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1 **Flux Adjustment on Seasonal-Scale Sea Surface**
2 **Temperature Drift in NICOCO**

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Abstract

High-resolution atmosphere–ocean coupled models are the primary tool for sub-seasonal to seasonal-scale (S2S) prediction. Seasonal-scale sea surface temperature (SST) drift is, however, inevitable because of the imbalance between the model components, which may deteriorate the prediction skill. Here, we examine the performance of a simple flux adjustment method specifically designed to suppress seasonal-scale SST drift through case studies. The Nonhydrostatic Icosahedral Atmospheric Model (NICAM)–Center for Climate System Research Ocean Component Model (COCO) coupled weather/climate model, named as NICOCO, was employed for wintertime 40-day integrations with a horizontal resolution of 14 km for the atmosphere and 0.25° for the ocean components. The coupled model with no flux adjustment suffers SST drift of typically -1.5–2°C in 40 days over the tropical, subtropical, and Antarctic regions. It is found that simple flux adjustment sufficiently suppressed the SST drift. Nevertheless, the lead-lag correlation analysis suggests that air–sea interactions are likely to be appropriately represented under flux adjustment. Thus, high-resolution coupled models with flux adjustment can substantially improve S2S prediction.

Keywords air-sea interaction; coupled model; high performance computing

49 **1. Introduction**

50 There is growing demand for improving sub-seasonal to seasonal-scale (S2S) predictions
51 (White et al., 2021). Successful prediction of extreme events, such as tropical cyclones and
52 heat waves, over the S2S scale is important for disaster prevention and mitigation. It is
53 argued that atmosphere and ocean coupled models are essential for better S2S prediction
54 because ocean conditions can be a major source of predictability on the S2S scale (e.g.,
55 Mariotti et al., 2018; Vitart & Robertson, 2018). A coupled model outperforms an
56 atmosphere-only model in predicting the intensities of tropical cyclones (Ito et al., 2015).
57 Furthermore, Nakano and Kikuchi (2019) and Fu and Wang (2004) argued that coupled
58 models exhibit better skills than uncoupled atmospheric models in representing tropical
59 intraseasonal oscillations, namely, the Madden-Julian Oscillation (MJO) (Madden & Julian,
60 1971, 1972) and Boreal Summer Intraseasonal Oscillation (BSISO) (Kikuchi, 2021), which
61 are also sources of S2S predictability. Zhu et al. (2018) argued that the prediction skill in
62 MJO is improved by using a sea surface temperature (SST) distribution predicted by a
63 coupled model via a two-tiered approach. Miyakawa et al. (2017) showed that, for the MJO
64 event in 1998, a global coupled model exhibited the better prediction skill than the
65 corresponding atmosphere-only model. In the S2S Prediction Project Database (Vitart et al.,
66 2017), half of the participating models are operated as an atmosphere and ocean coupled
67 system.

68 In numerical models, higher horizontal resolution generally leads to better representation of

69 the atmosphere and ocean states by resolving smaller-scale features, including atmospheric
70 convection cells and ocean eddies (e.g., Czaja et al., 2019; Caldwell et al., 2019; Delworth
71 et al., 2012; Roberts et al., 2018; Small et al., 2014). Owing to recent advancements in
72 computational performance, the horizontal resolution of global numerical models has rapidly
73 improved. To comprehensively investigate the benefit of improving horizontal resolution,
74 high-resolution atmospheric models and atmosphere–ocean coupled models were
75 integrated over 50 years and longer under the protocol of the High Resolution Model
76 Intercomparison Project (HighResMIP) (Haarsma et al., 2016), where the participating
77 atmospheric and ocean models typically have 50 km and 25 km resolution, respectively.
78 Even higher-resolution model integrations were conducted for shorter integration periods
79 under the initiative of the Dynamics of the Atmospheric General Circulation Modeled on Non-
80 hydrostatic Domains (DYAMOND) Phase II ([https://www.esiwace.eu/services/dyiamond-](https://www.esiwace.eu/services/dyiamond-initiative)
81 [initiative](https://www.esiwace.eu/services/dyiamond-initiative)), which is the successor of the DYAMOND Phase I project (Stevens et al., 2019).
82 Thus, high-resolution coupled models are essential tools for improved S2S prediction.
83 However, model drift on the seasonal timescale is inevitable because of the imbalance
84 between the components, even with state-of-the-art coupled models, which could
85 deteriorate the prediction skill. As reviewed by Weaver and Hughes (1996), various flux
86 adjustment methods have been proposed to suppress model drifts. Flux adjustment was
87 used to adjust the equilibrium state in a coupled model for decade-long integration with a
88 horizontal resolution typically coarser than 2° grid spacing (e.g., Cubasch et al., 1992;

89 Manabe et al., 1991). To our knowledge, however, flux adjustment has not been fully tested
90 on seasonal-scale drift in a coupled model with cloud-permitting and eddy-permitting
91 resolutions or even finer.

92 In this study, we examined the performance of a simple flux adjustment method to suppress
93 SST drift on a seasonal timescale. Some previous studies warn that flux adjustment may
94 result in an artificially new equilibrium state (e.g., Egger, 1997; Rahmstorf, 1995). However,
95 our intention is to achieve realistic seasonal SST evolutions with reasonable air–sea
96 interaction processes maintained, rather than adjusting the equilibrium state for investigating
97 climate sensitivity. With SST evolution that is free from drift, a high-resolution coupled model
98 would yield improved prediction performance for atmospheric and ocean events on the S2S
99 scale, such as MJO or tropical cyclones. To this end, we implemented a simple flux
100 adjustment routine for a high-resolution coupled model as described below. This study
101 examines its performance through a case study.

102

103 **2. Data and Method**

104 We conducted several sets of atmosphere and ocean coupled global integrations over 40
105 days with the Nonhydrostatic Icosahedral Atmospheric Model (NICAM)–Center for Climate
106 System Research Ocean Component Model (COCO) coupled weather/climate model
107 (hereafter NICOCO) (Miyakawa et al., 2017; Satoh et al., 2014). The atmospheric
108 component NICAM version 19.1 (Satoh et al., 2014; Tomita et al., 2001), the ocean

109 component COCO version 4.9 (Hasumi, 2006), and the general-purpose coupler Jcup
110 (Arakawa et al., 2011, 2020) were used for the coupled system. The version of NICAM was
111 updated from NICAM.14.2 used in Miyakawa et al. (2017). In this study, the horizontal
112 resolution of NICAM was equivalent to 14 km with 40 vertical levels, and COCO had a
113 nominal 0.25° resolution with 63 vertical levels. The resolutions were higher than the
114 standard resolution in the HighResMIP models.

