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1 **Japan Meteorological Agency/Meteorological Research**  
2 **Institute Coupled Prediction System version 3**  
3 **(JMA/MRI-CPS3)**  
4

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25

## Abstract

A new operational seasonal forecast system, Japan Meteorological Agency (JMA)/Meteorological Research Institute (MRI) Coupled Prediction System (CPS) version 3 (JMA/MRI-CPS3), has been developed. This system represents a major upgrade of the former system, CPS2. CPS3 comprises atmosphere, land, ocean, and sea ice forecast models and the necessary initialization systems for these models. For historical reforecasts, the atmospheric reanalysis dataset JRA-3Q provides initial conditions for the atmosphere and the external forcings for land, ocean, and sea ice analysis. In the operational forecast, JMA's operational atmospheric analysis is used in conjunction with JRA-3Q to initialize the system in near-real time. The land surface model is initialized using an uncoupled free simulation, forced by the atmospheric analysis. The ocean and sea ice models are initialized with the global ocean data assimilation system MOVE-G3, which incorporates a newly developed four-dimensional variational method for temperature, salinity, and sea surface height and a three-dimensional method for sea ice concentration. Compared with the previous system, the CPS3 forecast model components have approximately 2–4 times higher resolution: the atmosphere and land models are configured with ~55 km horizontal resolution, with 100 vertical atmosphere layers; and the ocean and sea ice models have a resolution of 0.25° x 0.25°, with 60 vertical ocean layers. The physical processes of the atmosphere are greatly refined in CPS3 relative to CPS2, resulting in improved representation of

46 sub-seasonal to seasonal scale variability, including the eastward propagation of the  
47 Madden–Julian Oscillation, winter blocking highs in the North Atlantic, and coupled  
48 atmosphere–ocean variability during El Niño–Southern Oscillation events. Our historical  
49 reforecast experiment for 1991–2020 suggests that CPS3 has greater forecast skill than  
50 CPS2. The usability of the model output has been improved in CPS3 by reorganizing  
51 the operation schedule to provide daily updates of five-member ensemble forecasts.

52

53 **Keywords** seasonal forecast; ENSO; operational forecast system; MJO; blocking high

54

## 55 **1. Introduction**

56 Seasonal forecasts provide an outlook of climate conditions three to six months ahead  
57 and are used for planning in agriculture and renewable energy production and for  
58 preparation for extreme weather events, when the seasonal cycle differs significantly from  
59 normal. Weather and climate affect our lives across national borders. For this reason, a  
60 number of collaborative frameworks provide consistent forecasts across countries based  
61 on objective information sources. One example is the “Regional Climate Outlook Forums”,  
62 led by the World Meteorological Organization (WMO, 2016), where operational numerical  
63 forecasting systems provide an objective and scientific basis for forecasts. Demand for  
64 seasonal forecasting services has increased dramatically in recent years, and there is a  
65 growing need for numerical forecasting systems to become more accurate and easier to  
66 use.

67 The main objective of this paper is to describe a new version of a seasonal forecast  
68 system, JMA/MRI–CPS3 [Japan Meteorological Agency (JMA)/Meteorological Research  
69 Institute (MRI)–Coupled Prediction System (CPS) version 3; hereafter CPS3], which  
70 became operational at JMA in February 2022. Predictability of the climate system on sub-  
71 seasonal to seasonal timescales depends largely on interactions among the earth system  
72 components, such as the atmosphere and ocean. JMA introduced its first coupled  
73 atmosphere–ocean prediction system in the late 1990s (Yoshikawa et al. 2016). Initially,  
74 the system specialized in forecasting the El Niño Southern Oscillation (ENSO) and the

75 forecast coverage was limited to the tropics. Subsequent advances in forecasting  
76 techniques and a significant increase in computing resources led to the creation of  
77 JMA/MRI–CPS version 1 in 2008 (Takaya et al. 2017), which provided global coverage  
78 and seasonal forecasts. CPS3, described in this paper, is the third generation of the  
79 system.

80 The primary motivation for the development of CPS3 was to enhance the seasonal  
81 forecasting capabilities of the CPS. The secondary goal was to improve the usability of  
82 forecast products and to provide forecast updates on a daily basis. The development was  
83 also intended to allow seamless expansion of the system so that it could be used for sub-  
84 seasonal forecasts, which would be a major advancement compared with previous  
85 systems. This latter development is the most significant change in CPS3 from the  
86 previous system, JMA/MRI–CPS2 (Takaya et al. 2018; hereafter CPS2). Seasonal  
87 climate and weather forecasts are used in combination, and information should therefore  
88 be consistent across these timescales. The importance of this consistency has been  
89 widely recognized in recent years, and numerical weather prediction centers have  
90 accelerated their efforts toward this end (Saha et al. 2014; MacLachlan et al. 2015;  
91 Johnson et al., 2019). For CPS3, the first step was to improve the seasonal forecast  
92 model so that it could also be used for shorter, sub-seasonal timescales of two weeks and  
93 longer; this required performance and usability improvements to the existing system.

94 Section 2 begins with an overview of CPS3, followed by a description of the forecast

95 model, initial conditions, initial perturbations, and operational schedule. In order to provide  
96 an intercomparison of forecast skill in later sections, particular emphasis will be placed on  
97 the changes from CPS2. Section 3 evaluates the forecast performance based on  
98 historical reforecast experiments for a 30-year period (1991–2020). Section 4 provides a  
99 summary and discusses future issues.

100

## 101 **2. System configuration**

### 102 *2.1 Overview*

103 CPS3 is an ensemble forecasting system that uses a coupled atmosphere–land–ocean–  
104 sea ice forecast model. The system comprises two parts: forecast model initialization and  
105 prediction calculations. Table 1 compares CPS3 with CPS2.

Table 1
---------

106 During initialization, CPS3 generates initial conditions for land, lakes, and the ocean and  
107 prepares initial perturbations to be implemented in the coupled model. Here, CPS3 relies  
108 on externally produced atmospheric analyses; i.e., JRA-3Q (Japanese Reanalysis for  
109 Three Quarters of a Century; Kobayashi et al. 2021) and the JMA Global Analysis (GA;  
110 JMA 2022). These analyses provide the initial atmospheric conditions and external  
111 forcings for the land and ocean analyses.

112 The forecast model comprises GSM (Section 2.2a) and MRI.COM (Section 2.2b), which  
113 have both been configured and improved to be appropriate for seasonal forecasting. The  
114 model-coupling library, SCUP (Yoshimura and Yukimoto 2008), is used to update air–sea

115 boundary conditions hourly through the exchange of geophysical parameters between the  
116 models at the sea surface.

117

## 118 *2.2 Forecast model*

### 119 a. Atmosphere–land surface model

120 The atmosphere–land surface model in CPS3 is GSM 2003 (Yonehara et al. 2020),  
121 which became an operational weather forecast model at JMA in March 2020. The  
122 horizontal resolution is set to TL319 ( $\approx 55$  km) and 100 vertical layers are used, giving  
123 around double the resolution of CPS2, which used TL159 ( $\approx 110$  km) and 60 vertical layers.  
124 The model top was 0.1 hPa in CPS2 and is raised to 0.01 hPa in CPS3. The model  
125 dynamics and representations of physical processes incorporate multiple improvements  
126 that have been made since GSM 1011 (JMA, 2013), on which CPS2 was based (Yonehara  
127 et al. 2014, 2017, 2018, 2020). The representation of land surface processes has been  
128 reconstructed in CPS3 to consider layer structure and snowpack coverage. Soil  
129 temperature and moisture are multi-layered in CPS3 to better capture diurnal variability.  
130 Surface albedo on sea ice has been refined to account for sea ice thickness and snow  
131 depth (Hunke and Lipscomb 2010). The scheme from Iwasaki et al. (1989) that was used  
132 to represent orographic gravity wave drag in CPS2 is replaced in CPS3 with that from Lott  
133 and Miller (1997), which explicitly accounts for low-level flow blocking and orographic  
134 gravity waves. Sub-grid-scale turbulent orographic drag is newly considered in CPS3,

135 following Beljaars et al. (2004) (Kanehama and Yamada 2019). The momentum transport  
136 effects of non-orographic gravity waves are represented in CPS3 following Scinocca  
137 (2003), which has improved the reproducibility of stratospheric quasi-biennial oscillations  
138 (Kanehama 2012).

139 In GSM 2003, cumulus convection is parameterized following Arakawa and Schubert  
140 (1974), and the set of dynamic and thermodynamic equations is closed using the  
141 predictive equation for cloud-base mass flux from Pan and Randall (1998). In CPS3,  
142 dissipation timescales for convective kinetic energy are treated separately for shallow and  
143 deep cumulus clouds (20 and 40 minutes, respectively). An empirical dependence of the  
144 cumulus entrainment rate on altitude and humidity is introduced in CPS3 (Komori et al.  
145 2020; Bechtold et al. 2008), using a minimum threshold taken from Tokioka et al. (1988).  
146 These changes increase the relative contribution of shallow cumulus to the total kinetic  
147 energy and make the transition from shallow to deep cumulus more continuous. This  
148 improves the dry bias for the mid-troposphere and the optically thin cloud bias in the Inter-  
149 Tropical Convergence Zone in the Pacific that were seen in CPS2. Observations have  
150 shown that the air column becomes gradually humidified from the lower to upper  
151 troposphere as the convectively active phase of the Madden–Julian Oscillation (MJO)  
152 approaches (Thayer-Calder and Randall, 2009), and this is captured by CPS3 forecasts.  
153 Kawai et al. (2017) provided an elaborate index that describes the conditions of  
154 appearance of marine stratocumulus clouds. When this index is sufficiently large, CPS3

155 weakens vertical mixing to keep a temperature and humidity inversion near the top of the  
156 planetary boundary layer, suppressing dry air entrainment from the free atmosphere to  
157 generate clouds. There was a significant melting bias for Antarctic sea ice in CPS2, and a  
158 related positive bias for downward shortwave radiation fluxes over the Southern Ocean.  
159 CPS3 has reduced these by improving the representation of super-cooled liquid clouds in  
160 the lower troposphere, as these clouds being common in the Southern Ocean region (Kay  
161 et al. 2016; Chiba and Komori 2020). Free convective gusts near the sea surface (Godfrey  
162 and Beljaars 1991) and deep convective downdrafts are included in the calculation of  
163 ocean latent heat release in CPS3, following Redelsperger et al. (2000). In CPS3, we use  
164 Zeng and Beljaars (2005) to solve the heat budget in the warm water layer, while allowing  
165 changes in the assumed vertical temperature profile, which improves the reproducibility of  
166 the diurnal sea surface temperature (SST) cycle.

167 CPS2 had a dry bias near the land surface over northern hemisphere continents. To  
168 address this, we introduced a fractional land ratio to consider sub-grid-scale water  
169 surfaces in CPS3, shown for Asia in Fig. 1. All water bodies, including rivers, are treated as  
170 isolated lakes that are geographically fixed over time in CPS3 and there is no energy or  
171 mass transport between adjacent grid cells. Instead, a simple thermodynamic lake scheme  
172 is introduced, which predicts lake ice formation and lake temperature variations through  
173 water phase changes and heat transfer between water, ice, and snow. In CPS3, several  
174 changes were introduced to the representation of atmospheric radiation processes that

Fig. 1

175 were used in CPS2. A set of correction schemes from Hogan and Bozzo (2015) and  
176 Hogan and Hirahara (2016) are incorporated into the representation of the surface  
177 downward shortwave radiation flux to improve the estimated incident net surface radiation  
178 at coarse spatio-temporal resolution in CPS3 (radiative fluxes are computed hourly at a  
179 resolution of four grid cells).

