO events. Here, K' is defined
209 as

$$210 \quad K' = \frac{1}{2} (\overline{u'^2} + \overline{v'^2}) \quad (1)$$

211 The K' values start to increase in and to the north of the BSISO convective envelope over
212 the WNP from BSISO phase 5. From phases 6 to 7, drastic enhancement of synoptic
213 disturbances represented by K' is observed widely over the Philippine Sea during the

214 positive PJ years, whereas the disturbances are weak and confined to the north of the
215 Philippines in the negative PJ years. Moderately active disturbances are found but confined
216 west of 130°E in the non-PJ years. It is interesting to note that only during the positive PJ
217 years do high K' values extend further east and reach approximately 150°E in phase 7,
218 although the BSISO convection spreads to 160°E both in the positive and non-PJ years.
219 Apparent differences in the location of active synoptic disturbances in the same BSISO
220 phase among the three groups may explain an important part of the different midlatitude
221 responses found in SKY21.

222 The distributions of high K' values resemble those of tropical cyclone occurrence
223 averaged for phases 6–8 (Fig. 3). TC occurrence is most frequent over the Philippine Sea
224 between 15°N and 25°N and extend eastward to 150°E during the positive PJ years, while
225 it is less frequent and found mainly over the South China Sea in the negative PJ years. In
226 the non-PJ years, frequent TC occurrences are observed both over the South China Sea
227 and Philippine Sea. A comparison for the positive PJ and non-PJ years shows that frequent
228 TC areas over the WNP retreat westward in the non-PJ years than in the positive PJ years.
229 These results indicate that environmental fields in the negative PJ, positive PJ, and non-PJ
230 years are favorable for the development of synoptic disturbances over the South China Sea,
231 the Philippine Sea, and both, respectively, leading to differences in the TC occurrence areas.
232 The eastward and westward shifts of frequent TC regions over the WNP in the positive and
233 negative PJ years, respectively, are similar to the eastward/southeastward displacement of

234 the TC genesis region in El Niño years compared with La Niña years (e.g., Wang and Chan
 235 2002; Camargo and Sobel 2005). Further studies are needed to clarify the difference in K'
 236 and TC distributions between ENSO and interannual PJ variability since there is a moderate
 237 relationship between the interannual PJ pattern and concurrent ENSO, as mentioned in
 238 Section 2.

239 To investigate the mechanism of synoptic-scale eddy development, eddy kinetic energy
 240 budget analysis is performed. The eddy kinetic energy equation is the same as that in
 241 previous studies (Seiki and Takayabu 2007; Seiki et al. 2018, 2021a) and is written as

$$242 \quad \frac{\partial K'}{\partial t} = \overline{-V'_h(V' \cdot \nabla) \tilde{V}'_h} - \bar{V} \cdot \nabla \bar{K}' - \overline{V' \cdot \nabla K'} - \frac{R}{p} \overline{\omega T'} - \nabla \cdot (\overline{V' \Phi'}) + D, \quad (2)$$

243 KmKe AmKe AeKe PeKe GKe D

244 where \mathbf{V} is the three-dimensional velocity vector, \mathbf{V}_h is the horizontal velocity vector, and R
 245 is the gas constant for dry air. The first term on the right-hand side denotes the barotropic
 246 energy conversion to K' from the mean kinetic energy (KmKe). The second and third terms
 247 indicate the advection of K' by the mean flow (AmKe) and the eddy flow (AeKe), respectively.
 248 The fourth term represents the conversion from eddy available potential energy to K' through
 249 the rising or sinking motion of warm or cold air parcels (PeKe), which is related to eddy
 250 convective activities with latent heat release by precipitation. The fifth term corresponds to
 251 the divergence of the eddy geopotential flux (GKe). The residuals described by the last term
 252 (D) represent subgrid-scale effects, dissipation, and imbalance components. Note that the
 253 terms AmKe, AeKe, and GKe are not considered real sources or sinks since they mainly

254 represent the redistribution of energy.

255 Figure 4 shows the vertical structures of each composite term during BSISO phase 7
256 averaged over the Philippine Sea area (120°E–140°E, 10°N–25°N) for all BSISO events.
257 Significant high K' generation by PeKe found in the upper troposphere at 250 hPa
258 corresponds to diabatic heating due to precipitation caused by developed eddy convection.
259 Then, the K' in the upper troposphere is redistributed into the boundary layer by GKe. Since
260 part of GKe consists of negative PeKe mathematically, the vertical profile of GKe around
261 250 hPa is similar to that of PeKe with a reversed sign. The KmKe also contributes to the K'
262 increase in the lower troposphere. Strong dissipation by D is apparent in the boundary layer,
263 suggesting that K' is transferred from the atmosphere to the ocean due to developed
264 synoptic-scale disturbances. The dominant terms PeKe, GKe, KmKe, and D are consistent
265 with the results of previous studies investigating synoptic-scale disturbances in the tropics
266 (e.g., Maloney and Dickinson 2003; Seiki and Takayabu 2007). Compared to previous
267 studies that focus on synoptic disturbances over the tropical central Pacific and eastern
268 Indian Ocean (Seiki et al. 2018, 2021a), the PeKe and GKe values in Fig. 4 are
269 approximately three times larger, while the KmKe values are comparable. This comparison
270 indicates that the K' generation by synoptic-scale convection over the Philippine Sea makes
271 a larger contribution to the total K' increase than those in other tropical areas. Moreover, the
272 fact that the decrease in K' by AmKe is not negligible and statistically significant in the lower
273 troposphere is unique to the disturbances over the Philippine Sea. Note that the significance

274 tests are based on deviations from climatological means from June to August of each term
275 (see supplemental Figure 1), which are not zero, so large composite values are not
276 necessarily statistically significant.

277 Horizontal distributions of composite PeKe at 250 hPa for the BSISO events are shown in
278 Fig. 5. The contours indicate the composite tendency of K' (dK'/dt) at 850 hPa. The highest
279 values of PeKe spread widely over the tropical WNP from 120°E to 160°E in the positive PJ
280 years whereas they are confined west of 130°E in the non-PJ years. While the regions of
281 high PeKe and dK'/dt are generally coincident from phases 4 to 6, they start to be misaligned
282 from phase 7. These results indicate that the contribution from factors other than PeKe to
283 the development of synoptic disturbances increases from phase 7. In the negative PJ years,
284 the large K' generation by PeKe is observed mainly in the South China Sea, consistent with
285 the K' distribution.

286 The vertical component of GKe at 850 hPa is also shown in Fig. 6 to confirm whether K'
287 in the upper troposphere primarily generated by PeKe is redistributed to the lower
288 troposphere. The distribution of GKe at 850 hPa corresponds to that of PeKe at 250 hPa
289 over the tropical WNP, confirming the vertical transport of PeKe by GKe. The GKe and PeKe
290 are less consistent over land in eastern Eurasia and north of 30°N, suggesting that there are
291 different mechanisms for the development of synoptic-scale disturbances over land and in
292 the midlatitudes from those over tropical oceans. Note that some areas with large PeKe and
293 GKe values are not statistically significant probably due to large variance of composite

294 results and high climatological values.

295 For the composite results of KmKe at 850 hPa (Fig. 7), differences in the zonal extent of
296 high KmKe distributions resemble that of PeKe. Statistically significant high KmKe values
297 are located widely over the tropical WNP in the positive PJ years, concentrated in the South
298 China Sea in the negative PJ years, and confined to the west of 140°E in the non-PJ years.
299 Moreover, high values of KmKe are mainly observed between 10°N and 20°N, which is
300 farther south compared with those of PeKe. These results suggest that the contribution of
301 KmKe to the development of synoptic disturbances is confined to the early stage of
302 development since these disturbances tend to propagate northwestward (e.g. Takayabu and
303 Nitta 1993) and leave this latitudinal band in the midst of their development. The discrepancy
304 between the distributions of dK'/dt and the K' generation terms (PeKe and KmKe) after
305 convective development over the western Pacific in phase 6 is explained by the advection
306 term AmKe (Fig. 8). Especially in the positive PJ and non-PJ years, K' is advected from
307 south of 20°N to north of 20°N by the mean flow for phases 6–8 and 1, as will be shown in
308 detail in the next section. Thus, the large K' in the lower troposphere produced by PeKe and
309 KmKe in the tropics is advected to the subtropics by AmKe.

310

311 5. Relationship between synoptic disturbances and environmental fields

312 Since the development of tropical convection, which can lead to the PeKe increase, is
313 facilitated over SST above 27 °C–28 °C (e.g., Gadgil et al. 1984; Graham and Barnett 1987;

314 Tompkins 2001) with wind convergence in the boundary layer, we examine seasonal mean
315 SST distributions (Fig. 9). The SST values are broadly high above 29 °C from the South
316 China Sea to the tropical western Pacific at approximately 160°E in the negative PJ years,
317 whereas they are below 29 °C in most of the WNP in the positive PJ years. The difference
318 suggests that the seasonal mean SST anomaly is an outcome, not a cause, of convective
319 development because developed convection with strong winds shades insolation and
320 enhances evaporation and ocean mixing, leading to a decrease in SST (Wang et al. 2005;
321 Kosaka and Nakamura 2006). In addition, oceanic variability at interannual time scales, such
322 as ENSO, can affect the seasonal mean SST values. Note that SST in the positive PJ years
323 exceeds 28 °C broadly in the tropical WNP, which is sufficient for convection to develop,
324 although it is the lowest among the three groups.