115 The detailed model configurations are summarized in Tables 1 and 2. COCO was configured
116 to use bi-harmonic Smagorinsky-like viscosity (Griffies and Hallberg 2000), second-order
117 moments conserving scheme for tracer advection (Prather 1986), and turbulent closure
118 scheme formulated by Noh and Kim (1999). Following Kodama et al. (2021), NICAM was
119 configured to use the bulk formula formulated by Louis (1979) for surface fluxes, Mellor-
120 Yamada-Nakanishi-Niino level2 turbulent scheme (Nakanishi and Niino, 2006; Noda et al.
121 2010), orographic gravity wave drag scheme (McFarlane, 1987), Minimal Advanced
122 Treatments of Surface Interaction and Runoff (MATSIRO) for the land surface
123 parameterization (Takata et al. (2003) and MSTRNX for the radiation (Sekiguchi and
124 Nakajima 2008). The net surface heat, water, and momentum fluxes were estimated in the
125 atmospheric component and passed to the ocean component every 30 min. At the same
126 time, the SST, sea ice concentration, sea ice thickness, snow depth over sea ice, and
127 temperature of sea ice estimated in the ocean component were passed to the atmosphere
128 component. To estimate the flux adjustment amount, we also employed COCO as an

129 uncoupled system with the same resolution.

130 In this study, we chose the boreal midwinter of 2009-2010 as a test case. A list of these
131 experiments is presented in Table 3. The initial condition for the ocean component was
132 obtained by spinning up COCO with the Japanese 55-year atmospheric reanalysis designed
133 for driving ocean-sea ice models (JRA55-do) (Tsuji no et al., 2018), starting in 1958 with no-
134 motion, climatological-mean temperature, and salinity obtained from the World Ocean Atlas
135 2013 (Boyer et al., 2013). To obtain a set of 10 initial atmospheric conditions, the reanalysis
136 products of ERA5 (Hersbach et al., 2020) at 00 UTC were used for each date from
137 December 23, 2009, to January 1, 2010. To mitigate the initial imbalance between NICAM
138 and COCO in the coupled integrations, the uncoupled NICAM was spun up from each of the
139 initial atmospheric conditions until January 5. Throughout the spin-up of NICAM, a fixed SST
140 distribution on January 5, 2010 obtained from the uncoupled COCO spin-up was prescribed.
141 Then, 10 ensemble coupled integrations were conducted over 40 days from January 5 to
142 February 13, 2010, with and without flux adjustment, details of whose method is explained
143 below.

144 Various flux adjustment methods have been proposed to obtain realistic equilibrium states
145 in a coupled model integration (Egger, 1997; Manabe et al., 1991; Sausen et al., 1988), but
146 there is no consensus on the best method. The original idea of flux adjustment is to obtain
147 the equilibrium states of the individual uncoupled components by imposing appropriate
148 amounts of surface fluxes, and anomalies around the equilibrium are predicted by the

149 models (Cubasch et al., 1992; Voss et al., 1998). As the integration period was relatively
150 short in this study, our intention was to achieve a realistic seasonal SST evolution as the
151 ensemble mean by adjusting the surface fluxes, rather than adjusting the equilibrium state.
152 In this framework, each ensemble member represents a possible realization that is wobbling
153 around the ensemble mean seasonal evolution. To minimize artificial intervention, flux
154 adjustment was applied only to surface heat fluxes given to the ocean surface; hence, there
155 were no adjustments applied to momentum fluxes, freshwater fluxes, and surface heat
156 fluxes to the atmosphere.

157 In this study, the flux adjustment amount was designed to adjust the SST evolutions in
158 NICOCO to those in the uncoupled COCO. We used SST from the uncoupled COCO as the
159 reference rather than observation because of the large SST bias of COCO near the western
160 boundary currents as described in the following section. The large SST bias would lead to
161 unnaturally large adjustment fluxes which could cause numerical instability.

162 One of the simplest methods for estimating the flux adjustment amount proposed by Weaver
163 and Hughes (1996) and von Storch (2000) was used. First, an uncoupled COCO was
164 integrated with the JRA55-do forcing from January 5 to February 13, 2010, to obtain daily
165 mean SST (hereafter COCO-SST) and total surface heat fluxes (COCO-THF). Second, a
166 set of 10-member ensemble integrations of uncoupled NICAM was conducted with the daily
167 COCO-SST prescribed for the same period starting with the 10 initial atmospheric conditions
168 described above. Thus, the ensemble mean of the daily mean total surface heat fluxes

169 (NICAM-THF) was obtained. The flux adjustment amount (hereafter $F(x,y,t)$, where x,y,t
170 indicate longitude, latitude, and time, respectively) was determined as the difference
171 between COCO-THF and NICAM-THF. Note that the flux adjustment is distinct from the
172 nudging of SST toward a reference state. In the nudging, the F is evaluated during the
173 coupled integrations and depends on the atmospheric and oceanic states realized in each
174 integration. Meanwhile, in the flux adjustment, F can be a function of time (t), but F is
175 independent of the atmosphere and ocean realizations in the coupled experiments, and thus
176 exactly the same among the ensemble members.

177 This simple method is advantageous because any arbitrary parameters, such as relaxation
178 constants, are unnecessary. Weaver et al. (1996) argued that some typical flux adjustment
179 methods, including the one employed in the present study, converge to the same flux
180 adjustment amount. Therefore, the results in the following sections are likely to be insensitive
181 to choice of the method, while there may be a better method which requires only smaller
182 amount of adjustment fluxes (Weaver et al. 1996).

183 To examine the importance of the temporal resolution in $F(x,y,t)$, we conducted two sets of
184 flux-adjusted NICOCO integrations. In one integration, $F(x,y,t)$ is averaged over the analysis
185 period beforehand and added as a temporary constant term, while retaining its spatial
186 variation. In the second experiment, $F(x,y,t)$ was updated daily.

187

188 **3. Results and Discussion**

3.1 Seasonal-scale SST drift

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Figure 1a shows SST differences between the uncoupled COCO and ERA5 on the last day of the integration. The SST product of ERA5 is equivalent to the Operational Sea Surface Temperature and Sea Ice Analysis system (Donlon et al., 2012). Although the differences were negligible over the tropical–subtropical region, COCO had large biases over the mid-latitude and Antarctic regions. The large biases over the western boundary of the mid-latitude ocean are due to the poleward shift in the western boundary currents, which is a well-known feature of ocean models with a quarter-degree resolution or coarser (Choi et al., 2002; Nakano et al., 2008). We confirmed that these biases are improved in uncoupled COCO integrations with a 0.1° resolution, which will be described in a separate paper. The large warm bias in the Antarctic region may be related to the poor representation of sea ice in COCO or biases in the JRA55-do forcing; however, detailed investigations are beyond the scope of this study.

Figures 1b–d show the SST drift in NICOCO on the 40th day. The SST drift is defined as the deviation of ensemble-mean SST in NICOCO from the uncoupled COCO. In the NICOCO experiment without flux adjustment (hereafter NICOCO free experiment), SST exhibits marked warming drift over the tropical–subtropical region (Fig. 1b). The drift is particularly large along the western coast of South America and Africa as also seen in the other coupled models (Caldwell et al., 2019; Small et al., 2014). Also, the warming drift is prominent along Antarctica and the western coast of Australia.