180 A monthly climatology is used for ozone concentration in CPS3, as in CPS2, but the  
181 climatology in CPS3 has been updated with the 1981–2010 average from the latest MRI-  
182 CCM2 reanalysis (Deushi and Shibata 2011). Observed greenhouse gas emissions are  
183 used in CPS3 for calculations up to 2016 and are taken from CMIP6 emission scenario  
184 SSP2-RCP4.5 (Vuuren et al. 2011) for later periods. CPS3 uses a three-dimensional  
185 monthly aerosol concentration climatology (Yabu et al. 2017) for both reforecasts and  
186 operational forecasts and includes an experimental option to evaluate and include the  
187 direct radiative effect from volcanic aerosols provided by the user.

188 Uncertainties in the model physics are calculated using the stochastic physics scheme  
189 in Buizza et al. (1999), where physics tendencies are perturbed with space- and time-  
190 dependent random noise during model integration; CPS3 continues to use the scheme as  
191 it was implemented in CPS2 (Yonehara and Ujiie 2011; Takaya et al. 2018).

192

#### 193 b. Ocean and sea ice model

194 We use MRI.COM (Tsuji no et al. 2017), a community ocean model developed at the

195 Meteorological Research Institute, as the ocean and sea ice model in CPS3. CPS3 uses  
196 version 4.6 (v4.6) of MRI.COM, as this was the most recent version available at the time of  
197 development. MRI.COM uses the Boussinesq approximation to solve the primitive  
198 equations using the finite difference method. The horizontal resolution is refined from  $1^\circ \times$   
199  $0.3^\circ\text{--}0.5^\circ$  longitude–latitude in CPS2 to  $0.25^\circ \times 0.25^\circ$  in CPS3. This resolution is sufficient  
200 to resolve the first baroclinic Rossby radius for most regions within  $30^\circ$  of the equator  
201 (Hallberg 2013) but is too coarse for full resolution at higher latitudes, and it is therefore  
202 referred to as an “eddy-permitting” resolution. In CPS3, we use a generalized orthogonal  
203 coordinate system in the Arctic (latitudes north of  $64^\circ\text{N}$ ) on a tripolar grid with singular  
204 points in Siberia ( $64^\circ\text{N}$ ,  $80^\circ\text{E}$ ), Canada ( $64^\circ\text{N}$ ,  $100^\circ\text{W}$ ), and at the South Pole. CPS3 uses  
205  $z^*$  vertical levels (Adcroft and Campin 2004), which can accurately capture flow along  
206 steep seafloor topography. The number of vertical ocean layers is increased slightly, from  
207 52 in CPS2 to 60 in CPS3, with enhancement primarily in layers deeper than 1000 m. The  
208 sea ice model deals with sea ice advection, formation, growth, and melting using five ice-  
209 thickness categories. The processes and numerical treatments for the sea ice scheme in  
210 CPS3 remain mostly unchanged from CPS2. Further details are available in Tsujino et al.  
211 (2017).

212 Fig. 2 compares the SST forecasts for the eastern tropical Pacific for December 22–26,  
213 1999, from CPS2 and CPS3 with an independent satellite-based SST analysis (Merchant  
214 et al. 2014). The La Niña conditions that year meant that low SSTs dominated in the

Fig. 2

215 equatorial region, and cold water meandered from north to south with Tropical Instability  
216 Waves (TIWs). The cold tongue (low SST region) that extends westward from the  
217 Galápagos Islands (~90°W on the equator) is enhanced by the coastal upwelling of the  
218 eastward equatorial undercurrent close to these islands (Karnauskas, 2007). CPS3, with a  
219 higher ocean resolution, is able to reproduce these fine-scale SST features more  
220 realistically than CPS2. TIWs have been reported to enhance meridional heat exchange  
221 across the equator, providing negative feedback to equatorial SST anomalies during  
222 ENSO events (Vialard et al. 2001; An 2008; Imada and Kimoto, 2012; Graham, 2014). In  
223 this case, the northward (or southward) flow carries equatorial cold water away from the  
224 equator, weakening the amplitude of La Niña. The ability of CPS3 to capture these  
225 dynamic effects is likely to mean that the over-development bias for ENSO, which was a  
226 critical issue in CPS2, is improved in CPS3.

227

## 228 *2.3 Initial Conditions*

### 229 a. Initial conditions for the atmosphere and land surface model

230 JRA-3Q<sup>1</sup> provides the initial atmospheric conditions for CPS3 when run in reforecast  
231 mode, and GA provides these for operational CPS3 forecasts. There are several  
232 differences between JRA-3Q and GA, including system version, resolution, and data cut-

---

<sup>1</sup> A bug has been found that Typhoon Bogus was unintentionally excluded from JRA-3Q for the period after 2013; an updated version is being prepared at the time of writing. However, owing to the limited area and period affected by the bug, re-running of the forecast is not planned.

233 off time. JRA-3Q is based on a low-resolution (~40km) version of the operational global  
234 data assimilation system as of December 2018 (JMA, 2019). The analysis period is  
235 extended forward while keeping the version fixed. The GA incorporates developments  
236 conducted since then and has a higher resolution of TL959 (~20 km) as of March 2022. It  
237 will continue to be updated on a regular basis. Although JRA-3Q lags behind real time by  
238 about two days, the GA's preliminary analysis provides initial conditions to CPS3 with a  
239 delay of only a few hours in exchange for a short cut-off time. We have confirmed that  
240 these inconsistencies in the initial atmospheric conditions do not critically affect seasonal  
241 forecast performance. However, these must be addressed for land surface and ocean  
242 analyses because differences in atmospheric forcing accumulate over time and move the  
243 mean states away from those in the forecast. For reforecasts, the initial conditions for the  
244 land surface are calculated using a free simulation of the stand-alone land surface model  
245 of CPS3 itself, using JRA-3Q surface forcing. Only snow cover is used from JRA-3Q. GA is  
246 used to calculate the initial conditions for operational forecasts by branching the long-term  
247 analysis cycle for one day only. This approach allows us to bring forward the completion  
248 time of the simulation while maintaining its historical consistency. Using its own surface  
249 simulation avoids forecast "initial shocks" due to discrepancies among the vegetation in  
250 the land models implemented in JRA-3Q, GA, and CPS3. Physical parameters in the lake  
251 scheme, which are present only in CPS3, are also initialized.

252

253 b. Initial conditions for the ocean and sea ice model

254 In CPS3, initial ocean and sea ice conditions are taken from the global ocean data  
255 assimilation system, Multivariate Ocean Variational Estimation/Meteorological Research  
256 Institute Community Ocean Model - Global version 3 (MOVE/MRI.COM-G3; hereafter  
257 MOVE-G3).

258 Table 2 shows the major differences between this and the earlier global ocean data  
259 assimilation system MOVE/MRI.COM-G2 (MOVE-G2; Toyoda et al. 2013), which was used  
260 in CPS2. In MOVE-G2 and MOVE-G3, gridded SST analyses are assimilated as though  
261 they were observation data. MOVE-G3 uses MGD SST (Kurihara et al. 2006), a quarter-  
262 degree resolution analysis that includes data from satellite observations, whereas MOVE-  
263 G2 uses COBE-SST (Ishii et al. 2005), a one-degree resolution analysis that is based on  
264 in-situ observations. In addition to the change to the SST products, the assimilation  
265 scheme has changed significantly between MOVE-G2 and MOVE-G3. A 4D-Var method is  
266 used in MOVE-G3, which deals with inhomogeneous observation times better than the  
267 three-dimensional method (3D-Var) used in MOVE-G2 and generates dynamically  
268 balanced initial conditions for the forecast model. One issue with using 4D-Var in CPS3 is  
269 the high computational cost. This, combined with the higher resolution of the ocean model  
270 that must be initialized for CPS3 (relative to CPS2), means that much higher  
271 computational resources are required for CPS3. To address this, we perform 4D-Var on a  
272 lower resolution grid of  $1^\circ \times 0.3^\circ\text{--}0.5^\circ$  (G3A) and downscale the analyzed fields onto a

Table 2

273 0.25° × 0.25° grid (G3F) using Incremental Analysis Updates (IAU; Bloom et al. 1996). This  
274 two-step approach is based on Usui et al. (2015) and improves the accuracy of analysis  
275 without requiring the computational resources needed for a full-resolution 4D-Var. The  
276 design of G3A and G3F is similar to the inner- and outer- models used in incremental 4D-  
277 Var schemes, but forecast fields are not passed from the high-resolution model (G3F) to  
278 the low-resolution model (G3A) for the first guess, and temperature and salinity fields in  
279 G3F are nudged to the G3A analysis fields using the IAU instead of applying the analysis  
280 increments of G3A to G3F directly. The resolutions only have to be converted for the  
281 differences between the two fields that are used for the IAU-nudging. This has the  
282 additional benefit of avoiding computational instabilities that could arise owing to unnatural  
283 currents attributable to inconsistencies in ocean topography between the different  
284 resolutions.

285 Fig. 3 assesses and compares the water temperature field in the new and old ocean  
286 analysis. Root Mean Square Error (RMSE) is estimated through comparison with ARGO  
287 float data that are withheld from the analysis. The comparison suggests that G3A provides  
288 better estimates in many regions. In particular, temperatures at 1 m depth show clear  
289 improvement (Fig. 3b), which can be attributed to the use of MGD SST and possibly to the  
290 introduction of 4D-Var. Close to the sea ice, there is a checkerboard pattern of large RMSE  
291 differences, which may be due to the small number of ARGO floats available in that region.  
292 The large RMSE in some coastal areas is likely due to the inability of low-resolution 4D-

Fig. 3

293 Var to represent coastal upwelling, coastal currents, and the associated nonlinearities. For  
294 100 m depth, improvements are modest in most areas (Fig. 3d). The 4D-Var analysis has  
295 considerably more variability than the analysis that uses 3D-Var, which may account for  
296 the improvements in RMSE being smaller than expected. There are some improvements  
297 around subtropical gyres in the South Indian and South Atlantic Oceans. As the water  
298 temperature at 1 m depth (Fig. 3b) is consistently more accurate in these areas when 4D-  
299 Var is used, the combination of improved atmospheric forcing and a better analysis  
300 method may have improved the representation of the large-scale circulation.

301 Another major improvement is the introduction of sea ice assimilation (Toyoda et al.  
302 2016). MOVE-G2 did not assimilate any sea ice observations. Instead, it was constrained  
303 through the dynamics and thermodynamics of the forecast model that assimilated other  
304 observations. One example that exposes the shortcoming of this approach is that the sea  
305 ice modeled around Antarctica was underrepresented in response to the positive bias in  
306 the incoming shortwave radiation flux in JRA-55 (Kobayashi et al. 2015), and the analysis  
307 scheme had to adopt an empirical bias correction to allow the atmospheric forcing to  
308 match the satellite observations. With the introduction of the improved atmospheric forcing  
309 of JRA-3Q and data assimilation of sea ice concentration, MOVE-G3 no longer needs to  
310 apply such an empirical correction method. In MOVE-G3, a daily, quarter-degree  
311 operational sea ice concentration analysis (Matsumoto et al., 2006) is assimilated using  
312 3D-Var. Although sea ice concentration is the only constraint used in this analysis, other

313 parameters, such as ice thickness and sea surface salinity and temperature in ice-covered  
314 regions, are updated in the analysis cycle through forward-model integration. Here, the  
315 3D-Var and IAU for sea ice are performed independently for G3A and G3F so that the  
316 coastal topography is represented optimally in both.

