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2 **Influence of the stratospheric QBO on seasonal**  
3 **migration of the convective center across**  
4 **the Maritime Continent**

5

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29

## Abstract

30  
31 Modulation of tropical convection by the stratospheric quasi-biennial oscillation (QBO)  
32 during the Austral summer has become evident in recent studies. In this study, we show  
33 that the QBO affects the seasonal migration of the tropical convection from the equatorial  
34 Indian Ocean to the Western Pacific: large-scale convection over the Maritime Continent  
35 (MC) and western Pacific strengthens and moves eastward more effectively during  
36 easterly QBO (QBO–E) austral summers than during westerly QBO counterparts. This  
37 relationship is consistent with an enhanced Madden–Julian Oscillation (MJO) in the  
38 QBO–E. The monsoonal active convection over the Sumatra–Borneo region in December  
39 produces Kelvin wave-like low temperature anomalies in the tropical tropopause layer  
40 (TTL) over the eastern MC. These temperature anomalies strengthen when the lower  
41 stratospheric wind is easterly. We propose a hypothesis that the anomalous cooling  
42 associated with Kelvin wave-like response produces a favorable condition for a  
43 development of penetrating convection into the TTL over the eastern MC and more  
44 effective seasonal march of deep convection across the MC occurs under the QBO–E.  
45 The implication of this process for the QBO modulation of the MJO crossing the MC is  
46 also discussed.

47  
48  
49 **Keywords** QBO; MJO; penetrating convection; Stratosphere; Tropical tropopause

## 51 1. Introduction

52 A possible influence of the stratospheric quasi-biennial oscillation (QBO) on tropical  
53 convection has been reported since the mid-1980s (Gray, 1984; Collimore et al., 2003; Liess  
54 and Geller, 2012; see also the review by Haynes et al., 2021). The QBO influence on intra-  
55 seasonal oscillation (ISO) was also proposed by Kuma (1990) in 1990. However, only in  
56 recent studies (Yoo and Son, 2016; Son et al., 2017; Nishimoto and Yoden, 2017; Klotzbach  
57 et al., 2019), statistically significant impacts of the QBO on the ISO, known as a Madden–  
58 Julian Oscillation (MJO; Madden and Julian, 1972), were confirmed during the austral  
59 summer. A clear difference in the MJO between the QBO east (QBO–E) and west (QBO–  
60 W) is apparent when the active MJO convections move eastward across the Maritime  
61 Continent (MC) (Zhang and Zhang, 2018; Densmore et al., 2019; Barrett et al., 2021).  
62 However, the mechanism by which the MJO is influenced by the QBO remains unclear (see  
63 recent reviews by Jiang et al., 2020; Martin et al., 2021).

64

65 The vertical structure of the MJO is characterized by very deep convection reaching the  
66 tropical tropopause layer (TTL) or altitudes above 14 km (Morita et al., 2006; Kim et al.,  
67 2018). However, the MJO influence extends into the lower stratosphere by creating a Kelvin  
68 wave-like circulation response in the TTL (Eguchi and Shiotani, 2004; Virts and Wallace,  
69 2010). Characteristic differences in the vertical structure of the MJO according to the phase  
70 of the QBO were demonstrated by Hendon and Abhik (2018). Their results reveal that low

71 temperature anomalies tilt eastward with height from the western MC in the TTL to the lower  
72 stratosphere. Such anomalies get stronger during QBO-E austral summer, compared to  
73 QBO-W. They argued that the resultant stability decrease in the upper troposphere to the  
74 west of the convection center may help maintain convection behind the MJO, explaining a  
75 relatively slow propagation of the MJO during QBO–E.

76

77 Unlike previous studies that have focused on the seasonal-mean tropical convection and  
78 the time-filtered MJO convection, the present study examines the seasonal migration of  
79 tropical convection around the MC during the austral summer and its interannual change in  
80 response to the QBO.

81

82 Seasonal variation, in fact, is a response to the annual cycle in solar zenith angle. Such slow  
83 seasonal variation in solar radiative forcing may induces abrupt changes in monsoon activity  
84 through non-linear processes involved in the atmosphere-ocean system. According to this  
85 hypothesis, we also investigate sub-seasonal variation phase locked to the annual cycle. In  
86 fact, it is noted that the MJO tends to be phase-locked to the annual cycle during the early  
87 austral summer (Miura et al., 2015). In particular, onset of the Indonesian monsoon is related  
88 to the passage of the MJO around December (Duan et al., 2019). Such sub-seasonal  
89 variation phase locked to the annual cycle of the solar forcing should be similar to the  
90 “climatological ISO”, defined by applying the ISO criteria to the climatological annual cycle

91 (Wang et al., 1997; Kikuchi et al., 2021), while this is not identical to the composite of the  
92 individual ISO events extracted by applying a space-temporal filter.

93

94 It should be stated that we mainly consider the seasonal migration of very deep convection  
95 that is derived from the calendar day mean. It differs from previous studies on the QBO-MJO  
96 connection in which MJO events are selected with spatiotemporal filtering.

97

98 In the present study we focus on low temperature anomalies to the east of the major  
99 convection center above the TTL, not the west below 100 hPa as in [Hendon and Abhik  
100 \(2018\)](#), and discuss their connection to the development of very deep convection penetrating  
101 into the TTL to the east, which manifests as eastward propagation of deep convection over  
102 the MC in austral summer.

103

## 104 **2. Data**

105 The meteorological fields are analyzed using meteorological reanalysis data from the Japan  
106 Meteorological Agency (JMA) JRA-55 ([Kobayashi et al., 2015](#)) on 1.25° latitude by 1.25°  
107 longitude grid cells during the satellite observation era since 1979. Interpolated outgoing  
108 longwave radiation (OLR) data with 2.5° × 2.5° grid cells ([Liebmann and Smith, 1996](#)) are  
109 provided by National Oceanic and Atmospheric Administration (NOAA). Cloud top pressure  
110 observed by the MODIS-TERRA satellite ([Platnick et al., 2003](#)), presented on 1° × 1° grid

111 cells, is obtained from the GSFC/NASA GIOVANNI system. The climatology is defined as  
112 long-term mean over the period of 1979–2019, except for the MODIS-TERRA cloud data for  
113 the period 2000–2020. The standard deviation is calculated over the same period.

114

115 The phase of the QBO is defined by the direction of zonal-mean zonal winds at 70 hPa  
116 averaged over the equator. The equatorial region in the present study is taken as the  
117 latitudinal average from 5°S to 5°N. The El Niño/Southern Oscillation (ENSO) phenomenon  
118 is defined by the sea surface temperature (SST) averaged over the Niño 3 region (5°S–5N°,  
119 150°W–90°W) using monthly mean gridded SST data from COBE (Ishii et al., 2005) with 1°  
120 × 1° grid cells.

121

### 122 3. Results

#### 123 Seasonal march

124 Monsoonal convective activity progresses southeastward from the Indian Ocean to the  
125 Pacific following the seasonal march of the surface temperature and moisture distribution.

126 This seasonal march around the MC is presented in Fig. 1a. Equivalent potential  
127 temperature increases over the eastern MC and the western Pacific from the end of  
128 November to December. Accordingly, convective activity migrates eastward, and the  
129 climatological onset of the austral summer monsoon over the MC around 120°E occurs in  
130 early December (Tanaka,1994; Duan2019).

Fig. 1

131

132 The eastward migration of convective activity occurs in a stepwise fashion following the  
133 location of large islands, as seen in Fig. 1. The convective activity expressed by the OLR is  
134 higher around large islands and moves southeastward with time (Fig. 2d). Eastward  
135 movement is particularly evident in very deep nighttime convection over land (Fig. 2c): i.e.,  
136 Sumatra in November, Borneo and Java in December, and New Guinea in January.