209 The $F(x,y,t)$ is obtained as the deviation of the total surface heat fluxes in the uncoupled
 210 COCO from the ensemble-mean of uncoupled NICAM integrations. Note that the sign
 211 convention is positive for downward heat fluxes throughout the study; hence, positive heat
 212 fluxes warm the ocean. The total surface heat fluxes are largely positive (negative) over the
 213 summer (winter) hemisphere (Fig. 2). The differences (Fig. 2c) illustrate that NICAM has
 214 positive biases over the tropical–subtropical and Antarctic regions, which is consistent with
 215 the warming SST drift. The sign reversal in Fig. 2c corresponds to the $F(x,y,t)$ applied to the
 216 NICOCO integration with constant flux adjustment.

217 Further, we predicted the distribution of SST drift based on the total surface heat flux bias
 218 by using heat balance equations for the oceanic mixed layer (e.g., Ohishi et al., 2017; Qiu
 219 & Kelly, 1993), namely,

$$220 \quad \frac{\partial T_{mix}}{\partial t} = \frac{Q_{net} - q_{sw}}{\rho C_p H} + (\text{Residual}). \quad (1)$$

221 Here, T_{mix} is mixed layer temperature, H is mixed layer depth, Q_{net} is downward surface net
 222 heat flux, and q_{sw} is downward shortwave radiation at the depth of H . For simplicity, q_{sw} is
 223 assumed to be zero, and the density of the sea water ρ_0 is 1026 kg m^{-3} and the specific heat
 224 of the seawater C_p is $3900 \text{ J kg}^{-1} \text{ m}^{-3}$. The climatological-mean mixed layer depth (de Boyer
 225 Montégut, 2004) is used for H . For Q_{net} , total surface heat flux differences between the
 226 ensemble mean NICAM experiments and the uncoupled COCO experiments, averaged over
 227 the integration period, are used. The predicted SST drift (Fig. 3) largely replenishes the
 228 SST drift in the NICOCO free experiments (Fig. 1b). Thus, it is confirmed that the heat flux

229 bias is the main factor of the drift.

230 By comparing the uncoupled NICAM outputs with the Japanese ocean flux data set using
231 remote-sensing observations (J-OFURO3; Tomita et al., 2019), it is observed that the
232 overestimation of incoming solar radiation at the surface in NICAM is the main factor for the
233 drift (Fig. 4). In addition, insufficient evaporation, which is manifested as an overestimation
234 of the downward turbulent latent heat flux, is also responsible for the SST drift over the North
235 Pacific subtropical region and along the western coast of Australia. The overestimation of
236 the surface heat fluxes is consistent with the underestimation of cloud cover (Kodama et al.,
237 2021) and surface wind speed (not shown).

238 Figure 1c shows the SST drift in the NICOCO experiment with constant flux adjustment. The
239 drift is successfully suppressed over most of the global ocean regardless of the simplicity of
240 the method. We confirmed that the drift is suppressed throughout the integration period (not
241 shown) as well as on the 40th day. Although there was still a weak drift of approximately 1°C
242 over the central tropical Pacific, it was suppressed by updating the $F(x,y,t)$ every day (Fig.
243 1d).

244

245 3.2 Lead-lag correlation

246 The above results suggest that flux adjustment successfully suppressed the seasonal-scale
247 SST drift. Nevertheless, flux adjustment is desirable to undistort the air–sea interaction
248 process on a shorter timescale. To confirm this, lead-lag correlations between SST and

249 surface turbulent heat fluxes (sensible and latent heat fluxes combined) were examined. It
250 has been argued that lead-lag profiles illustrate a causal relationship between atmospheric
251 and ocean variability (Bishop et al., 2017; Frankignoul & Hasselmann, 1977; Hasselmann,
252 1976; von Storch, 2000; Wu et al., 2006). In a situation where atmospheric variations drive
253 SST anomalies, the correlation becomes negative (positive) when SST leads (lags), and the
254 simultaneous correlation is close to zero (note that the sign convention here is positive for
255 downward surface heat fluxes). In the opposite case, where ocean variations drive
256 atmospheric anomalies, the correlation is strongly negative around zero lag, where surface
257 turbulent heat fluxes act as damping for SST perturbations and gradually reduce their
258 amplitude toward larger leads and lags.

259 Figure 5 shows lead-lag correlations obtained for the three sets of the NICOCO experiments.
260 To remove high-frequency weather noises, three-day mean time series are composed and
261 then seasonality is removed. The 10 ensemble members in each set of experiments are
262 pooled together to obtain a single map of correlation (more details in Appendix A).

263 The NICOCOfree experiments exhibited a statistically significant negative correlation over
264 the subtropical and higher-latitude domains when SST led (Fig. 5a). The correlation was
265 distinctly weaker at zero lag (Fig. 5b) and became positive when SST lagged (Fig. 5c). The
266 lead-lag pattern implies that SST anomalies are driven by atmospheric processes through
267 surface turbulent heat fluxes. Over the eastern tropical Pacific domain, only the
268 simultaneous positive correlation was significant, which indicates that SST variations

269 predominantly modulate the surface turbulent heat fluxes. The lead-lag correlation features
270 were largely consistent with the observations (Fig. 6), except for the northern part of the
271 North Pacific and the North Atlantic. Model biases (Wu et al., 2006) and observational errors
272 may be responsible for these discrepancies. However, a detailed investigation was beyond
273 the scope of this study.

274 The correlation patterns in the NICOCO experiments with the constant and daily updated
275 flux adjustment shown in Figs. 5d–f and 5g–i, respectively, are very similar to those in the
276 NICOCO free experiments (Figs. 5a–c). Thus, it is likely that air–sea coupling processes are
277 represented appropriately at timescales of several weeks and shorter under flux adjustment.
278 It is worth pointing out that the correlation features are completely distorted in the uncoupled
279 NICAM experiments (Fig. 6).

280 A close inspection suggests that NICOCO with daily updated flux adjustment (Figs. 5g–i)
281 exhibits a weaker correlation. Hence, the constant adjustment flux method would be more
282 desirable for better representation of air–sea interaction processes by minimizing artificial
283 intervention, as long as the model drift is suppressed satisfactorily.

284

285 **4. Seasonal SST evolution**

286 To further elucidate how the flux adjustment specifically suppresses the SST drift, the time
287 evolutions of SST and heat fluxes were examined. Figure 7a shows the time series of SST
288 averaged over the subtropical North Pacific, as indicated by the northern black boxes in Fig.

289 1, where the constant flux adjustment successfully suppressed the drift. The SST evolution
290 in the uncoupled COCO (black line in Fig. 7a) exhibits linear cooling, which is consistent
291 with the negative total surface heat flux for almost the entire period (black line in Fig. 7b).
292 The NICOCO free experiment (red line in Fig. 7a) also exhibited linear cooling, but the
293 negative slope was insufficient, resulting in a warming drift. In the NICOCO experiments with
294 constant flux adjustment (green line in Fig. 7a), the slope was modified to be more negative
295 owing to the negative $F(x,y,t)$, which corresponds to the sign reversal in Fig. 2c. As expected,
296 the SST time series with a daily updated flux adjustment (orange line in Fig. 7a) was almost
297 similar to those of the uncoupled COCO.