317 To illustrate the impacts of the newly introduced sea ice assimilation, Fig. 4 compares  
318 the CPS reforecasts with the climatological sea ice extent for the first forecast month in the  
319 Arctic Ocean (See Section 3.1 for details on this reforecast). The agreement of the mean  
320 ice edge locations in the analysis and in the CPS products indicates that there is no  
321 unnatural initial drift in the CPS, and that the observations are properly assimilated. Figure  
322 4 shows data for September and March because these are the months when Arctic sea ice  
323 reaches its smallest and greatest extent, on average. The comparison shows that the bias  
324 in CPS2—which does not assimilate sea ice concentration—is very small. This may be  
325 because the sub-zero SSTs and sea surface fluxes used for data assimilation effectively  
326 controlled the formation and disappearance of sea ice. However, the comparison also  
327 shows that assimilating sea ice concentration results in a closer agreement with  
328 observations. The impact is particularly clear in September, when the sea ice starts to  
329 retreat from the provided initial conditions toward the pole after the CPS2 forecast begins.  
330 The positional bias of the sea ice edge is particularly improved in the Greenland Sea (B in  
331 the figure) and the Chukchi Sea (C) in CPS3, relative to CPS2. We separately confirm that  
332 the improvement in the mean error leads to better anomaly-correlation scores for sea ice

Fig. 4

333 concentration itself and for 2 m air temperature. The impacts during the freezing season  
334 are relatively small, probably because sea ice formation depends more on the chaotic  
335 temporal evolution of the sea surface wind and heat fluxes than on the initial conditions in  
336 the model. The improvements are not sufficient to address the underestimations of sea ice  
337 extent in the Labrador Sea (A) and the Sea of Okhotsk (D), although the ice edges agree  
338 well with the assimilated observations at the initial state.

339 MOVE-G2 performs a preliminary analysis to initialize the ocean model once every five  
340 days. In contrast, MOVE-G3 is designed to produce an analysis every day by running five  
341 analysis streams and executing preliminary analysis for one of the streams each day,  
342 using observations from the last five days. MOVE-G3 also implements a “delayed-mode  
343 analysis” that waits for observations up to nine days. Both analyses precede each  
344 assimilation run by five days. MOVE-G3 uses JRA-3Q and GA data for the atmospheric  
345 forcing. The idea behind this is the same as the basis for the aforementioned land model  
346 initialization: JRA-3Q is used for delayed analysis, for historical consistency, whereas GA  
347 is used for the preliminary analysis because of its immediate availability and consistency  
348 with the atmospheric initial conditions. The surface heat flux bias has been reported to be  
349 much lower in JRA-3Q than in JRA-55 (Kobayashi et al. 2021), making it a more suitable  
350 data source for the atmospheric forcing for the ocean analysis.

351

## 352 *2.4 Initial Perturbations*

353 a. Initial perturbations for the atmosphere model

354 Small perturbations are added to the initial conditions for the ensemble forecast to  
355 reflect uncertainties in the atmospheric analysis. CPS3 uses the Breeding of Growing  
356 Mode (BGM; Toth and Kalnay 1993; Chikamoto et al. 2007) method to extract a set of  
357 fastest growing error modes. For this purpose, the atmosphere-only forecasts are  
358 calculated for 24 hours. The norm is defined from the root mean square of the variability of  
359 the 500 hPa geopotential height, averaged separately over the northern ( $20^{\circ}$ – $90^{\circ}$ N) and  
360 southern ( $20^{\circ}$ – $90^{\circ}$ S) hemispheres, and from the 200 hPa velocity potential for the tropics  
361 ( $20^{\circ}$ S– $20^{\circ}$ N). The estimated perturbation patterns are rescaled with positive and negative  
362 coefficients and added to the analysis. The rescaling factors are fixed in both the  
363 reforecast and operational forecast at 14.5% of the climatological variability for the 500  
364 hPa geopotential height, and at 20% of the climatological variability for 200 hPa velocity  
365 potential; this assumption is made for simplicity. Ideally, the size of the initial spread should  
366 change, as the accuracy of the atmospheric analysis is not constant over time. In fact,  
367 experiments for the summer 2020 (June–July–August) period show that the spread-skill  
368 ratio of the 500 hPa geopotential height for the northern hemisphere is above 2 until the  
369 72nd hour of the forecast, when it should ideally be 1, suggesting that the initial  
370 perturbations are too large for the accurate initial conditions of recent years. Although not  
371 critical to seasonal forecasting applications, this issue will be addressed in future work.

372

373 b. Initial perturbations for the ocean model

374 To represent uncertainties in the ocean initial conditions, CPS3 uses perturbations that  
375 approximate the analysis error covariance structure in the 4D-Var (Fuji et al. 2022). G3A  
376 employs a quasi-Newton method to minimize a cost function, where control variables are  
377 iteratively updated (Fuji and Kamachi, 2003; Fuji, 2005). The size of the updates applied  
378 to the control variables and the gradient of the cost function can be used to obtain  
379 approximate estimates of the eigenvalues and eigenvectors for the error covariance matrix  
380 for the analysis (Niwa and Fuji, 2020). In CPS3, initial perturbations are created by  
381 combining the estimated eigenvectors after scaling so that their amplitude equals half the  
382 analysis increment for the specific day.

383 Fig. 5 shows an example of the spread of the initial ocean perturbations. Compared with  
384 CPS2, CPS3 has stronger perturbations that spread over multiple vertical layers, rather  
385 than only near the thermocline. The larger spread is due to the arbitrarily chosen scaling  
386 factor mentioned above, but the change in the pattern reflects a fundamental improvement  
387 in the way that the perturbations are generated. In CPS2, ocean perturbations were  
388 created solely from the atmospheric forcing (Takaya et al. 2018). The atmospheric forcing  
389 used for this was also used to calculate the atmospheric perturbations through the BGM;  
390 therefore, it was not an ideal basis for calculating appropriate ocean perturbations,  
391 particularly when the MJO is weak or displaced. Part of the under-representation of the  
392 Central Pacific spread in MOVE-G2 in Fig. 5 is due to the fact that the convectively active

Fig. 5

393 phase of the MJO was in the Atlantic to Indian Ocean near the end of May 2012, and not in  
394 a location that would result in strong perturbations in the Pacific. CPS3 is not affected by  
395 these issues, and provides a straightforward representation of the uncertainties that are  
396 inherent to the ocean analysis.

397

## 398 *2.5 Operational Schedule*

399 CPS2 performed operational model integrations for up to seven months at a time. This  
400 provided the basis for operational ENSO forecasts for the next six months using the  
401 Lagged Average Forecast (LAF) method (Hoffman and Kalnay, 1983). This configuration  
402 was carried over to CPS3, although the operational schedule for CPS3 differs significantly  
403 from that of CPS2: in CPS2, 13-member ensemble forecasts were produced every five  
404 days. Model integrations started two days after the forecast initial time and completed at  
405 three more days later (Fig. 6). In contrast, CPS3 calculates 5-member ensemble forecasts  
406 on the same day as the initial forecast time. As described in Section 2.3, the analysis cycle  
407 was revised to accomplish this change; using GA data, the initial forecast conditions are  
408 calculated with a delay of less than 6 hours (the delay was about 54 hours for JRA-55 and  
409 60 hours for MOVE-G2).

Fig. 6

410 This change means that users now have access to 25 ensemble members for the same  
411 five-day period, nearly double the number that were previously available. If there is no  
412 requirement for the five-day interval, then the ensemble size and the length of the LAF can

413 be optimized on a daily basis.

414

### 415 **3. Verification of CPS3, based on a 1991–2020 reforecast**

#### 416 *3.1 Reforecast settings*

417 In this section, we briefly compare the forecast skill of CPS3 and CPS2, based on a  
418 reforecast for 1991–2020. The same experiment design is used for CPS2 and CPS3 to  
419 calculate 5-member ensemble reforecasts that each start at 00 UTC in the middle of the  
420 month and at the end of the month (Takaya et al. 2018). The start dates are January 16  
421 and 31, February 10 and 25, March 12 and 27, April 11 and 26, May 16 and 31, June 15  
422 and 30, July 15 and 30, August 14 and 29, September 13 and 28, October 13 and 28,  
423 November 12 and 27, and December 12 and 27. These dates are partly determined by the  
424 fact that initial ocean conditions were only available for CPS2 once every five days; the  
425 same experiment design is applied to the reforecasts from CPS2 and CPS3 to facilitate  
426 comparison. The oldest initial date for the reforecasts was set to be 15 days behind the  
427 latest initial date for each month, following the operational LAF configuration of CPS2.

428 For verification of the sub-seasonal forecasts (Section 3.2), five ensemble members for  
429 each initial date are used from Day 1. For verification of the seasonal forecast (Section  
430 3.3), 10 ensemble members from each month are aggregated and used to set the monthly  
431 and ensemble means from the beginning of the next month. For example, the forecasts  
432 that begin on December 12 and 27 are used to calculate the monthly and ensemble

433 averages of January (Month 0), February (Month 1), and so on. The forecast  
434 performances are assessed through comparison with data from JRA-3Q, MGD SST,  
435 NOAA outgoing longwave radiation (OLR; Liebmann and Smith 1997) and GPCP v2.3  
436 (Adler et al. 2018). MGD SST and JRA-3Q data are used for the initialization of CPS3, and  
437 this may unfairly benefit CPS3 in the comparison. We therefore replaced these data with  
438 data from COBE-SST and JRA-55, which are used in CPS2, and found that this made no  
439 significant difference to our conclusions from the comparison.

440

### 441 *3.2 Sub-seasonal forecast*

442 The MJO has pronounced sub-seasonal variability in which active tropical convections  
443 travel eastward with an average period of 30–60 days and affect mid- and high-latitude  
444 variability through atmospheric teleconnections. It is therefore natural to begin with the  
445 MJO when evaluating global model performance. Fig. 7 shows the longitude–time  
446 composite for OLR anomalies, predicted from initial dates when the convectively active  
447 phase of the MJO was in the eastern Indian Ocean. The comparison suggests that CPS3  
448 represents the eastward propagation of the active/inactive convection phases well,  
449 whereas CPS2 has a bias where the convectively active phase becomes stuck in the  
450 western Indian Ocean. We separately confirmed that this bias was more strongly observed  
451 in boreal summer than in winter. The bias was also seen for other initial forecast conditions,  
452 so that the convections tended to stagnate once the active phase entered the Indian

Fig. 7

453 Ocean. Therefore, the improvement is likely to be due to updated physical  
454 parameterizations, rather than to the improved representation of the initial conditions. To  
455 confirm the forecast skill, we calculated correlation coefficients for an all-season MJO  
456 index (Wheeler and Hendon 2004), which remained above 0.5 until Day 21 for CPS2 and  
457 Day 27 for CPS3 (not shown). This score compares favorably with recent numerical  
458 forecasting systems (Vitart, 2017).

