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Re-Examination of Errors and Exclusion

- ² Range, and Gap-filling for Surface Flux
- **Estimation using the Bowen Ratio Method**

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Corresponding author: Shigenori Haginoya, Meteorological Research Institute, 1-1 Nagamine, Tsukuba, Ibaraki 305-0052, Japan. E-mail: shaginoy@mri-jma.go.jp Abstract

This paper presents a method for estimating turbulent fluxes using the 11 Bowen ratio method. We propose a data exclusion criterion for the Bowen 12 ratio method, defining an exclusion range for the Bowen ratios (B) as 13 $B_{-} < B < B_{+}$. To determine B_{-} and B_{+} , we evaluated the flux differ-14 ence between the Bowen ratio method and the eddy covariance method and 15 suggested $B_{-} = -2.0$ and $B_{+} = -0.6$. We also propose an interpolation 16 method to handle missing data based on the exclusion criteria by inter-17 polating B/(1+B) or 1/(1+B). By implementing these approaches, we 18 establish a comprehensive and practical framework for estimating turbulent 19 fluxes with the Bowen ratio method. We expect that these results will en-20 hance the accuracy and reliability of flux estimates in various environmental 21 and climate studies. 22

²³ Keywords Bowen ratio method; surface flux; gap-filling; exclusion criteria;

24 spike-error

²⁵ 1. Introduction

The surface energy balance is crucial for understanding the mechanisms 26 of atmosphere–surface interactions. In recent years, turbulent flux obser-27 vations using the eddy covariance method (ECM) have become widespread 28 (FLUXNET: Baldocchi et al. 2001; Kaimal and Finnigan 1994; Foken 2017). 29 Long-term datasets are essential for these observations. Several operational 30 considerations and issues have been identified with the ECM (Allen et al. 31 2011; Cook and Sullivan 2020). In addition, the instrument's probe surface 32 can become covered with a film of water during precipitation or conden-33 sation. Since this water can cause noise problems, countermeasures are 34 required (Campbell 2013, 2015). It is therefore important to complement 35 the ECM with measurements by other methods to minimize data gaps. 36

In this paper, we apply the Bowen ratio method to address these challenges. The Bowen ratio method has been widely used to estimate sensible and latent heat fluxes using time-averaged values, such as two-level air temperature and humidity measurements, net radiation, and soil heat flux (Stull 1988; Garratt 1994; Arya 2001; Allen et al. 2011). It is crucial to efficiently exclude inappropriate data when applying the Bowen ratio method.

Ohmura (1982) proposed a systematic approach for determining data ex-43 clusion criteria that uses objective indicators. Subsequent studies have used 44 Ohmura's method as a foundation. Payero et al. (2003) compiled guide-45 lines for detecting erroneous Bowen ratio data. Foken (2017) summarized 46 the knowledge and the limitations associated with the Bowen ratio method. 47 The Bowen ratio method has the main advantage of introduction to a ratio 48 of sensible heat (H) to latent heat (lE), the Bowen ratio (B = H/lE) so 49 that the diffusion coefficient does not need to be determined. However, the 50 Bowen ratio near B = -1 can cause errors (spike-error) in H and lE. In 51 principle, this is an unavoidable problem because the factor "1/(1+B)" 52 appears when the flux is determined by the Bowen ratio method (e.g., Stull 53 1988). Previous studies have proposed direct exclusion ranges for data near 54 B = -1 (Unland et al. 1996; Halliwell and Rouse 1989; Tanner et al. 1987). 55 Halliwell and Rouse (1989) obtained exclusion ranges based on a comparison 56 between the aerodynamic method and the Bowen ratio method. Perez et 57 al. (1999) suggested a method to analytically determine the exclusion range 58 near B = -1 based on sensor accuracy and differences in observed temper-59 ature and vapor pressure. In this paper, the exclusion range near B = -160 is re-evaluated using the ECM and a new exclusion range is proposed. 61

The exclusion of data reduces the amount of data available for analysis,
and the excluded data appear as missing data. Such missing values in time-

series data need to be filled with appropriate values to construct a long-term
flux dataset. It is therefore necessary to develop gap-filling methods using
available data to minimize data loss. This study applies linear interpolation
for simplicity.

⁶⁸ Considering these issues, this paper presents a coherent and practical
⁶⁹ approach to data exclusion and interpolation for missing data when the
⁷⁰ Bowen ratio method is used.

Section 2 provides an overview of the Bowen ratio method and the criteria for data exclusion. Section 3 details the observation method and data processing procedures. Section 4 presents verification criteria and the interpolation method for gap-filling. Section 5 reports the application results. Section 6 compares this method with other surface flux estimation approaches. Section 7 provides a summary.

77 2. Method

78 2.1 Description of Method

The Bowen ratio method, which was originally proposed by Bowen (1926), has been widely used to estimate turbulent fluxes. This method uses temperature (T_1, T_2) and specific humidity (q_1, q_2) differences $(\Delta T = T_2 - T_1, \Delta q = q_2 - q_1)$ measured at two heights $(z_1 \text{ and } z_2, \text{ where } z_2 > z_1)$ within the surface layer, along with the net radiation (Rn) and soil heat flux into the soil (G), to determine the sensible heat (H) and latent heat (lE) fluxes (e.g., Garratt 1994).

The Bowen ratio (B) is defined as the ratio of the sensible heat to latent heat fluxes:

$$B = \frac{H}{lE}.$$
 (1)

⁸⁸ The expressions for H and lE using diffusivities are as follows:

$$H = -K_h C_p \rho \frac{\Delta T}{\Delta z},\tag{2a}$$

$$lE = -K_v l\rho \frac{\Delta q}{\Delta z}.$$
 (2b)

Here, K_h and K_v represent the thermal and water vapor diffusivities, respectively. C_p is the specific heat capacity of air, ρ is the density of air, l is the latent heat of vaporization, E is the evaporation rate, and $\Delta z = z_2 - z_1$. Positive H and lE indicate upward fluxes. The relationship between flux and gradient, as in Eqs. (2a) and (2b), is based on K-theory (Stull 1988).

Assuming that transport processes of heat and water vapor are similar within the surface layer, we equated the thermal and water vapor diffusivities. Substituting Eqs. (2a) and (2b) into Eq. (1) to obtain Eq. (3):

$$B = \frac{C_p}{l} \frac{\Delta T}{\Delta q}.$$
(3)

Thus the Bowen ratio can be calculated from the differences in temperature and specific humidity. In contrast, we assumed that the surface energy ⁹⁹ balance equation is given by

$$Rn - G = H + lE. (4)$$

Positive Rn and G indicate downward fluxes. The left-hand side of Eq. (4) defines the available energy at the surface (Garratt 1994).

¹⁰² By combining Eqs. (1) and (4), we can derived expressions for H and ¹⁰³ lE in terms of the available energy Rn - G and the Bowen ratio:

$$H = (Rn - G)\frac{B}{1+B},\tag{5a}$$

$$lE = (Rn - G)\frac{1}{1+B}.$$
(5b)

Applying Eq. (3) to Eqs. (5a) and (5b), we can calculate H and lE from the temperature difference ΔT and the specific humidity difference Δq at the two heights.