Fig. 2

137

138 It is known that enhanced convective activity over the equator produces a Matsuno–Gill type  
139 circulation pattern (Matsuno, 1966; Gill, 1980) that is characterized by a combined equatorial  
140 Rossby and Kelvin wave-like circulation structure. The zonal gradient of temperature ( $\partial T/\partial x$ )  
141 over the equator, which is used as an index of Kelvin wave amplitude (Nishimoto and  
142 Shiotani, 2012), is indeed large over the eastern MC around the tropopause-lower  
143 stratosphere (Fig. 2a). Horizontal divergence in the TTL (Fig. 2b) is enhanced over the  
144 region of penetrating convection (Kodera et al., 2021). Thus, the anomalous low temperature  
145 tilted eastward with height over the equator suggests a Kelvin wave-like response produced  
146 by intense convection (Randel and Wu, 2005). Such anomaly is particularly evident in  
147 December (Fig. 2a). Thus, we focus on the December state in the following sections.

148

#### 149 **QBO impact in December**

150 In order to examine the temperature and circulation changes in the TTL and lower

151 stratosphere over the MC in response to the QBO, December mean states are first  
152 compared between the QBO–E and QBO–W. The sub-seasonal march is then considered  
153 in the next sub-section. The zonal-mean zonal wind at 70 hPa along the equator ( $5^{\circ}\text{N}$ – $5^{\circ}\text{S}$ )  
154 is used to classify the 41 Decembers from 1979 to 2019 as either QBO–E or QBO–W. There  
155 are 21 easterly cases (1979, 1981, 1982, 1984, 1987, 1989, 1991, 1992, 1994, 1996, 1998,  
156 2000, 2001, 2003, 2005, 2007, 2008, 2012, 2014, 2016, 2018), and 20 westerly cases (1980,  
157 1983, 1985, 1986, 1988, 1990, 1993, 1995, 1997, 1999, 2002, 2004, 2006, 2009, 2010,  
158 2011, 2013, 2015, 2017, 2019). Composites mean are then constructed for each QBO phase  
159 (Fig. 3).

160

161 Kelvin wave-like response as illustrated by  $\partial T/\partial x$ , is seen in Fig. 3a in the east of  $100^{\circ}\text{E}$   
162 around the tropopause region for both QBO phases. In the case of the QBO–E, Kelvin wave-  
163 like response extends into the stratosphere over the eastern MC region in easterly winds,  
164 whereas, in the case of QBO–W, Kelvin wave-like response is very weak in the stratospheric  
165 westerlies. Such temperature signal is formed in association with upwelling in the upper  
166 troposphere over the western MC ( $100^{\circ}$ – $110^{\circ}\text{E}$ ), together with overhead downwelling  
167 (dotted lines in Fig. 3b) around the tropopause and lower stratosphere. In the case of the  
168 QBO–E, the anomalous downwelling at 70 hPa farther extends eastward. This is consistent  
169 with the fact that the equatorial Kelvin wave propagates vertically in the stratospheric  
170 easterlies and it becomes stronger and clear structure in the QBO-E state (Suzuki et al.,

171 2010; Yang et al., 2012; Lim and Son, 2022).

172

173 Difference in standardized anomalous vertical velocity between the QBO–E and –W is  
174 largest in the eastern MC at 70 hPa. It is interesting to note that the difference is not  
175 significant over the western MC (100°–110°E) where deep convective clouds are frequently  
176 formed in December (see Fig. 2).

177

178 Equatorial deep convection penetrating into the TTL produces Kelvin wave-like response  
179 around the tropopause, of which vertical propagation in the stratosphere is affected by  
180 stratospheric zonal wind structure. Thus, connection between the QBO and penetrating  
181 convection can be represented by vertical velocity above the tropopause east of convection  
182 center in the troposphere. From the analysis in Fig. 3c, we consider the local monthly mean  
183 pressure vertical velocity ( $\omega$ ) at 70 hPa over 5°S–5°N and 140°–150°E (indicated by a box  
184 in Fig. 3c) as a response to tropospheric convection center around the western MC (100°–  
185 110°E). Therefore, this reference pressure vertical velocity ( $\omega_{\text{ref}}$ ) is utilized to study the  
186 downward influence of difference in Kelvin wave-like response around the tropopause region  
187 to the troposphere, as well as associated zonal wind in the stratosphere in Fig. 4.

188

189 Correlation coefficients between the  $\omega_{\text{ref}}$  and zonal-mean zonal wind at each grid point in  
190 height-latitude cross-section exhibit a characteristic feature of the QBO with a seesaw in

191 tropical zonal winds between middle and lower stratosphere (Fig.4a). Correlation between  
192 zonal winds over the equatorial region shows eastward tilted structure with height from the  
193 tropopause to the lower stratosphere over the eastern MC, adding to a zonal structure in the  
194 stratosphere (Fig.4b). Similarly, vertically tilted structure is also present in the temperature  
195 fields (Fig. 4c). Correlation map with the vertical velocity at each grid point (Fig. 4d) exhibits  
196 a pair of positive and negative dipole around the tropopause over the eastern MC. The latter  
197 negative correlation region extends downward from the TTL to the lower troposphere.  
198 Consistently, negative correlation is found with the OLR, in the eastern MC from Sulawesi  
199 to New Guinea (Fig. 4d). As a definition of the QBO phase, zonal mean zonal-winds at  
200 different stratospheric levels have been used by different authors. The correlation analysis  
201 in Fig. 4d using a local pressure vertical velocity over the eastern MC, shows positive and  
202 negative correlations with zonal mean zonal-winds over the equator at 10 and 70 hPa,  
203 respectively, which is a characteristic feature of the QBO zonal wind. This result gives a  
204 rationale for the use of 70 hPa zonal mean zonal-wind to define the QBO in the present study.

205

206 The difference in OLR between the two QBO phases is more clearly illustrated in Fig. 5a.  
207 The area where the difference is significant at the 90% and 95% confidence levels are  
208 indicated by shadings. Statistically significant differences are located mainly over the  
209 eastern MC. This result is not disturbed by the ENSO as shown in Fig. 5b where seven  
210 strong ENSO years exceeding  $\pm 1.5$  standard deviation in Niño 3 December indices, i.e.,

Fig. 5

211 three El Niño (1982, 1997, 2015) and four La Niña (1988, 1999, 2007, 2010) years, are  
212 excluded. The OLR difference over the eastern MC becomes even stronger without the  
213 strong ENSO years. It is noteworthy that the difference between the QBO phases  
214 concentrates in the equatorial belt (5°N-5°S; Fig. 5), while center of the convection resides  
215 in the south of the equator in December (Fig. 2d). This implies that the impacts of QBO on  
216 convection preferentially appear along the equatorial zone.

217

218 The results in this subsection suggest that interannual variability in vertical motion in the  
219 lower stratosphere over the eastern MC is well correlated with the deep convective activity  
220 through Kelvin wave-like circulation in the TTL. A possible causality between them will be  
221 discussed in the next subsection.

222

### 223 **Sub-seasonal evolution**

224 We investigate sub-seasonal evolution of the convection and the related fields around the  
225 MC to get insight into the causal relationship between the stratospheric variability and the  
226 convection. The sub-seasonal variation here is studied in terms of the deviation from the  
227 seasonal mean (75-day running mean) (Fig. 6). Calendar-day composite, instead of event  
228 composite such as the MJO, is constructed. The convective anomalies, whose evolutions  
229 are locked to the annual cycle, move from the eastern Indian Ocean to the western Pacific  
230 from late November to early January (Fig. 1a).

Fig. 6

231

232 The convective activities defined as anomalous OLR from the climatology, are similar for  
233 QBO–E and –W cases in late November (Fig. 6, top panels). In early December, horizontal  
234 divergence in the TTL strengthens around 120°E over the eastern MC for the QBO–E. This  
235 increased horizontal divergence in the stratosphere at 70hPa in early December precedes  
236 a decreased OLR in late December over the eastern MC. This suggests that eastern part of  
237 the Kelvin wave-like response, the tilted cold anomalies around the tropopause, may work  
238 to produce a vanguard convective activity before the arrival of the main body of the  
239 convection. However, it is still difficult to demonstrate detailed processes involving very small  
240 and deep convective activity. This could be because the change in the mesoscale convective  
241 system is obscured by the averaging process in the analysis.

