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5 **Systematic global evaluation of seasonal climate**

6 **forecast skill for monthly precipitation of JMA/MRI-**

7 **CPS2 compared with a statistical forecast system using**

8 **climate indices**

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## Abstract

In this study, we aimed to systematically and globally evaluate the monthly precipitation forecasts of JMA/MRI-CPS2, a dynamical seasonal climate forecast (Dyn-SCF) system operated by the Japan Meteorological Agency, by comparing its forecasts with those of a statistical SCF (St-SCF) system using climate indices. We developed a new global St-SCF system using 17 climate indices and compared the monthly precipitation of this system with those of JMA/MRI-CPS2. Consequently, the skill of JMA/MRI-CPS2 was determined to be globally higher than that of the St-SCF for zero-month lead forecasts. In contrast, for forecasts made with one month or longer lead times, the deterministic skill of JMA/MRI-CPS2 was comparable to that of the St-SCF and the probabilistic skill of JMA/MRI-CPS2 remained slightly higher. In addition to evaluating the skill of JMA/MRI-CPS2, we identified several regions and seasons, for which JMA/MRI-CPS2 showed a low forecast skill, compared with the St-SCF. This indicated that JMA/MRI-CPS2 cannot adequately reproduce certain dynamics. In conclusion, comparing Dyn-SCFs with St-SCFs can clarify the potential regions and seasons to improve the forecast skill of Dyn-SCFs.

73 **Keywords:** seasonal climate forecast; JMA/MRI-CPS2; precipitation; climate index;

74 forecast skill

75

## 76 **1. Introduction**

77 Seasonal climate forecasts (SCF), which are capable of making weather predictions with  
78 one month to one year lead times, provide useful information for decision-making and  
79 early warning systems in various fields such as agriculture and water resource  
80 management (Doblas-Reyes et al., 2006; Jones et al., 2000; Klemm and McPherson,  
81 2017; Meinke and Stone, 2005; Pozzi et al., 2013); however, their utility relies on forecast  
82 skill. Therefore, SCF skill evaluation is crucial in the construction of SCF systems (Kim et  
83 al., 2012).

84 Generally, evaluating the skill of SCFs involves analyzing their degree of similarity with  
85 observed data. As a more advanced approach, using climatology or simple statistical  
86 methods in the assessment of added values compared to the SCF system has been  
87 proposed (Luo et al. 2012; Pappenberger et al. 2015; Turco et al. 2017). For dynamical  
88 SCF (Dyn-SCF) systems, particularly those with large computational loads, the benefits of  
89 these added values outweigh their high cost compared with the forecast skill of less  
90 expensive and simpler methods.

91 In the case of forecasting a few specific climate variables, statistical SCF (St-SCF)  
92 systems are an alternative to the Dyn-SCF systems (Doblas-Reyes et al., 2013). The  
93 forecast skills of the two systems have been compared in various manners and regions  
94 (Folland et al. 1991; Anderson et al. 1999; Barnston et al. 1999; van Oldenborgh et al.  
95 2005; Quan et al. 2006; Wu et al. 2009; Pappenberger et al. 2015; Turco et al. 2017;

96 Lenssen et al. 2020). Systematic global comparisons can be used to identify the regions  
97 and seasons in which Dyn-SCF systems have advantages and disadvantages in  
98 forecasting.

99 Among the various statistical methods used in St-SCF systems, numerous studies have  
100 used climate indices such as Niño 3.4, the Southern Oscillation Index, and the Madden-  
101 Julian Oscillation (Quayle 1929; Nicholls, McBride, and Ormerod 1982; McBride and  
102 Nicholls 1983; Gordon 1986; Chu 1989; Stone, Hammer, and Marcussen 1996; Chiew et  
103 al. 1998; Kirono, Chiew, and Kent 2010; Schepen, Wang, and Robertson 2012; Eden et al.  
104 2015; Singh and Qin 2020). The predictability in using climate indices relies on the slow  
105 dynamics of the ocean and atmosphere and their associated climate states. This is similar  
106 for Dyn-SCF systems, whose predictability also depends on the presence of slow  
107 variations in soil moisture, snow cover, sea ice, and ocean surface temperature (Doblas-  
108 Reyes et al., 2013). Therefore, the forecast skill of St-SCFs that utilize climate indices is a  
109 suitable benchmark for Dyn-SCFs. By comparing Dyn-SCFs and St-SCFs, slow dynamics,  
110 which are insufficiently reproduced in Dyn-SCF systems, can be clarified, contributing to  
111 improving the skill of Dyn-SCFs.

112 The global Dyn-SCF system known as JMA/MRI-CPS2 (Japan Meteorological  
113 Agency/Meteorological Research Institute-Coupled Prediction System version 2) (Takaya  
114 et al. 2018) developed by the Japan Meteorological Agency (JMA) and Meteorological  
115 Research Institute (MRI) is used for operational seasonal forecasting in Japan. Takaya et



116 al. (2018) reported that JMA/MRI-CPS2 exhibited improved forecast skill performance on  
117 interannual variability in the ocean and atmosphere, including El Niño events, compared to  
118 its predecessor model, JMA/MRI-CPS1 (Takaya et al., 2017). The Tokyo Climate Center  
119 of the World Meteorological Organization publishes monthly forecast skills of JMA/MRI-  
120 CPS2. Their evaluation includes reports on where and when precipitation forecast skill is  
121 high or low. Currently, comparisons with St-SCFs have not been performed for JMA/MRI-  
122 CPS2.

123 Precipitation forecasting is essential for effective water management and disaster  
124 reduction. The precipitation forecast skill of Dyn-SCF systems is shown to be lower than  
125 that of temperature forecasts, and areas with highly accurate precipitation forecasts are  
126 limited in the tropics (Doblas-Reyes et al., 2013). To date, the added value of the skill of  
127 Dyn-SCF systems for precipitation forecasts compared to St-SCF systems has not been  
128 determined.

129 In this study, we aimed to evaluate the monthly precipitation forecast skill of JMA/MRI-  
130 CPS2 compared with that of an St-SCF system by using climate indices and discussed its  
131 likelihood of improving the forecast skill of Dyn-SCFs. The outline of this paper is as  
132 follows. Section 2 describes the data and methods, explaining the precipitation observation  
133 data (Section 2.1), the two models: JMA/MRI-CPS2 (Section 2.2) and an St-SCF using  
134 climate indices (Section 2.3), and the evaluation method of forecast skill (Section 2.4).  
135 Section 3 presents the results of forecast skill from three viewpoints: global (Section 3.1),

136 spatial (Section 3.2), and regional (Section 3.3). Section 4 outlines and discusses the main  
137 findings. Finally, Section 5 gives the conclusions.

138

139

## 140 **2. Data and methods**

141 The forecast skill of JMA/MRI-CPS2 was evaluated by comparing it with observed  
142 precipitation. An St-SCF system that utilized 17 climate indices was then developed to  
143 generate monthly precipitation forecasts. The forecast skill of this St-SCF system was  
144 evaluated and compared to that of JMA/MRI-CPS2. Table 1 lists the data and models, as  
145 well as the method used for evaluating forecast skill.

146

### 147 **2.1. Observation data on precipitation**

148 Monthly precipitation data from the Global Precipitation Climatology Project (GPCP) (Adler  
149 et al., 2003, 2018) v2.3 provided by the Physical Sciences Laboratory of the National  
150 Oceanic & Atmospheric Administration/Office of Air and Radiation/Earth System Research  
151 Laboratories (NOAA/OAR/ESRL) were used as observations. Data from 1981 to 2020 was  
152 first divided into two half periods: 1981–2000 and 2001–2020. The data in the first period  
153 was used for the bias-correction of JMA/MRI-CPS2 (Section 2.2) and model development  
154 of the St-SCF system using climate indices (Section 2.3), and the second half period data  
155 was used for the evaluation of forecast skill of the two models (Section 2.4). As a pre-  
156 processing step, GPCP v2.3 was re-gridded to follow JMR/MRI-CPS2 using the bilinear  
157 method, because the center of their grids did not match even though the spatial resolution  
158 of both JMA/MRI-CPS2 and GPCP v2.3 was  $2.5^{\circ} \times 2.5^{\circ}$ .

159

## 160 **2.2. JMA/MRI-CPS2**

161 The main component of JMA/MRI-CPS2 is a coupled atmosphere–ocean model  
162 (JMA/MRI-CGCM2), with an atmospheric component based on the low-resolution version  
163 of the JMA Global Spectral Model (GMS1011C, Japan Meteorological Agency, 2013). Its  
164 spatial resolution is TL159 (approximately 110 km) with 60 vertical layers. The ocean  
165 component of JMA/MRI-CGCM2 is based on the MRI Community Ocean Model version 3  
166 (Tsuji no et al., 2010), which includes a sea ice model; it has a spatial resolution of 1° east–  
167 west and 0.3–0.5° north–south, and contains 52 vertical layers. The Japanese 55-year  
168 Reanalysis (Kobayashi et al. 2015) was used to initialize the atmospheric data, and the  
169 Global Ocean Data Assimilation System (MOVE/MRI.COM-G2 (Toyoda et al., 2013)) was  
170 used for ocean data.

171 The JMA/MRI-CPS2 hindcast data were obtained from the Japan Meteorological  
172 Business Support Center. The hindcast period was 1979–2020 and the time resolution  
173 was daily. Daily values were averaged to produce monthly values. The hindcast data had  
174 a spatial resolution of  $2.5^\circ \times 2.5^\circ$  and included five ensembles with different initial  
175 conditions, explained as follows. Two forecasts started near the middle and end of each  
176 month; this study used the forecast closer to the end of the month, with the dates: Jan 31,  
177 Feb 25, Mar 27, Apr 26, May 31, Jun 30, Jul 30, Aug 29, Sep 28, Oct 28, Nov 27, and Dec  
178 27. The forecast values in the first six months of the hindcast data, which covered 240  
179 days, was used in the study. Figure 1 shows an example of five-month lead forecasts of

180 JMA/MRI-CPS2. For example, precipitation forecasts for July used monthly precipitation  
 181 forecasts that began in January.

182 The hindcast data for 2001–2020 was used for the evaluation of the forecast skill of  
 183 JMA/MRI-CPS2. Before the evaluation, the data was bias-corrected, as follows:

$$184 \quad \widetilde{SIM}_{i,k,LM}(Y, M) = SIM_{i,k,LM}(Y, M) + (\overline{OBS}_i(M) - \overline{SIM}_{i,LM}(M))$$

$$185 \quad \overline{OBS}_i(M) = \sum_{Y_{BC}=1981}^{2000} OBS_i(Y_{BC}, M)$$

$$186 \quad \overline{SIM}_{i,LM}(M) = \sum_{k=1}^5 \sum_{Y_{BC}=1981}^{2000} SIM_{i,k,LM}(Y_{BC}, M)$$

187 where  $\widetilde{SIM}_{i,k}(Y, M)$  denotes bias-corrected forecast values for grid  $i$ , ensemble  $k$  (= 1 to  
 188 5), lead month  $LM$  (= 0 to 5) for forecast year  $Y$  (= 2001 to 2020), and month  $M$ .  $\overline{OBS}_i(M)$   
 189 is the observed precipitation averaged over the years for bias-correction,  $Y_{BC}$  (= 1981 to  
 190 2000), for grid  $i$  and month  $M$ , and  $\overline{SIM}_{i,LM}(M)$  are forecasted precipitation averaged over  
 191 the years for bias-correction ( $Y_{BC} = 1981$  to 2000) and ensembles ( $k = 1$  to 5) for grid  $i$  and  
 192 lead month  $LM$ .