¹⁰⁷ 2.2 Considerations for Using the Bowen Ratio Method

- 108 a. When $|\Delta T|$ and $|\Delta q|$ are small
- ¹⁰⁹ Based on the error analysis of Eq. (3), it follows that:

$$\left|\frac{\delta B}{B}\right| \le \left|\frac{\delta \Delta T}{\Delta T}\right| + \left|\frac{\delta \Delta q}{\Delta q}\right|,\tag{6}$$

where, the symbol δ is indicates an error (Bevington and Robinson 2003). If the differences $|\Delta T|$ and $|\Delta q|$ at the two heights are less than the instrument

accuracy, $|\delta\Delta T|$ and $|\delta\Delta q|$, respectively, the error in the Bowen ratio itself 112 becomes significant. However, when $|\Delta T| < |\delta \Delta T|$ and $|\Delta q| \ge |\delta \Delta q|$, the 113 impact of $|\Delta T|$ on the Bowen ratio (B) is negligible in practice (Allen et al. 114 2011). This conclusion follows from the fact that as $\Delta T \rightarrow 0$, Eqs. (3), 115 (5a), and (5b) imply that $B \to 0, H \to 0$, and $lE \to Rn - G$. Similarly, 116 when $|\Delta q| < |\delta \Delta q|$ and $|\Delta T| \ge |\delta \Delta T|$, if $\Delta q \to 0$ so that $|\Delta q| << |\delta \Delta q|$, 117 the reciprocal of Eq. (3) approaches $1/B \rightarrow 0$. Consequently, Eqs. (5a) and 118 (5b) give $H \to Rn - G$ and $lE \to 0$. There is hence no problem in applying 119 Eqs. (5a) and (5b). However, when both ΔT and Δq approach zero at the 120 same time, Eq. (3) becomes indeterminate, and Eqs. (5a) and (5b) become 121 inapplicable. In such cases, flux data are considered to be missing. 122

123 b. When B is Close to -1

The error analysis of Eqs. (5a) and (5b), leads to:

$$\left|\frac{\delta H}{H}\right| \le \left|\frac{\delta(Rn-G)}{Rn-G}\right| + \left|\frac{\delta B}{B}\right| + \left|\frac{\delta B}{1+B}\right|,\tag{7a}$$

$$\left|\frac{\delta lE}{lE}\right| \le \left|\frac{\delta(Rn-G)}{Rn-G}\right| + \left|\frac{\delta B}{1+B}\right|.$$
 (7b)

When the denominator |1 + B| in Eqs. (5a) and (5b) is small, (i.e., when Bis close to -1), the estimation errors of sensible heat and latent heat fluxes in Eqs. (7a) and (7b) become significant because of the factor 1/(1 + B). The condition $B \approx -1$ occurs when $H \approx -lE$ and, based on Eq. (4),

¹²⁹ when $Rn \approx G$. Here, we consider specific cases in which this situation

occurs. Under calm or light wind conditions, the absolute values of sensible 130 and latent heat fluxes are small within the range of measurement error 131 $(H \approx -lE \approx 0)$. Conversely, in other situations, sensible heat is transported 132 to the surface to compensate for the latent heat loss to the atmosphere due 133 to evaporation $(H \approx -lE \neq 0)$. This condition often occurs under Foehn 134 conditions, particularly in strong wind events (Ohmura 1982; Hayashi et al. 135 2005; Hofsteenge et al. 2022). In the latter case, the sensible and latent heat 136 fluxes are approximately balanced at a finite value. However, it is evident 137 from Eqs. (7a) and (7b) that the fluxes obtained under these conditions 138 have large errors. 139

Various techniques have been proposed to objectively address the above
limitations and challenges inherent in the Bowen ratio method. Inspired by
previous studies, we established criteria for excluding data.

143 c. Data Exclusion Criteria

As mentioned in Section 2.2a, we did not consider excluding data based on instrument accuracy. If either the temperature difference ΔT or the specific humidity difference Δq , but not both, approached zero, we used Eqs. (3), (5a), and (5b) to estimate H and lE. If both ΔT and Δq approached zero simultaneously, we treated the flux data as missing. We established two criteria for excluding data.

- Criterion I: Condition for sign consistency of observed values related to *K*-theory (Ohmura 1982, Problem 2).
- It follows from Eqs. (2a), (2b), and (4) that:

$$Rn - G = \frac{K_v l\rho}{\Delta z} \left(\frac{C_p}{l} \frac{K_h}{K_v} \Delta T + \Delta q \right).$$
(8)

Both sides of Eq. (8) should have the same sign. Considered that $K_v > 0$ and $K_h/K_v = 1$, the data exclusion criterion is following:

$$(Rn - G) \times \left(\frac{C_p}{l}\Delta T + \Delta q\right) < 0.$$
(9)

¹⁵⁵ Criterion II: Exclusion of singular points in the Bowen ratio method ¹⁵⁶ (Ohmura 1982, Problem 3).

B = -1 is a singularity where the denominators of Eqs. (5a) and (5b) become zero. In practice, because *B* is derived from observational data that include errors, the singularity affects the calculations even in the vicinity of B = -1. Therefore, we excluded data near B = -1.

Data exclusion criteria can be established by directly defining a finite range for the Bowen ratio. Proposed ranges for exclusion include B < -0.75(Ortega-Farias et al. 1996), -1.3 < B < -0.7 (Unland et al. 1996), $-1.4 \le$ $B \le -0.7$ (Halliwell and Rouse 1989), and -1.25 < B < -0.75 (Tanner et al. 1987; Foken 2017). In this study, we defined the lower limit B_{-} and upper limit B_{+} for the exclusion range when $B_{-} < B < B_{+}$. In Section 4.2 we determine the optimal combination of B_{-} and B_{+} that minimizes the flux difference between the Bowen ratio method and the ECM.

¹⁶⁹ 3. Observations

170 3.1 Field and Sensors

We applied the proposed method to field data collected at the Meteoro-171 logical Research Institute $(36^{\circ} 03'17.5")$, $140^{\circ} 07'24.2"$ E). Figure 1 shows 172 a panoramic view of the observation site from Google Maps. The circle and 173 square mark the locations of the eddy covariance system and the Bowen 174 ratio system, respectively. They are about 15 m apart. The observation 175 field is described in detail in Mouri et al. (2019). The field is 200 m long 176 (north-south) and 100 m wide (west-east). A wooded area lies along the 177 western border of the observation field. The surface condition is nearly ho-178 mogeneous up to 100 m of the northern border. Scattered trees (≤ 10 m 179 tall) are present between 100 and 300 m north, and the wooded area ex-180 tends further beyond 300 m north. To measure surface fluxes from the ECM, 181 we installed a three-dimensional ultrasonic anemometer CSAT3 (Campbell 182 2015) and a gas analyzer EC150 (Campbell 2013), facing the north, at the 183 center of the observation field. The sensor height was set at z = 1.8 m. 184 On the other hand, the sensors of the Bowen ratio system were set at $z_1 =$ 185

¹⁸⁶ 0.4 m and $z_2 = 2.5$ m. The observation tower was located 5 m west of the ¹⁸⁷ Bowen ratio system and measured wind speed at 7.5 m height (Fig. 2a). ¹⁸⁸ Table 1 summarizes the instruments used for observation in this research. ¹⁸⁹ The original 10-minute data were averaged to 30-minute intervals for further ¹⁹⁰ analysis. All fluxes in this study were calculated at 30 minutes intervals. ¹⁹¹ The observational dataset covered two periods:

- ¹⁹² Period 1: 20 November 2018 to 26 April 2019
- ¹⁹³ Period 2: 20 November 2019 to 26 April 2020.

The grass height remained below 10 cm during both periods. Data from these periods were selected during seasons with minimal influence of vegetation to focus on the methodology of exclusion criteria. Note that data from Period 1 were used in Section 4. Verification of Criteria, Section 5. Resuts, and Section 6. Discussion, whereas data from Period 2 were used in Section 5. Results.

Figure 3 shows a wind rose for the observation period. The wind rose from the 7.5 m tower anemometer (Fig. 3a) and the 1.8 m ultrasonic anemometer (Fig. 3b) differ. The wind rose at 7.5 m indicates wind observation from the west of the site. On the other hand, the wind rose at 1.8 m is dominated by NNW winds. The NNW to NE sector remains open, with grassland over 100 m. Winds from these sectors accounted for 48.8%.

Fig. 1
Fig. 2
Table 1

According to the footprint analysis, surface conditions up to 100 m upwind influence more than 70% of ECM-derived fluxes (see Appendix A). Radiation temperatures remained relatively uniform across the field after weeding (Ichinose and Pan 2023).