459 Another improvement in CPS3 relative to CPS2 can be seen in the representation of  
460 winter blocking highs in the northern hemisphere (Fig. 8). Both models tend to  
461 underestimate the frequency of the blocking highs and, although this bias is greatly  
462 reduced in the north Atlantic in CPS3, there is little or no improvement over the north  
463 Pacific in CPS3 relative to CPS2. One reason for the change may be the increased  
464 resolution. Previous studies have reported that increasing the horizontal and vertical  
465 resolutions of atmosphere models results in a better representation of dynamic feedbacks  
466 between blocking highs, transient eddies, and the terrain effects of steep mountains, but it  
467 has been shown that such effects are only visible in the Atlantic and that there are  
468 differences among models (Anstey et al. 2013; Berckmans et al. 2013; Schiemann et al.  
469 2017). This is consistent with our result. To isolate the impact of the increased resolution in  
470 CPS3, we compared our atmosphere model (TL319) with a lower resolution configuration  
471 (TL159) and confirmed that the high resolution resulted in a consistent improvement.  
472 However, it is possible that other changes between CPS2 and CPS3 contributed more to

Fig. 8

473 the improvements in the results from the newer model system than the resolution changes,  
474 as there are numerous changes that could also affect the representation of blocking highs,  
475 such as the gravity wave stress scheme that was introduced in CPS3 (Pithan et al. 2016).

476 Next, we compare the anomaly correlation coefficients (ACCs) for weekly averaged 500  
477 hPa geopotential height as a measure of the sub-seasonal forecast skill (Fig. 9). The  
478 scores show a significant improvement in CPS3 relative to CPS2 for weeks 1 and 2 in both  
479 hemispheres. Subsequent weeks are omitted, but the significant improvement continues  
480 until ACC approaches its lowest value in weeks 3 to 4. For short lead times, the  
481 improvements may be partly attributable to the use of the latest reanalysis, JRA-3Q, as  
482 initial conditions. For later lead times, it is also possible that refinements in the model  
483 physics may have contributed further. In the Northern Hemisphere winter season  
484 (December-January-February), a peak of score improvement can be found over the North  
485 Atlantic (figure not shown). This fact is consistent with the improved climate reproducibility  
486 of the blockings in CPS3 (Fig. 8). In addition, the improved MJO (Fig. 7) may also have  
487 contributed to the overall mid-latitude scores through remote influences. Kubo and Ochi  
488 (2022) compared CPS3 with the operational sub-seasonal forecast system—the JMA  
489 Global Ensemble Prediction System (Yamaguchi et al. 2021)—and reported that CPS3  
490 has a comparable skill when the same ensemble configuration is used for both.

491 Increasing the ensemble size would make CPS3 more appropriate for sub-seasonal  
492 forecasting; however, this presents a challenge. A forecast ensemble gives the probability

Fig. 9

493 of occurrence for future weather and climate conditions with a limited number of samples.  
494 Increasing the ensemble size is one way of reducing the estimation error for the probability  
495 distribution function. In the LAF approach, the shortfall in the number can be compensated  
496 for by including data from forecasts with older initial dates in the ensemble. For slowly  
497 time-evolving phenomena such as ENSO, Trenary et al. (2018) reported that greater  
498 performance can be expected by extending the LAF length beyond a few days and  
499 aggregating more ensemble members. However, the LAF length should be much shorter  
500 for shorter lead-time forecasts. Fig. 9 can be viewed as a comparison of scores among  
501 ensemble members within a 1-week LAF. The ACCs are as high as 0.9 for Week 1 and  
502 decrease rapidly to about 0.5–0.7 when the initial date becomes a week older. If we  
503 combine the forecasts from these initial dates to form a Week 1 forecast, the forecast skill  
504 will accordingly deteriorate considerably. For some applications, even a delay of a few  
505 days may be critical. By reducing the delay to the initial forecast time and increasing the  
506 effective ensemble size (Fig. 6), CPS3 has improved usability on sub-seasonal timescales  
507 compared with CPS2, but further enhancements are needed to make it suitable for wider  
508 use in the future.

509

### 510 *3.3 Seasonal forecast*

511 ENSO is a major source of predictability on seasonal time-scales. Niño indices, defined  
512 as the regionally averaged SST in the tropical Pacific, are useful measures that provide a

513 brief overview of a system's seasonal forecasting capability. Fig. 10 compares analyzed  
514 SST anomalies averaged over NINO3.4 (170°W–120°W, 5°S–5°N) with those predicted  
515 from June for the latter half of the year. The figure shows that CPS2 tends to overdevelop  
516 initial ENSO signals, particularly in the mid-2010s, whereas CPS3 tends to avoid this  
517 monotonous time evolution. CPS3 also represents clearer case-to-case variability in the  
518 forecast spread, although this is still not adequate to capture the observations. This  
519 indicates that CPS3 can simulate a wider variety of ENSO development scenarios. In  
520 Section 2.4, we showed that CPS3 can generate effective ocean initial perturbations, using  
521 the June 2012 case as an example. There is a marked contrast between CPS2 and CPS3  
522 for ENSO forecasts that are calculated from this initial month (Fig. 10). Although the newly  
523 developed perturbation in CPS3 was not designed specifically to capture ENSO, it is  
524 possible that it helped to diverge the initial development of ENSO, at least for this case.  
525 Another contributing factor is the improved forecast model. As shown in Fig. 7, the bias  
526 that caused the MJO to stay at a particular longitude in CPS2 is reduced considerably in  
527 CPS3. This allows for a more chaotic time evolution of sea surface wind, which has been  
528 reported as a key to successful ENSO predictions (Moore and Kleeman, 1999; Kessler  
529 and Kleeman, 2000). In terms of negative feedback processes, TIWs are represented in  
530 more detail in CPS3 than in CPS2 (Fig. 2), thereby suppressing equatorial SST anomalies  
531 and the associated diffusion effect of equatorial anomalies. Improvements in the shallow  
532 cumulus cloud scheme also contribute to the suppression of excessive ENSO through

533 negative cloud-radiative feedbacks (Wood and Bretherton, 2006), as reported in Chiba and  
534 Kawai (2021).

535 To overview the changes in ENSO prediction scores, Fig. 11 compares the ACCs  
536 calculated using all forecast cases. It can be seen that CPS3 shows a consistent increase  
537 in scores from Month 0 to Month 6, with the difference from CPS2 being statistically  
538 significant in the first few months. Previous studies have reported that ENSO forecast skill  
539 sharply declines in boreal spring (referred to as the “Spring Predictability Barrier”; Webster  
540 and Young 1992; Tang et al. 2018). When broken down by forecast initial month, early  
541 spring to early summer months (February to June) show a clear improvement in forecasts  
542 for summer and later seasons, indicating that the skill decline, or the predictability barrier,  
543 appears more slowly in CPS3. The root mean square error (RMSE) is significantly lower  
544 for all lead times in CPS3 than in CPS2. The change in the forecast spread is small,  
545 however, the large reduction in RMSE means that the performance has improved from  
546 CPS2 to CPS3 in terms of the spread-to-skill ratio. In particular, forecasts initialized in  
547 Month 0 have a significantly smaller RMSE and a larger spread, bringing the spread-skill  
548 ratio closer to one. This change is likely to be a result of the improved initial ocean  
549 conditions (Fig. 3) and the initial perturbations that were introduced in CPS3. In another  
550 comparative experiment, we found that the introduction of 4D-Var to the ocean analysis in  
551 CPS3, replacing 3D-Var in CPS2, significantly reduces RMSEs for NINO3.4, especially in  
552 forecasts with early lead times up to Month 2. The larger forecast spread for early months

Fig. 11

553 may be a result of the perturbation of sub-surface ocean layers in CPS3, which was not  
554 implemented in CPS2 (Fig. 5). However, also note that the change in the forecast spread  
555 is, on average, smaller at longer lead times. In other words, even though CPS3 gives  
556 stronger perturbations near the thermocline, in some cases the perturbations do not  
557 develop as much as expected. Scaling the initial ocean perturbations larger might seem to  
558 improve the situation. As it turns out, our model tends to dissipate rather than develop  
559 such overly large initial perturbations. Considering that these perturbations are based  
560 solely on the errors in the ocean analysis, this may be because the unstable modes  
561 induced by the added perturbations do not always match the modes that should develop in  
562 the coupled atmosphere-ocean system. The ability to represent errors in initial conditions  
563 is in itself a steady progress, but further understanding of the error development process is  
564 also needed.

565 To compare the typical pattern of atmosphere and ocean variability during ENSO events,  
566 Fig. 12 shows the distribution of SST, precipitation, and sea level pressure regressed onto  
567 NINO 3.4 SST for December–January–February. The analysis shows that ENSO  
568 fluctuations tend to occur mainly in the central Pacific ( $\sim 150^\circ\text{W}$ ) in this reforecast period.  
569 The variability in CPS2 is skewed toward the eastern Pacific, and the forecast SST and  
570 precipitation anomalies are stronger than the observations. These biases are still evident  
571 in the CPS3 forecasts, but they are improved relative to CPS2. Equatorial SST anomalies  
572 are meridionally broader near  $150^\circ\text{W}$  in CPS3 than in CPS2, suggesting the greater

Fig. 12

573 diffusion effect of SSTs (Vialard et al. 2001; An 2008; Imada and Kimoto, 2012; Graham,  
574 2014) in the higher-resolution model (Fig. 2). La Niña events are often weaker than El Niño  
575 events. This asymmetry is particularly pronounced in the eastern equatorial Pacific. We  
576 have separately confirmed with the frequency distribution of SST anomalies in NINO3  
577 (150° to 90°W, 5°S to 5°N) that CPS3 represents such nonlinearities more closely to  
578 observations. CPS3 also reproduces sea level pressure anomalies well in the Indian  
579 Ocean (60°–120°E) and the western tropical Pacific (120°–150°E). We confirmed that  
580 lower tropospheric circulation fields, such as 850 hPa winds, are also improved in CPS3  
581 relative to CPS2 over a wide area from the Indian Ocean to the western Pacific,  
582 suggesting that the interannual variability of the winter Asian monsoon has been improved  
583 through the improved atmospheric response to ENSO in CPS3.

584 Surface air temperature is one of the primary variables of interest in seasonal forecasts.

585 Fig. 13 summarizes the regionally and three-month averaged ACCs for 2 m temperature  
586 and related variables. In general, the forecast skill for surface air temperature is  
587 unchanged or improved from CPS2 to CPS3 for most regions and seasons in the tropics  
588 and the northern and southern hemispheres; however, the error bars are large.  
589 Precipitation in the tropics is significantly improved for all seasons in CPS3 relative to  
590 CPS2. A separate geographical comparison confirms that the regions where there are  
591 large differences in the precipitation ACCs for CPS2 and CPS3 coincide well with areas of  
592 high interannual variability around the ITCZ, including the western and eastern tropical

Fig. 13

593 Pacific. As in the case of ENSO in Fig. 12, this suggests that areas of atmospheric  
594 convection tend to be more accurately located in CPS3 than in CSP2. Precipitation  
595 anomalies are associated with circulation responses such as vorticity generation in the  
596 lower/upper troposphere and remote influences on the mid-latitudes through atmospheric  
597 teleconnections. The 850 hPa stream function consistently shows an overall improvement  
598 in the tropics and extratropics from CPS2 to CPS3. In regions where the lower-  
599 tropospheric circulation influences surface air temperature variability, such as the  
600 northwestern edge of the northern hemisphere subtropical anticyclone, changes in the  
601 representation of tropical air–sea circulation patterns may contribute to the improved 2 m  
602 temperature scores in CPS3 relative to CPS2. Future work will involve investigating  
603 regional differences in scores to better understand the factors that influence them by using  
604 case studies during the reforecast period.