298 Within the tropical domain (southern black boxes in Fig. 1), the SST evolution in the
299 uncoupled COCO was nonlinear; SST warmed up slightly until the 16th day and changed to
300 steep linear cooling (black line in Fig. 7c). The time evolution of SST is consistent with the
301 rapid decrease in total heat fluxes in the latter half of the integration (black line in Fig. 7d)
302 and reflecting the reduction in the downward shortwave radiation (not shown). The time
303 evolution is consistent with the propagation of MJO, as defined by the bimodal tropical
304 intraseasonal oscillation index defined by Kikuchi (2021). The time series and the
305 corresponding anomaly patterns of the outgoing longwave radiation are available online
306 (http://iprc.soest.hawaii.edu/users/kazuyosh/Bimodal_ISO.html). In the first half of the
307 integration, the target region was in an inactive phase of atmospheric convection due to the
308 negative phase of the MJO and then changed to an active convection phase.

309 Although the NICOCO free experiment exhibited a steady warming throughout the integration
310 (red line in Fig. 7c), the SST evolution was modified to be nearly constant by the constant
311 flux adjustment (green line in Fig. 7c). The ensemble mean SST of the NICOCO experiments
312 with daily updated flux adjustment (orange line in Fig. 7c) was similar to that of the uncoupled
313 COCO, as the heat flux adjustment exhibits a rapid decrease to be strongly negative (orange
314 line in Fig. 7d).

315 Thus, it has been demonstrated that simple flux adjustment can successfully achieve
316 complicated seasonal SST evolution by frequently updating the $F(x,y,t)$. It is worth
317 mentioning that the two flux-adjusted NICOCO experiments (i.e., constant and daily updated
318 flux adjustment integrations) yield different ensemble mean SST on the 40th day, despite
319 the fact that the total $F(x,y,t)$ accumulated over the analysis period is exactly the same by
320 definition. We speculate that seasonal variations in oceanic mixed layer depth alter the
321 sensitivity of the mixed layer temperature to surface heat fluxes. This needs to be investigate
322 further in a future study.

323

324 **5. Summary and conclusion**

325 In this study, we investigated the performance of a simple flux adjustment method for
326 suppressing seasonal-scale SST drift in a global coupled model. Our intention is to achieve
327 realistic seasonal-scale evolution in SST to improve S2S prediction skills for extreme events,
328 such as tropical cyclones and heat waves, with a high-resolution coupled system.

329 Seasonal-scale SST drift was found to be sufficiently suppressed over most of the global
330 ocean by adjusting the heat fluxes applied to the ocean surface; no adjustment was required
331 for the other fluxes. When flux adjustment is applied to an operational seasonal-scale
332 forecast, the $F(x,y,t)$ is estimated in advance from the climatological mean surface heat
333 fluxes based on an uncoupled ocean model and atmospheric model.

334 As indicated by the lead-lag correlation, air–sea coupling processes under flux adjustment
335 are likely to be consistent with those in the no-flux adjustment experiments. Nevertheless, it
336 should be specifically examined how flux adjustment modifies the representation of
337 atmospheric and oceanic events, such as MJO or tropical cyclones. Given that the lead-lag
338 correlations are somewhat weaker when the flux adjustment amount is updated frequently,
339 it is desirable that the updating intervals are set to be longer than the typical timescale of an
340 event being investigated, as long as the SST drift is suppressed sufficiently.

341 This paper focuses on the boreal winter of 2009-2010. Nevertheless, we have repeated the
342 same experiments for additional 5 winters (from 2010-2011 to 2014-2015) to confirm the
343 validity of the method. It is found that the simple adjustment method successfully mitigates
344 the SST drift in the 5 winters, thus the method is likely to be effective in the other cases.
345 Nevertheless, more detailed evaluation would be required, such as seasonality and
346 quantifying the performance, which would be addressed in the future work. In addition, we
347 are conducting higher-resolution coupled model simulations, where the atmospheric model
348 has a 3.5 km horizontal resolution, and the ocean model has a 0.1° resolution. The higher-

349 resolution coupled model with flux adjustment will exhibit improved predictions on the S2S
350 timescale.

351

352 **Data Availability Statement**

353 J-OFURO3 data were downloaded from DIAS (<https://doi.org/10.20783/DIAS.612>). ERA5
354 data were downloaded from the Climate Data Store
355 (<https://doi.org/10.24381/cds.adbb2d47>). The oceanic mixed-layer depth was downloaded
356 from the French Research Institute for Exploration of the Sea
357 (https://cerweb.ifremer.fr/deboyer/mlD/Surface_Mixed_Layer_Depth.php).

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371

372

Appendix A

373 This Appendix describes how the lead-lag correlation between SST and surface turbulent
374 heat fluxes (surface sensible and latent heat fluxes combined) discussed in Section 3 were
375 estimated. The outputs of the first 5 days of the NICOCO and NICAM experiments were
376 discarded to minimize the influence of the initial imbalance. Further, a three-day mean time
377 series without overlapping was composed to reduce daily weather noise. Thus, 11 time-
378 samples of the three-day mean fields were recorded for each experiment performed during
379 33 days from January 10 to February 11, 2010. To remove seasonality, the least-squares
380 fitting and first harmonic of the Fourier component were removed from the three-day mean
381 time series. We confirmed that the results were largely insensitive to deseasonalization
382 methods.

383 Then, all 10 ensemble members were pooled for each experiment to obtain a single
384 horizontal map of the correlation coefficients. Thus, there were 110 time-samples at
385 individual locations for simultaneous correlation and 100 time-samples for one lead or lag
386 correlation. Statistical significance was evaluated by t-test at the 99% confidence level.

387 We obtained the corresponding correlation coefficients based on J-OFURO3, which is a
388 data product of surface heat fluxes and SST obtained from satellite observations and partly

389 atmospheric reanalysis data (Tomita et al., 2019). Daily mean SST and surface heat fluxes
390 were available with some missing data. First, their three-day mean time series were
391 constructed from January 10 to February 11 with a 10-year period centered on 2010 (i.e.,
392 2006–2015). A three-day mean value at a particular location and date is considered valid
393 when one of the observations in the corresponding three-day window is valid; otherwise, it
394 is filled with a horizontal interpolation from the surrounding three-day mean values.
395 Seasonality was removed and correlation coefficients were estimated in the same manner
396 as in the NICOCO experiments.

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553

554

List of Figures

555

556 Figure 1: SST bias in the uncoupled COCO experiment and SST drift in the NICOCO
557 experiments. (a) A map of bias in daily-mean SST based on the uncoupled COCO
558 relative to ERA5 on February 13, 2010 ($^{\circ}\text{C}$; shaded) and the corresponding SST biases
559 relative to the uncoupled COCO based on (b) NICOCO free experiment, (c) NICOCO
560 with constant flux adjustment, and (d) NICOCO with daily-updated flux adjustment. The
561 black boxes show target domains for examining the SST time series shown in Fig. 7.