Fig. 3

210 3.2 Data Processing

211 a. Correction of Temperature and Humidity Sensor Bias

We used an independent thermometer and hygrometer to measure dif-212 ferences in temperature and humidity. To determine the bias between the 213 two sensors, we obtained comparative observations by installing the tem-214 perature and humidity sensors in a ventilated enclosure at the same height 215 for approximately one week every two months (Fig. 2b). We defined the 216 biases for temperature and relative humidity as $\Delta \widetilde{T}(\widetilde{T}_1) = \widetilde{T}_1 - T_2$ and 217 $\Delta \widetilde{rh}(\widetilde{rh}_1) = \widetilde{rh}_1 - rh_2$, respectively. Here, \widetilde{T}_1 represents the temperature 218 at height z_1 before bias correction, and $\widetilde{rh_1}$ represents the corresponding 219 relative humidity. The baiases are assumed to take the following form: 220

$$\Delta \widetilde{T}(\widetilde{T}_1) = a \times \widetilde{T}_1 + b, \tag{10a}$$

$$\Delta \widetilde{rh}(\widetilde{rh_1}) = c \times \widetilde{rh_1} + d, \qquad (10b)$$

where a, b, c, and d are regression coefficients (see Appendix B). After bias correction, the temperature and relative humidity values are given by

$$T_1 = \widetilde{T}_1 - \Delta \widetilde{T}(\widetilde{T}_1), \tag{11a}$$

$$rh_1 = \widetilde{rh_1} - \Delta \widetilde{rh}(\widetilde{rh_1}), \qquad (11b)$$

respectively. The specific humidity values q_1 and q_2 were calculated using the corrected temperature and relative humidity values (T_1, rh_1) and (T_2, rh_2) , respectively. We then obtained the temperature difference ΔT and the specific humidity difference Δq after bias correction.

227 b. Net Radiation

We calculated the net radiation Rn using the following equation:

$$Rn = S^{\downarrow} - S^{\uparrow} + L^{\downarrow} - L^{\uparrow}, \qquad (12)$$

where S^{\downarrow} is the incoming shortwave radiation, S^{\uparrow} is the reflected shortwave radiation, L^{\downarrow} is the incoming longwave (infrared) radiation, and L^{\uparrow} is the outgoing longwave (infrared) radiation.

232 c. Soil Heat Flux

²³³ The surface soil heat flux *G* consists of two components, as described by ²³⁴ Garratt (1994, p. 116), Arya (2001, Chapter 4), and Foken (2017, p. 19):

$$G = G_{2cm} + S_{0-2cm},$$
 (13)

where G_z is the measured soil heat flux at depth z, and S_{0-2cm} is the heat storage in the 0-2 cm soil layer. We calculated the heat storage as follows:

$$S_{0-2cm} = \overline{c_g \rho_g} \frac{\Delta T_g (0 - 2cm)}{\Delta t} \Delta z (2cm), \qquad (14)$$

where, $\Delta T_g(0 - 2cm)/\Delta t$ is the average change rate of soil temperature 237 between 0 and 2 cm depth, and $\Delta z(2cm)$ is the thickness of the soil layer 238 (2 cm in this case). The surface temperature (at a depth of 0 cm) was 239 determined using radiation temperature measurements from the infrared 240 radiometer and the radiation thermometer (see Table 1). We calculated the 241 soil heat capacity $c_g \rho_g$ which accounts for the difference in soil heat flux 242 between the 2 cm and 10 cm depths and the change rate of temperature 243 between 2 cm and 10 cm: 244

$$c_g \rho_g = \frac{G_{2cm} - G_{10cm}}{\frac{\Delta T_g(2-10cm)}{\Delta t} \times \Delta z(8cm)}.$$
(15)

Although $c_g \rho_g$ generally varies with soil moisture θ , it is not appropriate 245 in this study to express $c_g \rho_g$ as a function of θ , since the coefficient of 246 determination (R^2) of the regression line between $c_g \rho_g$ and θ is 0.207. The 247 variation of $c_g \rho_g$ averaged over 10 days within the period is $\pm 7.4\%$, i.e. 248 the variation of S_{0-2cm} (denoted as ΔS_{0-2cm}) due to the variation of $c_g \rho_g$ 249 is $\pm 7.4\%$. From the observed data, $|S_{0-2cm}/G| < 26.4\%$, and therefore, 250 $|\Delta S_{0-2cm}/G| < 2.0\%$. For simplicity, we used the average value of $c_g \rho_g$, 251 assuming it remains constant over the analysis period in this study. The 252

value $\overline{c_g \rho_g}$ was obtained by averaging Eq. (15) over the measurement period and applied in Eq. (14).

255 d. Eddy Covariance Data

The data sampling frequency of the ECM was 10 Hz, with data recorded 256 at 30-minutes interval. Sensible and latent heat fluxes were used only when 257 the relative humidity difference between the VAISALA hygrometer (1.5 m) 258 and the gas analyzer was less than 5%, since condensation on the sensor of 259 gas analyzer could lead to erroneous readings of specific humidity. Conden-260 sation occurred in 98% of cases when the relative humidity exceeded 85%. 261 Sensible heat flux from the ECM used to determine the exclusion range. 262 Latent heat flux was excluded, as condensation on the sensor element could 263 cause data loss. 264

²⁶⁵ 4. Verification of Criteria

²⁶⁶ 4.1 Dependence of Available Energy on Stability

We used only the data for Period 1 in Section 4. Figure 4 shows the relationship between available energy Rn - G and atmospheric stability Ri(i.e. Richardson number) which is defined as:

$$Ri = \frac{g}{T} \frac{\Delta T}{U^2} \Delta z.$$
(16)

Here, Ri is similar to the bulk Richardson number (Arya 2001), g is the gravitational acceleration, T is the air temperature (in Kelvin) at a height of 1.5 m, ΔT is the temperature difference used in the Bowen ratio calculation, U is the wind speed at a height of 7.5 m, and $\Delta z = z_2 - z_1 = 2.1$ m.

When Ri < 0, most of the available energy Rn - G is positive, i.e., the turbulent transport H + lE is positive. In contrast, when Ri > 0, the available energy Rn - G is both positive and negative, and we found that the average values of Rn - G for each interval of Ri in the range Ri > 0.001were -11 to -14 W m⁻². Furthermore, the upper limits of the error bar were also negative in the range of Ri > 0.1. For Ri > 0.1, most of the Rn - G was in the negative range.

Fig. 4

²⁸¹ 4.2 Determination of the Values of B_{-} and B_{+}

To determine Criterion II, we examined how the difference between the sensible heat flux from the Bowen ratio method and that from the ECM (bias and DIFF) varies with the exclusion range. We calculated *bias* and ²⁸⁵ *DIFF* using the following equations:

$$bias = \frac{1}{n} \sum_{i=1}^{n} \Delta_i, \tag{17a}$$

$$DIFF = \sqrt{\frac{1}{n} \sum_{i=1}^{n} \Delta_i^2},$$
(17b)

$$\Delta_i = H_i(Bowen) - H_i(eddy), \tag{17c}$$

where $H_i(Bowen)$ is the sensible heat flux calculated using the Bowen ratio 286 method under Criterion I and II with different range of the Bowen ratio, and 287 $H_i(eddy)$ is the sensible heat flux calculated using the ECM. We calculated 288 Δ_i when data were available for both $H_i(Bowen)$ and $H_i(eddy)$, where i is 289 the serial number of the existing data and n is the total number of Δ_i . We 290 also defined two additional parameters as follows: n_1 is the number of spike 291 data with $|\Delta_i| > 40$ W m⁻², and n_0 is the number of data points where 292 both Criteria I and II were applied. In the following, data under Criteria I 293 and II will be referred to as valid data. Therefore, n and n_0 are both valid 294 data, and $n_1 \leq n \leq n_0$ from the definition above. 295

As shown in Fig. 4, when the atmospheric condition is stable (Ri > 0), the majority of Rn - G is below 50 W m⁻² in case of $B \sim -1$, $Rn - G \sim 0$ (Section 2.2b), and $B \sim -1$ occurs from the evening until the next morning (Ohmura 1982; Tanner et al. 1987; Halliwell and Rouse 1989; Unland et al. 1996). Therefore, we focus on the data for Rn - G < 50 W m⁻² to determine the range of the Bowen ratio B_{-} and B_{+} .