605

#### 606 **4. Summary and conclusions**

607 We have described CPS3, a new operational seasonal forecast system. The latest  
608 atmospheric reanalysis, JRA-3Q, and ocean analysis that incorporates 4D-Var and sea ice  
609 data assimilation schemes have improved the initial conditions used in CPS3 forecasts  
610 relative to those used in CPS2 forecasts. The introduction of a high-resolution forecast  
611 model and the refinement of physical processes within the model result in an improved  
612 representation of interannual variability over a wide range of timescales relative to CPS2,

613 including for the MJO and for blocking highs and ENSO. These improvements were  
614 confirmed by forecast scores in reforecast experiments for 1991–2020. The operational  
615 configuration for CPS3 continues to follow the LAF method to achieve a large ensemble,  
616 but nearly doubles the effective ensemble size relative to CPS2. In addition, the forecast  
617 update interval has changed from five days in CPS2 to daily in CPS3. This gives users  
618 greater flexibility for configuring their LAF ensemble. This usability improvement is not  
619 captured in the forecast scores.

620 The key objective of this report was to present the basic specifications for CPS3, and to  
621 describe the differences between CPS3 and the previous system, CPS2. Only elements  
622 considered relevant to the headline scores of the operational sub-seasonal and seasonal  
623 forecasts have been included in our evaluation. The seasonal characteristics of  
624 atmosphere and ocean circulation in the model were outside the scope of this paper, and  
625 future work should provide a detailed analysis of these.

626 CPS3 was developed primarily for seasonal forecasting applications. To make it more  
627 suitable for shorter time-scales, it would be advantageous to use a larger ensemble than  
628 the current five members per day. This would allow for a shorter LAF length and would  
629 mitigate the deterioration of forecast skill over time. A larger ensemble size is also needed  
630 to allow reforecasts to more accurately capture past ENSO events (Doi et al. 2019) and to  
631 estimate a more accurate model climatology.

632 We have reported that the accuracy of the ocean analysis is compromised in some

633 areas by the insufficient resolution of MOVE-G3. This is mainly due to our adoption of the  
634 two-step approach described in Section 2.3b. Although another cost-effective alternative  
635 could be explored, a straightforward solution is to simply increase the resolution of the  
636 analysis when more computing resources become available in the future. It would also be  
637 beneficial to increase the forecast model resolution to become eddy-resolving ( $\sim 0.1^\circ$ ), as  
638 mesoscale air–sea interactions in the mid-latitudes are reported to have a significant  
639 impact on model representations of large-scale climate (Minobe et al., 2008; Kirtman et al.,  
640 2013; Ma et al. 2017). Further developments are needed to explore these exciting issues.

641

642 **Data Availability Statement**

643 The reforecast data used in this paper can be obtained from the Japan Meteorological  
644 Business Support Center, the Meteorological Research Consortium of JMA (the  
645 Meteorological Society of Japan), or the European Union's Copernicus Climate Change  
646 Service. The program code used in this study is not publicly available due to the  
647 management policy of JMA but may be available from the relevant authors for usage upon  
648 reasonable request, subject to permission from JMA.

649

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658

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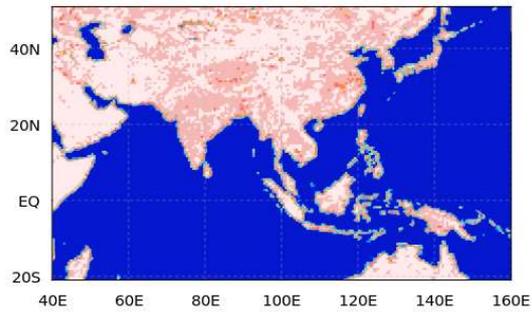
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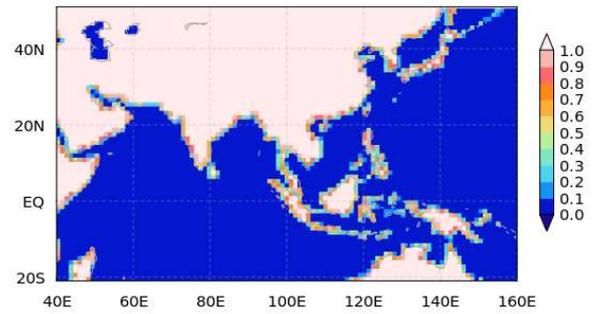
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(a) CPS3



(b) CPS2

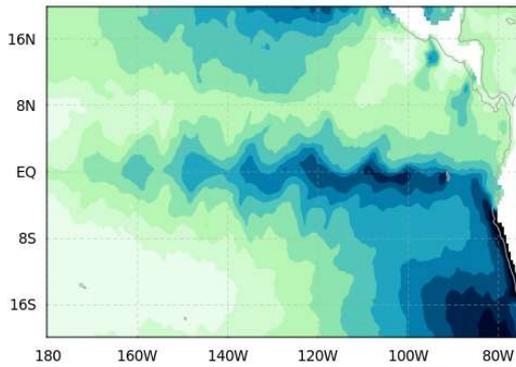


933 Fig. 1. Sub-grid-scale land ratio for grid cells in (a) CPS3 and (b) CPS2.

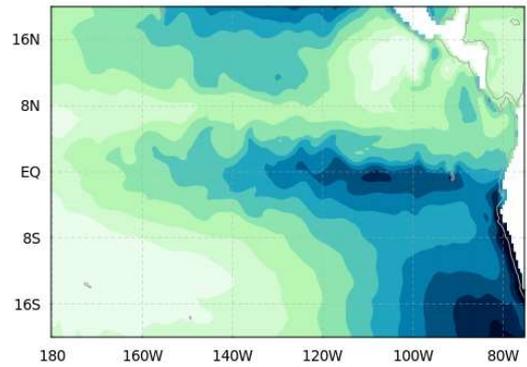
934 The horizontal resolutions of CPS3 and CPS2 are set to TL319 (~55 km) and TL159 (~110  
935 km), respectively. The land ratio is the land area divided by the area of one atmospheric  
936 model grid cell.

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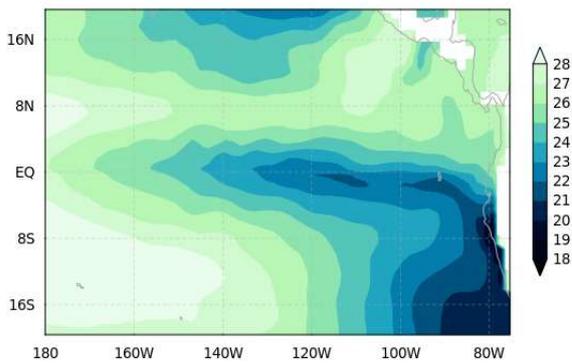
(a) CCI SST



(b) CPS3



(c) CPS2

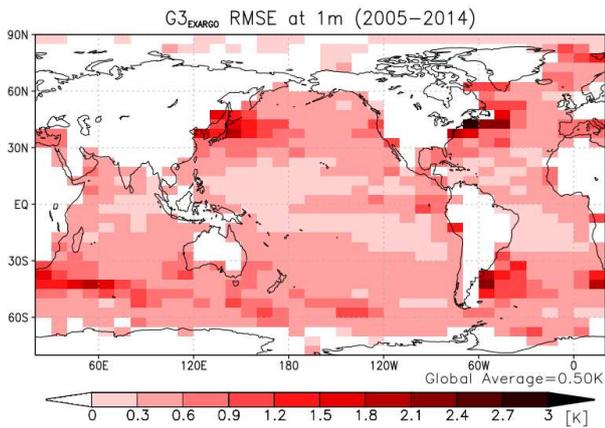


938 Fig. 2. Five-day mean sea surface temperatures (SSTs; °C) for December 22–26, 1999.

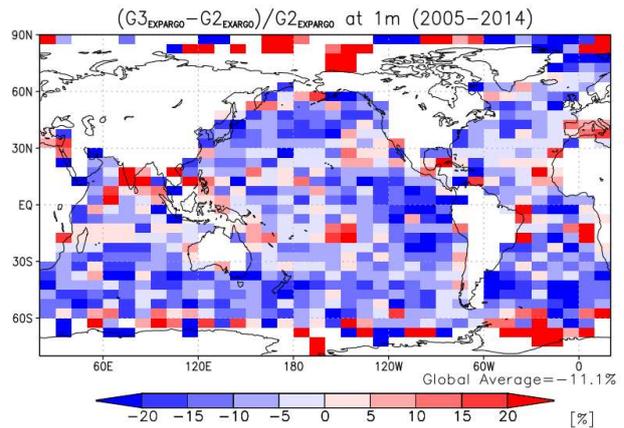
939 (a) CCI SST (Merchant et al. 2014) is shown as an independent SST analysis for  
940 comparison with the MOVE-G3 and MOVE-G2 analyses used in (b) CPS3 and (c) CPS2,  
941 respectively. CPS data are the averages for days 11–15 of the forecast for the control  
942 member.

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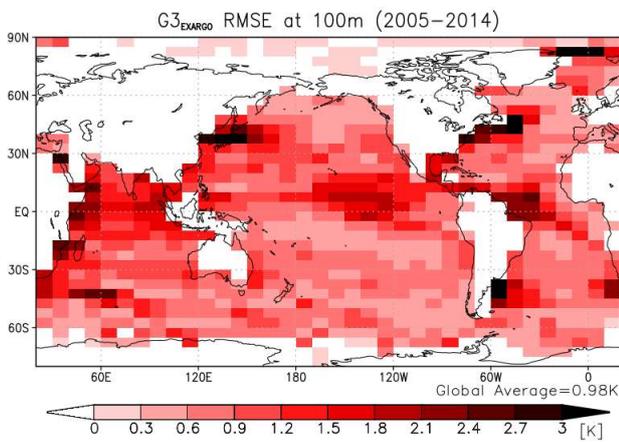
(a) RMSE at 1 m depth



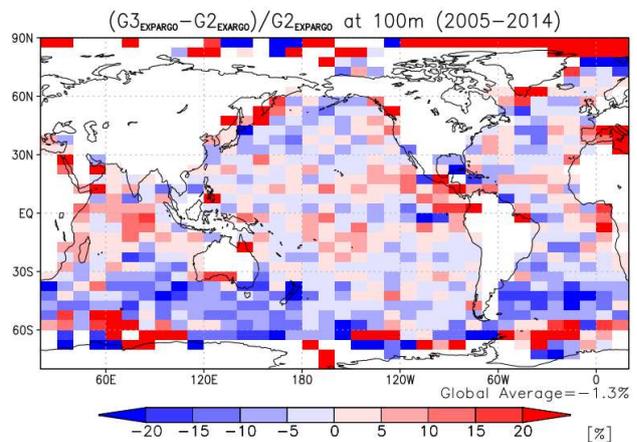
(b) Percentage difference in RMSE at 1 m depth.