325 Meanwhile, composite intraseasonal SST anomalies (Fig. 10) show vastly different
326 features from seasonal mean SST. During phases 3–4, strong SST warming is observed in
327 the Philippine Sea and the South China Sea in the positive and negative PJ years,
328 respectively. The warming appears to be associated with strong convective suppression of
329 the BSISO over the WNP (see Fig. 1). The strongest suppression is observed over the South
330 China Sea during phase 3 in the negative PJ years whereas convective activities are
331 suppressed over a broad region in the WNP in the positive PJ years. In the non-PJ years,
332 the convectively suppressed area is confined to 110°E–140°E, consistent with the SST
333 warming area retreating westward compared with that in the positive PJ years. The high K'

334 generation (contours in Fig. 10) in the early stage of convective development (phases 5–6)
335 follows the strong warming in SST during the convectively suppressed period (phases 3–4),
336 which suggests that the intraseasonal SST warming associated with the BSISO contributes
337 to the development of synoptic convection (PeKe), leading to the K' increase. As mentioned
338 in Introduction, the intraseasonal SST warming in the BSISO suppressed phases has been
339 indicated to be one of the factors facilitating the activation of convection, leading to the
340 organization of ISO convection (e.g., Hendon and Glick 1997). The SST cooling during the
341 mature stage of convective development (phases 7–8) is probably due to reduced insolation
342 and enhanced latent heat flux by strong winds caused by organized convection. Since
343 seasonal mean SST in the tropical WNP is sufficiently high for convective development in
344 all three groups (Fig. 9), shorter-scale fluctuations (Fig. 10) are suggested to be important
345 for local convective development.

346 Next, we examine the contribution of intraseasonal and seasonal-mean winds to the K'
347 generation through barotropic energy conversion (KmKe) and advection by the mean flow
348 (AmKe). As shown in Fig. 4, KmKe has the second largest contribution to K' generation in
349 the lower troposphere, after GKe that redistributes PeKe. The KmKe values are governed
350 by convergence or shear of mean horizontal winds and the existence of eddies. We
351 decompose the mean winds into intraseasonal (ISO) and low-frequency (LF) components
352 since both components are included in the mean winds in the K' budget analysis (2). As a
353 result, the two terms KmKe and AmKe, including the mean components, in (2) are

354 decomposed as

$$355 \quad -\overline{V'_{\tilde{h}}(V' \cdot \nabla)\tilde{V}_{\tilde{h}}} = -\overline{V'_{\tilde{h}}(V' \cdot \nabla)(\bar{V} + \tilde{V})_{\tilde{h}}}, \quad (3)$$

$$356 \quad -\bar{V} \cdot \nabla \bar{K}' = -(\bar{V} + \tilde{V}) \cdot \nabla \bar{K}', \quad (4)$$

357 where a double overbar denotes the LF components defined as a 60-day running mean,
358 which represent seasonal time scales and longer, and a tilde indicates the ISO components
359 defined as 20–100-day bandpass filtered data as described in section 2.

360 Figure 11 shows the vertical structures of KmKe and AmKe in which the mean components
361 are decomposed into LF (solid) and ISO (dashed) from 1000 to 500 hPa during BSISO
362 phases 3, 5, and 7 averaged over the Philippine Sea area (120°E–140°E, 10°N–25°N). In
363 the positive PJ years (upper panels), the LF component of KmKe is statistically significant
364 in the lower troposphere and two to six times larger than the intraseasonal component during
365 the convective phases of BSISO (phases 5 and 7). Horizontal distribution of KmKe (Fig. 12)
366 shows that the location of large positive KmKe in phase 7 is almost the same but the
367 magnitude is different between the LF and ISO components. During the convectively
368 suppressed phase (phase 3), the ISO component of KmKe becomes negative, showing the
369 opposite effects between phases 3 and 7. On the other hand, the LF component
370 substantially decreases compared to phase 7 but remains positive. Since the LF mean field
371 does not vary at intraseasonal time scales, the decrease from phase 7 to 3 can be attributed
372 to a weakening of eddy disturbances. These different effects by the ISO and LF components
373 are consistent with the results regarding interactions between the BSISO and synoptic-scale

374 disturbances in boreal summer (Hsu et al. 2011; Tsou et al. 2014). Regarding AmKe (yellow
375 lines in Fig. 11) in the positive PJ years, the negative effect of the LF component is much
376 larger than that of the ISO component in phases 3 and 5, and they are comparable in phase
377 7, although they are statistically significant only for the LF component in phase 7. These
378 results indicate that large K' values are mostly advected by the LF mean winds, although
379 the ISO winds make an equal contribution to the advection only in phase 7.

380 Similar features in the vertical structures of KmKe and AmKe are also found in the non-
381 PJ years but their intensity is smaller than that in the positive PJ years. In the negative PJ
382 years (lower panels in Fig. 11), the total values of both terms averaged over the WNP are
383 smaller than those in the positive PJ years like K' . Differences between the LF and ISO
384 components are small and not statistically significant.

385 The fact that the KmKe and AmKe values converted from ISO mean fields are comparable
386 among the three groups indicates that the BSISO wind fields exhibit small interannual
387 variability. Overall, the LF components contribute to the K' increase more than the ISO
388 components.

389 To unravel the larger contribution of low-frequency components, seasonal-mean and
390 intraseasonal wind patterns are compared (Fig. 13). Seasonal mean wind patterns averaged
391 for JJA show that the eastward extension of monsoon westerlies is strongest (weakest) in
392 the positive (negative) PJ years (Fig. 13, left). Strong meridional and zonal gradients of
393 seasonal mean zonal winds extending from northwest to southeast over the WNP

394 correspond to the large KmKe areas during phases 5–8 shown in Fig. 6. Figure 13 also
395 shows that the intensity of seasonal mean winds at 850 hPa is twice as large as
396 intraseasonal wind anomalies averaged from BSISO phase 6 to 8 (note the difference color
397 bars between the left and right panels). Considering stronger horizontal gradients of
398 seasonal-mean winds, the contribution to the KmKe from seasonal-mean wind pattern is
399 found to be overall larger than that from intraseasonal wind pattern, consistent with the
400 results in Fig. 11. In addition, the differences between the positive and negative PJ years,
401 positive and non-PJ years, and non-PJ and negative PJ years are larger in the interannual
402 components than in the intraseasonal ones (Fig. 14), indicating that interannual components
403 primarily contribute to the differences. Indeed, much stronger monsoon westerlies are found
404 over the WNP in the positive PJ years than in the negative years (Fig. 14, upper), whereas
405 the differences in intraseasonal wind anomalies (Fig. 14, right) are generally small. This
406 contrast is consistent with the KmKe values shown in Fig. 11. Especially, the difference in
407 intraseasonal anomalies between the positive and non-PJ years is negligible over the WNP.
408 Thus, the strength of intraseasonal wind anomalies associated with the BSISO are less
409 dependent on interannual variability.

410 In summary, distributions of intraseasonal SST anomalies during the convectively
411 suppressed phases of the BSISO and seasonal-mean horizontal wind pattern in the lower
412 troposphere associated with interannual fluctuations of monsoon westerlies are responsible
413 for the increase in PeKe and KmKe, respectively, during the convectively active phases of

414 the BSISO.

415

416 6. Summary and discussion

417 In this study, the mechanisms of synoptic-scale disturbances over the WNP associated
418 with the BSISO under different seasonal-mean PJ conditions are examined. Our previous
419 study (SKY21) showed the interannual modulations of the intraseasonal PJ pattern; that is,
420 intraseasonal responses to the BSISO are stronger in the interannual positive PJ years than
421 in the negative years, and clear wave train signals of circulation anomalies extending from
422 the tropics to midlatitudes are found only in the positive PJ years during BSISO phase 7. In
423 this study, a drastic development of intraseasonal convection is found widely over the
424 tropical WNP from phases 5 to 7 in the positive PJ years, while enhanced convection is
425 confined over the South China Sea in the negative PJ years. Considering the results in
426 SKY21 in which the amplitude of BSISO during phases 7–8 did not correlate with that of the
427 intraseasonal PJ pattern, differences in the areas of localized convective intensification
428 within the large-scale convective envelope of the BSISO over the WNP may be attributed to
429 the behavior of internal synoptic-scale disturbances. Therefore, in this study, we focus on
430 the activity of synoptic-scale disturbances associated with the BSISO, and a similar contrast
431 between the positive and negative PJ years is also observed in the distributions of K' (the
432 activity of synoptic disturbances) and TC occurrences. Large K' increases and frequent TC
433 occurrences are found over the Philippine Sea and South China Sea in the positive and

434 negative PJ years, respectively. These results suggest that shorter-scale phenomena such
435 as synoptic-scale disturbances in the tropics contribute to localized convective intensification
436 within the BSISO envelope, resulting in different behavior of the intraseasonal PJ pattern.
437 The east–west shift in TC occurrences over the WNP is similar to the characteristics
438 associated with warm and cold episodes of ENSO (e.g., Wang and Chan 2002). The rate of
439 correspondence with ENSO is approximately two-third. While the large contribution from
440 ENSO is recognized, further studies are needed to clarify factors other than ENSO.