562 Figure 2: Total surface heat fluxes averaged over the integration period (W m^{-2} ; shaded;
563 positive for downward) based on (a) uncoupled COCO, (b) uncoupled NICAM, and (c)
564 their difference (NICAM minus COCO). The contours indicate the SST drift (every 0.5°C ;
565 zero contours are omitted) with the NICOCO free experiment. The grey boxes show
566 target domains for examining the SST time series shown in Fig. 7.

567 Figure 3: The SST drift in the NICOCO free experiment (contoured for every 0.5°C) and
568 predicted SST drift estimated from surface total heat flux bias and mean mixed layer
569 depth by using Equation (1) (shaded). The grey boxes represent the target regions
570 where the time series in Fig. 7 are estimated.

571 Fig. 4. Maps of deviations in downward (a) total, (b) latent, and (c) shortwave radiation heat
572 fluxes of the ensemble mean of the uncoupled NICAM experiments from J-OFURO3
573 averaged over the integration period. The contours indicate SST drift in the NICOCO free

574 experiment (every 0.5°C). The grey boxes represent the target regions where the time series
575 in Fig. 7 are estimated.

576

577 Figure 5: Lead-lag correlations between SST and surface turbulent heat fluxes. Maps of (a)
578 SST leading, (b) simultaneous, and (c) SST lagging correlation between three-day mean
579 SST and downward sensible and latent heat fluxes combined based on the NICOCO free
580 experiments. The lead or lag is one time step with the three-day mean time series. Areas
581 with insignificant correlations at 99% confidence level are filled in white. (d)–(e) represent
582 maps similar to (a)–(c), respectively, but based on the NICOCO experiments with constant
583 flux adjustment. (g)–(i) represent maps similar to (a)–(c), respectively, but based on the
584 NICOCO experiments with daily-updated flux adjustment.

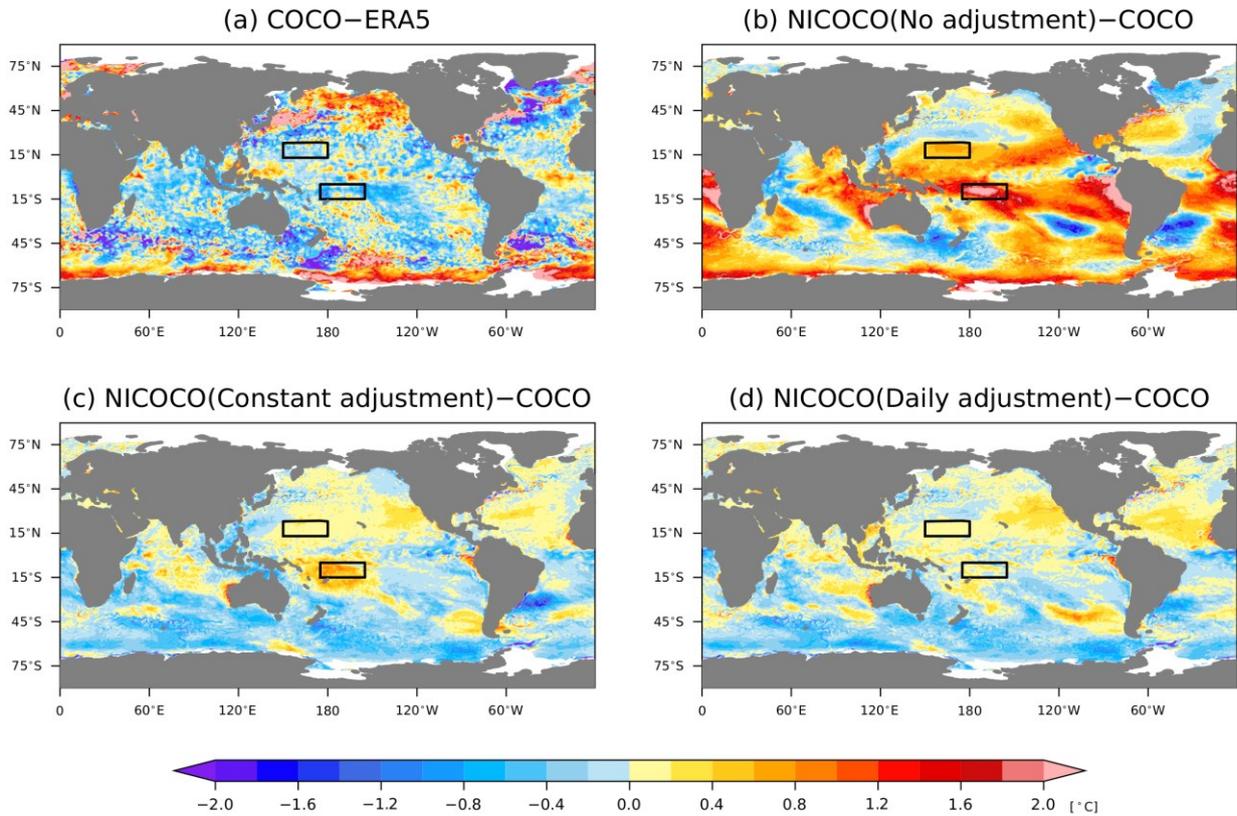
585 Fig. 6. The same as Fig. 5 but based on (a)–(c) J-OFURO3 and (d)–(f) the uncoupled NICAM
586 experiment.

587 Figure 7: The time evolutions of SST and heat fluxes over the tropical and subtropical
588 domains. (a) Time series of SST based on the uncoupled COCO (black), NICOCO free (red),
589 NICOCO with constant flux adjustment (green), and NICOCO with daily-updated flux
590 adjustment (orange) averaged over [150–180°E, 13–23°N] the target domains as indicated
591 by the northern black boxes in Fig. 1. The abscissa indicates the integration time (days) that
592 corresponds to January 5 to February 13, 2010. For NICOCO, the thick lines indicate the
593 ensemble means and the envelopes indicate the maximum and minimum values among the

594 ensemble members. (b) The corresponding downward total heat fluxes based on the
595 uncoupled COCO integrations (black) and ensemble mean of the uncoupled NICAM
596 integrations (purple) and their difference (orange; COCO minus NICAM). (c) and (d)
597 represent graphs with similar descriptions as (a) and (b), respectively, but averaged over
598 [175°E–155°W, 15°S–5°N].