193

### 194 **2.3. Statistical seasonal climate forecast system using climate indices**

195 The St-SCF system using climate indices was constructed by first producing 17  
 196 precipitation forecasts from 1981 to 2000 with statistical models for the 17 climate indices.  
 197 Second, the statistical model for the climate index with the highest Mean Squared Skill  
 198 Score (MSSS) was selected. Third, 100 ensembles of the statistical model for the climate  
 199 index were produced by the resampling method. Fourth, 100 ensembles of precipitation

200 forecasts from 2001 to 2020 were produced by using the 100 ensembles of the statistical  
201 model.

202 Statistical models used in this study treat the climate index as the explanatory variable  
203 and precipitation as the objective variable. The model is expressed as follows:

$$204 \quad PRE_{i,j,LM}(Y, M) = \max\{f_{i,j,M,LM}(IDX_j(Y, M - (LM + 1))), 0\}$$

205 where  $PRE_{i,j,LM}(Y, M)$  denotes the forecast values of precipitation for grid  $i$ , climatic  
206 index  $j$ , lead month  $LM$  ( $= 0$  to  $5$ ), forecast year  $Y$ , and month  $M$ . The expression

207  $IDX_j(M - (LM + 1))$  is the value of climatic index  $j$  in  $M - (LM + 1)$ , and  $f_{i,j,M,LM}$  is a

208 function for the precipitation in  $M$  for grid  $i$  from climatic index  $j$  in  $M - (LM + 1)$ . Figure 1

209 shows an example of a five-month lead forecast by the St-SCF using climate indices. In

210 the statistical model for precipitation forecasts in July, the precipitation for that month was

211 treated as the objective variable and the climate indices in January as the explanatory

212 variables.

213 In the first step, the leave-one-out method was used for producing precipitation forecasts

214 from 1981 to 2000. After removing the data of one forecast year from 1981 to 2000, the

215 function  $f_{i,j,M,LM}$  was determined by using the remaining data through the smoothing

216 spline method (Wood, 2017). In this study, the “gam” function in the “mgcv” package of R

217 software v4.05 was used for the smoothing spline method. An example of this function,

218  $f_{i,j,M,LM}$ , is shown in Figure 2. Next, the forecast values were obtained using the function

219 determined from  $f_{i,j,M,LM}$  and the data removed in the first step. By repeating the above

220 procedures for all years from 1981 to 2000, forecast values were obtained. In addition to  
221 the smoothing spline method, linear models were also used for the function,  $f_{i,j,M,LM}$ . The  
222 description of a part of the forecast skill of the linear models has been provided as  
223 Appendix.

224 In the third step, 100 ensembles of the statistical model for the climate index with the  
225 highest MSSS were constructed with the resampling method (Eflon, 1979; Masutomi et al.,  
226 2012; Masutomi et al., 2015). First,  $f_{i,j,M,LM}$  was determined by using the statistical model  
227 for the climate index with the highest MSSS and precipitation data from 1981 to 2000 with  
228 the smoothing spline method. Note that the leave-one-out method was not used in this  
229 step. Then, new precipitation data from 1981 to 2000 were produced by resampling  
230 residues between observed precipitation and precipitation calculated by the determined  
231  $f_{i,j,M,LM}$  and by adding the resampled residues to the observed precipitation. By repeating  
232 the resampling procedures 100 times, 100 ensembles of new precipitation data were  
233 obtained. In the final step, 100 ensembles of  $f_{i,j,M,LM}$  were constructed by the 100  
234 ensembles of new precipitation data through the smoothing spline method.

235 Table 2 summarizes the 17 climate indices used in this study by category. These indices  
236 were selected from climate indices provided by the NOAA Physical Sciences Laboratory,  
237 and the values were updated within approximately a week after the end of each month.

238

#### 239 **2.4. Evaluation of forecast skill**

240 The deterministic and probabilistic forecast skills of JMA/MRI-CPS2 and St-SCF using  
 241 climate indices were evaluated. The MSSS was used for the deterministic forecast skill,  
 242 while the area under receiver operating characteristic curve (AUC) was used for the  
 243 probabilistic one. The MSSS value is expressed as:

$$244 \quad MSSS(M) = 1 - \frac{MSE(M)}{VAR(M)},$$

$$245 \quad MSE(M) = \frac{1}{N} \sum_{Y=2001}^{2020} (F(Y, M) - O(Y, M))^2,$$

$$246 \quad VAR(M) = \frac{1}{N} \sum_{Y=2001}^{2020} (O(Y, M) - \bar{O}(M))^2,$$

247 where  $MSE(M)$  is the mean squared error for month  $M$ ,  $VAR(M)$  is the variance for  
 248 month  $M$ ,  $F(Y, M)$  and  $O(Y, M)$  are, respectively, forecast and observation values in year  
 249  $Y$  (= 2001 to 2020),  $N$  (= 20) is the number of years for the evaluation, and  $\bar{O}(M)$  is mean  
 250 precipitation over 20 years from 2001 to 2020 for month  $M$ . A positive MSSS value  
 251 indicates that the forecast has higher skill than climatological forecasts. For the evaluation  
 252 of deterministic forecast skill, five ensemble mean values of JMA/MRI-CPS2 and 100 of  
 253 the St-SCF using climate indices were used.

254 The AUC was calculated above and below the mean observational precipitation during  
 255 2001–2020. The forecast probability for each category was calculated using the  
 256 ensembles of each model. The mean value of AUCs for each category was used for the  
 257 evaluation. The detailed calculation of the AUC is described in Mason (2018). An AUC is  
 258 smaller than 0.5 means that the forecast skill is lower than that of climatological forecasts.



259 The evaluation was conducted for global data at a spatial resolution of  $2.5^\circ \times 2.5^\circ$  for  
260 JMA/MRI-CPS2 and the St-SCF system using climate indices. In addition to the global  
261 evaluation by grids, the following five global metrics were calculated: (i) MSSS-rp: the ratio  
262 of areas with positive MSSS; (ii) MSSS-hi: the ratio of areas with positive and higher  
263 MSSS between JMA/MRI-CPS2 and the St-SCF using climate indices; (iii) AUC-av: the  
264 global average of AUC; (iv) AUC-r0.5: the ratio of areas with AUC >0.5; (v) AUC-hi: the  
265 ratio of areas with AUC >0.5 and the higher AUC between JMA/MRI-CPS2 and St-SCF  
266 using climate indices. The calculations of these metrics are described in Figure 3. AUC-av  
267 is a simple metric for representing the global average AUC. While the global average of  
268 MSSS (MSSS-av) can be calculated, it was not used in this study because MSSS has no  
269 lower limit. Moreover, large MSSS negative values in any grid tend to influence the global  
270 mean. MSSS-rp and AUC-r0.5 are used to represent the ratio of areas where models have  
271 higher forecast skill than climatology or random forecasts, respectively. MSSS-hi and  
272 AUC-hi are the metrics for representing the ratio of areas with higher forecast skill and are  
273 appropriate for understanding the improved model. Note that all values of forecast skill are  
274 shown with three significant digits, although the significant digits of the original data are  
275 unknown.

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277

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## 279 **3. Results**

### 280 **3.1. Comparison of global forecast skill**

281 Figure 4 shows the global values of MSSS-rp and AUC-av for JMA/MRI-CPS2 for each  
282 lead month. Although JMA/MRI-CPS2 has high forecast skill in zero-month lead forecasts,  
283 the forecast skill decreases rapidly in the one-month lead forecasts, and gradually declines  
284 thereafter. The highest forecast skill of zero-month lead forecasts was observed in  
285 February, with an MSSS-rp of 0.325 and AUC-av of 0.643, while the worst forecast skill  
286 was observed in two different months: May with an MSSS-rp of 0.213, and September with  
287 an AUC-av of 0.590. For one-month lead forecasts, February had an MSSS-rp of 0.157,  
288 less than half the value of zero-month lead forecasts. Comparing the MSSS-rp and AUC-  
289 av of the ocean and land, the forecast skill for ocean is evidently higher than that for land.

290 Figure 5 shows the global values of MSSS-rp and AUC-av for the St-SCF using climate  
291 indices for each lead month. The forecast skill decreases as the lead month increases, but  
292 the decrease is significantly smaller than in JMA/MRI-CPS2. The highest forecast skill of  
293 zero-month lead forecasts for the global forecast was observed in two months: December  
294 with an MSSS-rp of 0.172, and January with an AUC-av of 0.537, while the worst forecast  
295 skill was observed in: April with an MSSS-rp of 0.136, and June with an AUC-av of 0.513.  
296 For one-month and five-month lead forecasts, the MSSS-rps of December were 0.157 and  
297 0.145, and the AUC-avs of January were 0.532 and 0.521, respectively. Comparing the

298 ocean and land areas shows that the forecast skill is higher for ocean forecasts with lead  
299 times of zero to five months.

300 Figure 6 shows a comparison of the annual mean deterministic (MSSS-rp, MSSS-hi) and  
301 probabilistic (AUC-av, AUC-r0.5, and AUC-hi) forecast skills between JMA/MRI-CPS2 and  
302 the St-SCF using climate indices. Evidently, both the deterministic and probabilistic  
303 forecast skills of JMA/MRI-CPS2 were much higher than those of the St-SCF for zero-  
304 month lead time. The difference drastically became smaller for one-month leads. For one-  
305 month or longer lead forecasts, deterministic forecast skills (MSSS-rp, MSSS-hi) between  
306 JMA/MRI-CPS2 and the St-SCF using climate indices were not different. The probabilistic  
307 forecast skills (AUC-av, AUC-r0.5, and AUC-hi) of JMA/MRI-CPS2 were still higher than  
308 that of the St-SCF using climate indices, although the difference was small and gradually  
309 decreased for longer lead forecasts. Therefore, the forecast skill of JMA/MRI-CPS2 is  
310 generally higher for zero-month lead forecasts. However, if the forecasts are longer than  
311 one month, the deterministic skill of JMA/MRI-CPS2 is comparable to that of the St-SCF  
312 using climate indices while the probabilistic skill of JMA/MRI-CPS2 remains slightly higher.