441 Our K' budget analysis shows that synoptic convection through PeKe primarily contributes
442 to the K' increase. In addition, the barotropic energy conversion, KmKe, related to mean
443 wind fields has the second largest contribution in the lower troposphere, especially during
444 the early stage of development. The high K' generated by PeKe and KmKe is advected from
445 the tropical WNP to the subtropics by the mean southerly flow (AmKe) in the lower
446 troposphere during the mature stage of development. Compared with previous studies using
447 the same K' budget analysis over the tropical central Pacific and eastern Indian Ocean (Seiki
448 et al. 2018, 2021a), the K' generation by synoptic convection (PeKe) and K' redistribution
449 (GKe) are approximately three times larger, while the KmKe values are comparable. This
450 can be attributed to the fact that the WNP, which lies in the monsoon trough over the warm
451 pool in boreal summer, is where convection is most active. Moreover, the northward
452 advection of K' by AmKe, which is statistically significant in the lower troposphere, resembles
453 the northwestward advection of cyclonic vorticities over the monsoon trough region (e.g.,

454 Lau and Lau 1992).

455 High PeKe over the Philippine Sea and South China Sea in the positive and negative PJ
456 years, respectively, may be attributed in part to different behaviors of intraseasonal SST
457 variability associated with the BSISO. Specifically, convective suppression in the BSISO
458 phases 1–4 warms the underlying sea surface over the WNP and South China Sea in the
459 positive and negative PJ years, respectively, which preconditions the subsequent convection
460 development when the active phases arrive. Then, decreased insolation and enhanced
461 latent heat flux by strong winds cool the sea surface during the phases 5–8. During the
462 suppressed BSISO phase, phase 3, organized active convection associated with the BSISO
463 is located in almost the same regions from the equatorial Indian Ocean to the Maritime
464 Continent between the positive and negative PJ years (see Fig. 1). It thus requires future
465 studies to reveal why the intraseasonal suppressed regions appear in different locations.
466 Large-scale ascending areas at interannual time scales may influence the different locations
467 of the suppressed convection. Regarding KmKe, on the other hand, interannual wind fields
468 in the lower troposphere, especially the strength of monsoon westerlies and their shear,
469 contribute more to the high KmKe than intraseasonal wind fields. The convergence and
470 shear areas of monsoon westerlies extend eastward (retreat westward) in the positive
471 (negative) PJ years, resulting in high K' over the Philippine Sea (South China Sea).
472 Southerly winds over the convergence area contribute to the northward advection of
473 synoptic disturbances through AmKe. The KmKe and AmKe converted from intraseasonal

474 mean fields are comparable between the positive and negative PJ years, indicating that the
475 BSISO wind fields exhibit small interannual variability.

476 Although we focused only on the tropical forcing associated with atmospheric convection
477 to the PJ pattern, variability in the background wind fields at midlatitudes, such as the
478 westerly jet, may be another factor for different PJ signals responding to the forcing (e.g.,
479 Kosaka and Nakamura 2010; Ye and Lu 2011). Previous studies have indicated an influence
480 of the PJ pattern on TC tracks in the subtropics and midlatitudes (e.g., Choi et al. 2010).
481 Detailed studies are needed to clarify the interacting relationship between tropical forcing,
482 such as organized convection, and the PJ pattern across the tropics and midlatitudes.

483

484

485

Data Availability Statement

486 The JRA55 data are available through the Japan Meteorological Agency
487 (https://jra.kishou.go.jp/JRA-55/index_en.html). The OLR data are derived by NOAA
488 (https://psl.noaa.gov/data/gridded/data.interp_OLR.html). The SST dataset can be obtained
489 from the NOAA/NCEI (<https://www.ncei.noaa.gov/products/optimum-interpolation-sst>). Data
490 on the positions and intensities of TCs are provided by the RSMC Tokyo
491 (<https://www.jma.go.jp/jma/jma-eng/jma-center/rsmc-hp-pub-eg/besttrack.html>). The ENSO
492 warm and cold episode data are available at NOAA/CPC
493 (https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php). The

494 BSISO index is available at (http://iprc.soest.hawaii.edu/users/kazuyosh/Bimodal_ISO.html).

495

496 **Supplement**

497 Supplement 1 shows the climatological means of (a) PeKe at 250 hPa, (b) KmKe, and (c)

498 VbKe at 850 hPa ($10^{-5} \text{ m}^2 \text{ s}^{-3}$) for June–August.

499

500

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653

List of Figures

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655

656 Fig. 1 Composite intraseasonal OLR anomalies (shading; $W m^{-2}$) for the BSISO events
657 starting from phase 4 during (left) the negative PJ, (middle) positive PJ, and (right) non-
658 PJ years. The dotted area represents statistical significance of more than the 95%
659 confidence level. Vectors indicate composite wind anomaly fields at 850 hPa (ms^{-1}),
660 where either the zonal or meridional component is significant at the 95% level.

661 Fig. 2 Same as Fig. 1 except for composite K' (shading; $m^2 s^{-2}$) at 850 hPa. The thick red
662 contours represent composite intraseasonal OLR anomalies of $-15 W m^{-2}$. Note that the
663 shading shows K' , not its anomaly, while the significance tests are based on anomalies
664 from JJA climatology, which are not zero.

665 Fig. 3 Composite daily TC occurrence frequency averaged between phases 6 and 8 for the
666 BSISO events during (upper) the negative PJ, (middle) positive PJ, and (lower) non-PJ
667 years.

668 Fig. 4 (left) Composite vertical structures of each term in the eddy kinetic energy budget
669 ($10^{-5} m^2 s^{-3}$) and (right) corresponding Student's t statistics from 1000 to 150 hPa for all
670 BSISO events in phase 7 averaged over the Philippine Sea area (10° – 25° N, 120° E–
671 140° E). The dark gray vertical line in the right panel indicates the 95% confidence level.

672 Fig. 5 Composite PeKe at 250 hPa (shading; $10^{-5} m^2 s^{-3}$) for the BSISO events starting
673 from phase 4 during (left) the negative PJ, (middle) positive PJ, and (right) non-PJ

674 years. The dotted area represents significant PeKe with more than the 95% confidence
675 level. Black (green) contours represent the composite positive (negative) tendency of K'
676 (dK'/dt) at 850 hPa with intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.

677 Fig. 6 The vertical component of composite GKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$) for the
678 BSISO events during phases 7 and 3 for (left) the negative PJ, (middle) positive PJ, and
679 (right) non-PJ years. The dotted area represents significant GKe with more than the
680 95% confidence level. Black (green) contours represent the composite positive
681 (negative) tendency of K' (dK'/dt) at 850 hPa with intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.

682 Fig. 7 Same as Fig. 5 except for composite KmKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$).

683 Fig. 8 Same as Fig. 5 except for composite AmKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$).

684 Fig. 9 Seasonal mean SST averaged for JJA in (upper) the negative PJ, (middle) positive
685 PJ, and (lower) non-PJ years (shading; $^{\circ}\text{C}$). Vectors indicate seasonal mean wind fields
686 at 850 hPa (m s^{-1}).

687 Fig. 10 Same as Fig. 5 except for composite intraseasonal SST anomalies (shading; $^{\circ}\text{C}$).
688 Negative K' tendency is represented in purple.

689 Fig. 11 Composite vertical structures of KmKe (red) and AmKe (yellow) values ($10^{-5} \text{ m}^2 \text{ s}^{-}$
690 3) and corresponding Student's t statistics from 1000 to 500 hPa in BSISO phases 3, 5,
691 and 7 averaged over the Philippine Sea area (10° – 25°N , 120°E – 140°E) in the (upper)
692 positive PJ, (middle) non-PJ, and (lower) negative PJ years. The dark gray vertical line
693 in the right panels indicates the 95% confidence level. The mean components in the

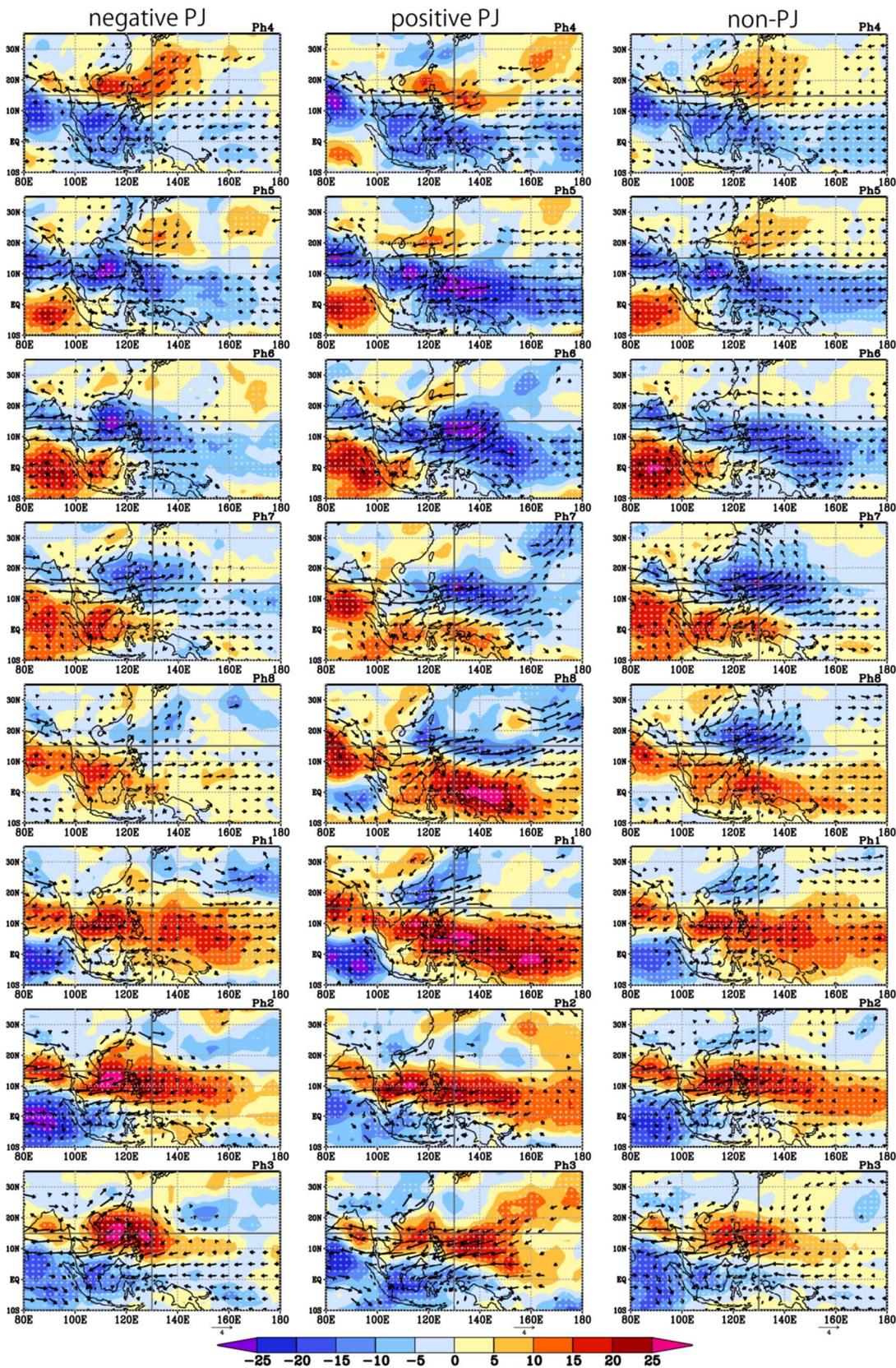
694 eddy kinetic energy budget are decomposed into LF (solid) and ISO (dashed).