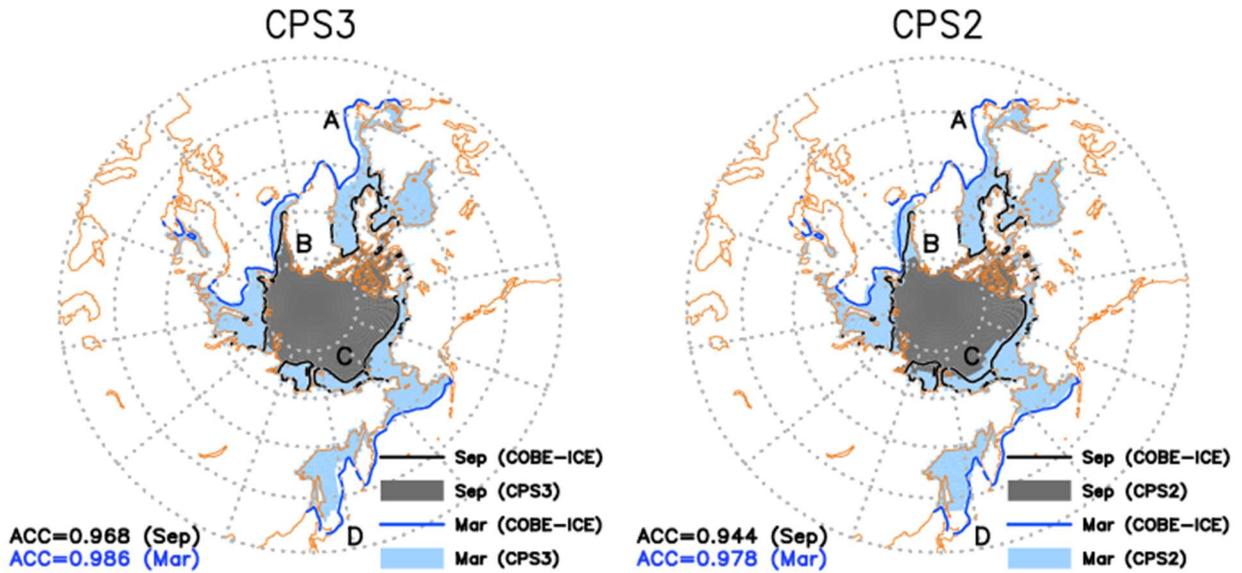
(c) RMSE at 100 m depth



(d) Percentage difference in RMSE at 100 m depth.



944 Fig. 3. Root mean square error (RMSE) for water temperatures in MOVE-G3, and the  
945 percentage difference in RMSE relative to the older system, MOVE-G2, at depths of (a,  
946 b) 1 m and (c, d) 100 m.  
947 A reanalysis experiment was conducted for the period 2005–2014 using the old and new  
948 systems, with data from 20% of the Argo floats withheld from assimilation into the  
949 reanalysis and used to evaluate the RMSE of the water temperature in the reanalysis.



950

951 Fig. 4. Climatological ice cover in March and September.

952 Contours show a climatological sea ice concentration of 0.15 in March (blue line) and

953 September (black line) in COBE-SST (analysis). Shading indicates a concentration of

954 0.15 or greater in March (light blue) and September (gray) in reforecasts from CPS3

955 (left) and CPS2 (right). The model climatology for March (September) is constructed

956 from a 10-member ensemble forecast initialized on February 10 and 25 (August 14 and

957 29). Regional pattern correlation coefficients for sea ice concentration defined over the

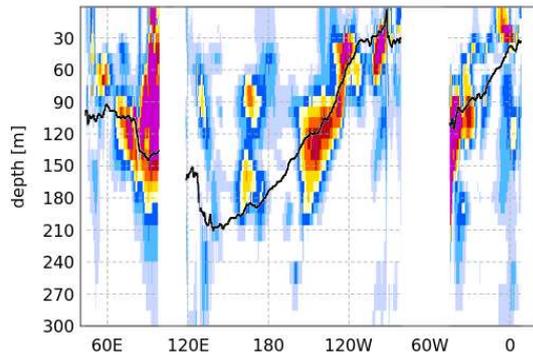
958 Arctic Ocean ( $40^{\circ}$ - $90^{\circ}$ N) are displayed in the lower left of each panel. Capital letters

959 denote the positions of the Labrador Sea (A), the Greenland Sea (B), the Chukchi Sea

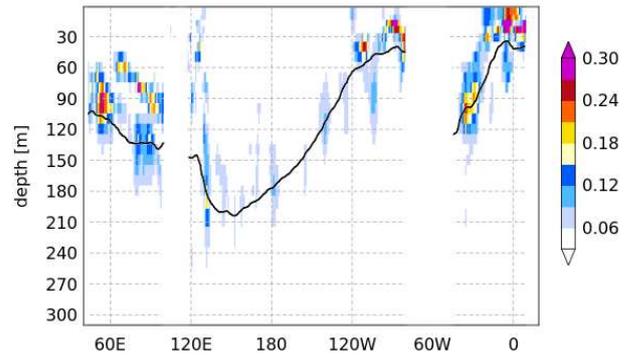
960 (C), and the Sea of Okhotsk (D).

961

(a) CPS3



(b) CPS2



962 Fig. 5. Initial ensemble spread for water temperature at the equator on May 31, 2012.

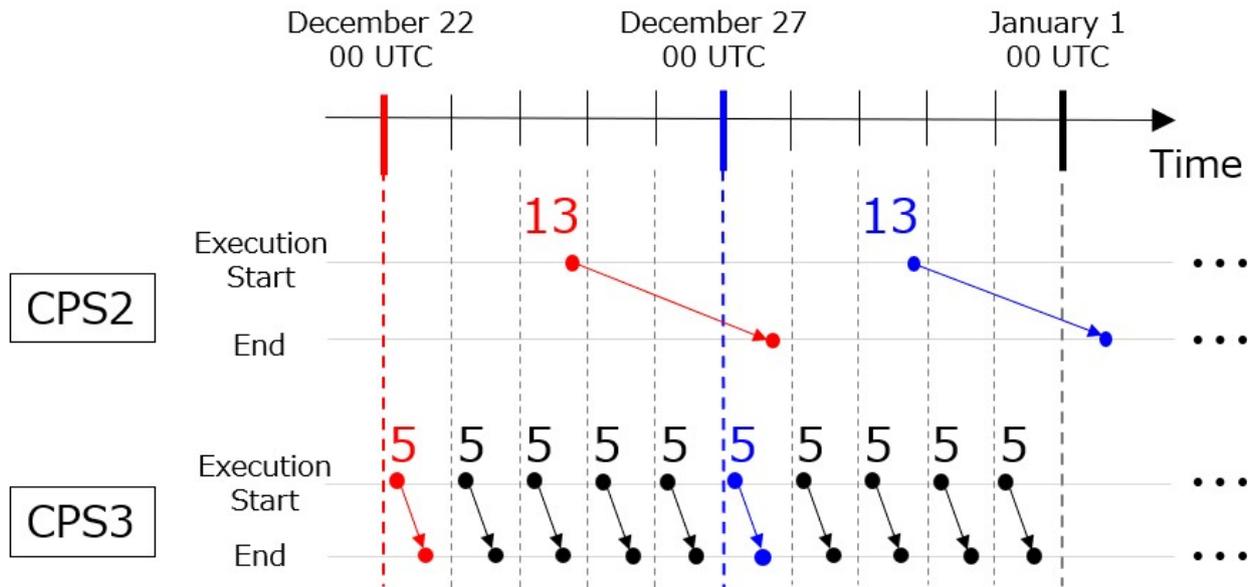
963 Shading indicates the standard deviation for water temperature perturbations [°C] for (a)

964 CPS3 and (b) CPS2 at the equator on May 31, 2012. The black line indicates the 20°C

965 isotherm, which serves as a guide for the tropical thermocline. The vertical axis

966 represents the depth below sea surface.

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969

970 Fig. 6. Operational schedule for CPS2 and CPS3.

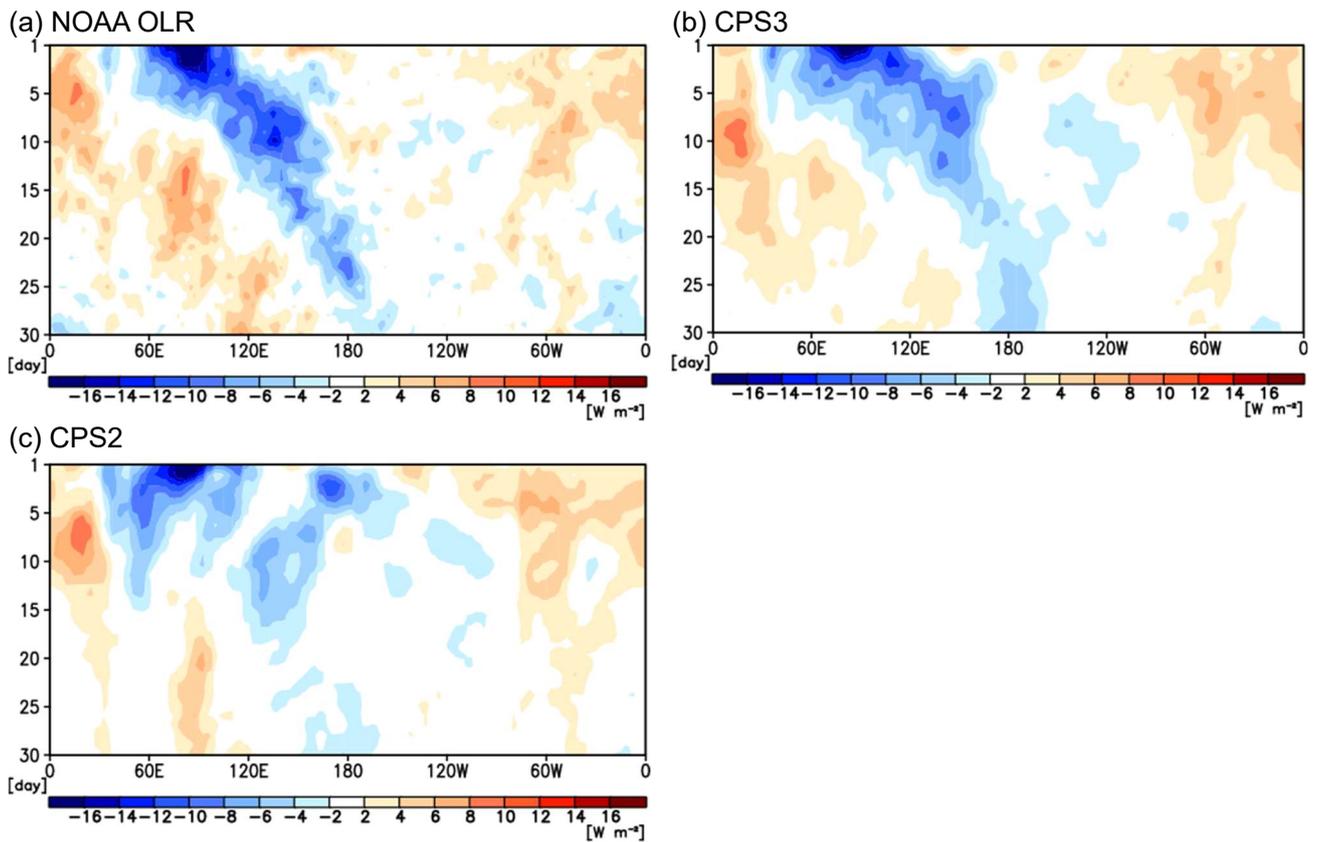
971 Numbers indicate the number of members per initial day, and arrows indicate when to start

972 and complete the model integration. To highlight the differences between CPS2 and

973 CPS3, only the numbers and arrows for forecast initial dates of December 22 00 UTC

974 and December 27 00 UTC are drawn in red and blue, respectively.