313

### 314 **3.2. Spatial comparison of global forecast skill**

315 Figure 7 shows the spatial distribution of MSSS for JMA/MRI-CPS2 in March, June,  
316 September, and December. In zero-month lead forecasts, areas with a positive MSSS is  
317 distributed worldwide; even in the middle latitudes, such as east Australia in September

318 and Kazakhstan in December. However, the areas with positive MSSS were limited to low  
319 latitudes in one-month or longer lead forecasts.

320 Figure 8 shows the spatial distribution of MSSS for the St-SCF using climate indices for  
321 the same months as shown in Figure 7. The figure shows that the areas with high MSSS  
322 are generally limited to low latitudes even in zero-month lead forecasts. Figure 9 shows  
323 the climate indices selected for each grid with positive MSSS, demonstrating that the  
324 selected indices depend on the regions and forecast month. Table 3 presents the area  
325 ratio of selected climate indices for one-month lead forecasts. The climate index with the  
326 largest selected area was MEI. The indices whose ratio of the selected area is  $>0.01$  were  
327 MEI, NINO1.2, NINO3, NINO3.4, NINO4, and SOI, which are ENSO-related, indicating  
328 that the St-SCF using climate indices largely relied on ENSO, presenting a physical  
329 background of the model.

330 Figure 10 shows the annual mean of MSSS-hi (left) and AUC-hi (right) by latitudes for  
331 JMA/MRI-CPS2 (red line) and the St-SCF using climate indices (black line). The  
332 deterministic and probabilistic forecast skills of JMA/MRI-CPS2 were generally higher than  
333 those of the St-SCF using climate indices at all latitudes for zero-month lead forecasts. For  
334 one-month or longer lead forecasts, the skills of JMA/MRI-CPS2 were still higher than  
335 those of the St-SCF using climate indices at low latitudes, but as the lead month  
336 increases, the differences between the two models decreased and the latitudes at which  
337 JMA/MRI-CPS2 has a higher forecast skill tended to narrow. Comparing the probabilistic

338 and deterministic forecasts, the differences in probabilistic forecasts between JMA/MRI-  
339 CPS2 and the St-SCF using climate indices were larger at low latitudes than those in the  
340 deterministic forecasts. This is because the AUC-hi of the JMA/MRI-CPS2 at low latitudes  
341 was higher than that at high latitudes, while the AUC-hi of the St-SCF using climate indices  
342 did not change by latitudes. This is the reason why the global probabilistic forecast skills of  
343 JMA/MRI-CPS2 were slightly higher than those of the St-SCF using climate indices for  
344 one-month or longer lead forecasts, while there were no differences in the global  
345 deterministic forecasts between the two models (Figure 6).

346

### 347 **3.3. Regional comparison of forecast skill for south Philippines in April and** 348 **southwest Australia in December**

349 Figures 7 and 8 show that the St-SCF using climate indices have a positive MSSS in  
350 south Philippines during April and southwest Australia during December from zero- to two-  
351 month lead forecasts; JMA/MRI-CPS2 had a negative MSSS. Figure 11 compares the  
352 precipitation at a grid in south Philippines (a; 120° E, 10° N) for April and in southwest  
353 Australia (b; 117.5 ° E and 30° S) for December from 2001 to 2020 between observations  
354 and forecasts for zero- to two-month lead times by JMA/MRI-CPS2 and the St-SCF using  
355 climate indices. The MSSS values are also shown in Figure 11. The MSSSs of the St-SCF  
356 using climate indices were positive and higher than those by JMA/MRI-CPS2. In particular,  
357 they could accurately reproduce the annual variation of observations, even for forecasts

358 two months in advance; whereas JMA/MRI-CPS2 was unable to forecast them. Figure 12  
359 shows the relationship between climate indices and precipitation based on observations  
360 and forecasts of JMA/MRI-CPS2 for south Philippines and southwest Australia. From this  
361 figure, the inadequacies of the forecasts of JMA/MRI-CPS2 are evident. For example, in  
362 the zero-month-led forecasts of JMA/MRI-CPS2 for south Philippines, the linear  
363 relationship between climate indices and the forecasted precipitation was weak, with the  
364 forecasted precipitation showing a larger variation than the observation precipitation,  
365 especially for indices in the range  $-1$  to  $0$ . Although the forecasts of JMA/MRI-CPS2 with  
366 one- and two-month lead times showed a clear linear relationship with the climate indices,  
367 they tended to overestimate precipitation in south Philippines. Additionally, the forecasts  
368 for Australia could not reproduce higher precipitation for large negative indices, i.e., below  
369  $-1$ ; at an index of approximately  $0$ , the zero-month led forecasts of JMA/MRI-CPS2 were  
370 associated with a large error. These results indicated that certain dynamics were not well  
371 reproduced by JMA/MRI-CPS2, implying that further analysis and incorporation of these  
372 dynamics into this forecast system will improve its forecast skill.  
373

## 374 **4. Discussion**

### 375 **4.1. Forecast skill of JMA/MRI-CPS2 in comparison to St-SCF**

376 The forecast skill of JMA/MRI-CPS2 was evaluated by Takaya et al. (2018) and published  
377 by the Tokyo Climate Center. That evaluation showed that the forecast skill of precipitation  
378 was higher at low latitudes and for zero-month lead forecasts; MSSS, the deterministic  
379 forecast skill, is highest in February and lowest in April to June, while AUC, the  
380 probabilistic skill, is highest in February and lowest in September. The same forecast skills  
381 were confirmed in this study (Figures 4 and 7). In addition, by comparing with the St-SCF  
382 using climate indices as benchmark, we identified the regions and lead periods in which  
383 JMA/MRI-CPS2 was advantageous. For example, the zero-month lead forecast skill of  
384 JMA/MRI-CPS2 was globally higher than that of the St-SCF (Figures 6 and 10). In general,  
385 Dyn-SCF systems are known to have particularly high forecast skill in the tropics (Doblas-  
386 Reyes et al., 2013). Our study is the first to demonstrate the added value of Dyn-SCF  
387 systems on one-month lead forecasts over St-SCF systems. Additionally, for forecasts  
388 longer than a month, the deterministic skill of JMA/MRI-CPS2 was comparable to that of  
389 the St-SCF using climate indices and the probabilistic skill of JMA/MRI-CPS2 was slightly  
390 higher (Figure 6). At mid- and high-latitudes, no large differences were observed in  
391 deterministic and probabilistic forecast skills between the two models (Figure 10). These  
392 results clearly indicate that improving the skill of JMA/MRI-CPS2 for longer-term forecasts

393 over one month is a challenge that must be addressed. The improvement in the skill of  
394 Dyn-SCFs in comparison to St-SCFs is discussed in the next section.

395

#### 396 **4.2. Improvement of forecast skill by comparing with St-SCF using climate indices**

397 Various methods have been proposed to improve the forecast skill of Dyn-SCFs,  
398 including the initialization of soil moisture (Prodhomme et al., 2016b) and higher resolution  
399 (Prodhomme et al., 2016a). For JMA/MRI-CPS2, Takaya et al. (2021) showed that the  
400 forecast skill increases significantly with the number of ensembles. However, the  
401 realization of these improvements required a great deal of effort. In addition, if potential  
402 regions and seasons for improvement were known in advance, the model improvement  
403 could have been more efficient. In this study, by comparing the forecast skill of JMA/MRI-  
404 CPS2 with that of the St-SCF system, we found that in several regions and seasons,  
405 JMA/MRI-CPS2 showed a low forecast skill whereas the St-SCF using climate indices  
406 showed a high forecast skill. This clearly indicated the presence of certain dynamics that  
407 are not well-reproduced by JMA/MRI-CPS2, implying that the skill of the Dyn-SCF system  
408 could still be improved via the incorporation of these dynamics. Therefore, the comparison  
409 between them clearly highlights potential regions and seasons for improvement of forecast  
410 skill. Thus, we proposed an approach for identifying such regions and seasons by  
411 comparing the forecast skill of Dyn-SCFs with that of St-SCFs.

412

413



414 **5. Conclusions**

415 By comparing JMA/MRI-CPS2 with an St-SCF using climate indices as a benchmark, we  
416 identified the regions and lead periods in which JMA/MRI-CPS2 performed better. The  
417 main findings are as follows:

418 (i): The skill of JMA/MRI-CPS2 for global zero-month lead forecasts was higher than  
419 that of the St-SCF.

420 (ii): For one-month or longer forecasts, the deterministic skill of JMA/MRI-CPS2 was  
421 comparable to that of the St-SCF and its probabilistic skill was slightly higher.

422 These findings not only present the significant added value of JMA/MRI-CPS2, but also its  
423 challenges for model improvement. In addition, the comparison of JMA/MRI-CPS2 and the  
424 St-SCF using climate indices identified the potential regions and seasons for which  
425 JMA/MRI-CPS2 does not adequately reproduce climate dynamics, implying that the skill of  
426 Dyn-SCFs can still be improved by incorporating these dynamics into the Dyn-SCF  
427 system. Thus, we concluded that:

428 (iii) Comparing Dyn-SCFs with St-SCFs can determine potential regions and  
429 seasons for improvement of the forecast skill of Dyn-SCFs.

430 This approach is expected to be widely applied to improve the forecast skill of Dyn-SCFs.

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## **Data availability**

The JMA/MRI-CPS2 hindcast data can be purchased from the Japan Meteorological Business Support Center (<http://www.jmbsec.or.jp/en/index-e.html>). GPCP v2.3 is available at <https://psl.noaa.gov/data/gridded/data.gpcp.html>. The URLs where the 17 climate indices are obtained are listed in Table 2. All of the source codes used for the analyses in the present paper are stored at <https://doi.org/10.5281/zenodo.5090304>, while the source code of JMA/MRI-CPS2 is not opened for the public.

452

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## Appendix

589 Figure A1 shows the MSSS-rp and MSSS-hi for JMA/MRI-CPS2 and the St-SCF using  
590 climate indices based on the spline method (St-SCF-spl) and St-SCF using climate indices  
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593

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602

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612

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640 or NINO4. The MSSS values are also shown.

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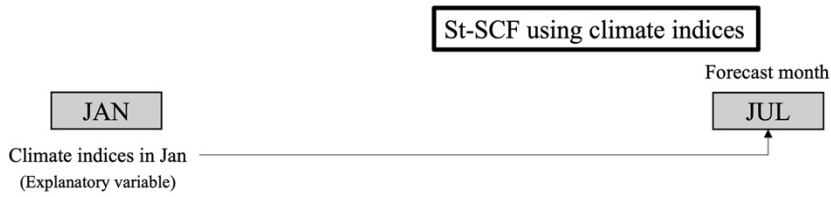
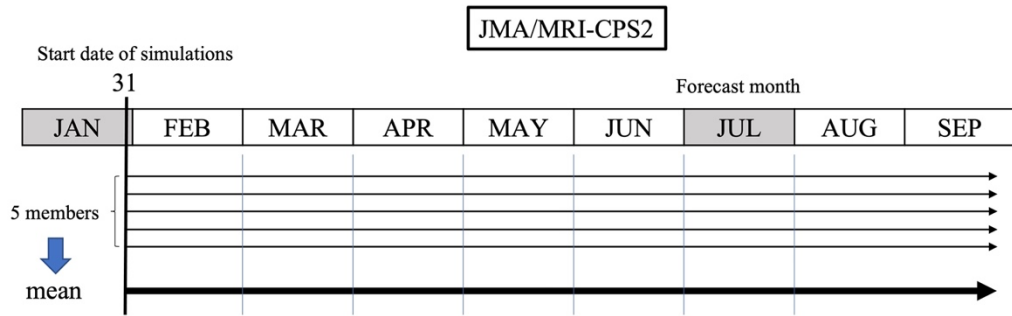
642 Figure 12: Relationship between climate indices and precipitation in south Philippines (a;  
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648 and the St-SCF using climate indices based on the spline method (St-SCF-spl) and  
649 linear method (St-SCF-lin).