695 Fig. 12 Composite KmKe at 850 hPa in which the mean components are decomposed into
696 LF and ISO (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$) for the BSISO events during phases 7 and 3 for (left)
697 the negative PJ, (middle) positive PJ, and (right) non-PJ years. The dotted area
698 represents significant KmKe with more than the 95% confidence level. Black (green)
699 contours represent the composite positive (negative) tendency of K' (dK'/dt) at 850 hPa
700 with intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.

701 Fig. 13 (left) Seasonal mean zonal winds at 850 hPa averaged for JJA and (right)
702 intraseasonal zonal wind anomalies averaged from BSISO phase 6 to 8 (shading; m s^{-1})
703 ¹). Vectors indicate (left) seasonal mean and (right) intraseasonal wind fields at 850 hPa.

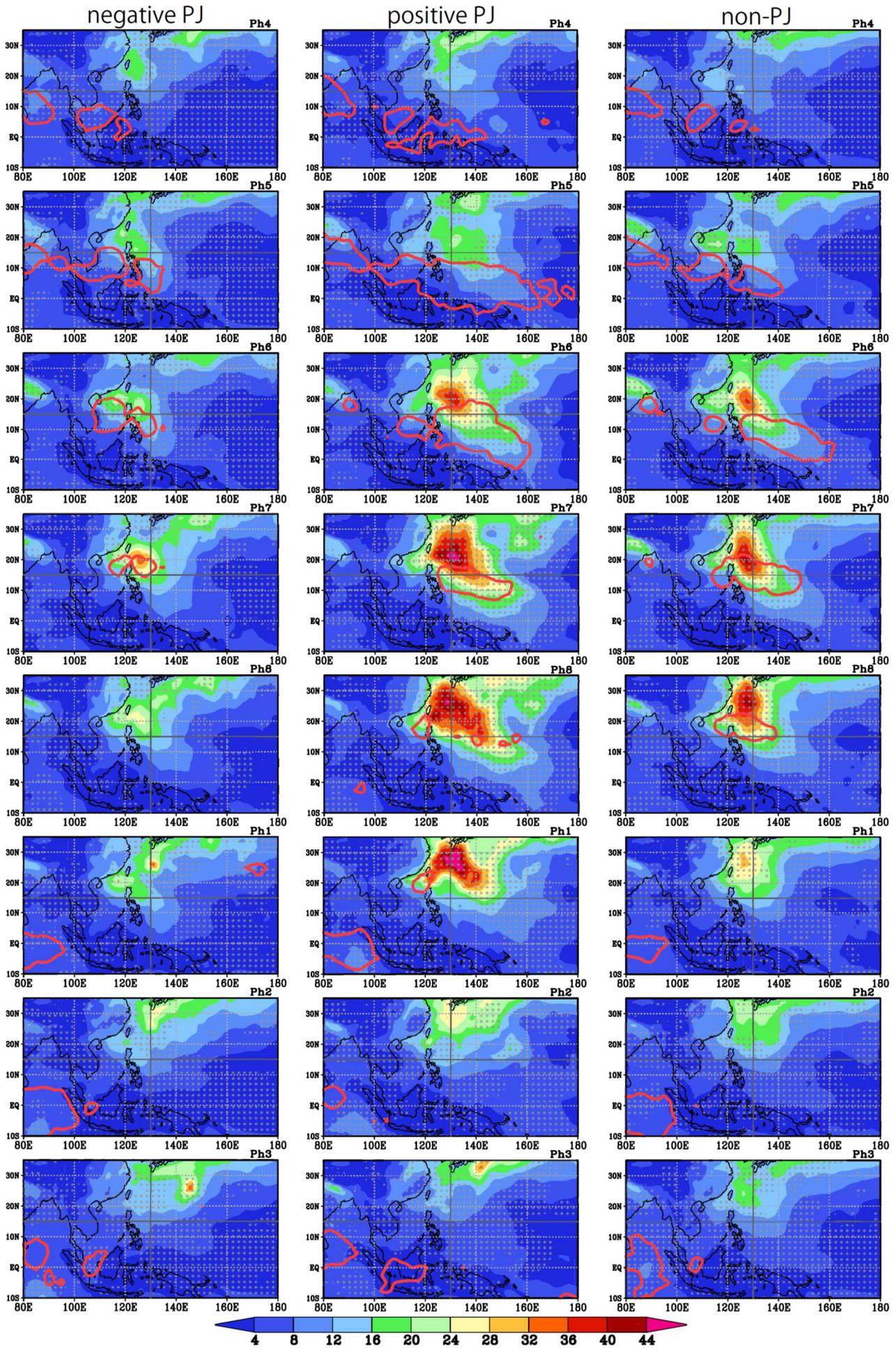
704 Fig. 14 Differences of (left) seasonal mean zonal winds at 850 hPa averaged for JJA and
705 (right) intraseasonal zonal wind anomalies averaged from BSISO phase 6 to 8 (shading;
706 m s^{-1}) between (upper) the positive and negative PJ years, (middle) positive and non-PJ
707 years, and (lower) non-PJ and negative PJ years. Vectors indicate differences of (left)
708 seasonal mean and (right) intraseasonal wind fields at 850 hPa.

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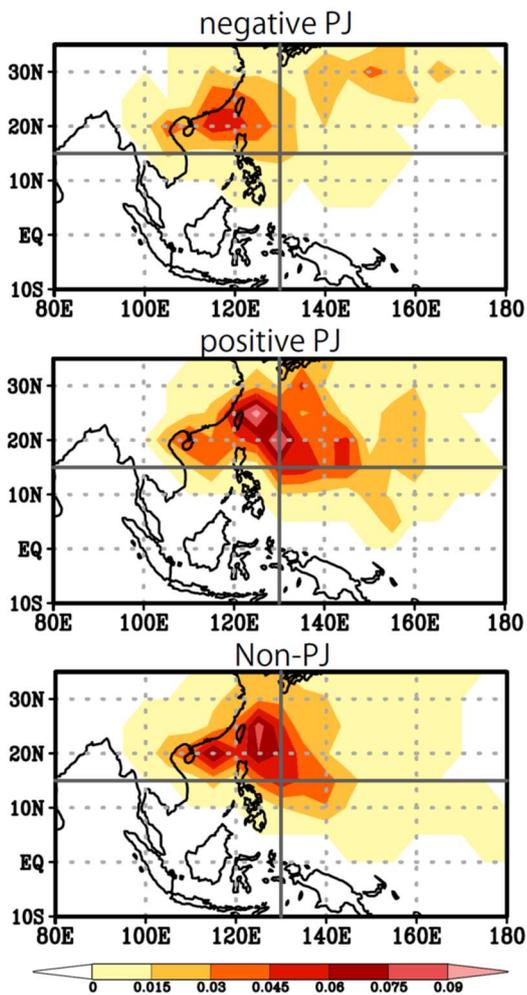
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Fig. 1 Composite intraseasonal OLR anomalies (shading; $W m^{-2}$) for the BSISO events starting from phase 4 during (left) the negative PJ, (middle) positive PJ, and (right) non-PJ years. The dotted area represents statistical significance of more than the 95% confidence level. Vectors indicate composite wind anomaly fields at 850 hPa (ms^{-1}), where either the zonal or meridional component is significant at the 95% level.



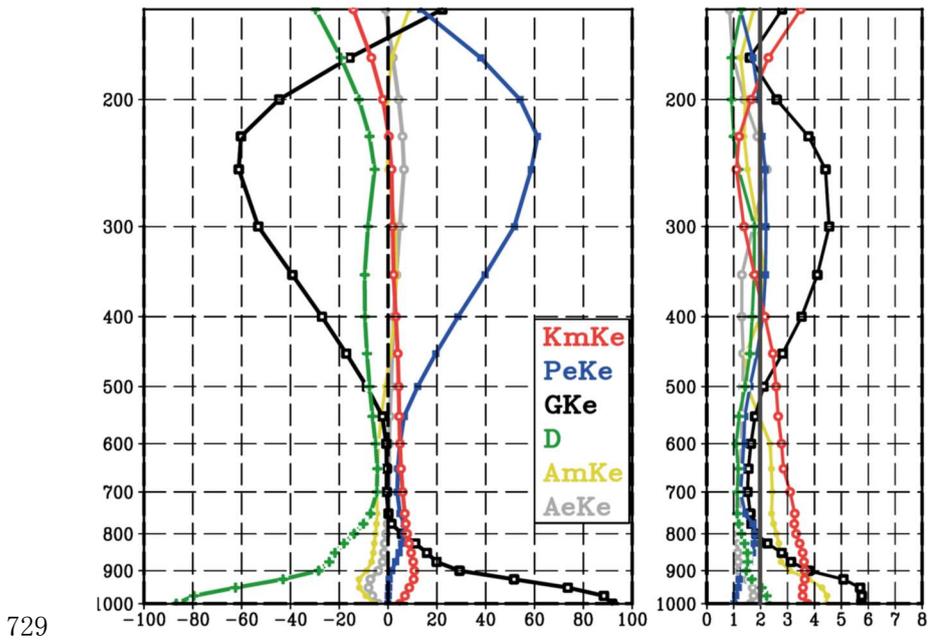
717 Fig. 2 Same as Fig. 1 except for composite K' (shading; $\text{m}^2 \text{s}^{-2}$) at 850 hPa. The thick red
718 contours represent composite intraseasonal OLR anomalies of -15 W m^{-2} . Note that the
719 shading shows K' , not its anomaly, while the significance tests are based on anomalies
720 from JJA climatology, which are not zero.