Figures 5a and 5b show the relationship between the bias and B_+ for 302 various values of B_{-} . The bias decreases monotonically for both B_{-} and 303 B_+ . Figures 5c and 5d show the relationship between DIFF and B_+ . It is 304 apparent that larger B_{-} correspond to higher DIFF. Regardless of the value 305 of B_{-} , the *DIFF* has a minimum value around $B_{+} = -0.6$ (hereafter, Crite-306 rion 1). Figures 5e and 5f show the dependence of n_0/N on B_+ . Larger B_- 307 becomes larger n_0/N , and larger B_+ smaller n_0/N . Figures 5a, 5c, and 5e 308 represent data under all wind directions, while Figs. 5b, 5d and 5f represent 309 data under NNW-NE wind directions. There is no noticeable difference in 310 the data between the two groups of wind direction. The exclusion ranges 311 were determined based on the conditions that the *bias* is close to zero, the 312 DIFF is as small as possible, and the n_0/N is as large as possible. These 313 conditions give $B_{-} = -2.2$ to -2.0 (Criterion 2). 314

Figure 6 represents the frequency distribution of the number of spikes n_1 by Bowen ratio category. Data are plotted for two groups of wind direction: one is all wind directions, and the other is only NNW-NE winds. There were no significant differences between the two groups. The frequency of spikes is high for $-1.8 \leq B \leq -0.6$. Therefore, it is appropriate to set $B_{-} \leq -1.8$ (Criterion 3). As a result of Criteria 1 to 3, the exclusion range was determined as $(B_{-}, B_{+}) = (-2.0, -0.6)$. Fig. 5

Fig. 6

Figure 7 shows the relationship between the Bowen ratio and surface 322 fluxes without the application of Criterion II. H and lE are obtained using 323 the Bowen ratio method. When the heat balance equation is satisfied, it is 324 evident that in the vicinity of $B \approx -1$, the fluxes of both H and lE exhibit 325 large absolute errors (spikes). However, no such spikes are observed in the 326 available energy Rn - G. The H and lE spikes may therefore not represent 327 actual fluxes (see Appendix C). Here, we applied Criterion II, as indicated 328 by the red dashed lines in Fig. 7, with $(B_-, B_+) = (-2.0, -0.6)$. The spikes 329 drastically decreased outside the red dashed lines. 330

Figure 8 shows the classification of data based on the application of 331 Criteria I and II. For this figure, there was no restriction on the value of 332 Rn - G. The data are divided into three categories: "I" represents data 333 satisfying only Criterion I, "II" represents data satisfying only Criterion 334 II, and "I and II" represents data satisfying both Criteria I and II. The 335 proportion of category "II" underwent the most significant change as the 336 B_{-} value was varied. By applying the data exclusion criteria, it was possible 337 to calculate the fluxes using more than 80% of the data. 338

339 4.3 Gap-filling of Missing Data

In this study, we considered the interpolation of data excluded due to Criteria I and II. Surface flux estimation is examined in the cases where Fig. 7

Fig. 8

observational data other than those obtained using the Bowen ratio method
cannot be used. The interpolation method should satisfy the energy balance
equation, Eq. (4). We considered several interpolation methods:

³⁴⁶ [2] Interpolation of sensible heat H and latent heat lE.

³⁴⁷ [3] Interpolation of temperature differences ΔT and specific humidity ³⁴⁸ differences Δq .

[4] Interpolation of the coefficients in Eqs. (5a) and (5b), such as B/(1 + B) or 1/(1 + B) (hereafter referred to as "B-factor"). Note that B/(1 + B) interpolation is equivalent to 1/(1 + B).

To satisfy the energy balance equation, we used interpolation method [2] to recalculate the Bowen ratio using Eq. (1) based on the interpolated values. Similarly, we used interpolation method [3] to recalculate the Bowen ratio using Eq. (3) based on the interpolated values. Using these interpolated Bowen ratios, we calculated the sensible heat flux and latent heat flux with Eqs. (5a) and (5b), respectively.

Methods [1] to [3] resulted in a large amount of data that met the exclusion criteria (B_-, B_+) , and their use caused significant spikes in the fluxes. Method [4] provided reasonable results that satisfied Criterion II. We therefore adopted *B*-factor interpolation in this study (see Appendix D).

We used *B*-factor interpolation to fill in the missing data from the ex-362 tracted data under the condition $(B_{-}, B_{+}) = (-2.0, -0.6)$. We considered 363 interpolations ranging from a 6-point interpolation (30 min \times 6 = 3 h) to a 364 12-point (30 min \times 12 = 6 h). For example, a 6-point interpolation means 365 that intervals with six or fewer consecutive missing data points would be in-366 terpolated, while intervals with more than six missing data points would not 367 be interpolated. The percentage of the original data retained was 84.5% (n_0 368 = 3883) (Table 2(a)). However, the percentages of data coverage with the 369 6-point and 12-point interpolations were 94.2% ($n_0 = 5008$) and 97.5% (n_0 370 = 5291), respectively. Interpolation resulted in a larger *mean* and *DIFF*, 371 but a slightly smaller standard deviation (SD). 372

Figure 9 shows the frequency distribution of Rn - G by class when the 373 12-point interpolation was performed. A total of 97.9% of the data fell 374 within the condition Rn - G < 50 W m⁻². To investigate whether the 375 average values of H for Rn - G < 50 W m⁻² changed with the number 376 of interpolations over the entire period, we performed a statistical test. If 377 we assumed equal variances between pairs of samples from Table 2(b), we 378 found no significant difference in the *mean* values between the 6-point and 379 12-point interpolations at a Type I error rate of 0.05. That result did not 380 change even when we assumed unequal variances. 381



382 5. Results

To validate the appropriateness of the Bowen ratio data exclusion and 383 interpolation methods, we compared data from November 2019 to April 384 2020 (Period 2) with the ECM. Figure 10 shows an example of time-series 385 of the fluxes. The fluxes calculated by the Bowen ratio method and the 386 interpolated fluxes (12-point interpolation) did not show large spikes and 387 were in good agreement with fluxes by the ECM. As shown in Fig. 9, more 388 than 97% of the interpolated data due to Criteria I and II occurred when 389 $Rn - G < 50 \text{ W m}^{-2}$. Therefore, the data exclusion and interpolation 390 locations were concentrated in the evening, night, and morning hours. 391

Figure 10 shows that there were days with little or no error and days with 392 a large error, especially in the latent heat fluxes during the day. Weather 393 conditions during this period included heavy precipitation of 30 mm on 394 April 1, clear skies from April 2 to 4, a southerly wind direction and dry 395 air on April 4, and a significant decrease in soil moisture during the day. 396 These conditions resulted in rapid drying state that may have prevented a 397 uniform progression of surface drying throughout the day. Moreover, the 398 wind direction was not from NNW-NE, which would be the ideal footprint 399 condition. These factors may have caused the large difference in latent heat 400 fluxes between the Bowen ratio method and the ECM. 401

Fig. 10

⁴⁰² Figure 11 represents the summary of the procedure for surface flux es-

timation. We can see the spike errors by the Bowen ratio method in Fig. 403 11a. In contrast, the spike errors are eliminated due to Criteria I and II 404 (Fig. 11b). Figure 11c shows the interpolation results for the removed data. 405 Compared to the ECM, no data deviate significantly, indicating that the in-406 terpolation method is appropriate. The slope in Fig. 11d, is slightly closer 407 to 1 than that in Fig. 11b. The coefficient of determination \mathbb{R}^2 is slightly 408 smaller, however it can be considered almost the same. Table 3 summarizes 400 validation for Period 1 and Period 2. The number of spikes in the original 410 data is drastically reduced by data applied Criteria I and II ("in" in Table 411 3). In Period 1, 790 data points were excluded, and more than 88% of these 412 data (700 data points) were recovered as interpolated values; in Period 2, 413 more than 84% (740 data points) were recovered as interpolated values. 414 While errors also appear in the interpolated values, the number of errors 415 is smaller than that in the original data. In general, there is no significant 416 difference between Period 1 and Period 2. Therefore, it is confirmed that 417 the present method is also effective for other years. 418

The slope shown in Figs. 11b and 11d is not near 1, but about 0.85. Comparing Fig. 11b with Fig. 11d, the improvement in the slope due to the addition of interpolation data (Fig. 11c) is negligible. The slope (for both "in" and "in+int") obtained only for daytime data ($Rn - G \ge 50$ W m⁻²) is about 0.87 (figure not shown). On the other hand, as shown in Fig.