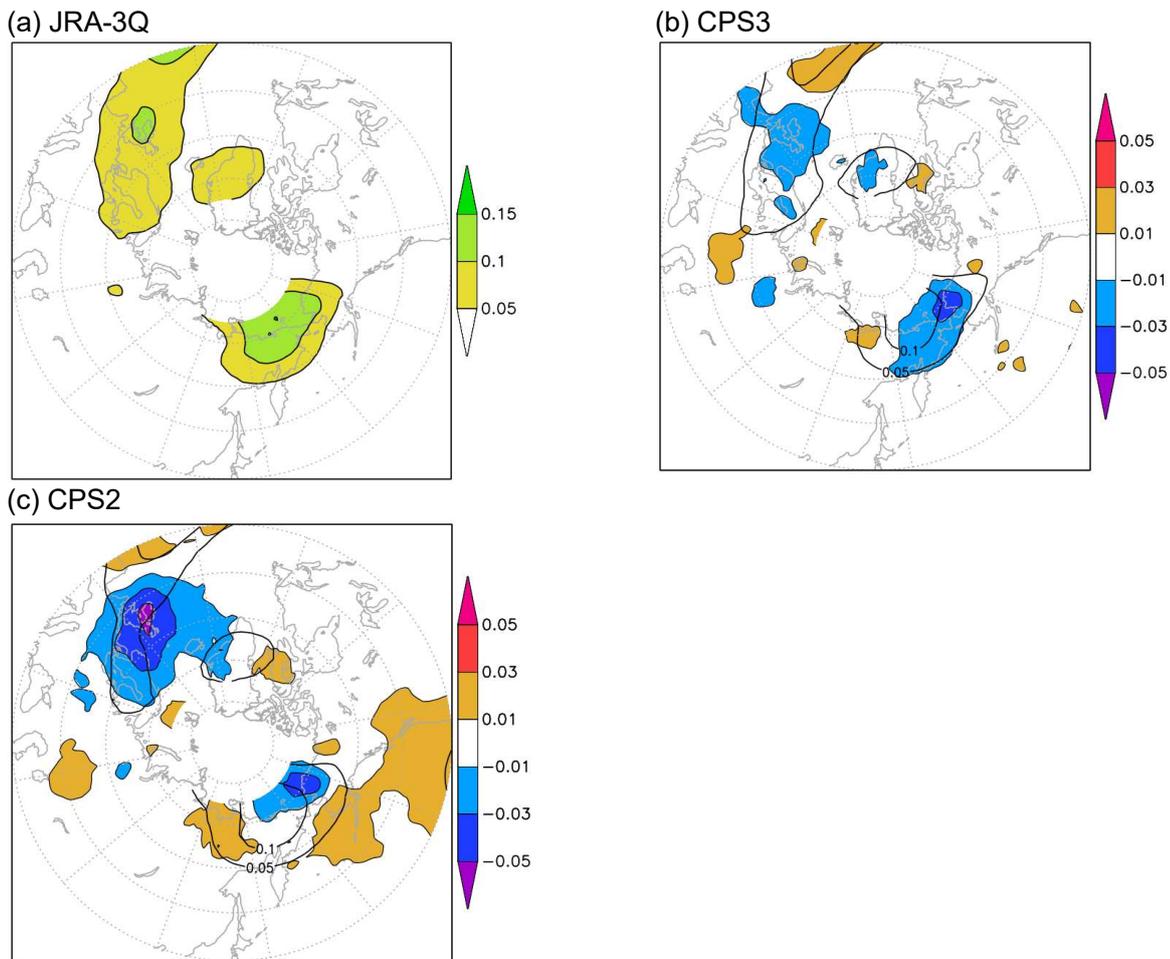
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976 Fig. 7. Longitude–time composite for outgoing longwave radiation (OLR) anomalies for  
 977 forecasts starting from a convectively active phase of MJO in the eastern Indian Ocean  
 978 (Phase-3).

979 The horizontal axis is longitude and the vertical axis is forecast lead-time [days]. The  
 980 anomalies are defined as the deviation from the 1991–2020 average. The initial phase is  
 981 detected according to the definition by Wheeler and Hendon (2004). All initial months  
 982 are included.

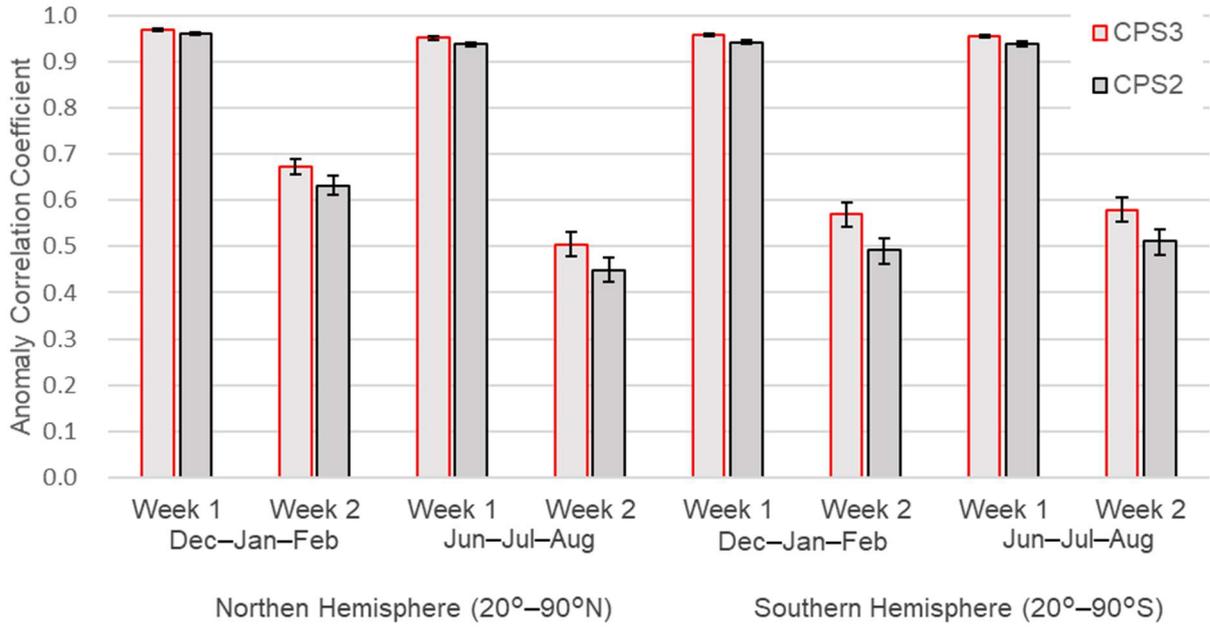
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984 Fig. 8. Climatological frequency [per day] of blocking highs in (a) JRA-3Q, and differences  
 985 between JRA-3Q and (b) CPS3 and (c) CPS2.

986 Blocking highs are detected following Scherrer et al. (2006) using the 7-day mean analysis  
 987 for November–February 1991–2020 and corresponding forecasts, where the central day  
 988 of the 7-day average belongs to this season at day 4–27. Black lines indicate  
 989 climatological frequencies with values above 0.05, at 0.05 intervals. Values north of 75°  
 990 N are not defined in this blocking index.

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994 Fig. 9. Anomaly Correlation Coefficients (ACCs) for the weekly mean 500 hPa geopotential  
 995 height.

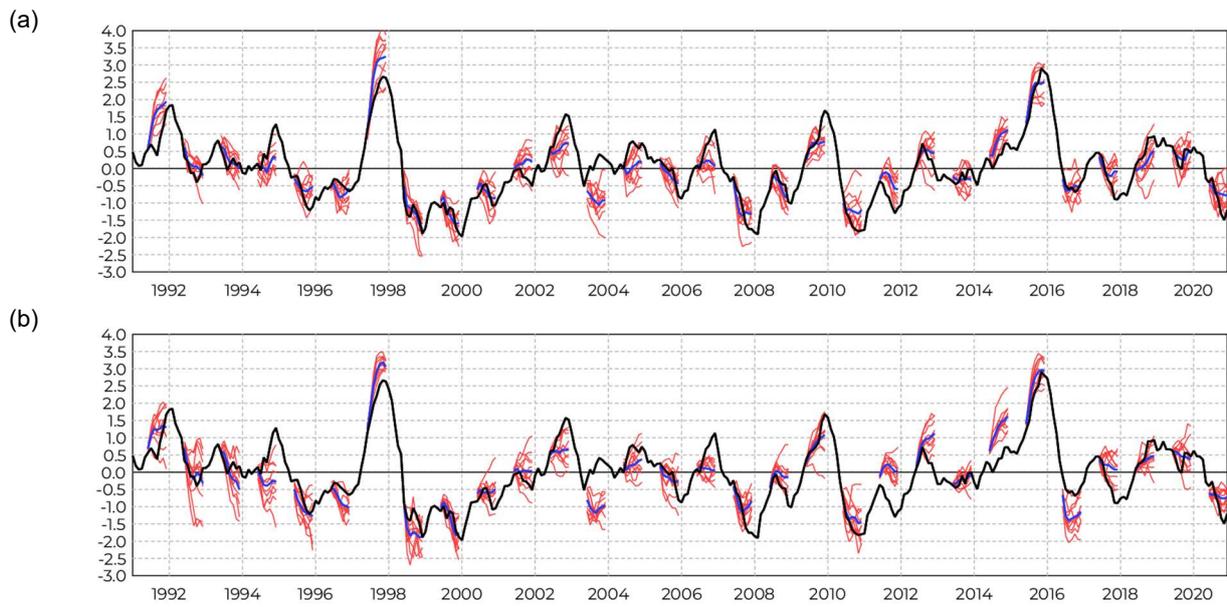
996 The ACCs are based on the statistics for forecast lead weeks 1 and 2 in December–  
 997 January–February or June–July–August 1991–2020. The ACCs between the forecasts  
 998 and JRA-3Q are calculated for each 2.5° x 2.5° grid and averaged over the northern  
 999 (20°–90°N) or southern (20°–90°S) hemisphere. Error bars represent 95% confidence  
 1000 intervals estimated over 1000 bootstrap trials for all forecast initial dates in each season.