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726 Fig. 3 Composite daily TC occurrence frequency averaged between phases 6 and 8 for the
727 BSISO events during (upper) the negative PJ, (middle) positive PJ, and (lower) non-PJ
728 years.



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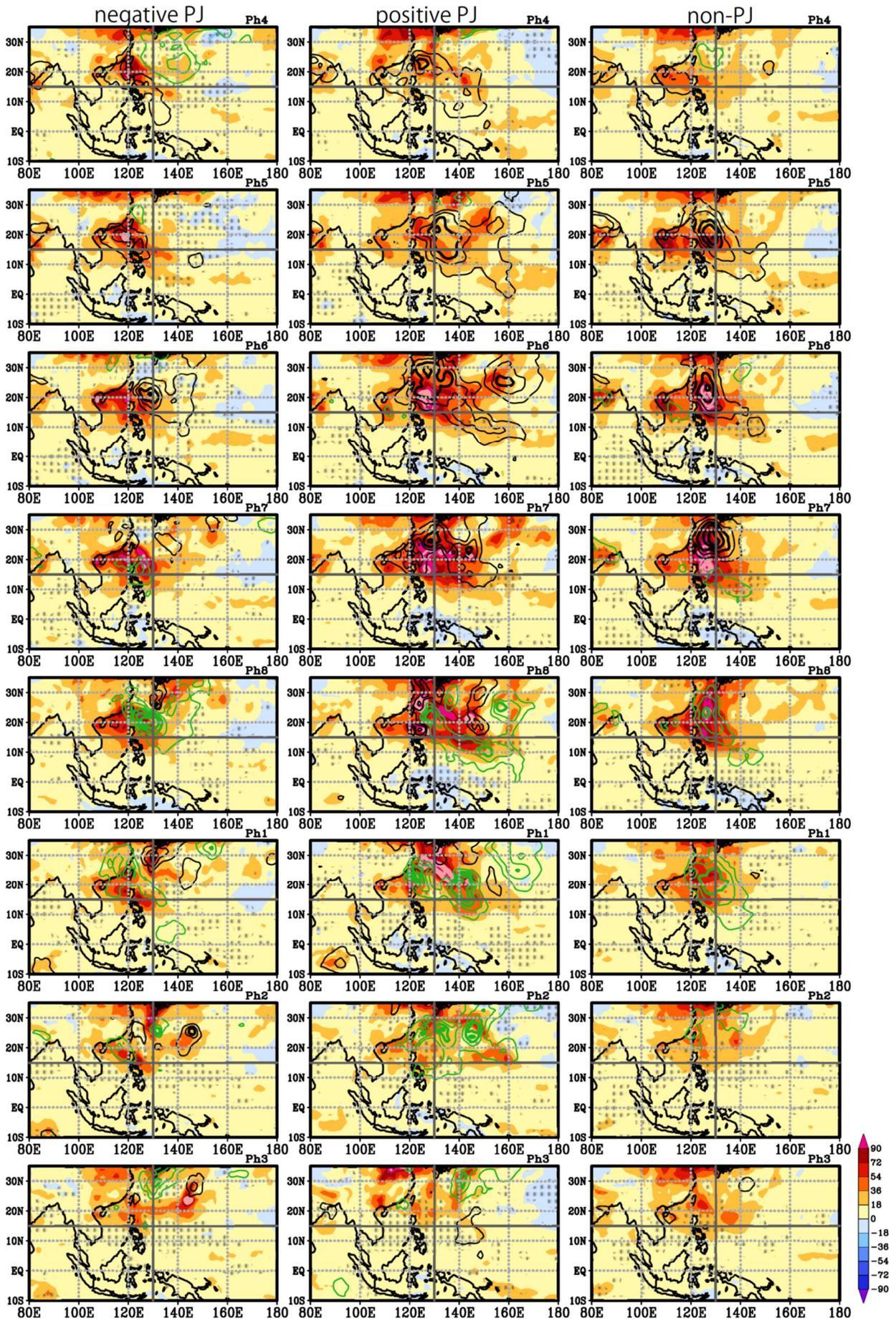
730 Fig. 4 (left) Composite vertical structures of each term in the eddy kinetic energy budget (10^{-5}

731 $\text{m}^2 \text{ s}^{-3}$) and (right) corresponding Student's t statistics from 1000 to 150 hPa for all

732 BSISO events in phase 7 averaged over the Philippine Sea area (10° – 25° N, 120° E–

733 140° E). The dark gray vertical line in the right panel indicates the 95% confidence level.

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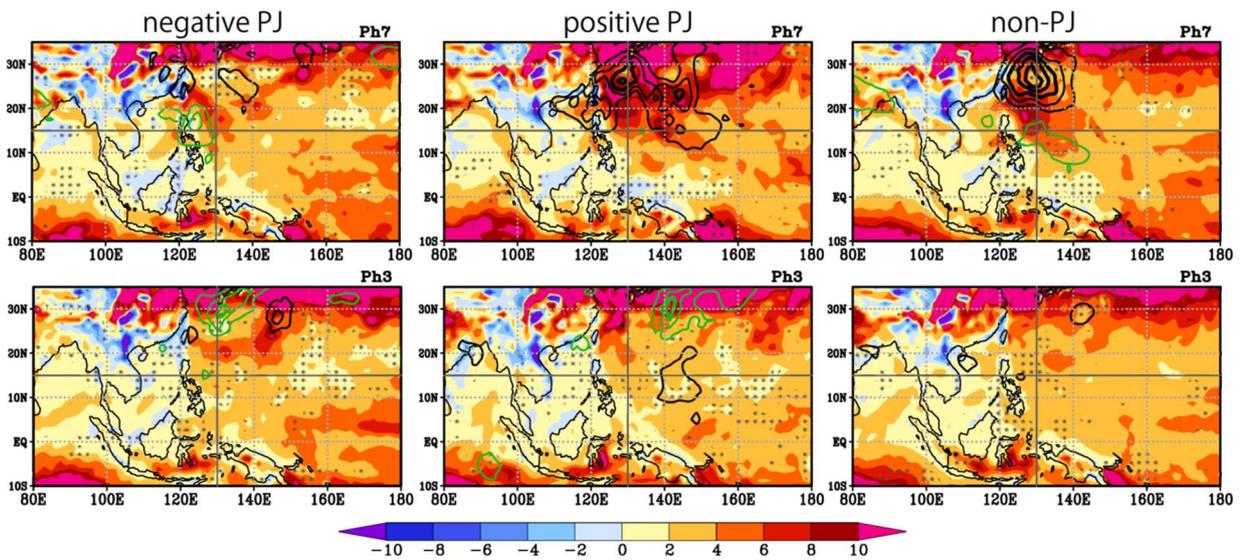


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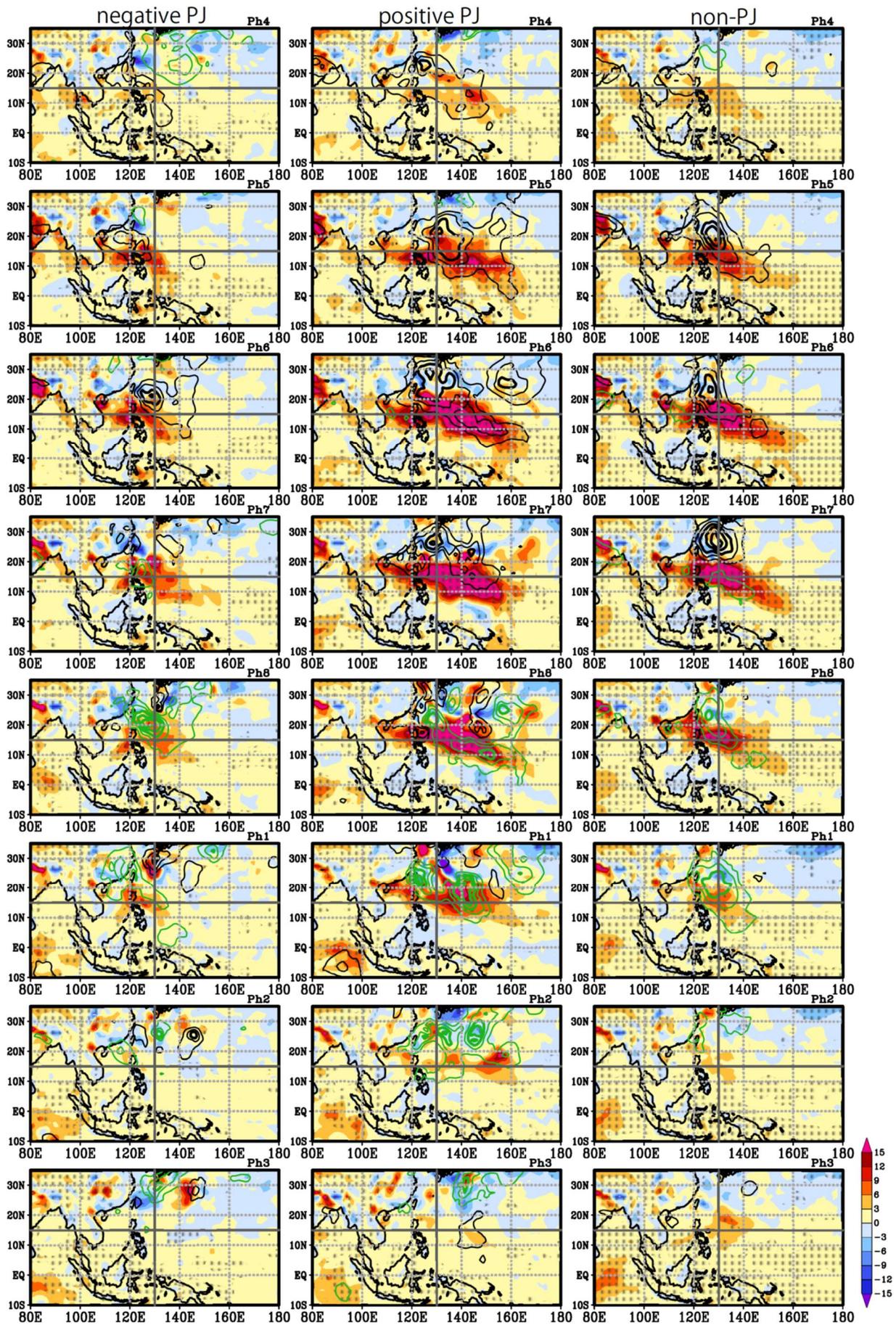
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Fig. 5 Composite PeKe at 250 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$) for the BSISO events starting from