Fig. 11	L
Table	3

9, more than 97% of the interpolated data (data applied Criteria I and II) 424 appear under the condition of Rn - G < 50 W m⁻². Therefore, the slope is 425 mainly determined by the daytime data, and the deviation of the slope from 426 1 is not due to the application of Criteria I and II. This slope indicates that 427 the sensible heat flux measured by the ECM tends to be lower than that 428 of the Bowen ratio method. One of the possible factors is the use of data 429 from all wind directions, including data from other than the ideal footprint 430 directions (NNW–NE). If we extract the data from NNW–NE and draw 431 a figure similar to Fig. 11b, the slope of the regression line is as follows 432 (figures not shown.): 433

- ⁴³⁴ Period : all wind directions \rightarrow NNW-NE
- 435 Period 1: $0.809 \rightarrow 0.878$
- 436 Period 2: $0.849 \rightarrow 0.873$
- 437 We also checked the dependence of the surface energy imbalance ratio
- 438 (H(eddy) + lE(eddy))/(Rn G) (Sun et al. 2021) on the wind direction.
- 439 Period : all wind directions \rightarrow NNW-NE
- 440 Period 1: $0.785 \rightarrow 0.848$
- 441 Period 2: $0.796 \rightarrow 0.824$
- The slope of the sensible heat flux improves, approaching 1 in both periods in better footprint conditions. The surface energy imbalance ratio also improves under the ideal footprint condition. The above two results are

445 consistent.

The data exclusion and interpolation methods used in this study focused mostly on periods with small temporal variations in nighttime fluxes. We consequently observed no significant differences in *mean* values between the maximum 6-point (3 h) and 12-point (6 h) interpolations (see Table 2a).

450 6. Discussion

451 6.1 Comparison of Exclusion Criteria

The analytical method of determination for the exclusion criteria with sensor accuracy is a different approach from the present method. Using Eq. (6),

$$|\delta B| \le |B| \left(\left| \frac{\delta \Delta T}{\Delta T} \right| + \left| \frac{\delta \Delta q}{\Delta q} \right| \right), \tag{18}$$

Expressing the Bowen ratio error $|\delta B|$ as ε , we substitute Eq. (3) into Eq. (18),

$$\varepsilon \le \frac{\frac{C_p}{l}\delta\Delta T + |B|\delta\Delta q}{|\Delta q|}.$$
(19)

⁴⁵⁷ According to Eq. (19), the Bowen ratio error ε , depends on thermometer ⁴⁵⁸ and hygrometer accuracy, specific humidity difference, and the Bowen ratio itself. When B = -1 in Eq. (19), the error range of B is as follows (Perez et al. 1999):

$$-1 - \varepsilon < B < -1 + \varepsilon. \tag{20}$$

$$\varepsilon \le \frac{\frac{C_p}{l}\delta\Delta T + \delta\Delta q}{|\Delta q|} \equiv \frac{\delta E_t}{|\Delta q|}$$
(21)

461 where,

$$\delta E_t = \frac{C_p}{l} \delta \Delta T + \delta \Delta q. \tag{22}$$

Applying these expressions of the Bowen ratio exclusion range, we excluded spike data using $\delta\Delta T = \sigma(\Delta T)$ and $\delta\Delta q = \sigma(\Delta q)$ obtained from the instrumental calibration in this study. $\sigma(\Delta T)$ is the standard deviation of the corrected temperature difference when the thermometers are installed at the same height. $\sigma(\Delta q)$ is the standard deviation for the specific humidity. (see Appendix B).

Fig. 12 shows the relationship between Δq and B. The hyperbolic region $(-1 - \varepsilon \text{ and } -1 + \varepsilon)$ except for the $(\Delta q, B) = (0, -1)$ is the data extraction region in Perez et al. (1999), and the linear region $(B_+ \text{ and} B_-)$ except for the $(\Delta q, B) = (0, -1)$ is the data extraction region in this study. In Perez et al. (1999), the value of the extraction region changes with Δq . In the range $\Delta q < 0$ (evaporation conditions), there is a large amount of data in the region bounded by $-1 - \varepsilon$ and B_+ in the second quadrant and the region bounded by $-1 + \varepsilon$ and B_- in the third quadrant. Data in these regions are used in the Perez et al. (1999) criterion, however the data are excluded under the Criterion II. On the other hand, for $\Delta q >$ 0 (condensation conditions), there is no difference in the amount of data between the two criteria.

Table 4 shows the results of Perez et al. (1999) and the present analysis. 480 The analyzed data satisfy Rn - G < 50 W m⁻². Note that n in Perez et 481 al. (1999) is the total number of Δ_i when H(Bowen) is used with Perez's 482 criterion instead of Criterion II. In the "in" rows, the number of valid data 483 n in the present analysis is 265 fewer than in Perez et al. (1999). However, 484 the spike ratio n_1/n in the present analysis is less than half, and the DIFF 485 is smaller, compared with Perez et al. (1999). In other words, the Perez 486 et al. (1999) method is not sufficient to eliminate spike data. When the 487 interpolation method [4] is applied, the difference in the number of valid 488 data n in the "in+int" row is 48, which is drastically smaller compared to 489 265 in the "in" row. Furthermore, the spike ratio n_1/n and the DIFF in 490 the present analysis are smaller than those in Perez et al. (1999). 491

In Section 4.2, the exclusion conditions of the Bowen ratio were determined by comparing the fluxes obtained from the ECM:

$$-2 < B < -0.6. \tag{23}$$

Fig. 12

Table 4

Now consider the following case for Eq. (21):

$$\varepsilon \le \delta E_t / \left| \Delta q \right| \sim 0.3 \tag{24}$$

495 From Eq. (20):

$$-1.3 < B < -0.7. \tag{25}$$

⁴⁹⁶ This error range for B is the same as that of Unland et al. (1996). Similarly:

$$\varepsilon \le \delta E_t / |\Delta q| \sim 0.4 \Rightarrow -1.4 < B < -0.6.$$
⁽²⁶⁾

497

$$\varepsilon \le \delta E_t / |\Delta q| \sim 1 \Rightarrow -2 < B < 0.$$
 (27)

The upper value in Eq. (26) and the lower value in Eq. (27) are the same 498 as those in Eq. (23), respectively. The difference in the values of $\delta E_t / |\Delta q|$ 499 may be due to the fact that the upper and lower limits were determined 500 separately using the ECM. Halliwell and Rouse (1989) proposed $-1.4 \leq$ 501 $B \leq -0.7$, based on a comparison with aerodynamic methods from profile 502 observations and the use of existing shear functions. The corresponding 503 value of $\delta E_t / |\Delta q|$ are ~ 0.4 for the lower limit and ~ 0.3 for the upper 504 limit. Therefore, the difference in $\delta E_t / |\Delta q|$ corresponding to the upper and 505 lower limits may arise from the reason that (B_{-}, B_{+}) was determined by 506 comparing the Bowen ratio method with another independent method. 507

508 6.2 Universality of Results

This section discusses the universality of the method to obtain exclusion criteria for Bowen ratio data near B = -1. In this paper, we used data under flat condition after weeding, from November to April. We also checked whether the criteria are valid for other years at the same site.