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## Example of 5-month lead forecast



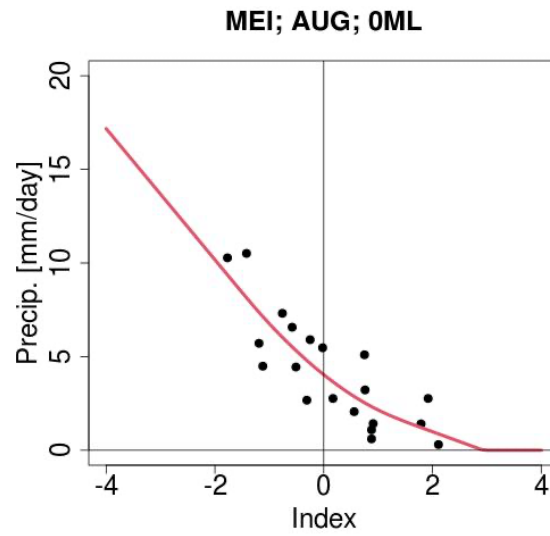
651

652 **Figure 1: Five-month lead forecast by JMA/MRI-CPS2 and the St-SCF using climate**

653 **indices.**

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657 **Figure 2: Spline interpolation curve (red line) of MEI in July for estimating August**

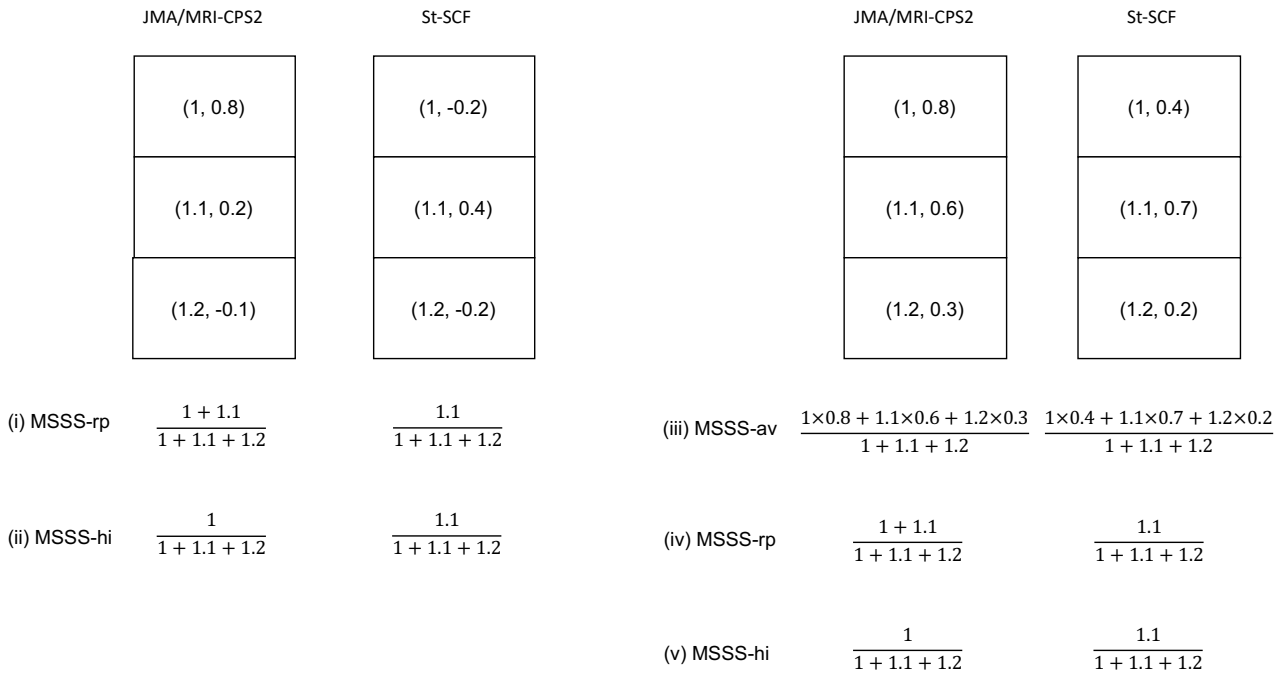
658 **precipitation at 110° longitude and -2.5° latitude. The plots denote the**

659 **observational precipitation and the values of the MEI.**

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664 **Figure 3: Example calculations of (i) MSSS-rp: the ratio of areas with positive MSSS;**  
 665 **(ii) MSSS-hi: the ratio of areas with positive and higher MSSS between JMA/MRI-**  
 666 **CPS2 and the St-SCF using climate indices; (iii) AUC-av: the global average AUC;**  
 667 **(iv) AUC-r0.5: the ratio of area with AUC >0.5; (v) AUC-hi: the ratio of area with**  
 668 **AUC >0.5 and higher AUC between JMA/MRI-CPS2 and the St-SCF using climate**  
 669 **indices. The boxes represent grids and the numbers in the boxes indicate the grid**  
 670 **area and MSSS/AUC.**

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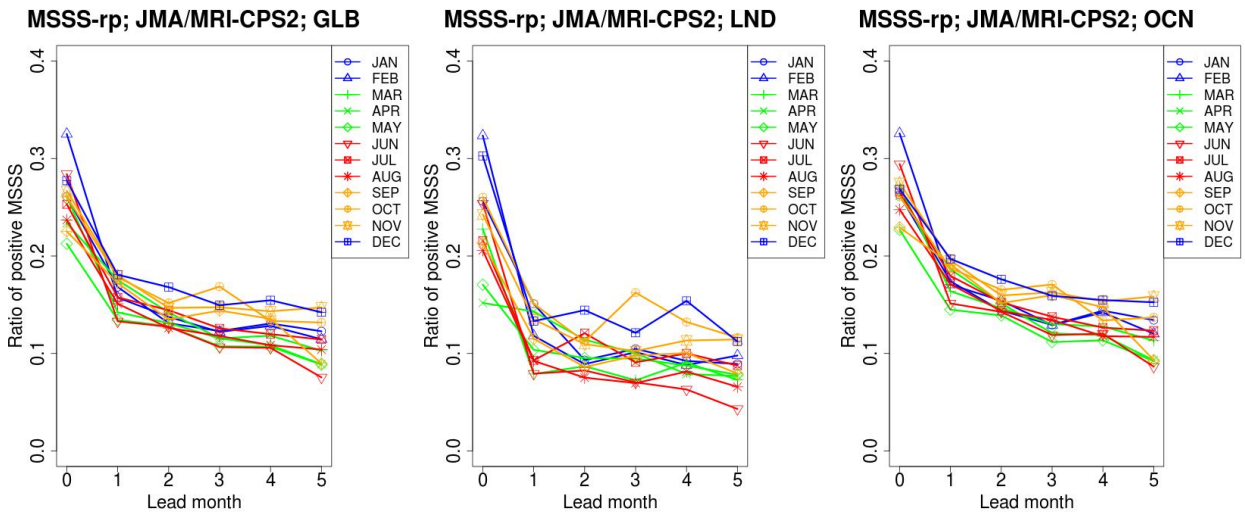
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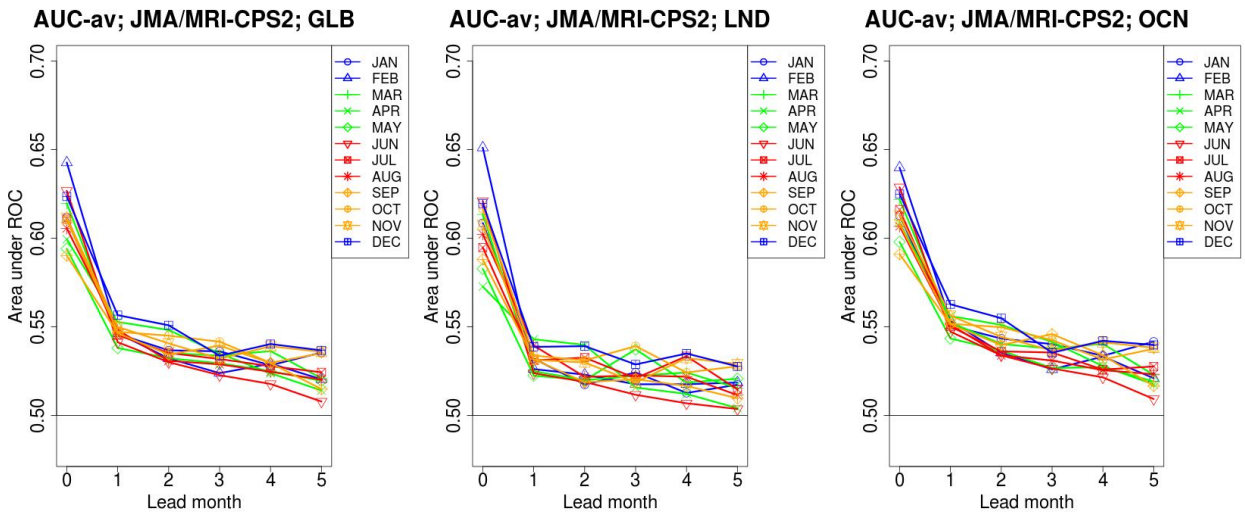
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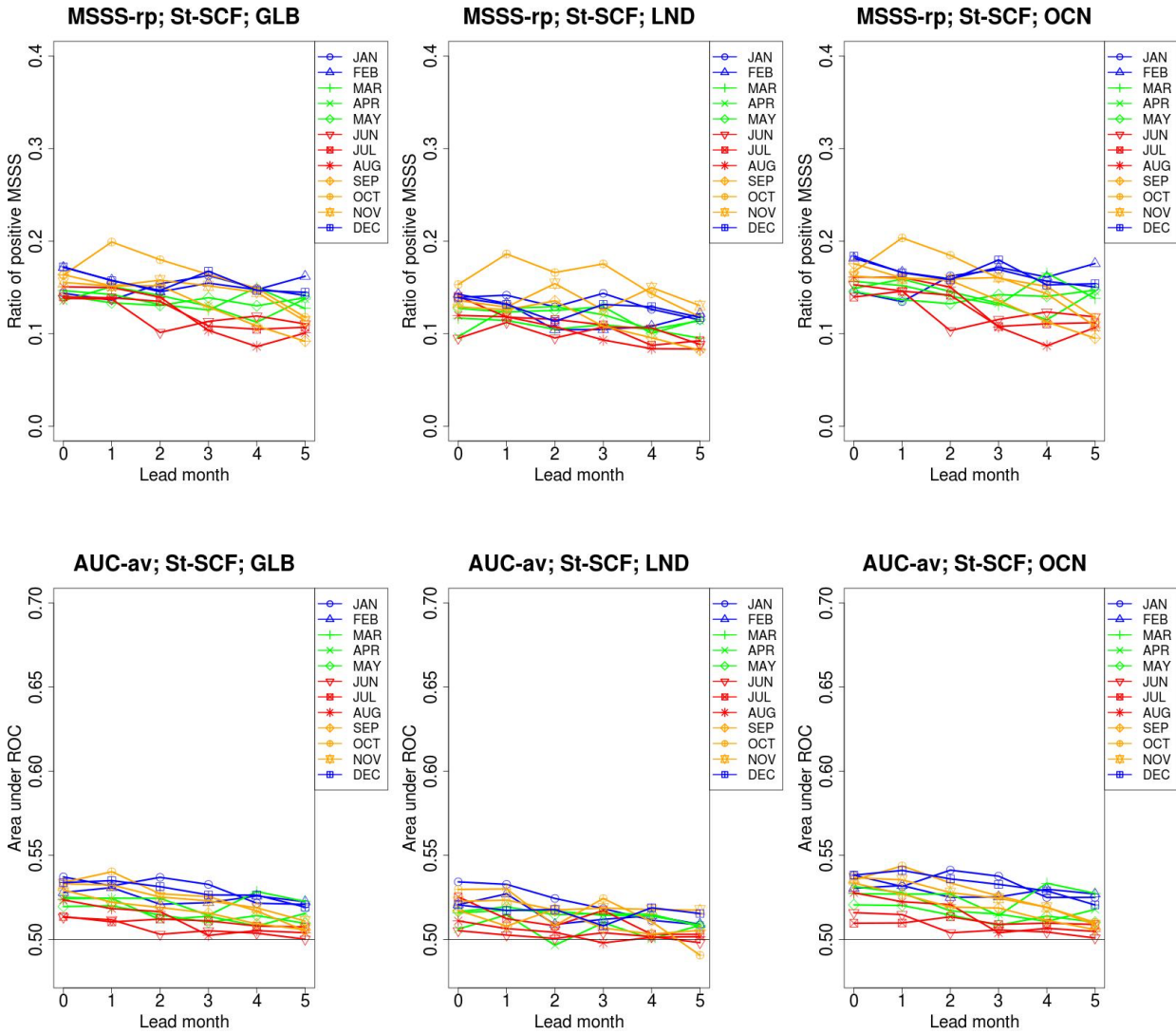