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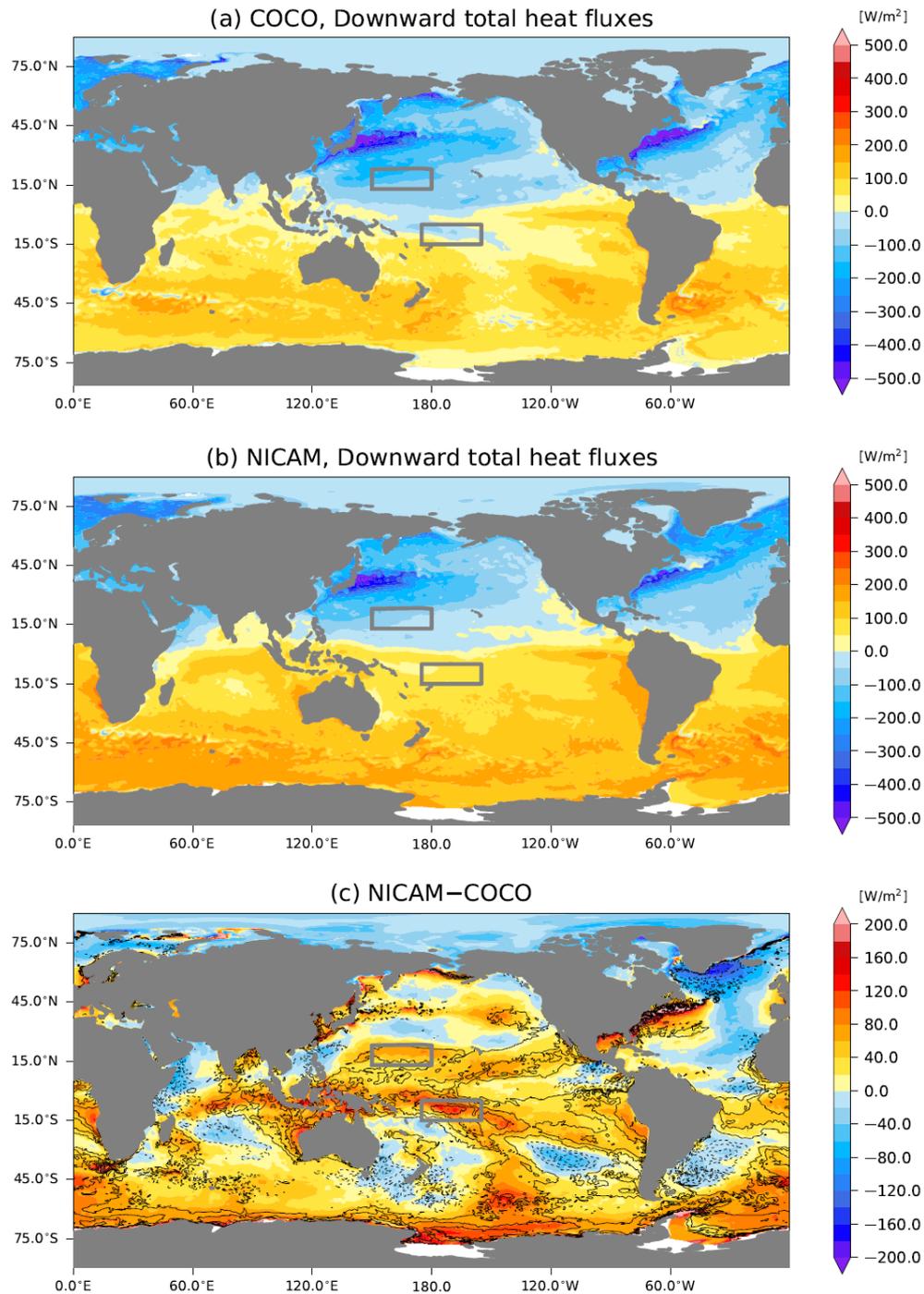
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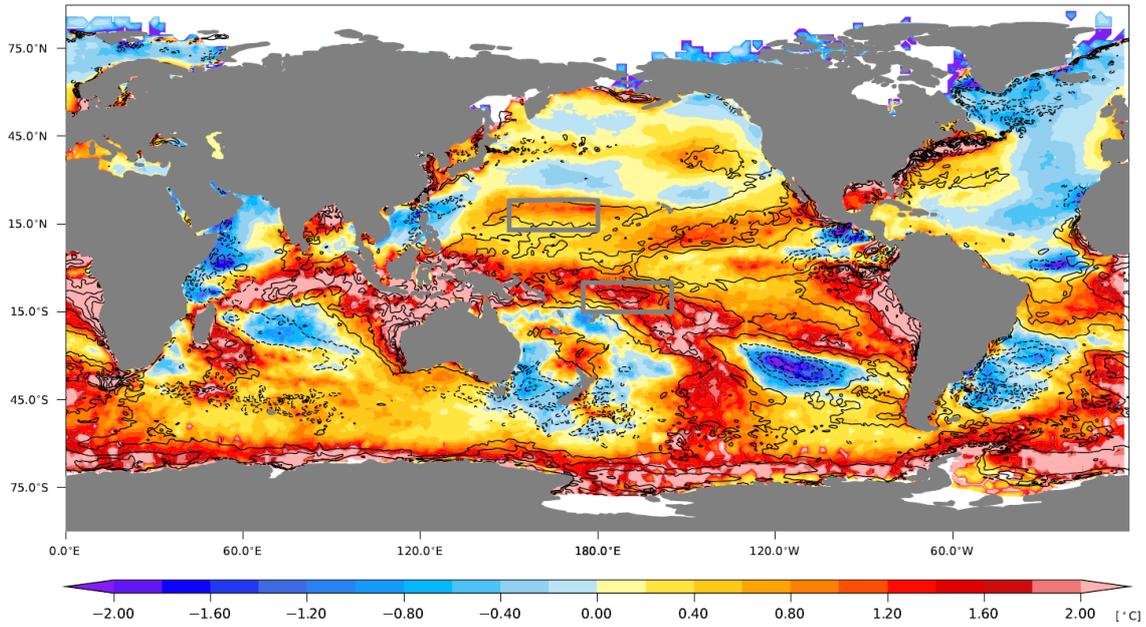


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 614 domains for examining the SST time series shown in Fig. 7.