737 phase 4 during (left) the negative PJ, (middle) positive PJ, and (right) non-PJ years. The
 738 dotted area represents significant PeKe with more than the 95% confidence level. Black
 739 (green) contours represent the composite positive (negative) tendency of K' (dK'/dt) at
 740 850 hPa with intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.
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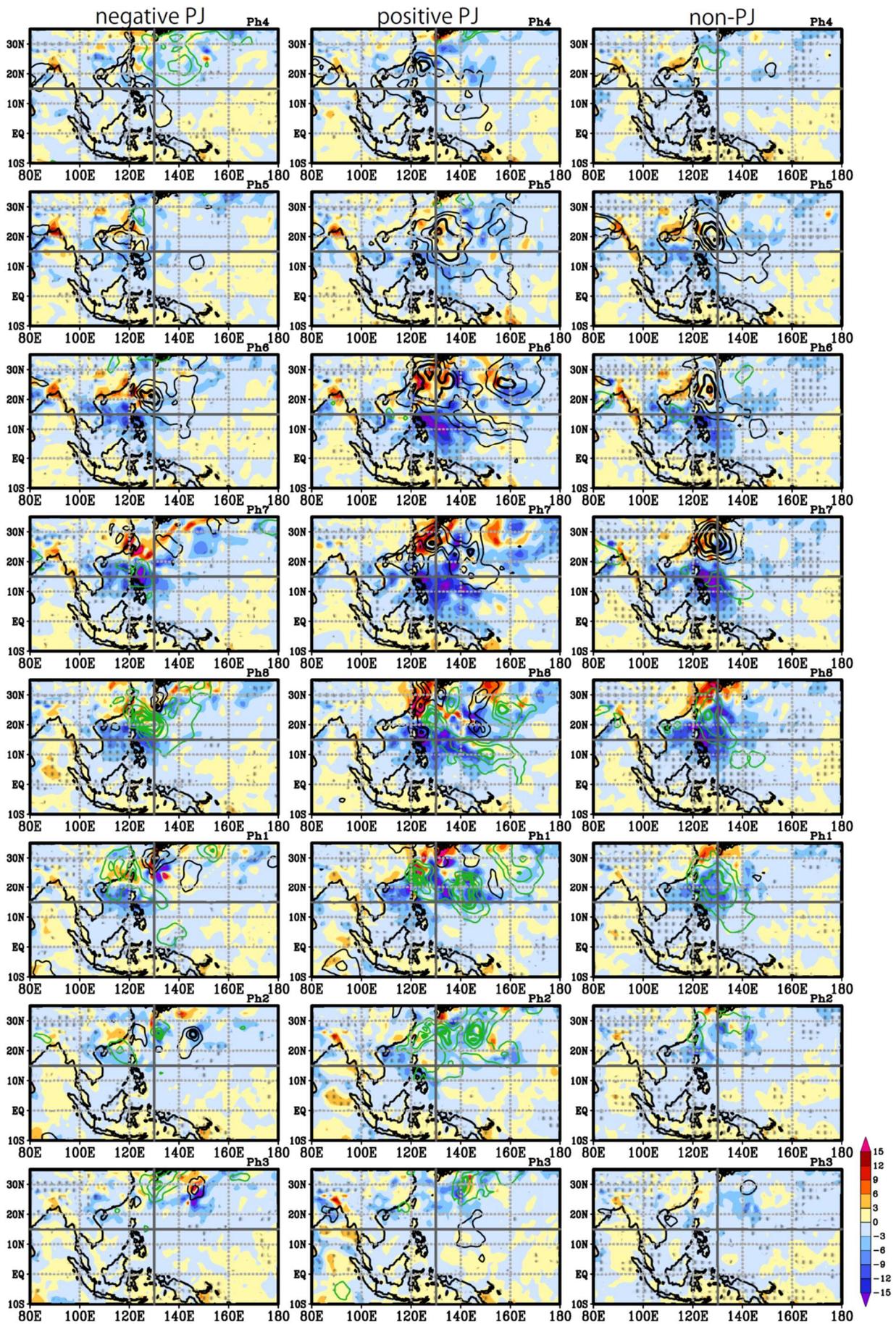


747
 748 Fig. 6 The vertical component of composite GKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$) for the
 749 BSISO events during phases 7 and 3 for (left) the negative PJ, (middle) positive PJ, and
 750 (right) non-PJ years. The dotted area represents significant GKe with more than the 95%
 751 confidence level. Black (green) contours represent the composite positive (negative)
 752 tendency of K' (dK'/dt) at 850 hPa with intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.
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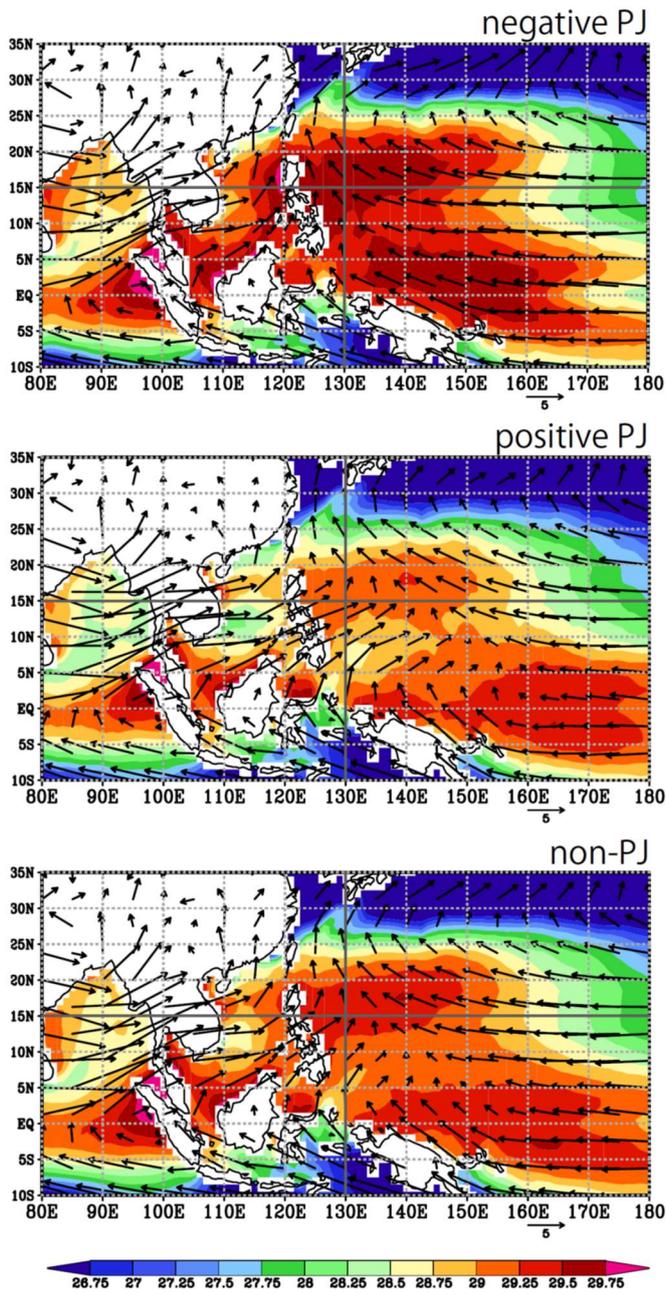
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755 Fig. 7 Same as Fig. 5 except for composite KmKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$).