677 **Figure 4: MSSS-rp (top) and AUC-av (bottom) by JMA/MRI-CPS2 (left: global average**  
678 **(GLB); center: average over land (LND); right: average over ocean (OCN)).**

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684 **Figure 5: MSSS-rp (top) and AUC-av (bottom) by the St-SCF (left: global average**  
 685 **(GLB); center: average over land (LND); right: average over ocean (OCN)).**

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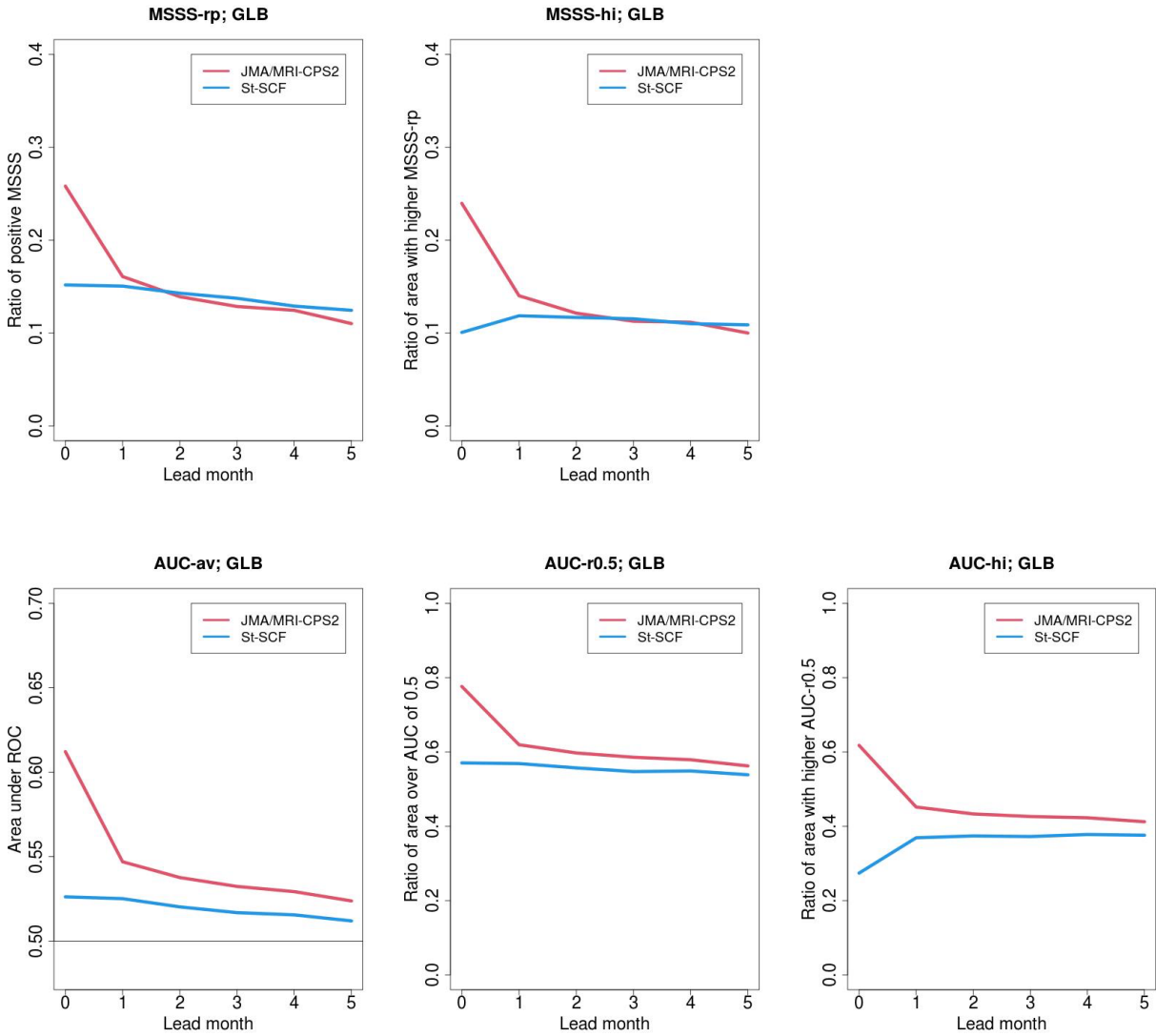
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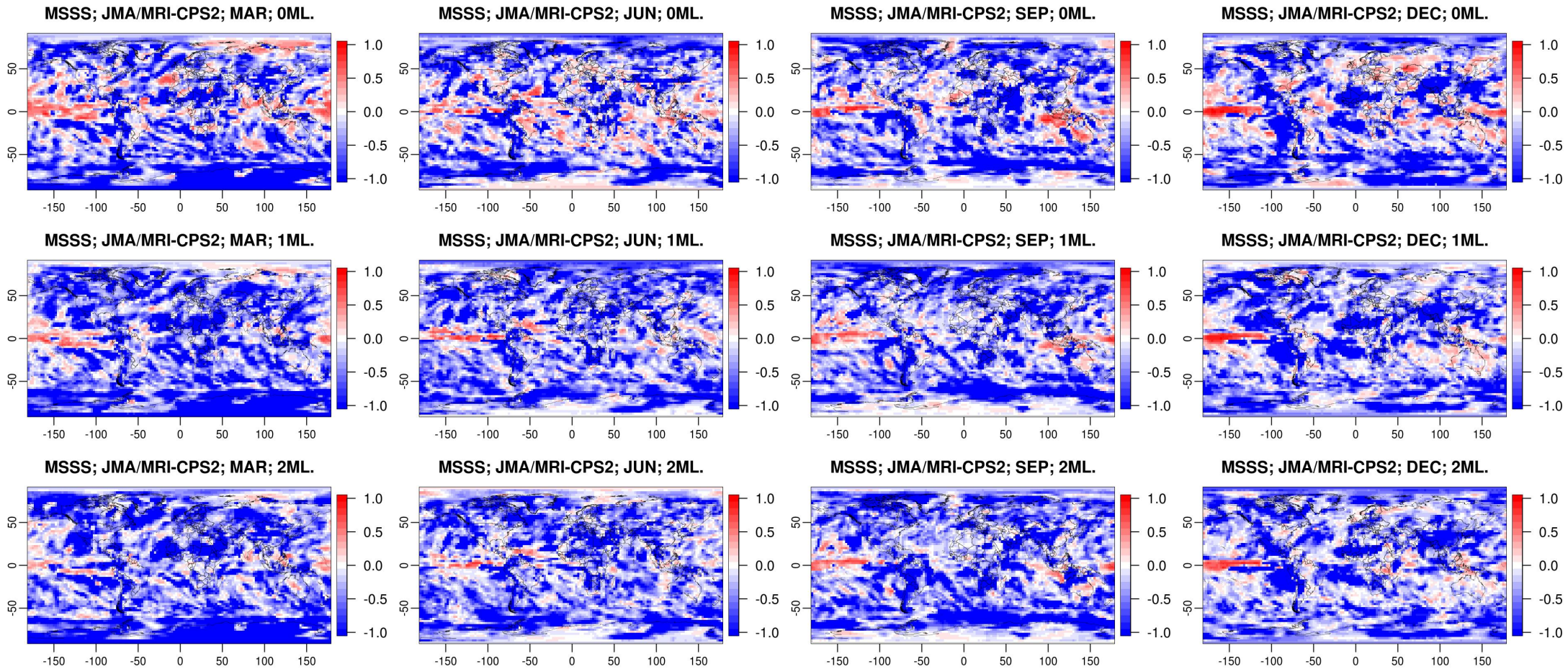
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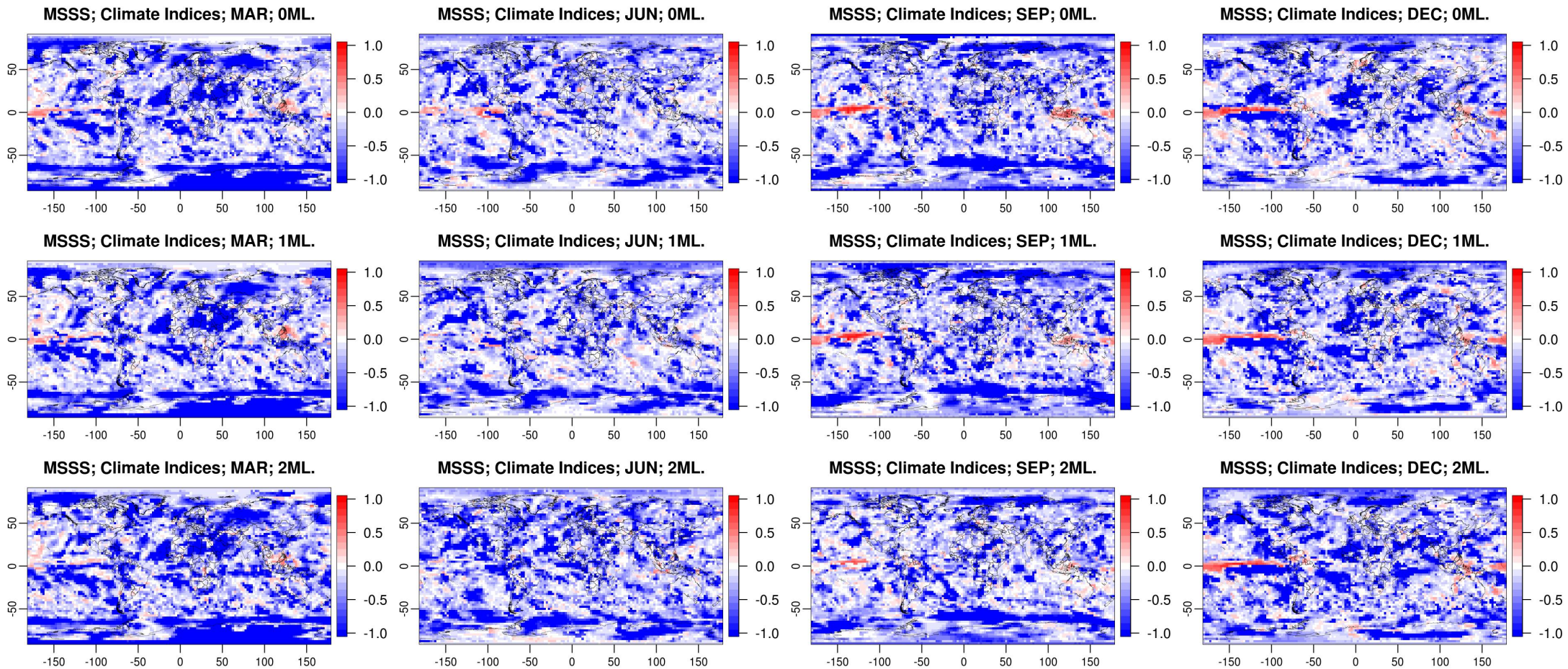
694 **Figure 6: Comparison of MSSS-rp (top left), MSSS-hi (top right), AUC-av (bottom**  
695 **left), AUC-r0.5 (bottom center), and AUC-hi (bottom right) between JMA/MRI-CPS2**  
696 **and the St-SCF using climate indices.**