242

243 To elucidate the relationship between the Kelvin wave-like response in the TTL and  
244 penetrating convection into the TTL, a case study is further conducted for the QBO–E case  
245 in December 2018. Figure 1c indicates that ISO signal in December 2018 is phase-locked  
246 to the onset of monsoon over the eastern MC. This ISO signal is also identified as a typical  
247 MJO event, as it is illustrated in a review paper (Jian et al., 2020). The seasonal evolutions  
248 of OLR and equivalent potential temperature at 850 hPa of this year are extracted in Fig. 1b  
249 by applying a 75-day running mean. Their seasonal evolutions in 2018-19 are very similar  
250 to the climatological seasonal evolutions shown in Fig. 1a.

251

252 The evolution of convective activity in December 2018 is displayed in Fig. 7. Anomalous  
253 temperature from climatology and pressure vertical velocity are displayed in Fig. 7a, while  
254 Fig. 7b presents horizontal distribution of the OLR. Because the diurnal cycle is very  
255 pronounced over land, MODIS-TERRA cloud top pressure (CTP) during the night and day  
256 are presented separately in Figs. 7c and 7d, respectively.

Fig. 7

257

258 Convective activity develops over the Indian Ocean from 8 December. Enhanced upwelling  
259 over the convective center produces cooling around the tropopause over the Indian Ocean.  
260 The upward propagating Kelvin wave-like response produces a pair of temperature  
261 anomalies in the stratosphere on 11 December: a warm anomaly overlying a cool anomaly.  
262 The development of low temperature anomalies in the TTL over Sumatra coincides with a  
263 strong convective activity during the nighttime over land. A Rossby wave-like structure in the  
264 troposphere with vortices (not shown) both sides of the equator develops in association with  
265 daytime convective activity over the Indian Ocean. Kelvin wave-like structure in the  
266 stratosphere further develops on 14 December, and the anomalous cooling region in the  
267 TTL extends eastward over the eastern MC. At the same time, convection over Borneo and  
268 New Guinea Islands intensify during the night. While no clear activity in daytime convection  
269 is found over the eastern MC sector, daytime convective systems over the Indian Ocean,  
270 associated with the vortices each side of the equator, move to the off-equatorial direction.

271 The Kelvin wave-like structure around the tropopause propagates further eastward on 17  
272 December, and convection over the eastern MC becomes active during both night and day.  
273 On the other hand, anomalous warming associated with the Kelvin wave-like response  
274 arrives over the Indian Ocean around 90°E, before a suppression of convective activity  
275 arrives there.

276

277 The above result suggests that the nighttime penetrating convection into TTL around 14  
278 December plays an important role in eastward propagation of convection by generating a  
279 vanguard convective activity over the eastern MC through interaction with low temperature  
280 anomalies associated with the Kelvin-wave like response in the lower stratosphere. To  
281 elucidate this process, convective activity over the IO and the central MC are further  
282 compared by using daily mean data. Height-time cross-section of anomalous pressure  
283 vertical velocity is displayed by contours in Figs. 8 a–c, together with (a) temperature, (b)  
284 horizontal divergence, and (c) specific humidity anomalies, illustrated by color shadings. The  
285 OLR anomaly is also displayed in Fig. 8d to indicate usual convective activity.

Fig. 8

286

287 Over the IO (90°–100°E), increase in water vapor in the lower troposphere precedes the  
288 enhanced convective activity on 8 December (Fig. 8c). Increased upwelling, suggested by  
289 enhanced horizontal divergence, is accompanied with a large cooling at the tropopause from  
290 10 December. While enhanced convective activity continues in the troposphere, warm

291 temperature anomaly develops in the lower stratosphere on 14 December. This warm  
292 anomaly is related with a vertical propagation of the Kelvin wave-like signal shown in Fig. 7.  
293 As the Kelvin wave-like signal propagates eastward and water vapor in the lower  
294 troposphere decreases, the convective activity over the IO becomes weakened on 15  
295 December.

296

297 Over the MC sector ( $115^{\circ}$ – $125^{\circ}$ E), cold anomaly develops from 11 December in the lower  
298 stratosphere at 70 hPa in association with enhanced Kelvin wave-like response to  
299 convective activity over the IO in the west (Fig. 7a; Fig. 8a). A pair of positive and negative  
300 horizontal divergence at 70 and 150 hPa suggests a development of upward motion across  
301 the tropopause on 13 December (Fig. 8b). Anomalous upwelling then extends downward to  
302 the bottom of the TTL on 14 December, which coincides with a development of very deep  
303 nighttime convection over the eastern MC illustrated in Fig. 7. From 15 December, upwelling  
304 also increases in the lower troposphere as deep convective activity develops (Fig. 8d). Then,  
305 a cold temperature anomaly at 70 hPa is replaced by a warm anomaly on 17 December (Fig.  
306 8a), consistent with eastward propagation of Kelvin wave-like temperature anomalies in Fig.  
307 7a. In the case of the MC, no clear increase in water vapor is found in the lower troposphere  
308 prior to the development of the convection over the equatorial zone, nor in the equatorial  
309 SH. Water vapor in the middle troposphere rather increases following the convective activity  
310 from 17 to 20 December. These results suggest that the eastward migration of convection

311 over the MC during the QBO–E in December 2018 was promoted by the Kelvin wave-like  
312 response in the TTL, rather than lower tropospheric water vapor accumulation.

313

#### 314 **4. Summary and discussion**

315 The present study examines seasonal and sub-seasonal migration of tropical convection in  
316 response to the QBO phase locked to the annual cycle during the austral summer around  
317 the MC. The results are summarized as follows. Convective activity over the MC region  
318 intensifies during the austral summer monsoon in December. The associated Kelvin wave-  
319 like response appears in the TTL over the eastern MC (Fig. 2). Connection between the  
320 QBO stratospheric zonal wind and the deep convection over the MC is hypothesized to be  
321 produced through Kelvin-wave response around the tropopause region (Fig. 3). Vertical  
322 velocity, modulated by the QBO zonal wind, is likely connected to the OLR over the eastern  
323 MC (Fig. 4). Their relationship becomes clearer when ENSO-related years are eliminated  
324 (Fig. 5).

325

326 The analysis of intra-seasonal evolutions of the convection and the related fields over the  
327 MC, which are phase-locked to the annual cycle, suggests that a generation of vanguard  
328 convection penetrating into the TTL during the night in the eastern MC occurs in connection  
329 with a downward extension of horizontal divergence from early to late December during the  
330 QBO-E (Fig. 6). The role of penetrating convection into the TTL in eastward propagation of

331 convective center over the MC region is further studied by taking the 2018 December QBO–  
332 E case. The eastward shift of convective center over the eastern MC is initiated by the  
333 development of penetrating convection into the TTL over land during the nighttime (14  
334 December in Fig. 7). The increased water vapor in the lower troposphere precedes the  
335 convection over the IO (Fig. 8), consistent with an eastward propagation of upwelling from  
336 the lower troposphere. Contrastingly, development of upwelling is initiated from the  
337 tropopause level and deep convection over the MC is amplified in the troposphere with little  
338 increase in water vapor in the lower troposphere prior to the convective activity. This case  
339 study supports the idea that the TTL process can play an important role in the eastward  
340 propagation of convection over the MC. This result is also consistent with the analysis of  
341 Barret et al. (2021) that MJO events during QBO–W need more water vapor to cross the MC  
342 than during QBO–E.

343

344 According to these results, we propose a following working hypothesis as schematically  
345 represented in Fig. 9: Convective activity over the Sumatra–Borneo sector (light blue area  
346 with dashed contour) induces Kelvin wave-like low temperature anomalies around the  
347 tropopause region (light blue area with closed contour), which are stronger under QBO–E  
348 (left panel). Enhanced cold anomalies in the TTL promote a development of penetrative  
349 deep convection as vanguard convective activity around New Guinea over the eastern MC  
350 during QBO–E. It strengthens an eastward migration of convective activity across the MC

Fig. 9

351 by further producing usual convective activity over the western Pacific. In other words,  
352 convective activity over the Sumatra–Borneo region can migrate more easily eastward  
353 during QBO–E by instigating vanguard deep convective activity over the eastern MC sector.  
354 In the case of QBO–W (right panels), the Kelvin wave-like response is suppressed in the  
355 stratosphere, making a smaller impact in the TTL. Accordingly, its impact on convective  
356 activity in the eastern MC is smaller, and eastward propagation of convection becomes  
357 unclear.