513 a. Temperature Dependence

The Bowen ratio (Eq. (3)) uses the difference between specific humidity 514 (i.e. water vapor pressure) at two heights. Water vapor pressure is an 515 exponential function of temperature, which is nonlinear. Therefore, the 516 Bowen ratio remains temperature dependent. Fig. 13a shows frequency 517 of data for the temperature dependence of the Bowen ratio under all wind 518 directions without applying Criteria I or II. The data are divided into two 519 groups, one for low temperatures $(-7 \ ^{\circ}\text{C} < T_1 \leq 9 \ ^{\circ}\text{C})$ and the other for 520 high temperatures (9 °C < T_1 < 24 °C). The number of data points for low 521 temperatures is 4,430 and for high temperatures is 3,345. The frequency 522 distribution between two groups is clearly different. However, since errors 523 occur under conditions near B = -1, the divergent condition (Eqs. (5a) 524 and (5b)) is fixed near B = -1. The frequency distribution of the number 525 of spike occurrences (the number of data points with $|\Delta| > 40 \text{ W m}^{-2}$) was 526 obtained for the two groups as shown in Fig. 13b. The frequencies were 527

⁵²⁸ normalized by the total number of spikes for each temperature group. The ⁵²⁹ number of spikes for low and high temperatures is 108 and 117, respectively. ⁵³⁰ The frequency distributions for the two temperature groups were found to ⁵³¹ be equal by the chi-square test. Therefore that (B_-, B_+) is independent of ⁵³² temperature.

Fig. 13

533 b. Height Difference Dependence

In this study, the Bowen ratios were determined at heights of 0.4 m and 534 2.5 m. The heights of 0.4 m and 2.5 m are within the surface boundary layer 535 after weeding. The height ratio is approximately 2.5/0.4 = 6.2. Foken et al. 536 (1997) recommend a height ratio of 4-8 or higher, because the height ratio 537 decreases, the accuracy of the temperature difference and specific humidity 538 difference at the two heights becomes less precise, and the reliability of the 539 Bowen ratio decreases. If the ratio of the two heights is above the threshold 540 and within the surface boundary layer, the results could be the same even 541 if other height differences are used to determine the Bowen ratio, since the 542 conditions for a constant flux layer are satisfied. 543

544 c. Location Dependence

The exclusion criteria were obtained by comparison with the ECM. The ECM is highly dependent on surface homogeneity and footprint. The ECM measures at a height of 1.8 m, while the Bowen ratio method measures at

heights of $z_1 = 0.4$ m and $z_2 = 2.5$ m. The footprint of the Bowen ratio 548 method is nearly equal to that of the ECM when installed at the geometric 549 mean of the two measured heights $(\sqrt{z_1 z_2})$ (Stannard 1997). Therefore, in 550 the case of this study, the footprint of the Bowen ratio method is contained 551 within that of the ECM (Appendix A). When the footprint of the ECM is 552 under homogeneous surface conditions, the effect of the surface conditions 553 on the measurement site is the same for the ECM and the Bowen ratio 554 method. Therefore, it is sufficient for the measurement sites of the Bowen 555 ratio method and ECM to be under homogeneous surface conditions. If this 556 condition is not satisfied, it is quite possible that the fluxes from the ECM 557 and Bowen ratio methods will differ and consequently affect the (B_-, B_+) 558 values. 559

560 6.3 Comparison with Bulk Method

Another method of gap-filling for missing data is the bulk method (Wang et al. 2006). This section discusses comparisons of the present interpolation method with the bulk method. Since the wind of 7.5 m tower anemometer is influenced by the forest, the wind of 1.8 m ultrasonic anemometer $(U_{1.8m})$ was used for the bulk method. The temperature difference $(\Delta T_b = T_s - T)$ was the difference between the ground surface temperature $(T_s = T_g(0cm))$ measured by an infrared thermometer and the air temperature (T) at 1.5 m. Two types of sensible heat fluxes, one estimated by the Bowen ratio method and the other observed by the ECM, were used to obtain the bulk coefficient. When the Bowen ratio method for sensible heat flux was used, the bulk coefficient was calculated.

$$C_h = \frac{H(Bowen)}{C_p \rho U_{1.8m} \Delta T_b} \tag{28}$$

⁵⁷² Bulk coefficients were determined separately for unstable $\Delta T_b \geq 0$ and ⁵⁷³ stable $\Delta T_b < 0$ conditions. The following conditions were imposed on the ⁵⁷⁴ data used in the calculation of Eq. (28): $|\Delta T_b| \geq 1^{\circ}$ C, $|H(Bowen)| \geq 10$ ⁵⁷⁵ W m⁻², wind directions from NNW–NE, and both Criterion I and II. The ⁵⁷⁶ surface fluxes were calculated using the following equation.

$$H(bulk) = C_p \rho C_h U_{1.8m} \Delta T_b \tag{29a}$$

$$lE(bulk) = Rn - G - H(bulk)$$
(29b)

Figure 14 shows the dependence of the bulk coefficient on wind speed. Figure 15 represents comparison of the fluxes obtained by the bulk method with those by the ECM for the missing data. Table 5 summarized verification of gap-filling. Note that "bulk(B)" in Table 5 is the result used in Eq. (28) and "bulk(e)" is the result when H(eddy) is used instead of H(Bowen)in Eq. (28). The number of interpolations in the present method is 12-point

or less (see Section 4.3), thus the number of recovered data n is slightly 583 higher for the bulk method. The number of data points and bias are bet-584 ter for the bulk method. The DIFF are about the same. However, for 585 the present method, the number of spikes is fewer and the R^2 is slightly 586 higher (Table 5a). A comparison of gap-filled data with the ECM data is 587 shown in Table 5b. There is no significant difference in the coefficients of 588 the regression equation, bias, DIFF, and R^2 for three method ("bulk(B)", 589 "bulk(e)", and "present"). The bulk method and the Bowen ratio method 590 use different surface temperatures: as shown in Table 1, the bulk method 591 uses a radiation thermometer, while the Bowen ratio method uses an in-592 frared radiometer. The radiation thermometer measures the temperature in 593 a narrow field of view (0.14 m diameter at a 2 m distance), while the infrared 594 radiometer measures infrared radiation from a hemisphere (2π steradian). 595 The wavelength range of the infrared radiation is also different between the 596 two sensors $(8-16 \ \mu m$ for radiation thermometer and $4-50 \ \mu m$ for infrared 597 radiometer, respectively). Because of these differences, it is difficult to judge 598 which gap-filling method is better based on the degree of difference between 599 Figs. 15a, b, and c. While the bulk method requires prior determination of 600 bulk coefficients and additional measurements of surface radiative tempera-601 ture and wind speed during its application, the present method employs the 602 B-factor and requires fewer input variables, making it a simpler and more 603

⁶⁰⁴ practical alternative in field applications.

605 7. Summary

In this analysis, we presented two exclusion criteria for the Bowen ra-606 tio method. Criterion I concerned the sign consistency of observed values 607 according to K-theory, whereas Criterion II focused on the exclusion of sin-608 gular points in the Bowen ratio method. In the latter case, we discussed the 609 determination of exclusion range for the Bowen ratio. The number of valid 610 data points, bias, DIFF, and the number of spike errors were calculated 611 using the sensible heat flux estimated by the ECM and the Bowen ratio 612 method. The Bowen ratio range of spike occurrence was revealed from the 613 frequency distribution of the number of spikes per Bowen ratio class. B_+ 614 was found to be about -0.6 at the lowest *DIFF*, while B_{-} was obtained with 615 as many valid data points as possible, with bias as close to zero as possible, 616 and with reference to the frequency distribution of the number of spikes. 617 We therefore adopted the optimal combination of $(B_-, B_+) = (-2.0, -0.6)$. 618 It is possible that the exclusion criteria (B_-, B_+) may change due to site 619 dependency. However, there is no dependence on temperature or height 620 difference. 621

The data exclusion criteria proposed in this study are practical and applicable for data processing using the Bowen ratio method. We believe

Fig.	14
Fig.	15
Tabl	e 5
that they are also applicable to the modified Bowen ratio method (Liu and Foken 2001). The *B*-factor interpolation method provided reasonable results for gap-filling of excluded data points, and interpolation of 6-12data points was feasible.