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698

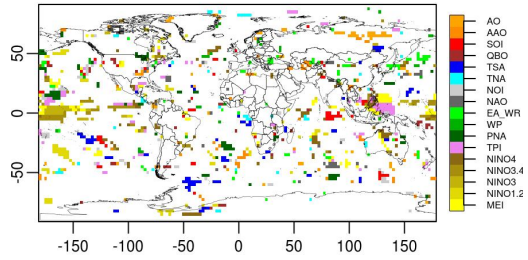


**Figure 7: Spatial distribution of MSSS for JMA/MRI-CPS2. The left, center-left, center-right, and right columns denote March, June, September, and December, respectively. The top, middle, and bottom denote the zero- to two-month leads.**

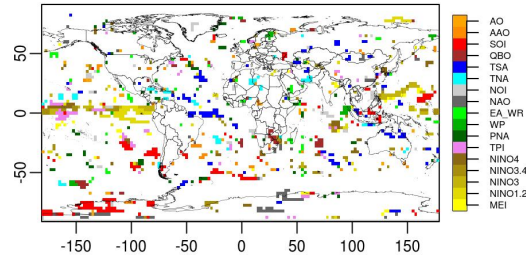


**Figure 8: Spatial distribution of MSSS for the St-SCF using climate indices. The left, center-left, center-right, and right columns denote March, June, September, and December, respectively. The top, middle, and bottom denote the zero- to two-month leads.**

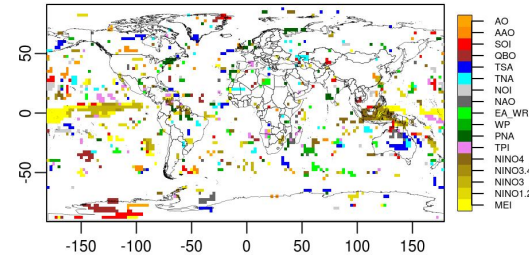
Index with Max. MSSS; MAR; 0ML



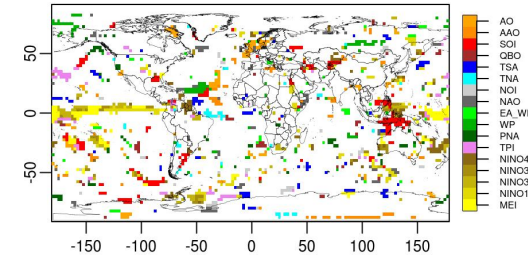
Index with Max. MSSS; JUN; 0ML



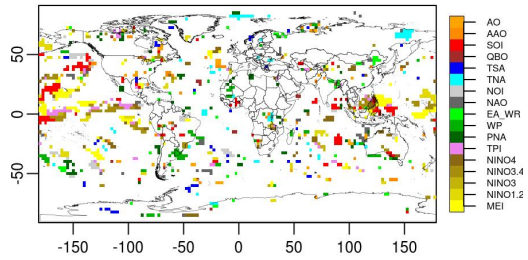
Index with Max. MSSS; SEP; 1ML



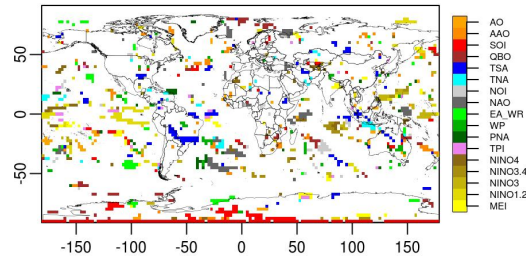
Index with Max. MSSS; DEC; 0ML



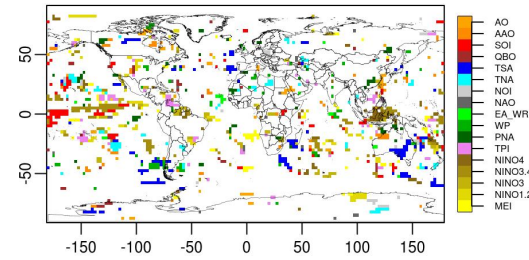
Index with Max. MSSS; MAR; 1ML



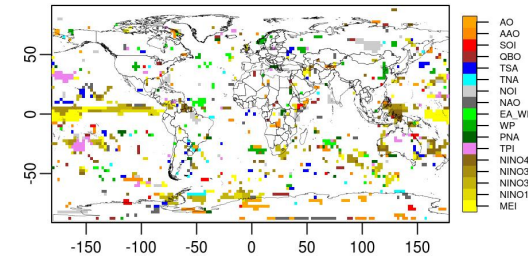
Index with Max. MSSS; JUN; 1ML



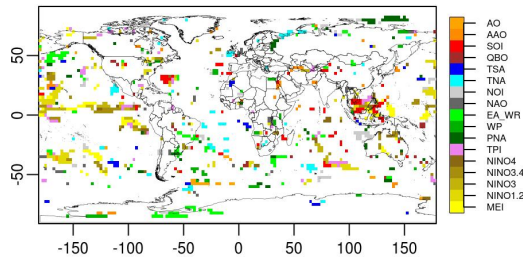
Index with Max. MSSS; SEP; 2ML



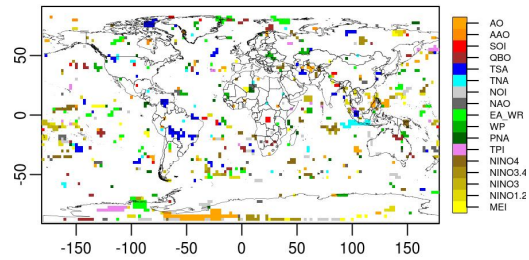
Index with Max. MSSS; DEC; 1ML



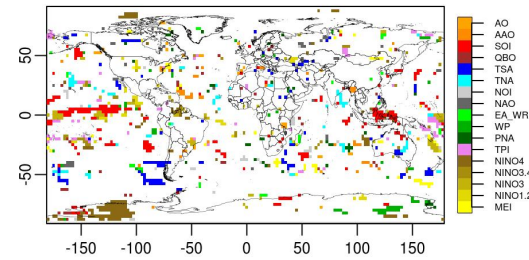
Index with Max. MSSS; MAR; 2ML



Index with Max. MSSS; JUN; 2ML



Index with Max. MSSS; SEP; 3ML



Index with Max. MSSS; DEC; 2ML

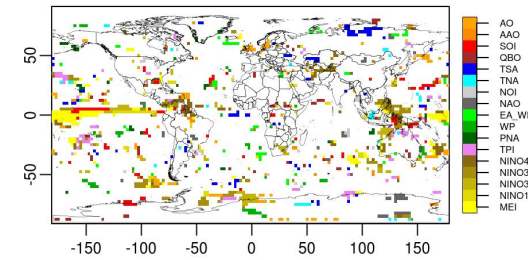
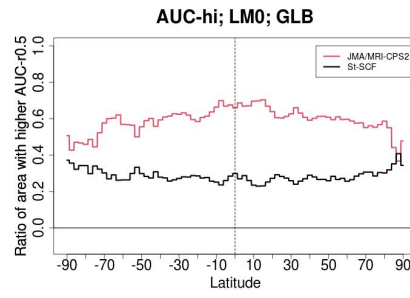
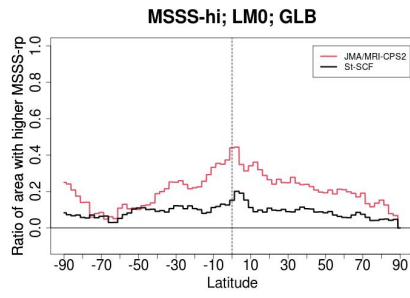


Figure 9: Climate indices selected for grids with positive MSSS. The left, center-left, center-right, and right columns denote March, June, September, and December, respectively. The top, middle, and bottom denote the zero- to two-month leads.