358

359 It is noteworthy that [Peatman et al. \(2014\)](#) argued that relatively clear skies east of the major  
360 convective center of the MJO can create enhanced heating over land to destabilize the  
361 atmosphere. However, they did not show the process through which the convective center  
362 migrates over the MC from the western to the eastern MC. [Birch et al. \(2015\)](#) emphasized  
363 the importance of the scale interactions among the local deep convection over land and the  
364 large-scale moisture accumulation over the ocean to the MJO progression over the western  
365 MC. However, their focuses were rather on the lower tropospheric and surface processes.  
366 We suggest in the present study that the cooling in the TTL due to the Kelvin wave-like  
367 temperature response is important to enhance vanguard penetrative convection in the  
368 eastern MC, especially along the equatorial zone. Although the southward path over the  
369 surrounding ocean over the land area of the MC is a subtle feature of the MJO cases that  
370 successfully crossing over the MC ([Zhang and Ling 2017](#)), our finding suggests that the

371 QBO phases possibly affect the MJO propagation (specifically from central to eastern MC)  
372 via Kelvin-wave deep-convection interactions in the equatorial zone.

373

374 In terms of the analogy with the MJO, convective activity over land is believed to work as a  
375 barrier to the propagation of the MJO across the MC (Zhang and Ling, 2017; Ling et al.,  
376 2019). Yuan and Houze, (2013) demonstrated that a discrete mesoscale convection system  
377 shows clear eastward propagation over the islands of the MC, while large mesoscale  
378 convection system over the sea remains stationary. This is consistent with the present  
379 analysis in Fig. 7, where penetrating convection into the TTL over land propagates eastward  
380 in connection with low temperature anomalies in the TTL. Furthermore, nighttime land  
381 convective activity can also induce convection on the following day over the ocean (Ichikawa  
382 and Yasunari, 2007; Sakaeda et al, 2020).

383

384 In this study, we suggest that low temperature anomalies associated with the Kelvin wave  
385 to the east of the major convective center (Lim and Son, 2022) could also play an important  
386 role by interacting with penetrating deep convection in the TTL. Hendon and Abhik (2018)  
387 suggested that TTL cold anomalies extending downward and westward may allow  
388 development of deep convection to the west of previous convection, causing a rather slow  
389 MJO propagation during QBO-E. However, such downward extension does not appear over  
390 the western MC.

391

392 Concerning the convective activity associated with the MJO, penetrating convection into the  
393 TTL develops east of the main convective center (see Fig. 6a of Morita et al., 2006; Fig. S2  
394 of Kim et al., 2018). This is consistent with a separate upwelling region in the TTL about 30°  
395 east of the main convective center of the MJO (Fig. S1 Phase 4 of Hendon and Abhik 2018).  
396 Such upwelling in TTL in the east is related with tropospheric convective activity during  
397 QBO–E, but little connected to the troposphere during QBO–W, similar to the difference in  
398 our results in Fig. 3.

399

400 Relatively clear skies ahead of the main convective center of the MJO may produce unstable  
401 tropospheric condition by increased surface heating over lands. The major question is  
402 whether the very deep mesoscale convection penetrating into the TTL can be robustly  
403 induced under such tropospheric condition by anomalous stratospheric cooling associated  
404 with the Kelvin wave-like response.

405

406 To elucidate such a causal relationship between the deep convection and a stratospheric  
407 cooling at intraseasonal time scale, model study is crucial. However, the effect of mesoscale  
408 convection penetrating into the TTL is difficult to simulate with conventional general  
409 circulation models (GCMs). Therefore, it is not surprising to find that the QBO modulation of  
410 the MJO is missing in GCM simulations (Kim et al., 2020; Lim and Son, 2020). Indeed, a

411 numerical model experiment of [Martin et al. \(2021\)](#) by nudging the model stratosphere to  
412 the observation can reproduce a Kelvin wave-like response in the TTL, but failed to  
413 reproduce the QBO effect in the troposphere. On the other hand, the experiment of [Back et](#)  
414 [al. \(2020\)](#) using a regional model in a horizontal resolution of 9 km, capable of resolving  
415 mesoscale convection systems, can reproduces the QBO impact, although the amplitude is  
416 still much smaller. We hope advanced cloud resolving global models could clarify the role of  
417 the mesoscale convection system in future studies on connection between the stratosphere  
418 and troposphere.

419

#### 420 **Data Availability Statement**

421 All datasets analyzed in this study are publicly available: JRA-55 reanalysis data at  
422 [[https://jra.kishou.go.jp/JRA-55/index\\_en.html#jra-55](https://jra.kishou.go.jp/JRA-55/index_en.html#jra-55)], COBE SST data at  
423 [<https://ds.data.jma.go.jp/tcc/tcc/products/elnino/cobesst/cobe-sst.html>], NOAA OLR at  
424 [[https://psl.noaa.gov/data/gridded/data.interp\\_OLR.html](https://psl.noaa.gov/data/gridded/data.interp_OLR.html)], MODIS data through GIOVANNI  
425 system at [<https://giovanni.gsfc.nasa.gov/giovanni/>].

426

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568

569 List of Figures

570 Fig. 1 (a) Time–longitude cross-section of daily climatology over the equator ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) with  
571 equivalent potential temperature at 850 hPa (color shading) and OLR (contours: 190, 200,  
572  $210 \text{ Wm}^{-2}$ ). (b) Similar to (a), but for 75-day running mean daily data for the year 2018/19.  
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574 Land distribution.

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576 Fig. 2 Monthly mean climatology for November (left), December (middle), and January  
577 (right). (a) Height–longitude cross-sections of climatological eastward air temperature  
578 gradient ( $\partial T/\partial x$ ) (color shading) and horizontal divergence (contours:  $-3.6$ ,  $-3.0$ ,  $-2.4$ ,  
579  $-1.8 \times 10^{-6} \text{ s}^{-1}$ ). (b) Horizontal divergence at 125 hPa. (c) Cloud top pressure (CTP)  
580 distribution observed by MODIS TERRA night pass. (d) OLR.

581

582 Fig. 3 (a and b) Composite means for QBO–E (left) and QBO–W (right) in December over  
583 the equator ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ). (a) Zonal winds (color shading) and eastward temperature  
584 gradient  $< 0$  (contours: every  $2 \times 10^{-7} \text{ K m}^{-1}$ ). (b) Pressure vertical velocity  $< 0$  (contours:  
585 every  $0.02 \text{ Pa s}^{-1}$ ) and its normalized anomaly at each grid (color shadings). (c) Same as  
586 (b), except for difference between QBO–E and QBO–W. Box in (c) indicates the area used  
587 for define the reference index  $\omega_{\text{ref}}$  in Fig. 4.

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589 Fig. 4 Correlation coefficients between  $\omega_{\text{ref}}$  ( $\omega$  at 70 hPa,  $140^{\circ}$ – $150^{\circ}\text{E}$ ,  $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ; indicated  
590 by thick black line in (d)), and anomalous (a) zonal-mean zonal winds for December  
591 1979–2019. (b–d) Same correlation coefficient between  $\omega_{\text{ref}}$  except for equatorial ( $5^{\circ}\text{S}$ –  
592  $5^{\circ}\text{N}$ ) (b) zonal wind, (c) temperature, and (d) pressure vertical velocity. (e) Same as (a)  
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594 statistical significance higher than 95% level is shown by color shadings.

595

596 Fig. 5 (a) Composite mean differences of OLRs between QBO–E and W in December  
597 (1979–2019). Contours are every  $3 \text{ Wm}^{-2}$  with 0 lines suppressed. Positive and negative  
598 values are indicated by cold and warm color, respectively. Statistical significance higher  
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604 shadings) and horizontal divergence  $>0$  (contours:  $0.5, 1, 1.5 \times 10^{-6} \text{ s}^{-1}$ ), respectively.  
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608 Fig. 7 Intraseasonal component during 2018. (a) Longitude–height cross-sections over the  
609 equator ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) for anomalous temperature from climatology (color shading) and  
610 pressure vertical velocity  $< 0$  (contours: every  $-0.05 \text{ hPa s}^{-1}$ ). (b) OLR. (c) Cloud top  
611 pressure from satellite nighttime passes. (d) Same as (c), but from daytime passes. (From  
612 top bottom) Consecutive 3-day mean data centered on 8, 11, 14, and 17 December 2018.  
613 Letters IO, S, B, N indicate longitudinal position of the Indian Ocean, Sumatra, Borneo,  
614 and New Guinea.