It is valuable to estimate surface fluxes using the method described in this study and to accumulate long-term datasets. This methodology is applicable to various observational fields under homogeneous surface conditions in climate/environmental studies and/or to the verification of numerical models of the atmospheric boundary layer.

633

Data Availability Statement

The observational data from the field in the Meteorological Research Institute are available from the corresponding author upon reasonable request.

637

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644

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Table 1. List of observational instruments.

Meteorological element	Instrument Type and Model number	Height/Depth	sampling and recording	Symbol		flux
Difference of temperature and humidity	VAISALA Humidity & temperature probe HMP155	$z_1 = 0.4 \text{ m}$ $z_2 = 2.5 \text{ m}$		ΔT and Δq		
Short wave radiation	HukuseFlux pyranometer HF-SR20	1.5 m		$S \downarrow and S \uparrow$	Rn	H(Bowen)
Infrared radiation	HukuseFlux pyradiometer HF-IR20	1.5 m	0.2.11-	$L \downarrow and L \uparrow$		and IE(Bowen)
Soil heat flux	HukseFlux soil heat plate HF-HFP01	2 and 10 cm (depth)	sampling	Gz		
Soil temperature	Thermocouple	1, 2,, 10 cm (depth)	recording	T_{g}	G	
Surface temperature	Radiation thermometer TASCO THI-303N	0 cm		0		
Air temperature and humidity	VAISALA Humidity & temperature probe HMP155	1.5 m		Т		
Wind speed and direction	Young Wind Monitor CYG-5103	7.5 m		U		
Wind and temperature fluctuation	Campbell CSAT3 3-Dimensional Sonic Anemometer	1.8 m	10 Hz sampling			H(eddy) and
Water vapor fluctuation	Campbell EC150 CO ₂ and H ₂ O Open-Path Gas Analyzer	1.8 m	30 minutes recording		l	E(eddy)

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(a) -	$H (W m^{-2})$					(h) _				
(a)						(d)	P-value			
int. No.	<i>n</i> ₀	mean	SD	DIFF		int. No.	≦6	≦8	≦10	≦12
0	3883	-13.43	16.93	13.43						
≦6	5008	-11.57	16.80	14.16		≤ 6	1.000	0.381	0.278	0.120
≦8	5147	-11.28	16.74	14.16		≤ 8		1.000	0.835	0.498
≦10	5222	-11.21	16.75	14.33		≦10			1.000	0.638
≦12	5291	-11.05	16.70	14.35		≦12				1.000

Table 3. Summary of validation: number of data (n), bias (bias), difference between the Bowen ratio method and the ECM (DIFF), number of spikes (n_1) , coefficient of determination (R^2) , and corresponding figure symbols. Data in column * are not restricted to Rn - G; all other columns are $Rn - G < 50 \text{ W m}^{-2}$. In method column, "in", "int", and "in+int" represent data applied Criteria I and II, interpolated data, and sum of "in" and "int", respectively. [4] indicates the interpolation method [4].

method	п	bias	DIFF	n ₁	n ₁ /n	R^2	$R^2 *$	Fig. 15 *	Period
original	3690			252	6.83%				
in	2900	-6.8	13.4	31	1.07%	0.582	0.908		1
int [4]	700	9.2	17.7	30	4.29%	0.420	0.406	(c)	
in+int [4]	3600	-3.7	14.3	61	1.69%	0.460	0.896	(d)	
	-					-	-	-	
method	n	bias	DIFF	n ₁	n ₁ /n	R^2	$R^2 *$	Fig. 11 *	Period
original	2853			222	7.78%			(a)	
in	1977	-2.5	11.2	13	0.66%	0.644	0.920	(b)	2
int [4]	740	8.8	16.9	32	4.32%	0.374	0.312	(c)	
in+int [4]	2717	0.6	13.0	45	1.66%	0.518	0.910	(d)	

Table 4. Results of Perez et al. (1999) and the present analysis: number of valid data (n), bias (bias), difference between the Bowen ratio method and the ECM (DIFF), number of spikes (n_1) and coefficient of determination (R^2) . In row, "in" represents data applied Criteria I and II, and "in+int" represents sum of "in" and "int", which is interpolated data. The difference in n is 265 for the "in" row and 48 for the "in+int" row. Period 1 data and Rn - G < 50 W m⁻².

	method	п	bias	DIFF	<i>n</i> ₁	n₁∕n	R^2
in	Perez et al.	3165	-7.8	15.3	72	2.27%	0.584
	Present	2900	-6.8	13.4	31	1.07%	0.582
in+int	Perez et al.	3648	-5.9	15.7	95	2.60%	0.506
	Present	3600	-3.7	14.3	61	1.69%	0.460

Table 5. (a) Summary of the gap-filling method: number of data (n), bias (bias), difference between the Bowen ratio method and the ECM (DIFF), number of spikes (n_1) , coefficient of determination (R^2) , and corresponding figure numbers. (b) Summary of the coefficients of the regression line obtained using all data after gap-filling: slope (a) and intercept (b). "bulk(B)" is the result used in Eq. (28). "bulk(e)" is the result when H(eddy) is used instead of H(Bowen) in Eq. (28). Period 1.

(a)	method	n	bias	DIFF	n ₁	n ₁ /n	R^2	Fig. 15
	bulk(B)	790	0.3	18.2	50	6.33%	0.115	(a)
	bulk(e)	790	-1.5	18.2	48	6.08%	0.124	(b)
	present	700	9.2	17.7	30	4.29%	0.406	(c)
	· · · · ·							
(b)	method	n	bias	DIFF	а	Ь	R^2	Fig. 15
	bulk(B)	5466	-1.1	20.0	0.816	2.36	0.895	
	bulk(e)	5466	-1.3	19.9	0.818	2.12	0.895	
	present	5376	2.4	20.0	0.821	1.30	0.896	(d)

¹ Appendix A. Footprint

Footprints were calculated using the analytical model of Hsieh et al. (2000). This model includes atmospheric stability (L) and surface roughness z_0 . L is the Monin-Obukhov length.

$$S(x) = \begin{cases} 0 & x < 0, \\ S_0 & x \ge 0. \end{cases}$$
(A1)

$$F(x, z_m) = \int_{-\infty}^{x} S(x) f(x, z_m) dx$$
 (A2)

⁵ $f(x, z_m)$ is the footprint function, $F(x, z_m)$ is the scalar flux, S(x) is the ⁶ source intensity, z_m is the measured height, and x is the axis of the mean ⁷ wind direction (where positive values indicate the upwind direction).

⁸ $F(x, z_m)$ is the percentage of upwind surface flux from x to 0 observed at ⁹ sensor height z_m . $F(x, z_m)/S_0$ indicates the normalized flux. For example, ¹⁰ considering the neutral state in Fig. A1a, $F(100m, 1.8m)/S_0 = 0.75$ means ¹¹ that 75% of the total flux measured at a height of 1.8 m comes from the ¹² ground surface between 0 m and 100 m upwind.

13 References

Hsieh, C., G. Katul and T. Chi, 2000: An approximate analytical model
for footprint estimation of scalar fluxes in thermally stratified atmospheric flows, Adv. Water Resour, 23, 756-772.

¹⁷ Appendix B. Instrumental Calibration Methods

To determine the bias between the two sensors, the temperature and humidity sensors are placed in a ventilated enclosure at the same height and comparative observations are made. The biases for temperature and relative humidity are defined as $\Delta \tilde{T}(\tilde{T}_1) = \tilde{T}_1 - T_2$ and $\Delta \tilde{rh}(\tilde{rh}_1) = \tilde{rh}_1 - rh_2$, respectively, where \tilde{T}_1 is the temperature before bias correction, and \tilde{rh}_1 is the corresponding relative humidity. T_2 and rh_2 are taken as standard values. Fig. 1

²⁵ A linear function is assumed for the instrumental difference:

$$\Delta \widetilde{T}(\widetilde{T}_1) = a \times \widetilde{T}_1 + b, \tag{A3a}$$

$$\Delta \widetilde{rh}(\widetilde{rh_1}) = c \times \widetilde{rh_1} + d. \tag{A3b}$$

The regression coefficients a, b, c, and d are found by the least squares method. Figure A2 shows an example of a comparison of a thermometer and a hygrometer at the same height.