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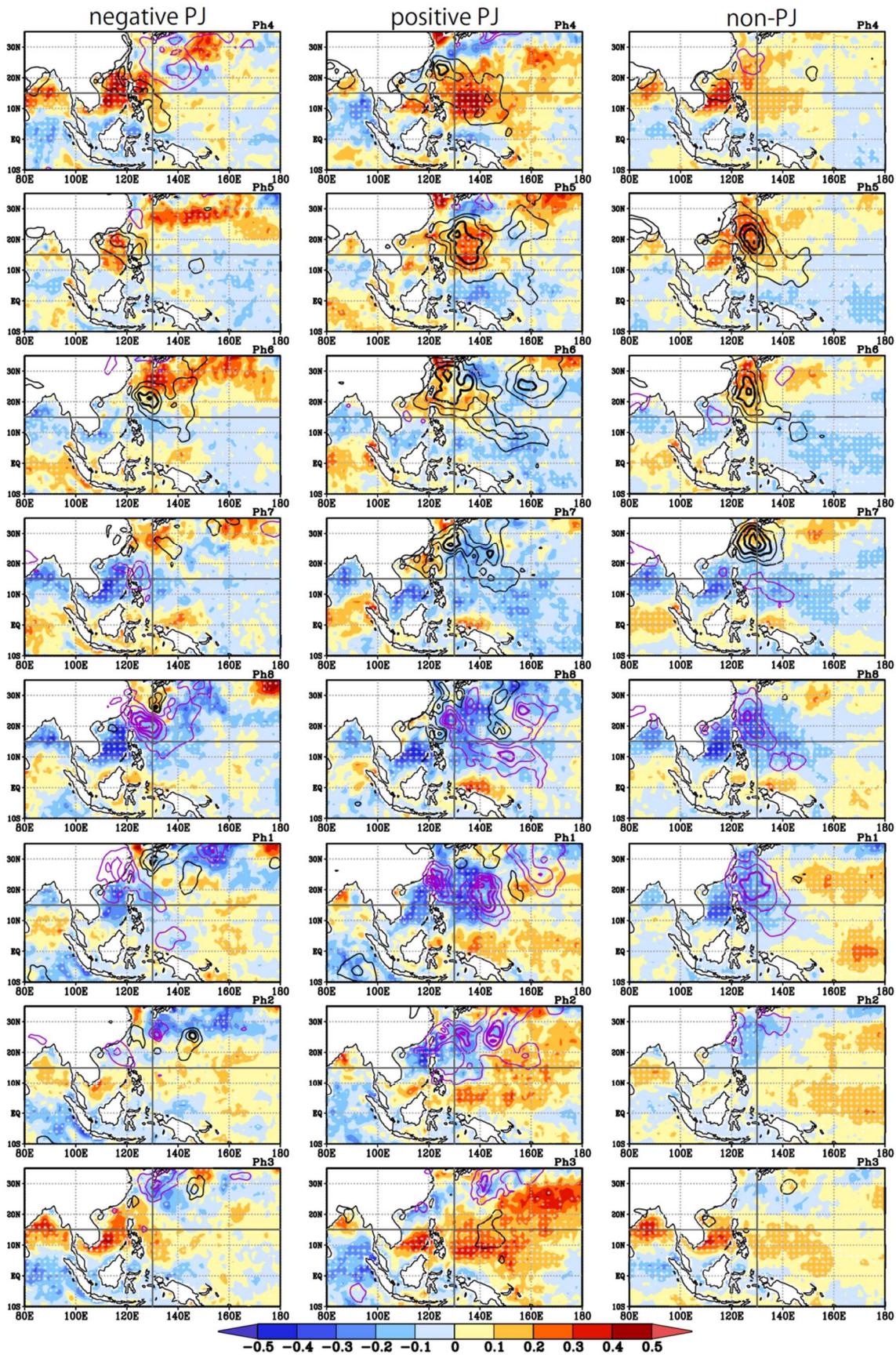
757 Fig. 8 Same as Fig. 5 except for composite AmKe at 850 hPa (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$).



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759 Fig. 9 Seasonal mean SST averaged for JJA in (upper) the negative PJ, (middle) positive
 760 PJ, and (lower) non-PJ years (shading; °C). Vectors indicate seasonal mean wind fields
 761 at 850 hPa (m s^{-1}).

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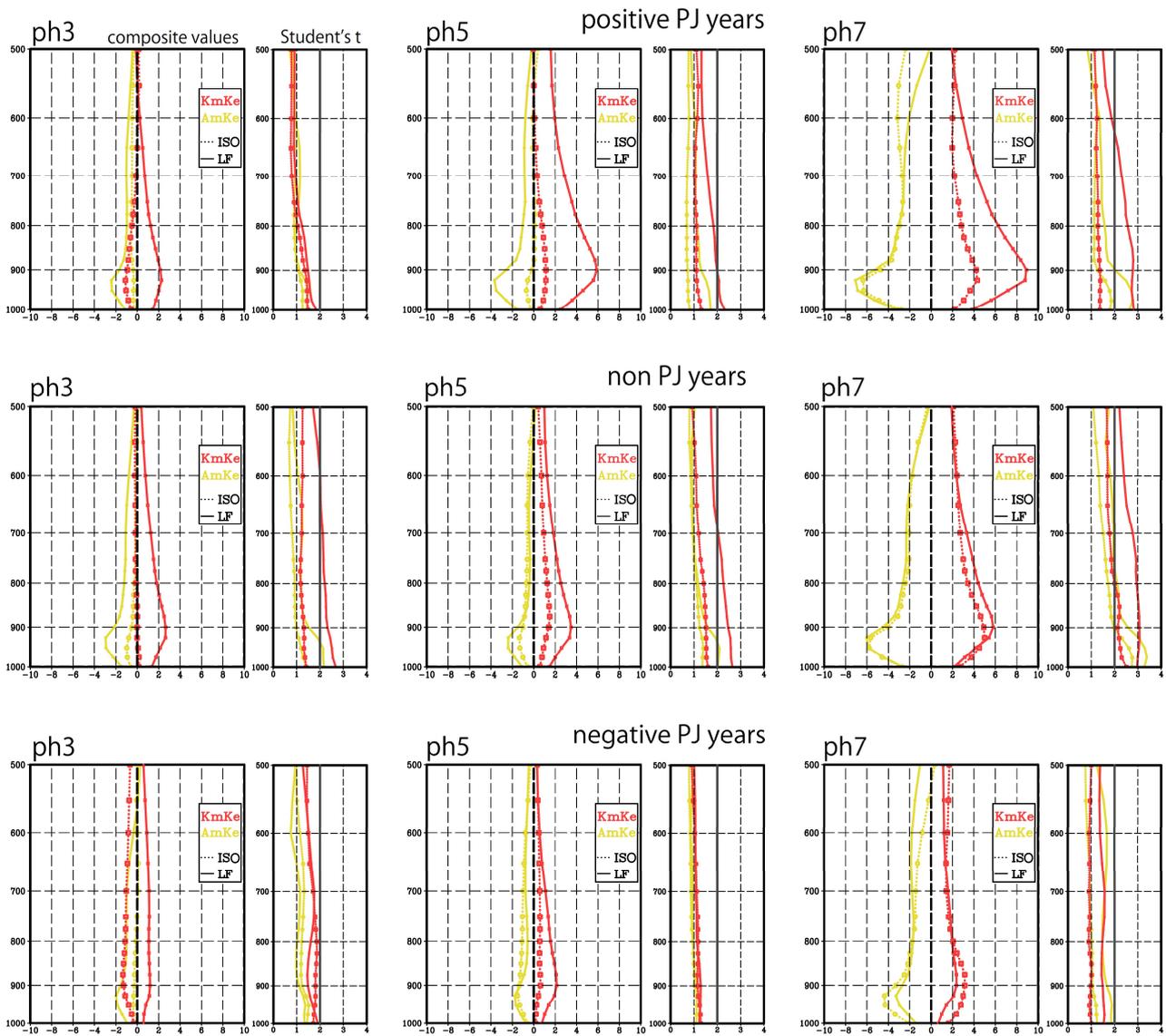


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764 Fig. 10 Same as Fig. 5 except for composite intraseasonal SST anomalies (shading; °C).

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Negative K' tendency is represented in purple.



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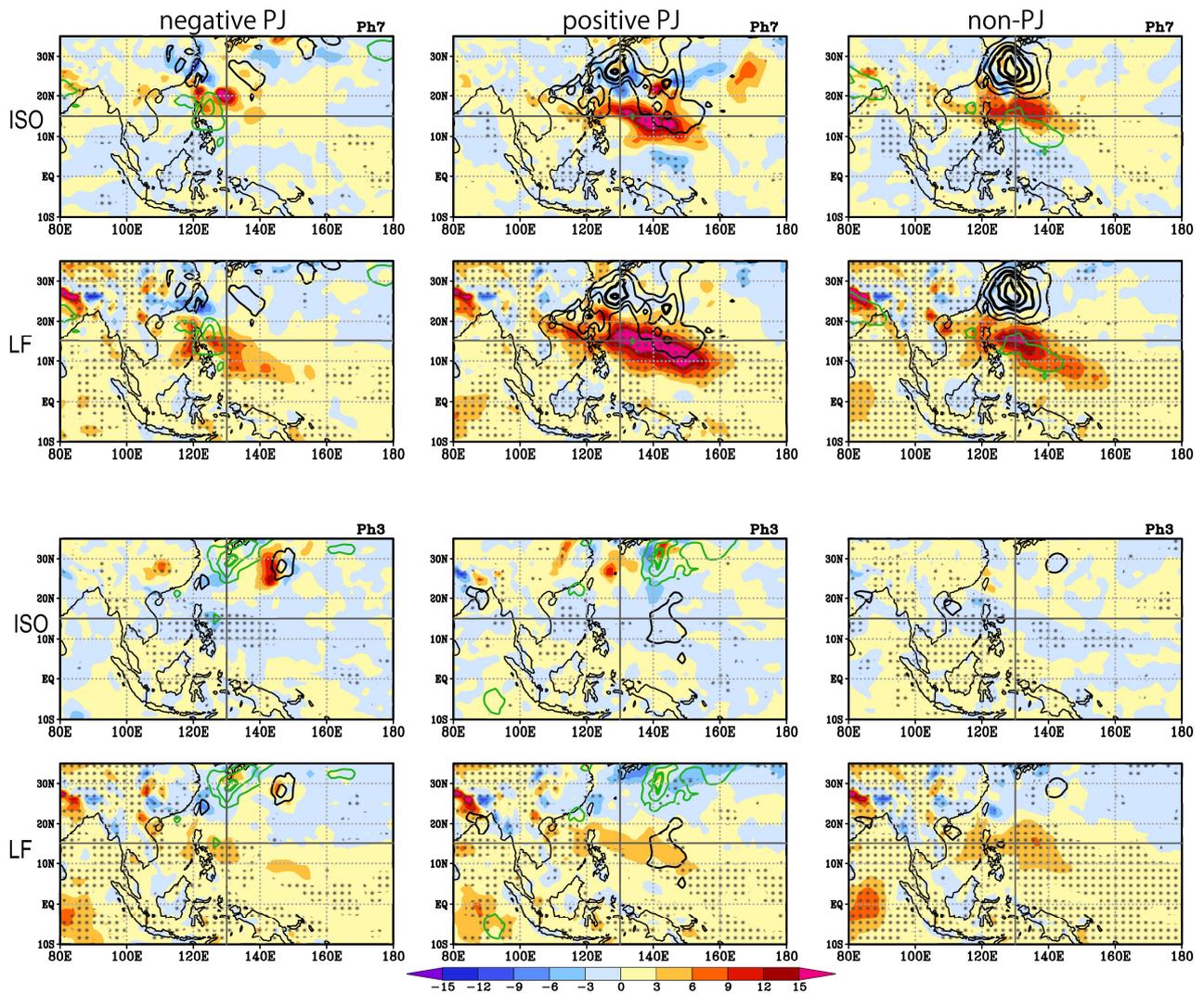
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Fig. 11 Composite vertical structures of KmKe (red) and AmKe (yellow) values ($10^{-5} \text{ m}^2 \text{ s}^{-3}$) and corresponding Student's t statistics from 1000 to 500 hPa in BSISO phases 3, 5, and 7 averaged over the Philippine Sea area (10° – 25° N, 120° E– 140° E) in the (upper) positive PJ, (middle) non-PJ, and (lower) negative PJ years. The dark gray vertical line in the right panels indicates the 95% confidence level. The mean components in the eddy kinetic energy budget are decomposed into LF (solid) and ISO (dashed).