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616 Fig. 8 Time evolution of daily mean data at two locations over the equator ( $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) over  
617 (left) IO ( $90^{\circ}$ – $100^{\circ}\text{E}$ ,  $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ) and (right) MC ( $115^{\circ}$ – $125^{\circ}\text{E}$ ,  $5^{\circ}\text{S}$ – $5^{\circ}\text{N}$ ). (a–c) Height-time  
618 cross-sections of anomalous variables: (a) temperature, (b) horizontal divergence and (c)  
619 specific humidity are shown by color shadings, while pressure vertical velocity is shown  
620 by contours in a, b, c for  $\omega < 0$  ( $-0.1, -0.075, -0.05, -0.025, -0.01, -0.005 \text{ hPa s}^{-1}$ ). (d)

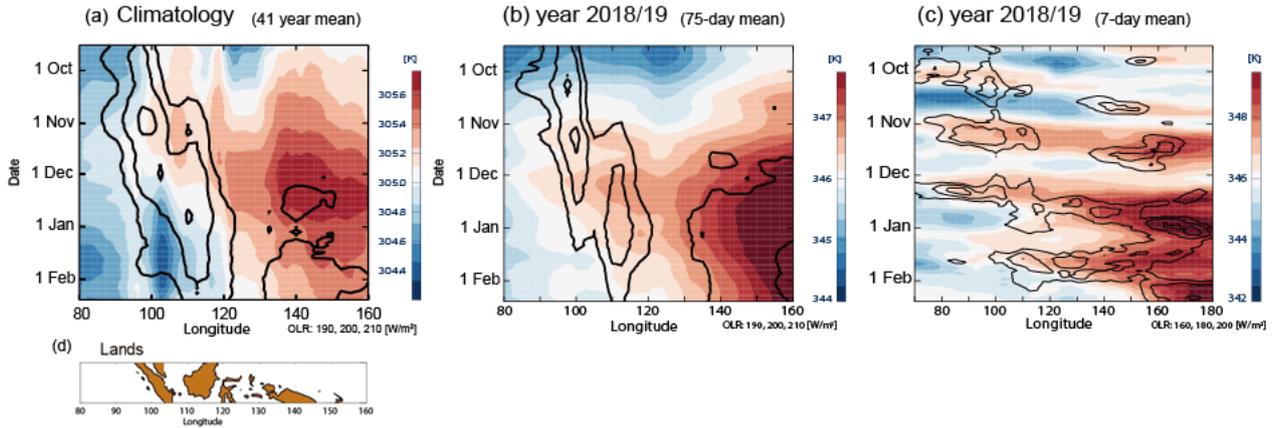
621 anomalous OLR. Vertical lines indicate the dates 11, and 16 December 2018.

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623 Fig. 9 Schematics of “Kelvin wave bridge” over the Maritime Continent. Letters S, B, N  
624 denote Sumatra, Borneo, and New Guinea islands. Wa and Co denote warm and cool  
625 anomalies. Arrows indicate vertical and horizontal winds. Cyclonic circulation is noted by  
626 c. (See text for more detail).