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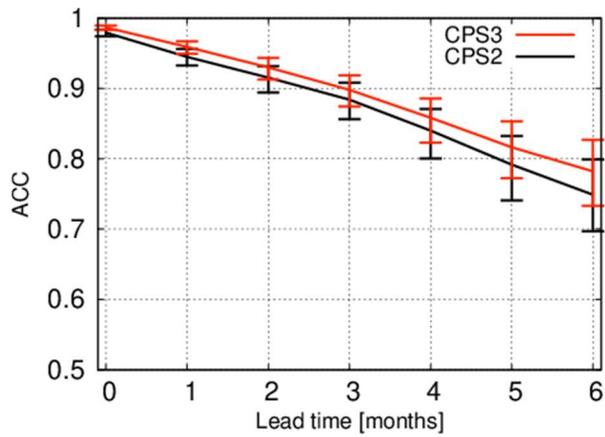


1005 Fig. 10. NINO3.4 SST anomaly time series for analysis and forecasts.

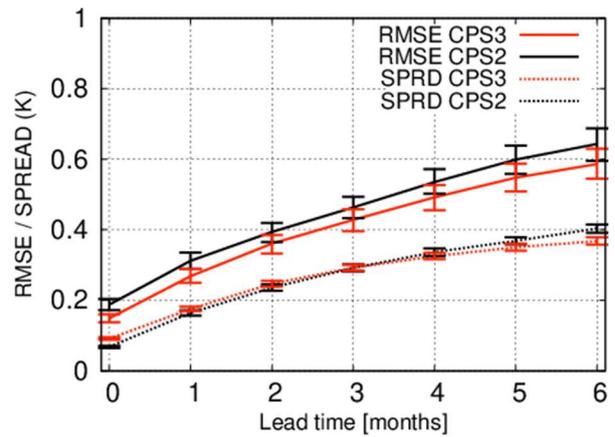
1006 The figure shows forecasts from (a) CPS3 and (b) CPS2 for lead times of 0–6 months  
 1007 (June–December) from June initial conditions (10-member LAFs from May 16 and 31)  
 1008 for each year. The black line is MGD SST, red lines are individual ensemble members,  
 1009 and the blue line is the ensemble average.

1010

(a) NINO3.4 ACC



(b) NINO 3.4 RMSE

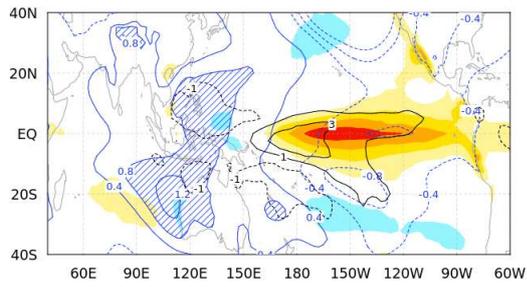


1011 Fig. 11. (a) Anomaly correlation coefficients for NINO 3.4 SST between MGD SST and  
1012 CPS3 (red) and CPS2 (black). (b) Root mean square error in Kelvin (solid line) and  
1013 forecast spread (dashed line).

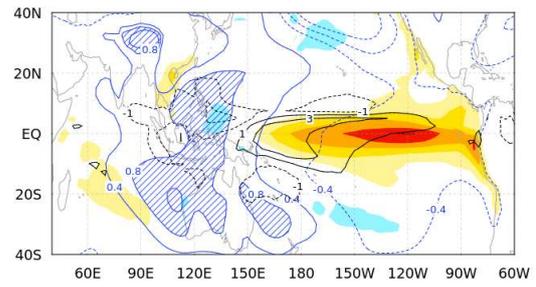
1014 Statistics are based on 360 cases extracted from the two initial dates of each month in  
1015 1991–2020. Lines show the means of 1000 bootstrap trials and error bars show the 95%  
1016 confidence intervals.

1017

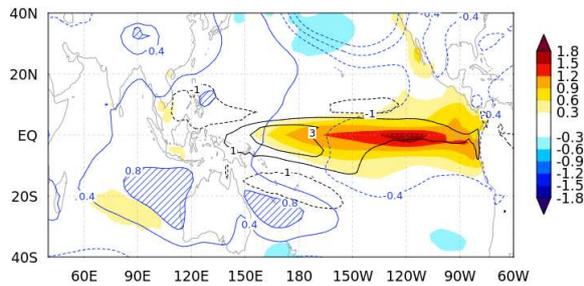
(a) MGD SST, GPCP, JRA-3Q



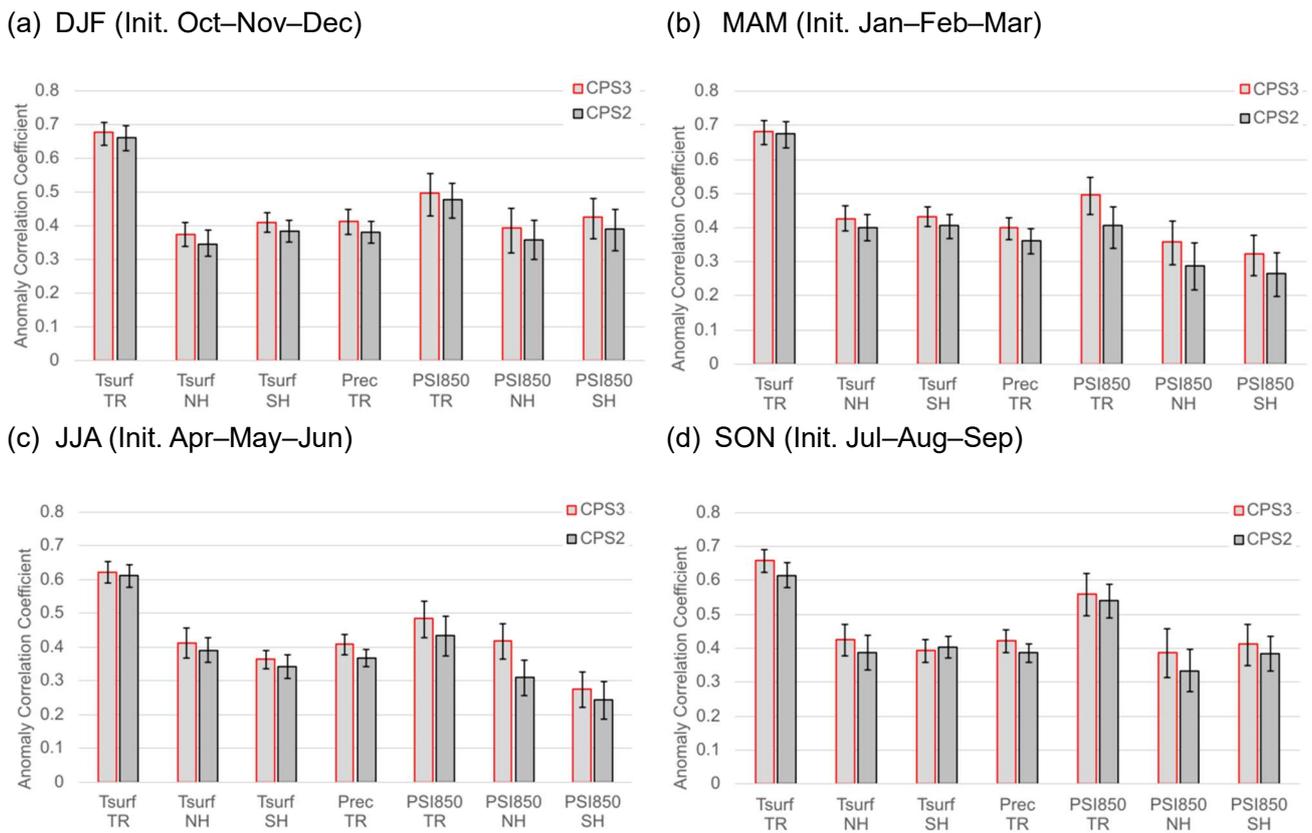
(b) CPS3



(c) CPS2



1018 Fig. 12. Regression coefficients between NINO 3.4 area-averaged SST and global SST  
1019 (shading; K/K), precipitation (black line; mm/day/K), and sea level pressure (blue line  
1020 with hatching in the areas above 1.2; hPa/K) during boreal winter (December–February).  
1021 The regression coefficients are based on the statistics of November initial conditions in  
1022 1991–2020.  
1023



1025 Fig. 13. Anomaly correlation coefficients (ACCs) for the averages of months 1–3.

1026 The vertical axis represents ACC and the horizontal axis represents the variable name and  
 1027 region. The variable names “Tsurf”, “Prec”, and “PSI850” denote 2 m air temperature,  
 1028 precipitation, and the 850 hPa stream function, respectively. The region names “TR”,  
 1029 “NH”, and “SH” indicate that ACCs are averaged over the tropics (20°S–20°N) and the  
 1030 northern (20°–90°N) and southern (20°–90°S) hemispheres, respectively. ACCs are  
 1031 computed for the Month 1–3 averages for forecasts starting from each initial month and  
 1032 are summarized by the season to which Month 2 belongs. The error bars represent 95%  
 1033 confidence intervals estimated over 1000 bootstrap trials for all forecast initial dates in  
 1034 each season.

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Table 1. Comparison of the old (CPS2) and new (CPS3) forecast systems.

		JMA/MRI-CPS2 (June 2015–)	JMA/MRI-CPS3 (February 2022–)
Atmospheric model	Version	GSM1011C*	GSM2003C*
	Horizontal Resolution	TL159 ( $\approx 110$ km)	TL319 ( $\approx 55$ km)
	Vertical Resolution	60 layers with top at 0.1 hPa	100 layers with top at 0.01 hPa
Ocean model	Version	MRI.COM v3.2	MRI.COM v4.6
	Horizontal Resolution	1.0°(longitude) $\times$ 0.3°–0.5° (latitude)	0.25°(longitude) $\times$ 0.25° (latitude)
	Vertical Resolution	52 layers with bottom boundary layer	60 layers
Initial conditions	Atmosphere	JRA-55	JRA-3Q (reforecast), global analysis (operation)
	Land/Lake	JRA-55/-	Offline surface model simulation
	Ocean	MOVE/MRI.COM-G2	MOVE/MRI.COM-G3
	Sea ice	-	
Initial perturbation	Atmosphere	Tropics, Northern Hemisphere BGMs	Tropics, Northern and Southern Hemisphere BGMs
	Ocean	Ensemble 3D-Var driven by atmospheric BGMs	Analysis uncertainty pattern
Model perturbation		Stochastically Perturbed Parametrization Tendencies (atmosphere only)	
Ensemble size	Operational Forecast	13 members/5 days	5 members/day
	Reforecast (1991–2020)	10 members/month	

\*GSM1011C and GSM2003C are improved versions of GSM1011 and GSM2003 respectively for seasonal forecasting.

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Table 2. Comparison of the old and new ocean data assimilation systems.

System name	MOVE-G2	MOVE-G3	
		G3A (low resolution 4D-Var)	G3F (high resolution downscaling)
Horizontal Resolution	1.0° (longitude) $\times$ 0.3°–0.5° (latitude)	1.0° (longitude) $\times$ 0.3°–0.5° (latitude)	0.25° (longitude) $\times$ 0.25° (latitude)
Vertical resolution	52 layers with bottom boundary layer	60 layers with bottom boundary layer	60 layers
Assimilated observation	Water temperature, Salinity, Sea surface height		-
	-	Sea ice concentration	
Assimilated SST	COBE-SST (Ishii et al. 2005)	MGDSST (Kurihara et al. 2006)	-
Analysis method	3D-Var/FGAT and the IAU	4D-Var and IAU	IAU towards temperature and salinity of G3A
	-	Sea ice concentration 3D-Var	
Atmospheric forcing	JRA-55 with downward shortwave flux correction	JRA-3Q and the global analysis (GA)	
Assimilation window	10 days	5 days	
Operation Schedule	Frequency	once per 5 days (2 streams with 5-day lag)	every day (5 streams with 1-day lag)
	Time	00 UTC + 60 hours	00 UTC + 6 hours

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