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Estimated temperature drift



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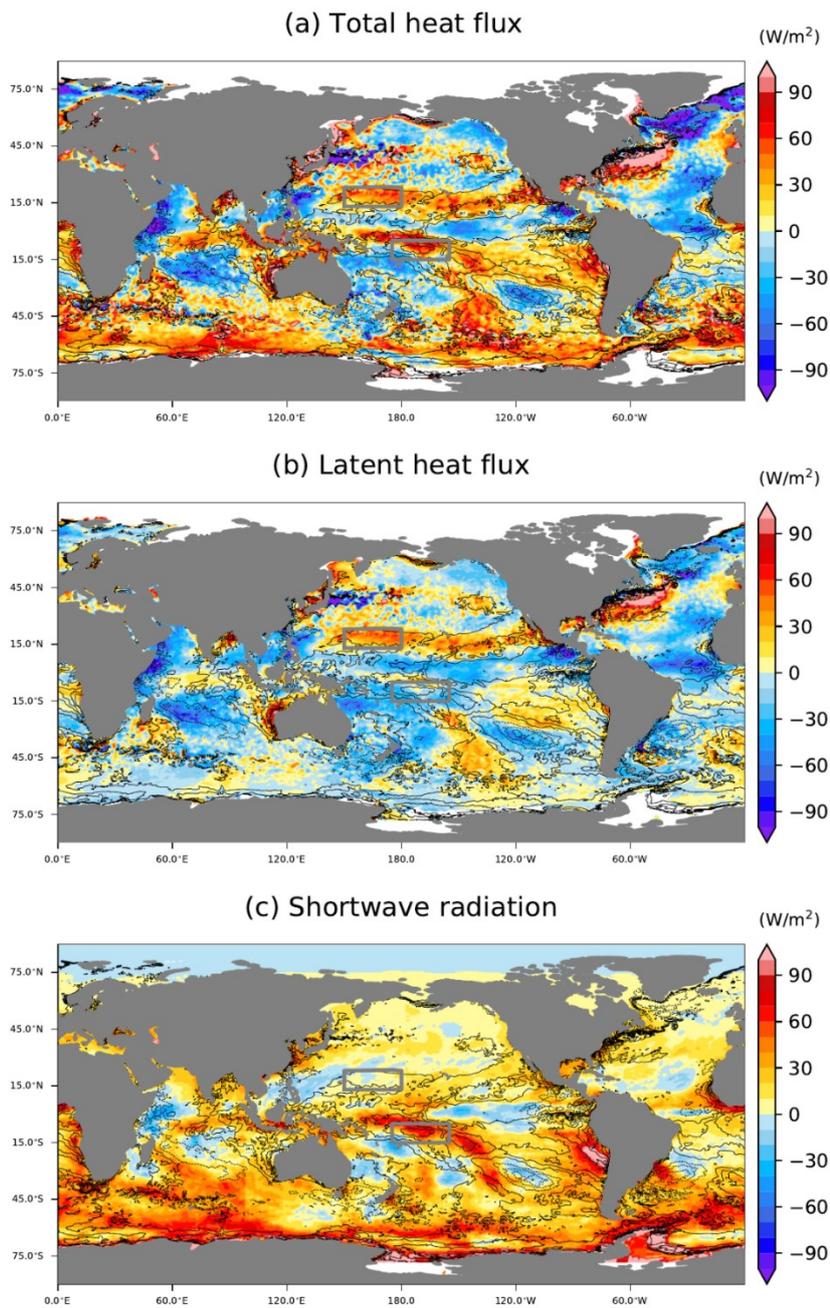
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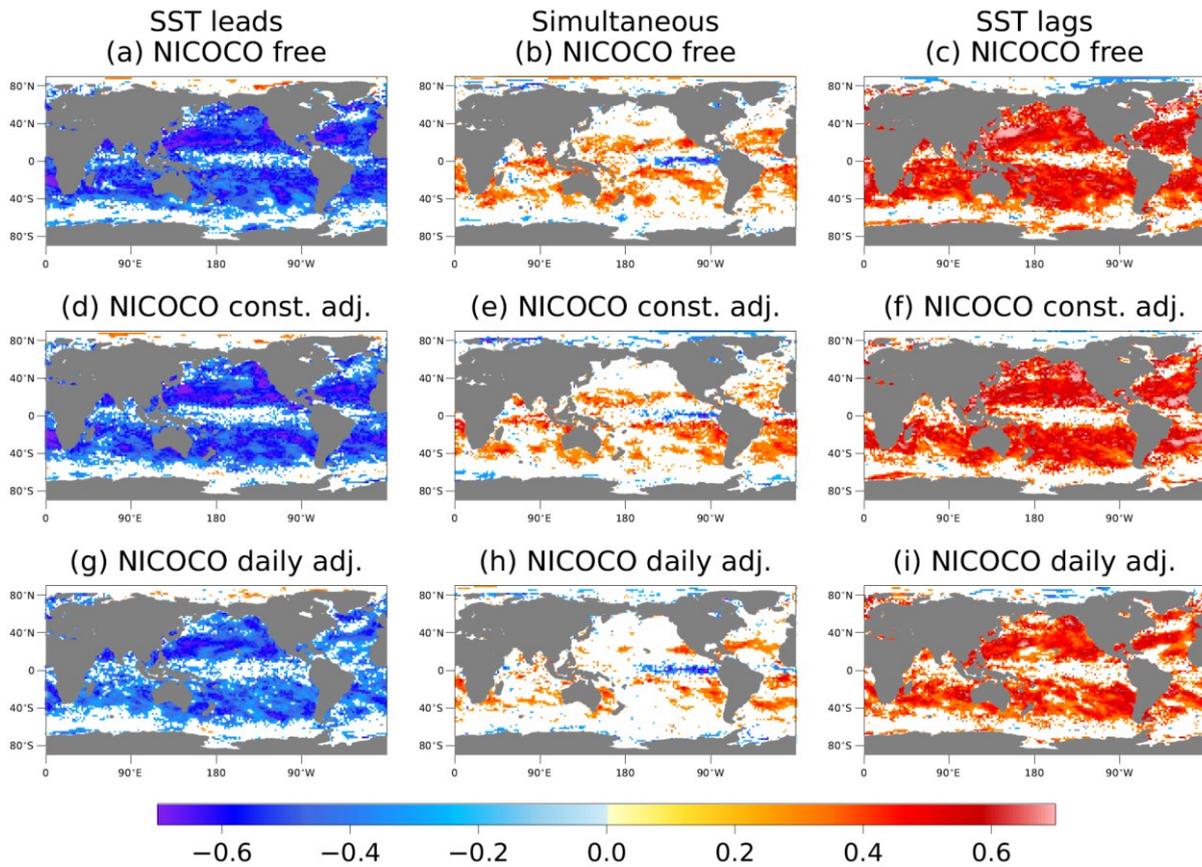