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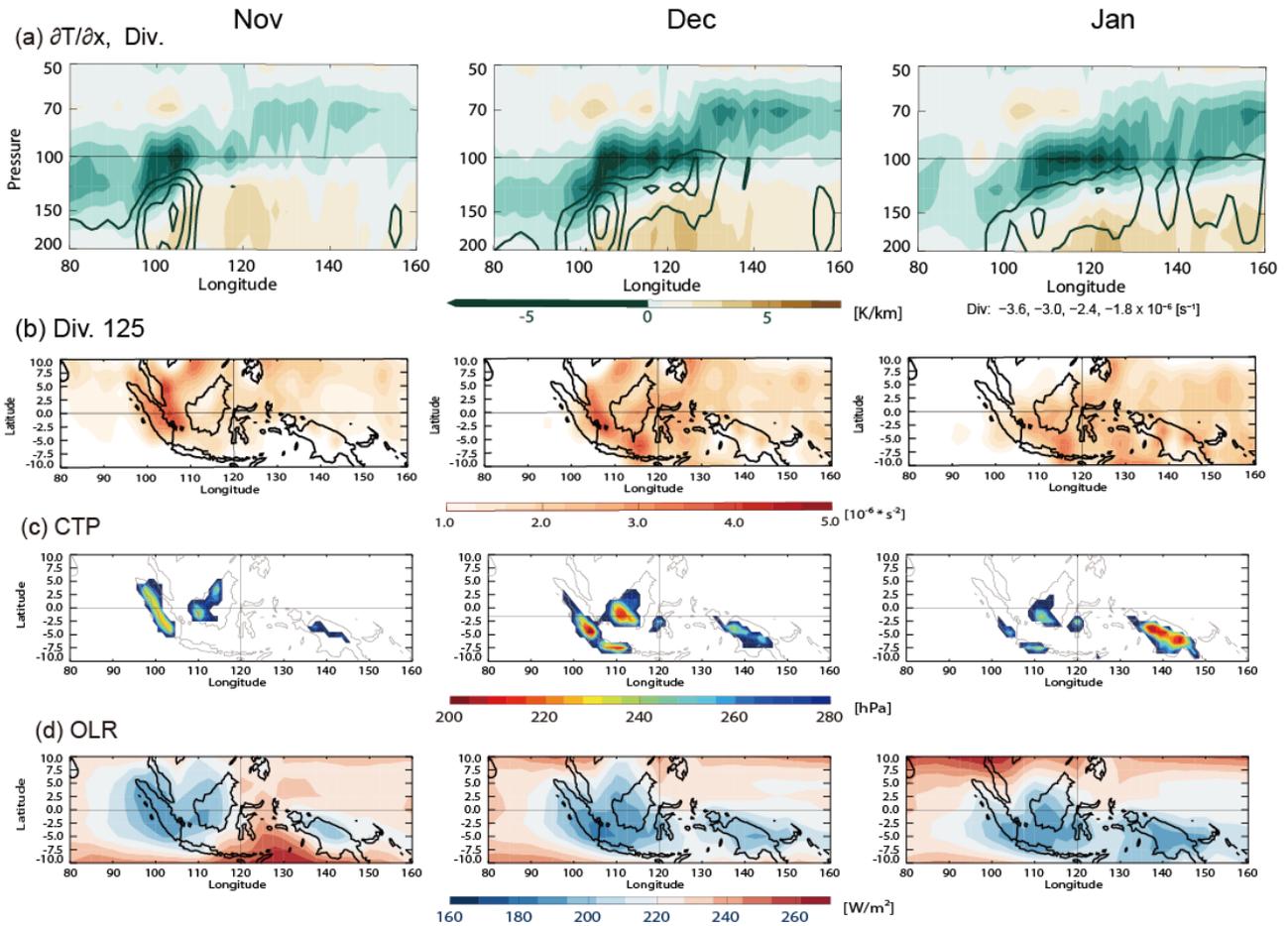
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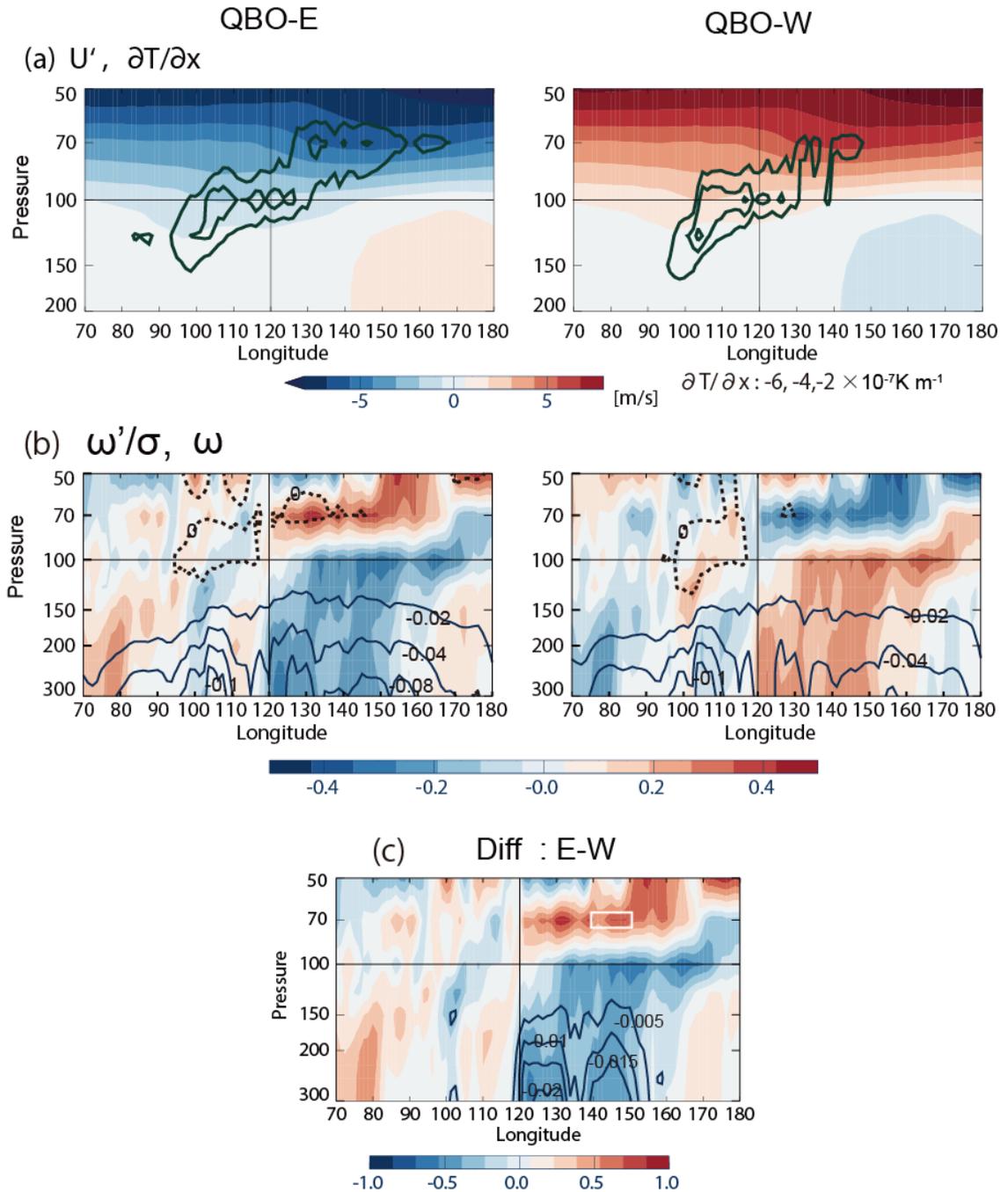
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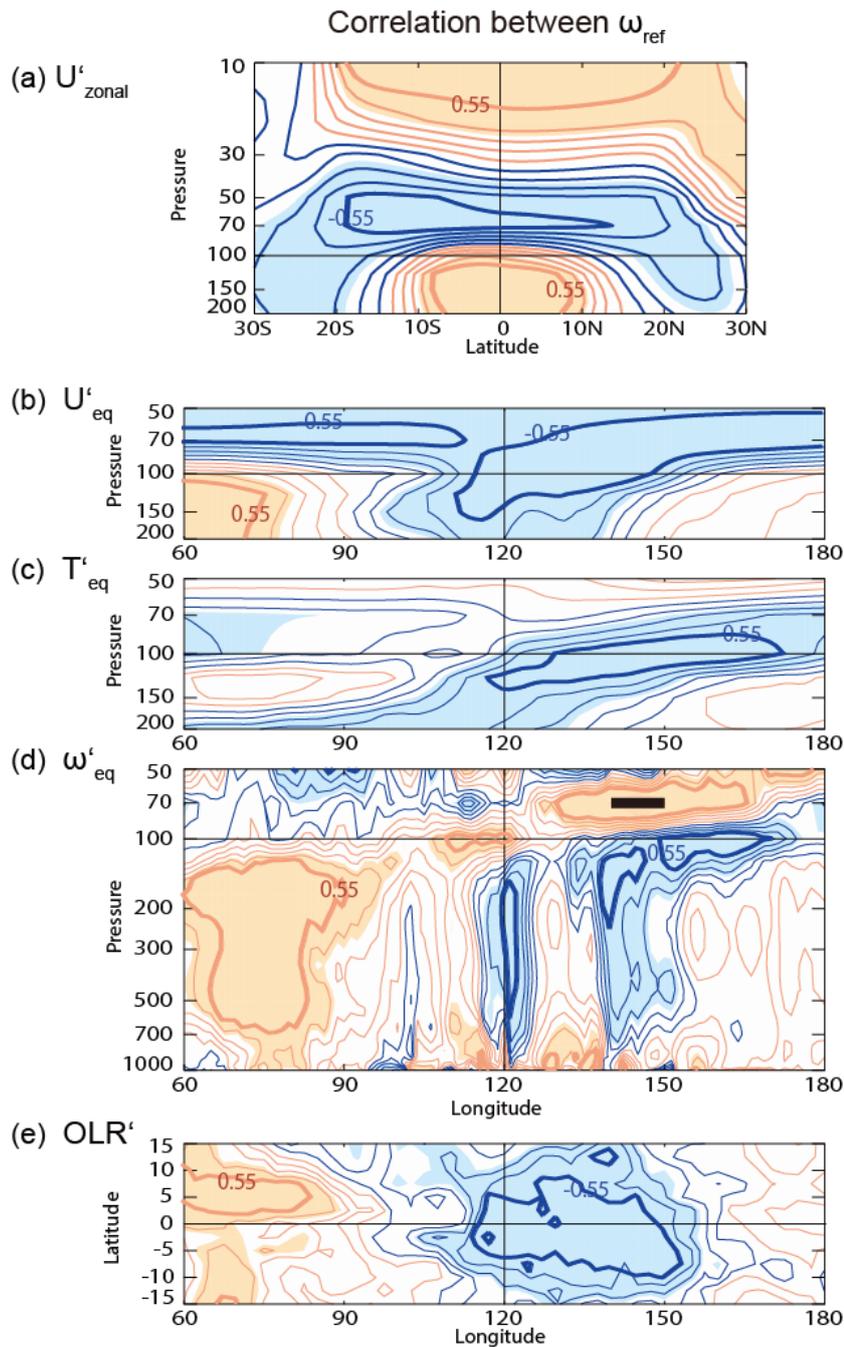