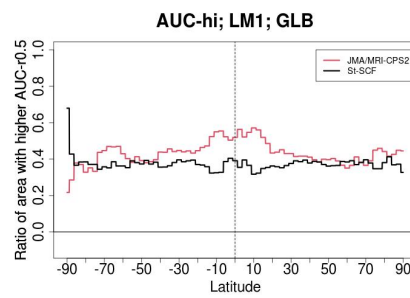
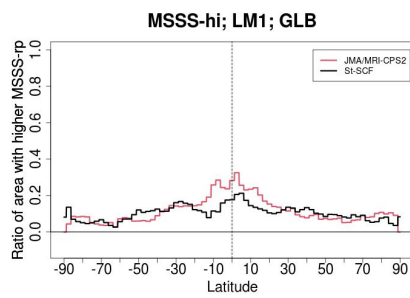
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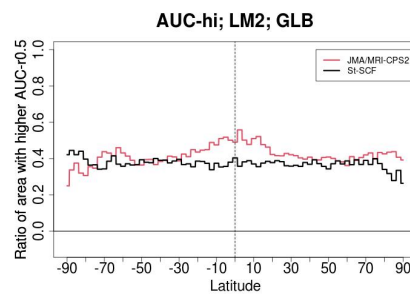
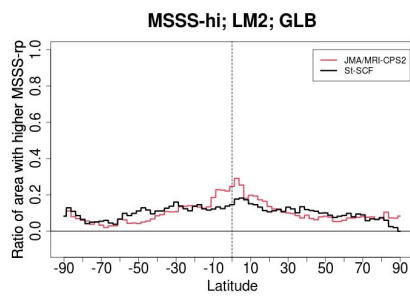
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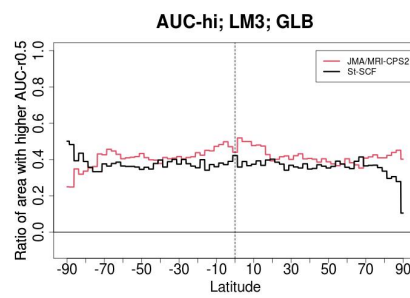
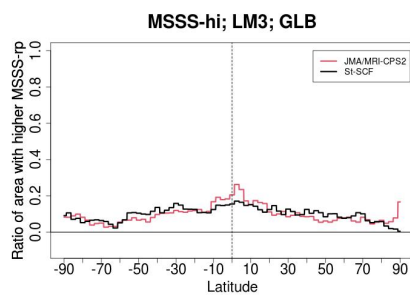
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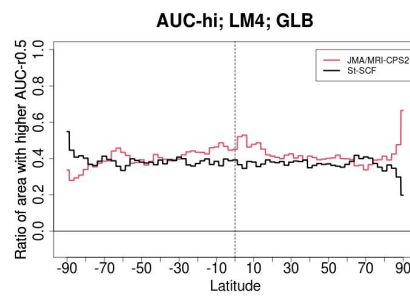
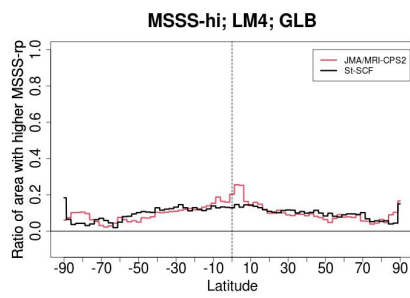
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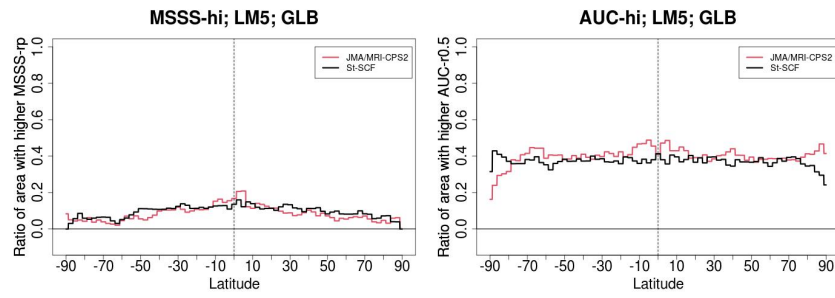


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720 **Figure 10: Comparison of latitude for MSSS-hi (left) and AUC-hi (right) for zero- (top)**

721 **to five-month (bottom) leads. The red and black lines are the values by JMA/MRI-**

722 **CPS2 and the St-SCF using climate indices, respectively.**

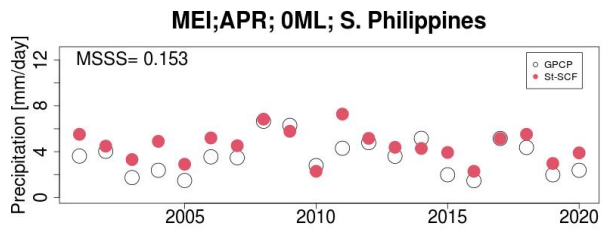
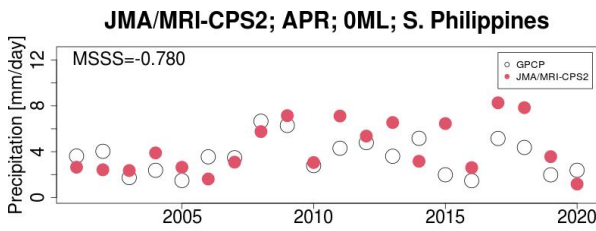
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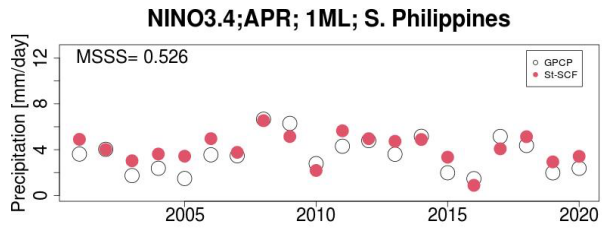
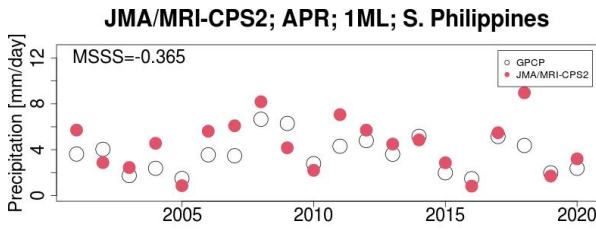
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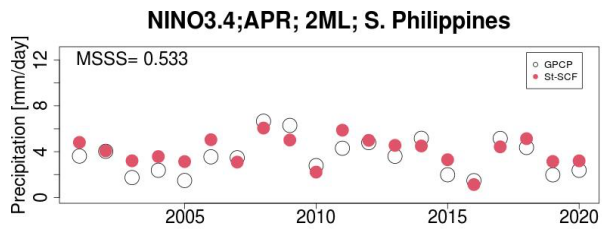
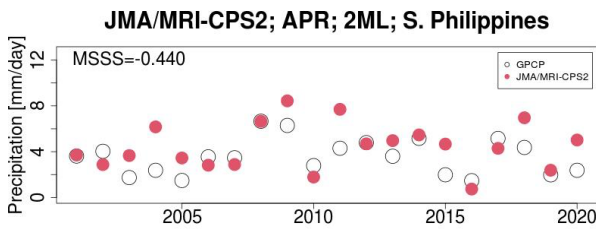
726 (a) South Philippines



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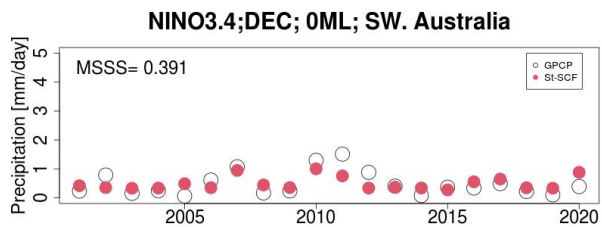
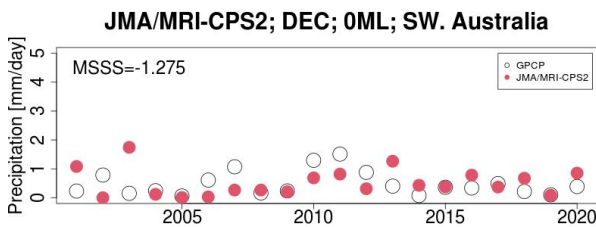


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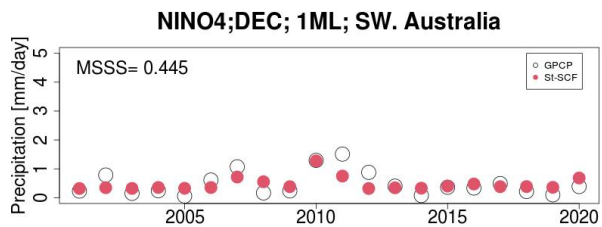
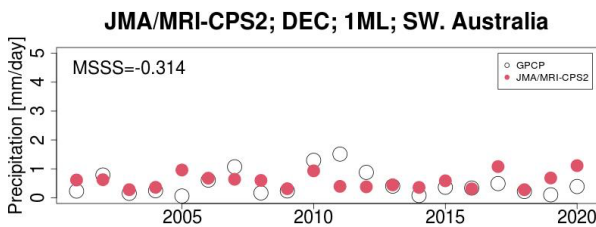


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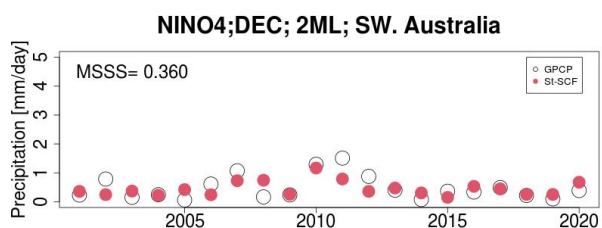
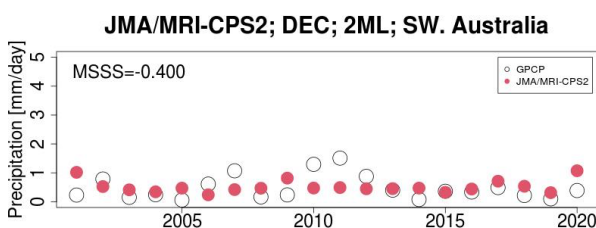
730 (b) Southwest Australia