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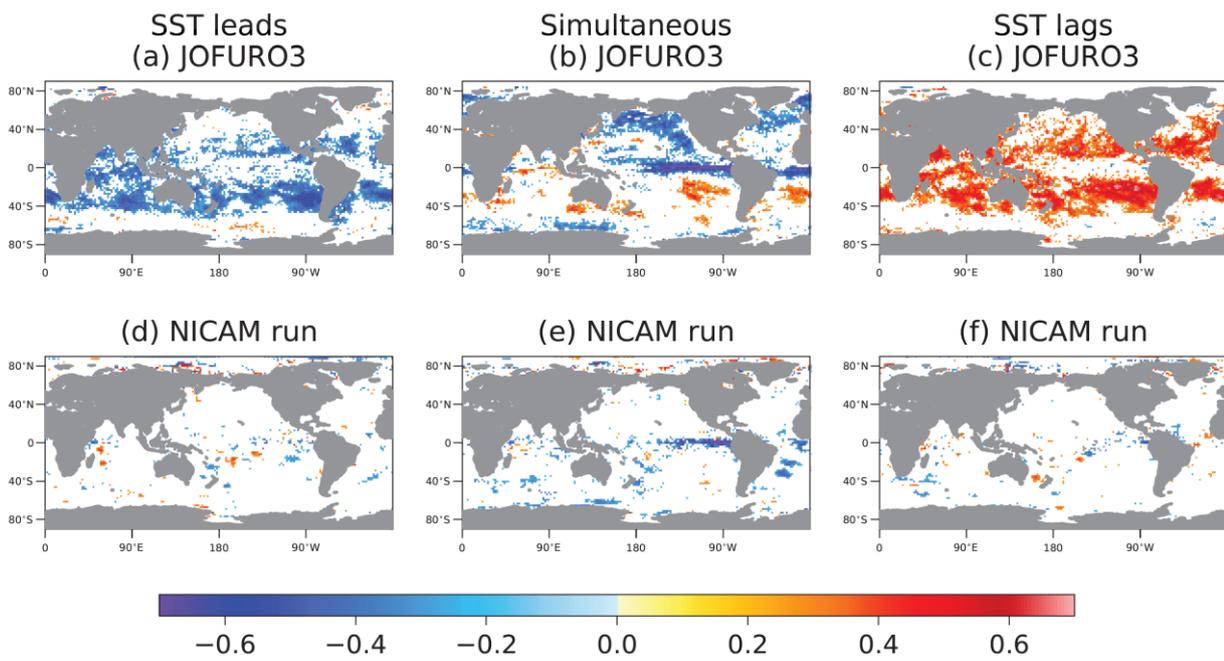
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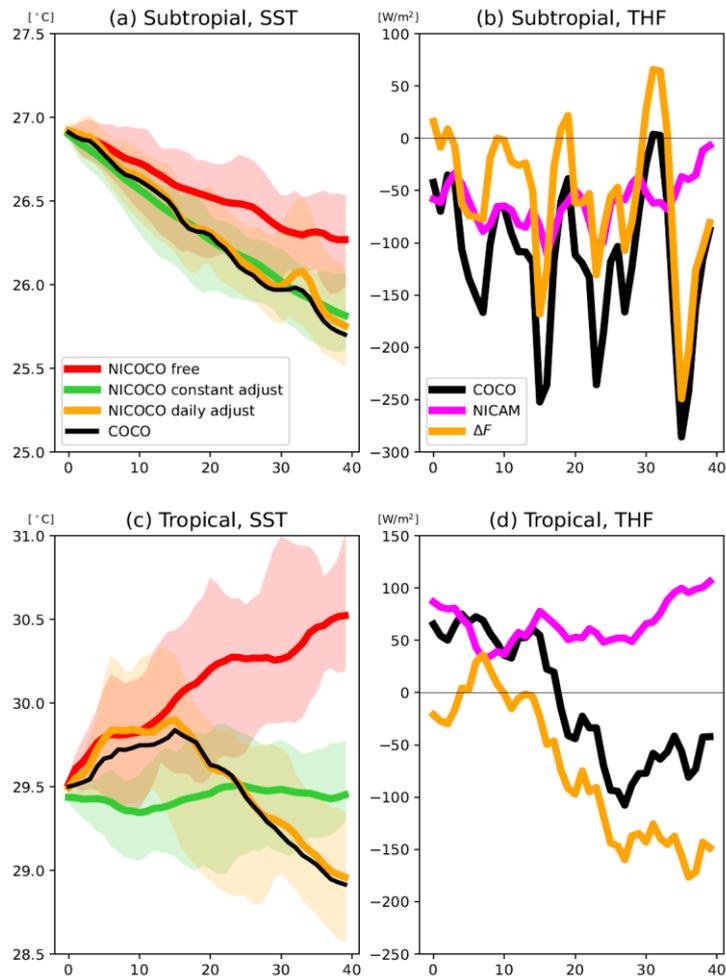
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642 experiment.

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Fig. 7: The time evolutions of SST and heat fluxes over the tropical and subtropical domains. (a) Time series of SST based on the uncoupled COCO (black), NICOCO free e (red), NICOCO with constant flux adjustment (green), and NICOCO with daily-updated flux adjustment (orange) averaged over $[150\text{--}180^\circ\text{E}, 13\text{--}23^\circ\text{N}]$ the target domains as indicated by the northern black boxes in Fig. 1. The abscissa indicates the integration time (days) that corresponds to January 5 to February 13, 2010. For NICOCO, the thick lines indicate the ensemble means and the envelopes indicate the maximum and minimum values among the ensemble members. (b) The corresponding downward total heat fluxes based on the uncoupled COCO integrations (black) and ensemble mean of the uncoupled NICAM integrations (purple) and their difference (orange; COCO minus NICAM). (c) and (d) represent graphs with similar descriptions as (a) and (b), respectively, but averaged over $[175^\circ\text{E}\text{--}155^\circ\text{W}, 15^\circ\text{S}\text{--}5^\circ\text{N}]$.

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List of Tables

660 **Table 1. Ocean Model Configuration of NICOCO**

661 **Table 2. Atmospheric Model Configuration of NICOCO**

662 **Table 3. A List of Experiments.**

663

664 Table 1. Ocean Model Configuration of NICOCO.

	Explanation
Model Name	Center for Climate System Research Ocean Component Model (COCO)
Horizontal Grid System	Tripolar coordinate
Horizontal Resolution	0.25°
Vertical Layers	63 levels, thickness: 2 (top) - 660m (bottom)
Surface Mixed Layer Scheme	Turbulence closure scheme (Noh and Kim, 1999)
Tracer Advection	Second-order moments conserving scheme (Prather 1986)
Horizontal Viscosity	Bi-harmonic Smagorinsky-like viscosity (Griffies and Hallberg, 2000)

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Table 2. Atmospheric Model Configuration of NICOCO.

	Explanation
Model Name	Nonhydrostatic ICosahedral Atmospheric Model (NICAM)
Horizontal Resolution	14 km
Vertical Layers	40 layers with 40 km model top
Cloud Microphysics	NICAM single-moment water 6 cloud microphysics scheme (Tomita et al. 2008)
Turbulence	Mellow-Yamada-Nakanishi-Niino level 2 (Nakanishi and Niino, 2006; Noda et al., 2010)
Radiation	Broadband radiative transfer code named MSTRNX (Sekiguchi and Nakajima, 2008)
Land Surface	Minimal advanced treatments of surface interaction and runoff (MATSIRO) (Takata et al. 2003)
Gravity Wave Drag	Orographic gravity wave drag (McFarlane, 1987)
Surface Flux	Bulk Method (Louis, 1979)

669 Table 3. A List of Experiments.

Name	Explanation	Number of ensembles
Uncoupled COCO	An uncoupled COCO experiment forced with JRA-55do	1
Uncoupled NICAM	Uncoupled NICAM experiments with daily mean SST obtained from the uncoupled COCO	10
NICOCO Free	NICAM–COCO coupled experiments with no flux adjustment	10
NICOCO with Constant Flux Adjustment	NICAM–COCO coupled experiments with constant flux adjustment amount	10
NICOCO with Daily-Updated Flux Adjustment	NICAM–COCO coupled experiments with flux adjustment amount updated daily	10

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