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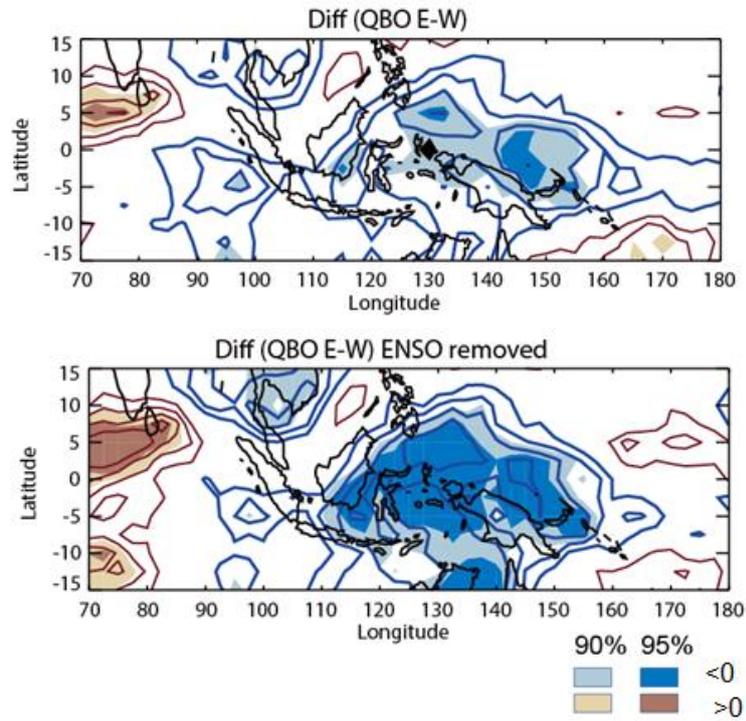
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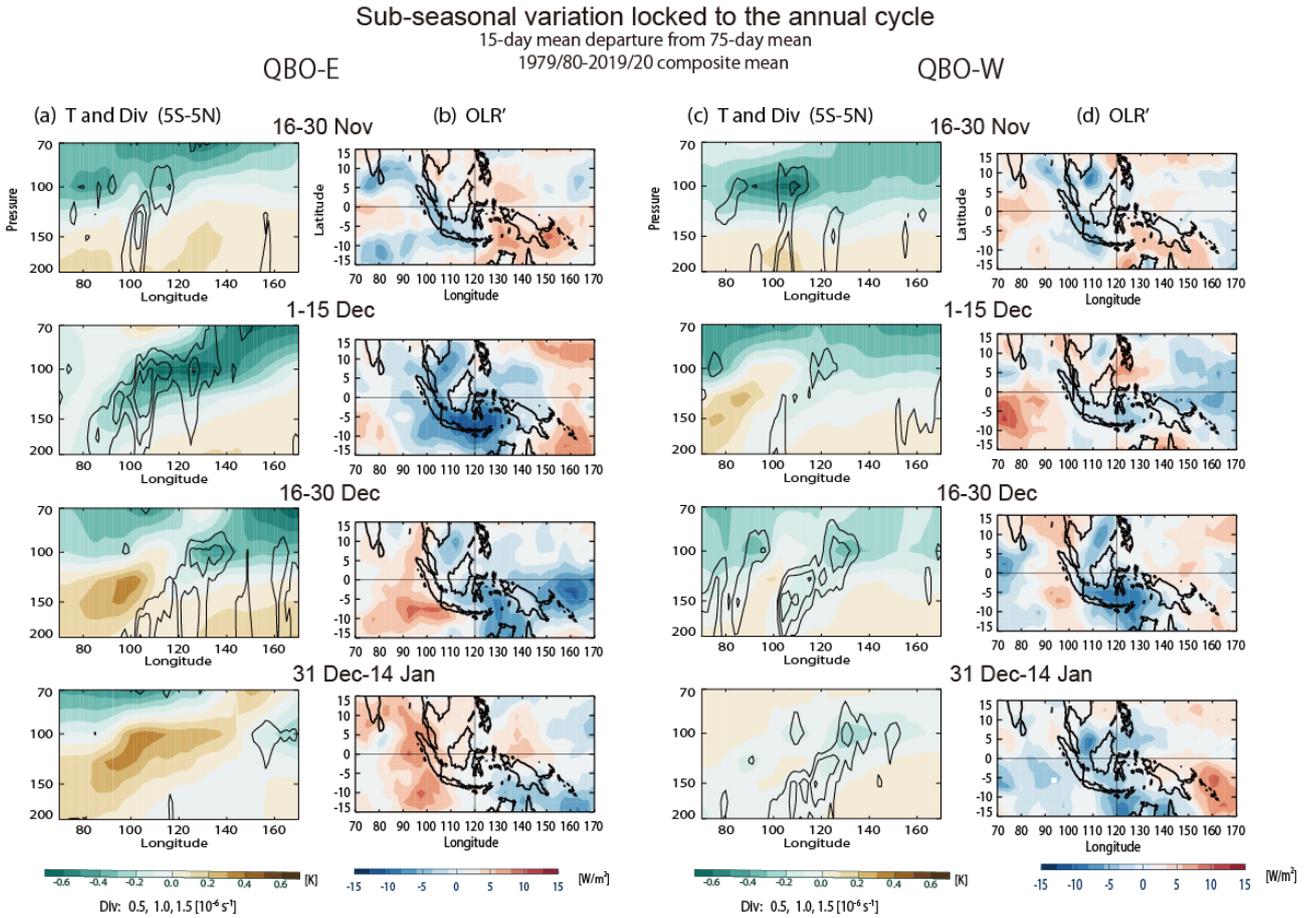
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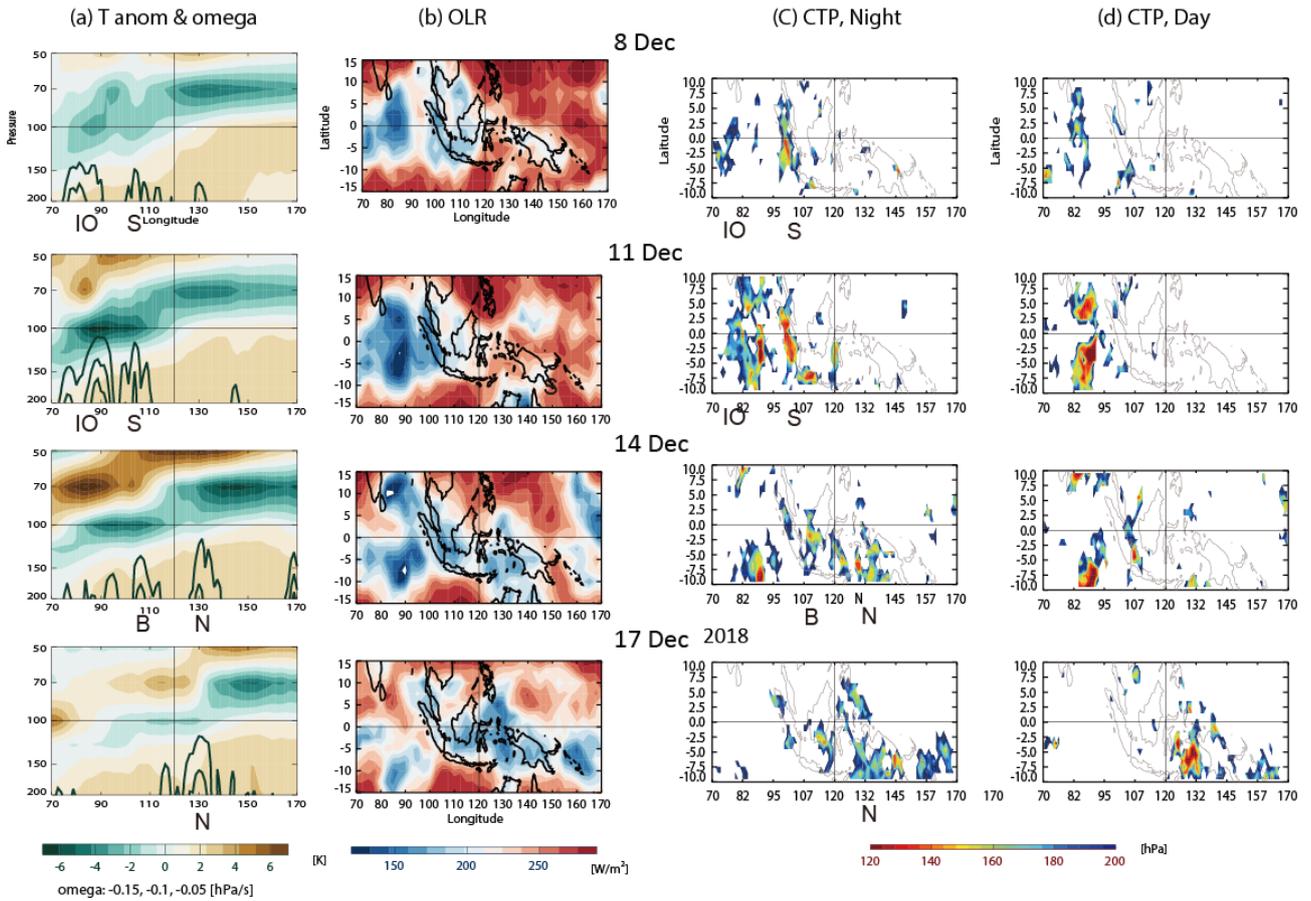
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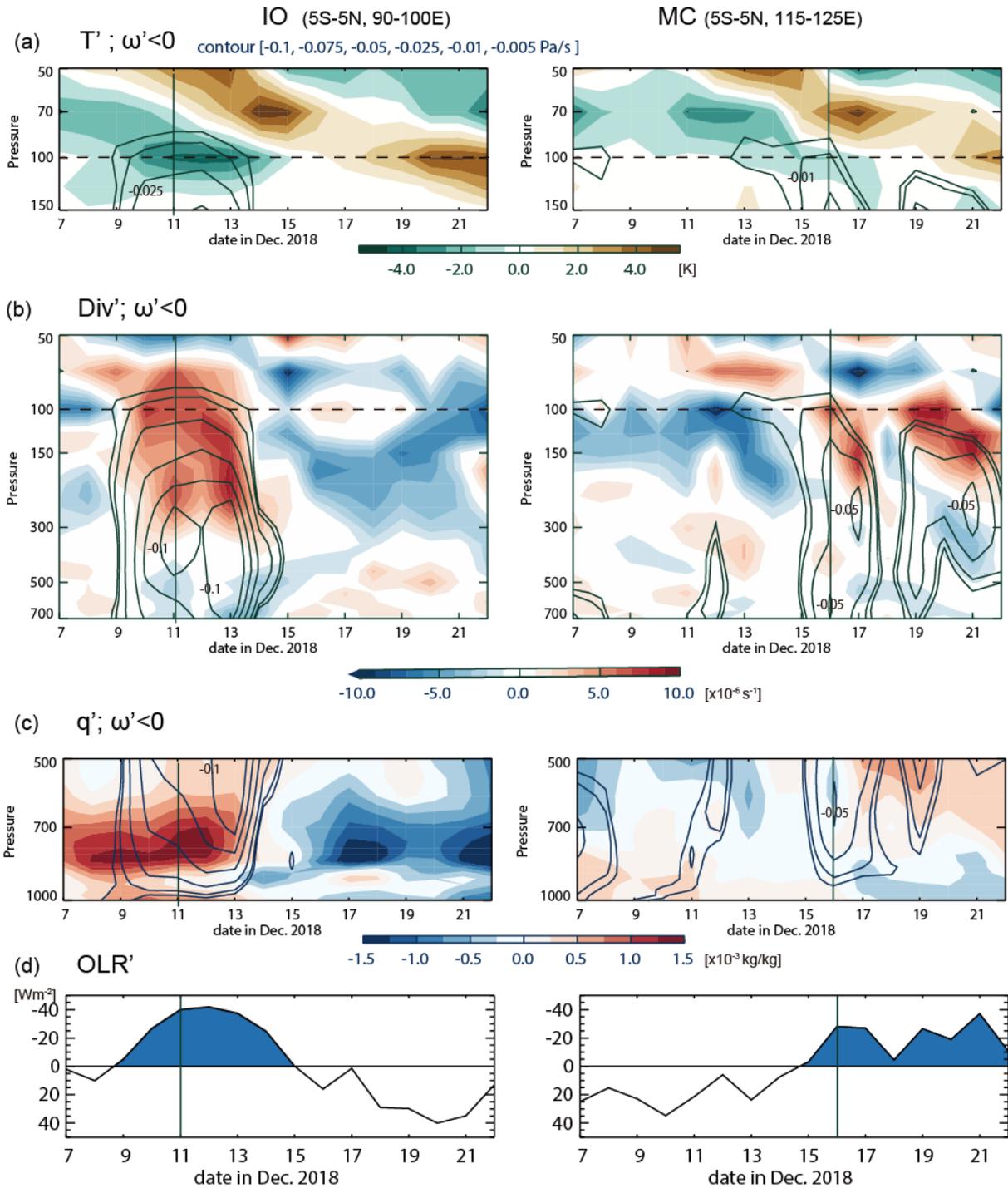
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Intra-seasonal variation during December 2018