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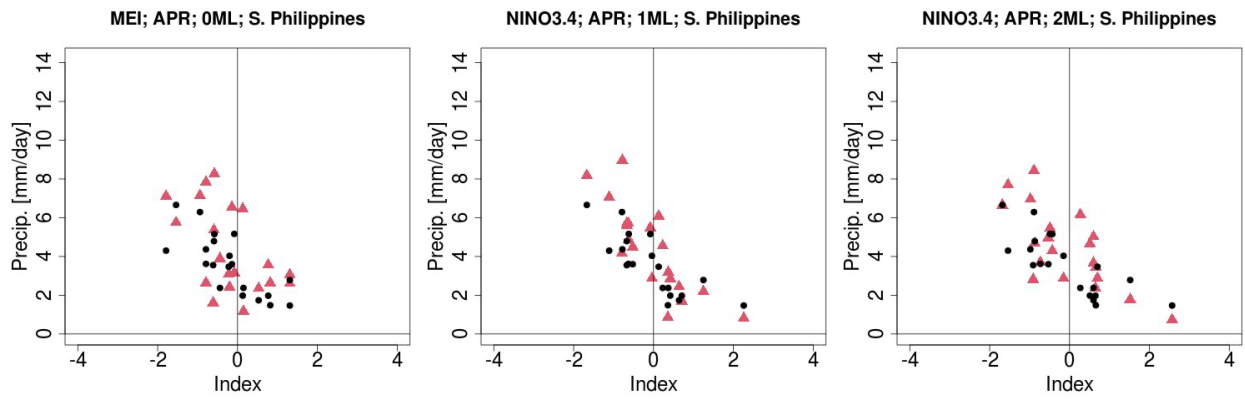
733

734 **Figure 11: Comparison of precipitation at south Philippines (a; 120° E, 10° N) and**  
735 **southwest Australia (b; 117.5° E, 30° S) from 2001–2020 between observations**  
736 **(GPCP: black circle) and forecasts (red dots) by JMA/MRI-CPS2 and the St-SCF with**  
737 **NINO3.4 or NINO4. The MSSS values are also shown.**

738

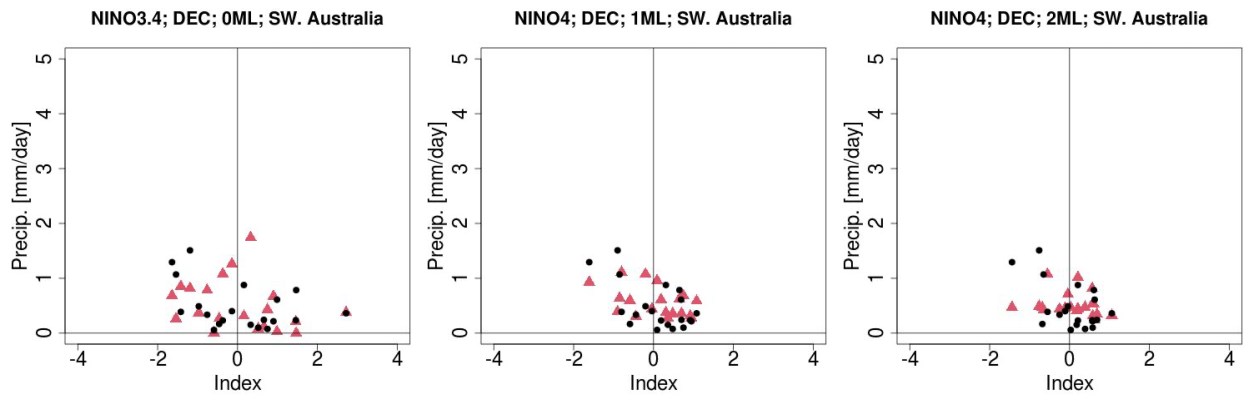
739

740 (a) South Philippines



741

742 (b) Southwest Australia



743

744 Figure 12: Relationship between climate indices and precipitation in south

745 Philippines (a; 120° E, 10° N) and southwest Australia (b; 117.5° E, 30° S). The dots

746 indicate the observational precipitation and climate indices values. The red triangles

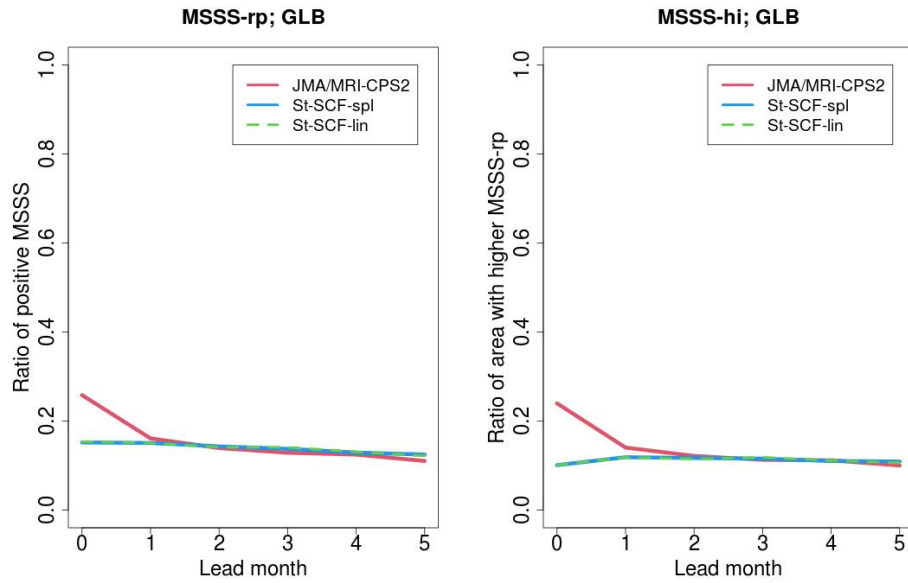
747 indicate the forecasted precipitation and climate indices values.

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753 **Figure A1: Comparison of MSSS-rp (left) and MSSS-hi (right), between JMA/MRI-**

754 **CPS2 and the St-SCF using climate indices through the spline method (St-SCF-**

755 **spl) and the linear method (St-SCF-lin).**

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758

## List of Tables

759

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765

766 **Table 1: Summary of the data, models, and method used for skill evaluation.**

<b>Item</b>	<b>Description</b>
<b>Variable</b>	<b>Precipitation</b>
<b>Area</b>	<b>Global</b>
<b>Spatial resolution</b>	<b>2.5°×2.5° (144 column; 73 rows)</b>
<b>Period</b>	<b>2001–2020</b>
<b>Time resolution</b>	<b>Monthly</b>
<b>Lead month of prediction</b>	<b>0–5 months</b>
	<b>Deterministic: Mean squared skill score (MSSS), MSSS-rp, and MSSS-hi</b>
<b>Evaluation of forecast skill</b>	<b>Probabilistic: area under receiver operation characteristic curve (AUC), AUC-av, AUC-r0.5, and AUC-hi</b>
<b>Observation</b>	<b>Global Precipitation Climatology Project (GPCP) v2.3 (regridded)</b>
<b>Dynamical model</b>	<b>JMA/MRI-CPS2 (bias-corrected)</b>
<b>Statistical model</b>	<b>Seventeen climate indices</b>

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770 **Table 2: Seventeen climate indices evaluated in this study**

Category	Name	Long name	URL
Teleconnections	PNA	Pacific North	<a href="ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/pna_index.tim">ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/pna_index.tim</a>
		American Index	
	WP	Western Pacific	<a href="ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/wp_index.tim">ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/wp_index.tim</a>
		Index	
	EA/WR	Eastern	<a href="ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/eawr_index.tim">ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/eawr_index.tim</a>
		Atlantic/Western Russia	
NAO	North Atlantic Oscillation	<a href="ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/nao_index.tim">ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/nao_index.tim</a>	
NOI	Northern Oscillation Index	<a href="https://www.pfeg.noaa.gov/products/PFEL/modeled/indices/NOIx/data/NOIx.txt">https://www.pfeg.noaa.gov/products/PFEL/modeled/indices/NOIx/data/NOIx.txt</a>	
ENSO	MEI v2	Multivariate ENSO Index	<a href="https://psl.noaa.gov/enso/mei/data/meiv2.data">https://psl.noaa.gov/enso/mei/data/meiv2.data</a>
		Extreme Eastern	<a href="http://www.cpc.ncep.noaa.gov/data/indices/ersst5.nino.mth.91-20.ascii">http://www.cpc.ncep.noaa.gov/data/indices/ersst5.nino.mth.91-20.ascii</a>
	Nino 1+2	Tropical Pacific SST (0-10S, 90W-80W)	
		Eastern Tropical Pacific SST (5N-5S, 150W-90W)	<a href="http://www.cpc.ncep.noaa.gov/data/indices/ersst5.nino.mth.91-20.ascii">http://www.cpc.ncep.noaa.gov/data/indices/ersst5.nino.mth.91-20.ascii</a>

		Central Tropical Pacific SST (5N-5S) (160E-150W)	<a href="http://www.cpc.ncep.noaa.gov/data/in-dices/ersst5.nino.mth.91-20.ascii">http://www.cpc.ncep.noaa.gov/data/in-dices/ersst5.nino.mth.91-20.ascii</a>
Nino 4			
		East Central Tropical Pacific SST (5N-5S) (170-120W)	<a href="http://www.cpc.ncep.noaa.gov/data/in-dices/ersst5.nino.mth.91-20.ascii">http://www.cpc.ncep.noaa.gov/data/in-dices/ersst5.nino.mth.91-20.ascii</a>
Nino 3.4			
SST: Pacific (except ENSO)	TPI(IPO )	Tripole Index for the Interdecadal Pacific Oscillation (unfiltered)	<a href="https://psl.noaa.gov/data/timeseries/IPOTPI/tpi.timeseries.ersstv5.data">https://psl.noaa.gov/data/timeseries/IPOTPI/tpi.timeseries.ersstv5.data</a>
SST: Atlantic (except WHWP)	TNA TSA	Tropical Northern Atlantic Index Tropical Southern Atlantic Index	<a href="https://www.esrl.noaa.gov/psd/data/correlation/tna.data">https://www.esrl.noaa.gov/psd/data/correlation/tna.data</a> <a href="https://www.esrl.noaa.gov/psd/data/correlation/tsa.data">https://www.esrl.noaa.gov/psd/data/correlation/tsa.data</a>
	QBO	Quasi-Biennial Oscillation	<a href="https://www.esrl.noaa.gov/psd/data/correlation/qbo.data">https://www.esrl.noaa.gov/psd/data/correlation/qbo.data</a>
Atmosphere	SOI	Southern Oscillation Index	<a href="https://www.esrl.noaa.gov/psd/data/correlation/soi.data">https://www.esrl.noaa.gov/psd/data/correlation/soi.data</a>
	AAO	Antarctic Oscillation	<a href="http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily_ao_index/aa">http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily_ao_index/aa</a>



o/monthly.aao.index.b79.current.as  
cii

AO            **Antarctic**  
                 **Oscillation**

[http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily\\_ao\\_index/monthly.ao.index.b50.current.ascii](http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily_ao_index/monthly.ao.index.b50.current.ascii)

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774 **Table 3: Area ratio of selected climate indices for one-month lead forecasts.**

Climate Index	Area ratio
MEI	0.0161
NINO1.2	0.0123
NINO3	0.0125
NINO3.4	0.0120
NINO4	0.0127
TPI	0.0091
PNA	0.0069
WP	0.0068
EA_WR	0.0058
NAO	0.0054
NOI	0.0071
TNA	0.0054
TSA	0.0093
QBO	0.0048
SOI	0.0133
AAO	0.0063
AO	0.0059

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