The temperature and relative humidity after correction for instrumental
 differences are as follows:

$$T_1 = \widetilde{T}_1 - \Delta \widetilde{T}(\widetilde{T}_1), \tag{A4a}$$

$$rh_1 = rh_1 - \Delta rh(rh_1). \tag{A4b}$$

The specific humidity q_1 is calculated from the corrected (T_1, rh_1) . The variation of the difference for each sensor after instrumental correction is shown in Fig. A3.

Table A1 summarizes the results of the instrumental calibration, where 34 $\Delta T = T_1 - T_2$ is the average corrected temperature difference between two 35 thermometers installed at the same height and $\sigma(\Delta T)$ is its standard de-36 viation. Similarly, specific humidity is expressed as $\Delta q = q_1 - q_2$ with its 37 standard deviation $\sigma(\Delta q)$. The average over the entire period of instru-38 mental correction is $\sigma(\Delta T) \ll 0.033^{\circ}$ C and $\sigma(\Delta q) \ll 0.014$ g kg⁻¹. This 39 corresponds to $(C_p/l)\sigma(\Delta T) < \sim 0.014 \text{ g kg}^{-1}$. Therefore, the errors in tem-40 perature and humidity differences with respect to flux are comparable. In 41 the text, the instrument accuracy is set to $\delta \Delta T = \sigma(\Delta T)$ and $\delta \Delta q = \sigma(\Delta q)$. 42

⁴³ Appendix C. Behavior of the Data for $B \sim -1$ and ⁴⁴ Strong Wind Conditions

The behavior of the data for $B \sim -1$ described in Sections 2.2b and 45 4.2 is confirmed, especially under high wind conditions. First, we extract 47 the data with |Rn - G| < 20 W m⁻² and U > 4 m s⁻¹. Next, we exclude 48 the data with $|\Delta| > 40$ W m⁻² to remove spikes. Data inside the lower-left 49 dashed region in Fig. A4a represent the data with $B \sim -1$ and U > 4 m 50 s⁻¹ except spikes. Figure A4b shows the relationship between H and lE51 under the above conditions. The wind speed conditions in this case were

Fig. 3

Table 1

Fig. 2

⁵² 6.3 m s⁻¹ > U > 4 m s⁻¹. Wind speed conditions were below 5.1 m s⁻¹ ⁵³ when relatively large fluxes consistent with the ECM were observed.

In the field data, there are indeed data consistent with the eddy covariance method (ECM), with large absolute values of negative sensible heat flux and positive latent heat flux under strong winds with $B \sim -1$. If the wind speed conditions are stronger, the magnitude of the flux would be larger than the value of 60 W m⁻² observed in Fig. A4b.

⁵⁹ Appendix D. Interpolation

Four interpolation methods ([1]-[4]) were considered to recover as much of the excluded data as possible.

⁶² [1] Interpolation of the Bowen ratio.

- [2] Interpolation of sensible heat H and latent heat lE.
- [3] Interpolation of temperature differences ΔT and specific humidity differences Δq .

[4] Interpolation of "B-factor", such as B/(1+B) or 1/(1+B). Note that B/(1+B) interpolation is equivalent to 1/(1+B).

Figure A5 shows an example of a time-series where the four interpolation methods were applied. Large spikes occurred in [1]-[3], while no spikes were observed in [4].

Table A2 summarizes the number of spikes generated by each interpo-71 lation method. The total number of interpolated data points is 700 (the 72 same as "int [4]" in Period 1 of Table 3). n_1/n is the ratio of the number of 73 spikes to the total number of interpolated data points, and n_2/n is the ratio 74 of the number of data that return to the excluded range (-2 < B < -0.6)75 after interpolation to the number of interpolated data points. In [1]-[3], 76 the percentage of data in the excluded range after interpolation exceeds 77 30%. In [4], the percentage is zero. The percentage of spikes is at least 13%78 for [1]-[3] and 4.3% for [4]. The percentage of spikes after interpolation is 79 reduced by 1/4 or 1/3 for [4] compared to the other interpolation methods. 80 Figure A6 shows the frequency distribution by category for the four spike 81 data sets shown in Table A2. In [4], the spikes are $|\Delta| < 120$ W m⁻², while 82 in [1]-[3], there are many spikes of 200 W m⁻² or more. 83

Fig. 4

Fig. 5

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Fig. A1. Footprint analysis. (a) Normalized flux $F(x, z_m)/S_0$. (b) Footprint function $f(x, z_m)$. L is the Monin-Obukhov length, and z_0 is surface roughness.


Fig. A2. Comparison of sensors installed at the same height. (a) Temperature sensor. (b) Hygrometer. Solid line and equation are the regression line and function, respectively. R^2 is coefficient of determination.



Fig. A3. Same as Fig. A2, but after correction of instrumental difference.



Fig. A4. (a) Relationship between |Rn - G| and $|\Delta|$ under the condition of $|Rn - G| < 20 \text{ W m}^{-2}$ and 6.3 m s⁻¹ > U > 4 m s⁻¹. (b) Relationship between H and lE for data within the dashed lines (i.e. $|\Delta| < 40 \text{ W} \text{ m}^{-2}$) in (a). Data labels indicate wind speed (m s⁻¹).



Fig. A5. Time-series example of sensible heat flux for each interpolation method [1]-[4].



Fig. A6. Frequency distribution of spikes by class. [1]-[4] represent the interpolation methods.

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Table A1. Summary of instrumental calibration. ΔT is the average corrected temperature difference between two temperature sensors installed at the same height, and $\sigma(\Delta T)$ is its standard deviation. Similarly, specific humidity is represented by Δq and $\sigma(\Delta q)$.

						calibration	coefficient		
calibration	T ₁	$\sigma(T_1)$	q ₁	$\sigma(q_1)$	Δ	T	Δ	rh	sensor
period	°C	°C	g kg ^{−1}	g kg ^{−1}	а	b °C	с	d %	replacement
2018/11/13-11/20	12.05	3.22	10.12	1.78	0.0001	-0.0411	0.0432	-3.3988	T_1 &rh ₁
2019/01/18-01/24	4.06	4.30	2.41	0.55	0.0013	0.0332	0.0040	0.6872	T_2 &r h_2
2019/03/12-03/18	7.44	4.54	3.70	1.18	-0.0013	0.0398	0.0046	0.0326	
2019/05/17-05/28	20.97	4.93	9.68	2.02	0.0005	0.0297	0.0068	-0.4005	
average	11.13	4.25	6.48	1.38					
	after correction								
calibration	$\Delta T=T_1-T_2$	$\sigma(\Delta T)$	∆q=q₁⁻	$-q_2 \sigma(\Delta$	(q)				
period	°C	°C	g kg	¹ g kg	-1				
2018/11/13-11/20	0.00	0.024	4 0.0	001 0.0	017				
2019/01/18-01/24	0.00	0.03	1 -0.0	001 0.0	011				
2019/03/12-03/18	0.00	0.04	7 -0.0	0.0	D11				
2019/05/17-05/28	0.00	1 0.030	0.0-	0.0	019				
average	0.00	0.03	3 -0.0	001 0.0	014				

Table A2. Interpolation results. Note: $\Delta = H(Bowen) - H(eddy)$. $|\Delta| > 40 \text{ W m}^{-2}$ is defined as a spike. Data for Period 1 and $Rn - G < 50 \text{ W m}^{-2}$. *n* is the number of interpolated data points, and n_1 is the number of spikes. For -2 < B < -0.6 column, n_2 is the number of data points in the exclusion range.

Г						
			-2 < B < -0.6			
	int	data No.	spike No.	spike ratio	data No.	ratio
	method	п	n ₁	n ₁ /n	<i>n</i> ₂	n₂∕n
	[1]	700	96	13.7%	238	34.0%
	[2]	700	116	16.6%	219	31.3%
	[3]	700	132	18.9%	258	36.9%
	[4]	700	30	4.3%	0	0.0%