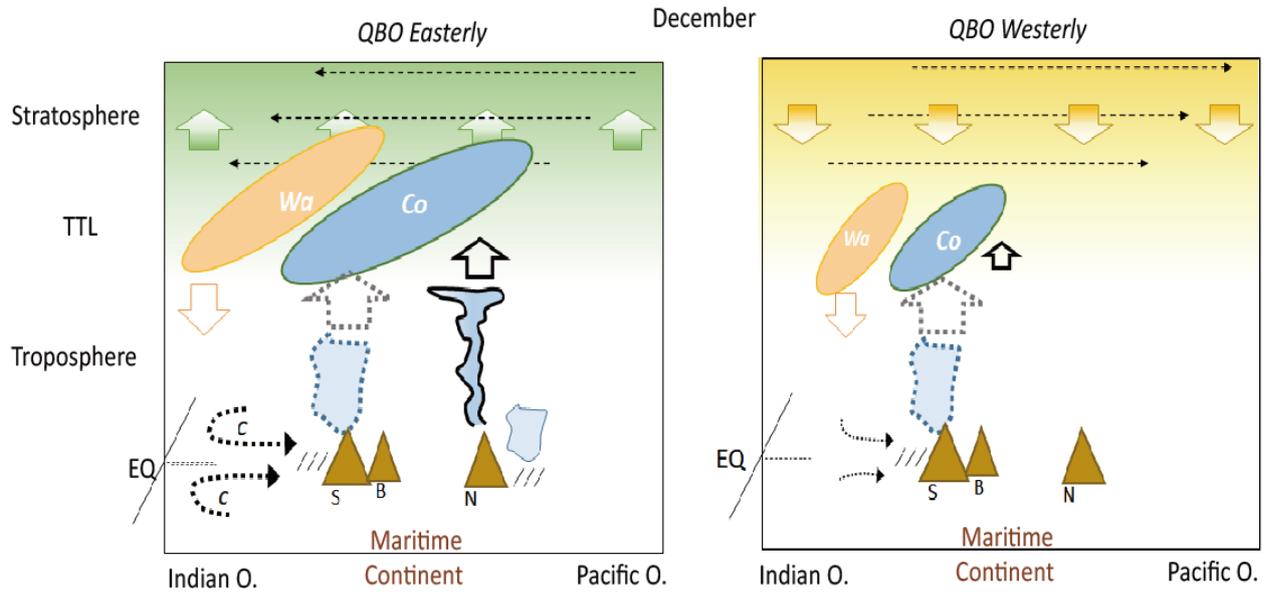


684 Fig. 7 Intra-seasonal component during 2018. (a) Longitude–height cross-sections over the  
 685 equator (5°S–5°N) for anomalous temperature from climatology (color shading) and  
 686 pressure vertical velocity < 0 (contours: every  $-0.05 \text{ hPa s}^{-1}$ ). (b) OLR. (c) Cloud top  
 687 pressure from satellite nighttime passes. (d) Same as (c), but from daytime passes. (From  
 688 top bottom) Consecutive 3-day mean data centered on 8, 11, 14, and 17 December 2018.  
 689 Letters IO, S, B, N indicate longitudinal position of the Indian Ocean, Sumatra, Borneo,  
 690 and New Guinea.



693

694 Fig. 8 Time evolution of daily mean data at two locations over the equator (5°S-5°N) over  
 695 (left) IO (90°-100°E, 5°S-5°N) and (right) MC (115°-125°E, 5°S-5°N). (a-c) Height-time  
 696 cross-sections of anomalous variables: (a) temperature, (b) horizontal divergence and (c)  
 697 specific humidity are shown by color shadings, while pressure vertical velocity is shown  
 698 by contours in a, b, c for  $\omega < 0$  (-0.1,-0.075, -0.05, -0.025, -0.01, -0.005 hPa s<sup>-1</sup>). (d)  
 699 anomalous OLR. Vertical lines indicate the dates 11, and 16 December 2018.



701

702 Fig. 9 Schematics of "Kelvin wave bridge" over the Maritime Continent. Letters S, B, N  
 703 denote Sumatra, Borneo, and New Guinea islands. Wa and Co denote warm and cool  
 704 anomalies. Arrows indicate vertical and horizontal winds. Cyclonic circulation is noted by  
 705 c. (See text for more detail).