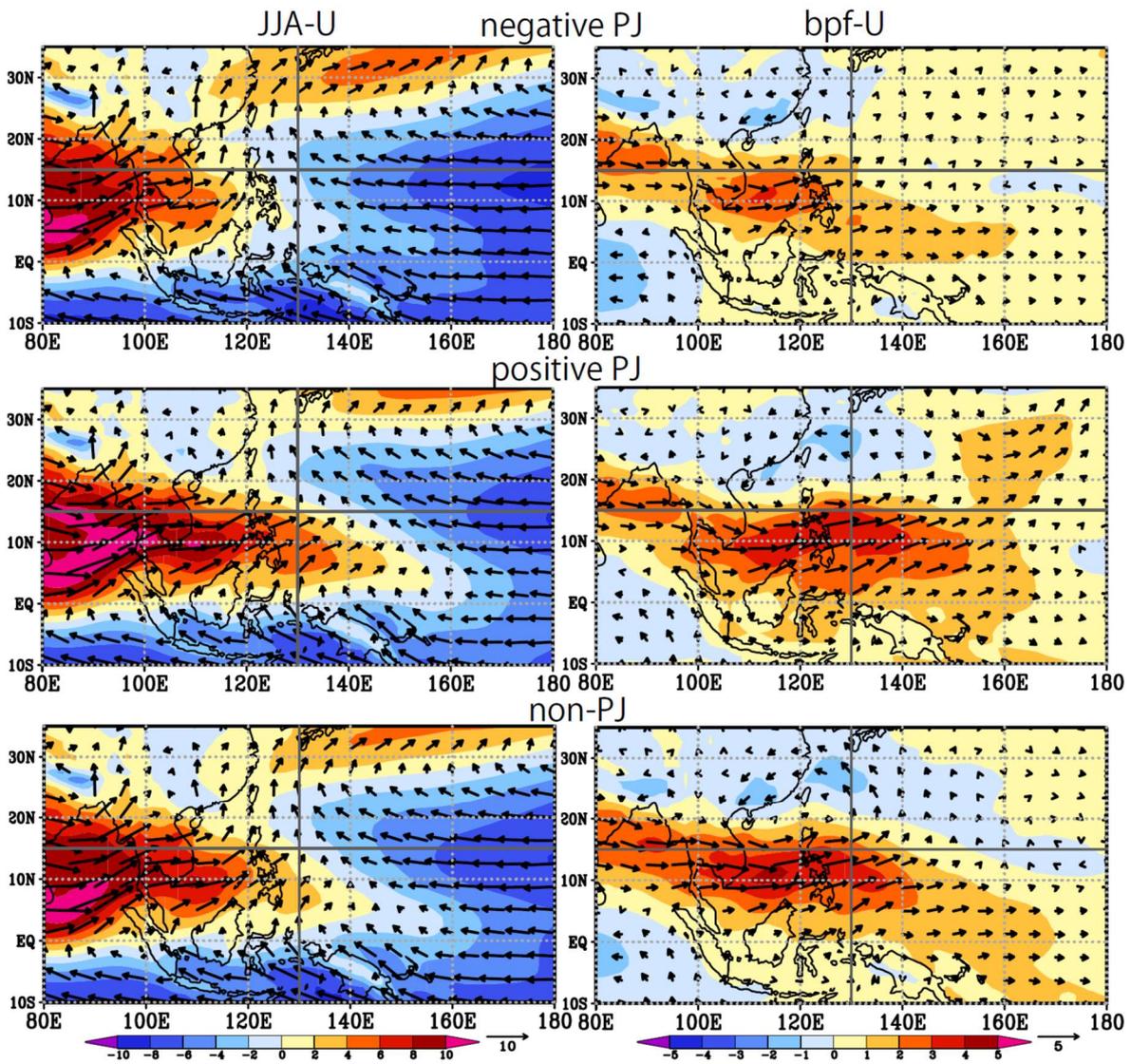


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777 Fig. 12 Composite KmKe at 850 hPa in which the mean components are decomposed into
 778 LF and ISO (shading; $10^{-5} \text{ m}^2 \text{ s}^{-3}$) for the BSISO events during phases 7 and 3 for (left)
 779 the negative PJ, (middle) positive PJ, and (right) non-PJ years. The dotted area represents
 780 significant KmKe with more than the 95% confidence level. Black (green) contours
 781 represent the composite positive (negative) tendency of K' (dK'/dt) at 850 hPa with
 782 intervals of $0.7 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$.

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Fig. 13 (left) Seasonal mean zonal winds at 850 hPa averaged for JJA and (right)

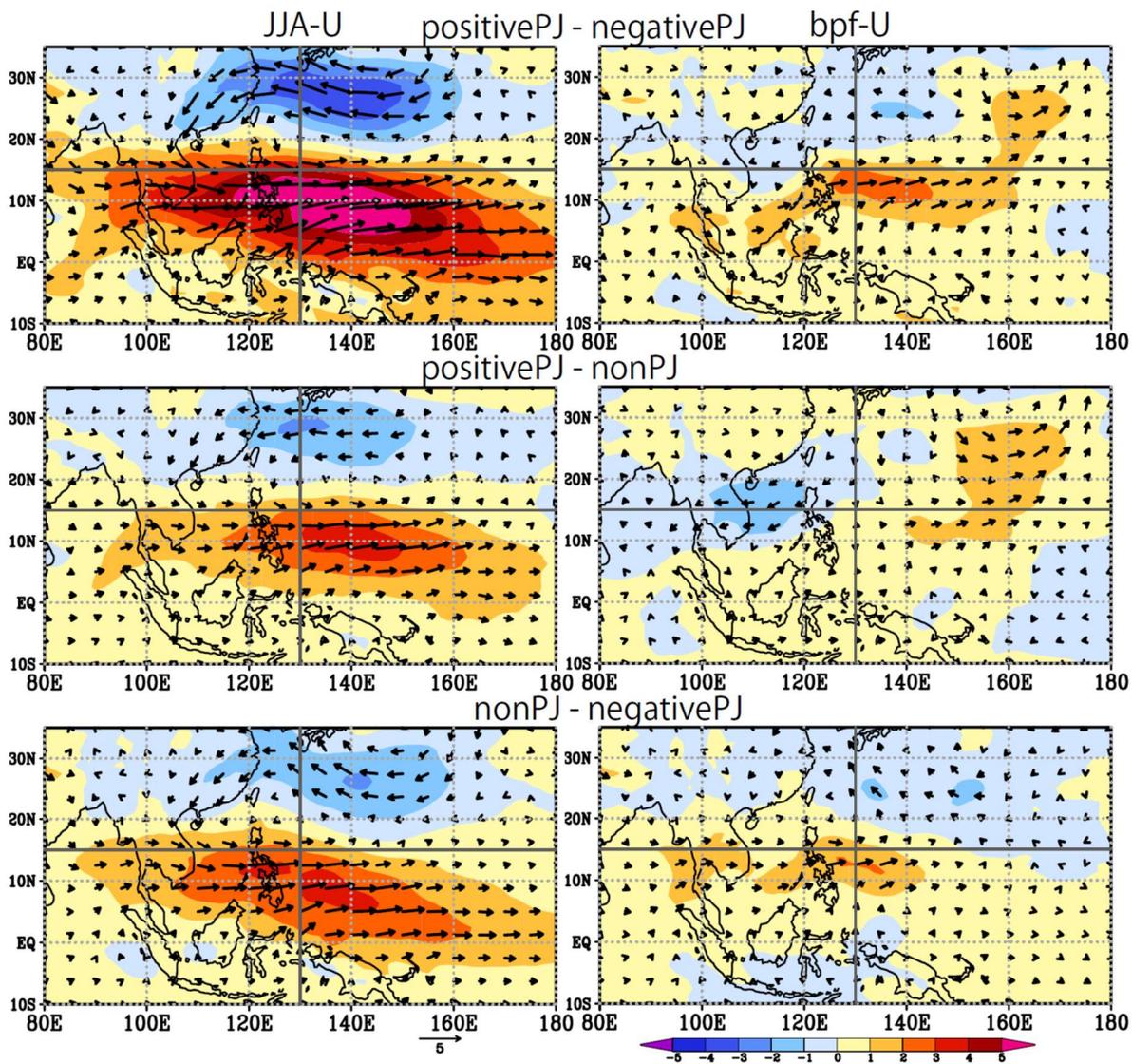
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intraseasonal zonal wind anomalies averaged from BSISO phase 6 to 8 (shading; m s^{-1}).

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Vectors indicate (left) seasonal mean and (right) intraseasonal wind fields at 850 hPa.

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790 Fig. 14 Differences of (left) seasonal mean zonal winds at 850 hPa averaged for JJA and
 791 (right) intraseasonal zonal wind anomalies averaged from BSISO phase 6 to 8 (shading;
 792 m s^{-1}) between (upper) the positive and negative PJ years, (middle) positive and non-PJ
 793 years, and (lower) non-PJ and negative PJ years. Vectors indicate differences of (left)
 794 seasonal mean and (right) intraseasonal wind fields at 850 hPa.

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